

1 **Science in brief: The role of the glycocalyx in critical ill patients with reference to the**
2 **horse**

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26 Abstract

27 The glycocalyx, a gel-like layer covering the luminal surface of vascular endothelial cells has
28 several key functions in maintaining homeostasis in the vasculature. Interest in the glycocalyx
29 has significantly increased in the last decades and damage to this vital layer appears to influence
30 progression and recovery in many disease processes. Pathological injury to the glycocalyx
31 occurs in ischaemia–reperfusion, inflammation, sepsis, shock, burns and excessive shear stress.
32 There is increasing evidence that iatrogenic interventions such as fluid therapy influence the
33 integrity of the glycocalyx and can even worsen the initial injury from pathological events.
34 Rapid infusion of crystalloids and colloids, hypervolaemia, hypernatraemia and
35 hyperglycaemia have all been associated with increased glycocalyx shedding. Current
36 treatment recommendations aim to minimise iatrogenic damage. In the future, interventions
37 aimed at restoring glycocalyx integrity and function might become valuable tools in critical
38 care.

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50 The glycocalyx is a gel-like layer covering the luminal surface of vascular endothelial cells. It
51 is comprised of membrane-attached proteoglycans, glycosaminoglycan chains, glycoproteins
52 synthesised by the endothelial cells and adherent plasma proteins. The glycocalyx is essential
53 for maintaining homeostasis in the vasculature[1]. In the healthy state, the glycocalyx regulates
54 vascular permeability, provides anti-coagulant and anti-adhesive effects on the surface of
55 endothelial cells and can shield endothelial cells from oxidative stress[1; 2]. Additionally, the
56 glycocalyx senses fluid shear forces and transmits these forces to endothelial cells, initiating
57 nitric oxide-mediated vasorelaxation. Considering these key functions, interest in the
58 glycocalyx has significantly increased in the last decades and damage to this vital layer appears
59 to influence progression and recovery in many disease processes. To the author's knowledge,
60 the only reports on glycocalyx in horses relate to the reproductive tract[3-5], mesenchymal
61 stem cells[6] and equine pulmonary intravascular macrophages[7]. Until species-specific
62 information is available, findings from other species therefore have to be extrapolated to equine
63 patients. Unfortunately, research in any species is hampered by the fragile nature of the layer
64 and the fact that structure, thickness and composition vary between vascular beds and methods
65 used to investigate the layer's characteristics[2]. The glycocalyx is thinner where it covers the
66 microcirculation (as little as 0.2 μm) and thicker in larger vessels (up to 8 μm)[8]. In addition,
67 glycocalyx grown on epithelial cells *in vitro* is not equivalent to its *in vivo* counterpart[9].
68 Research is further complicated by the fact that the effects of damage not only depend on the
69 glycocalyx but also the nature of the underlying epithelium, making findings from one vascular
70 bed not necessarily transferable to other areas of the body. A popular method to evaluate
71 glycocalyx damage that is widely used in clinical studies is measuring circulating glycocalyx
72 components, often syndecan-1 and hyaluronan, in plasma or urine of patients. While the degree
73 of glycocalyx shedding correlates well with disease severity, the reliability of circulating
74 glycocalyx components as endothelial damage biomarkers has not been widely accepted. There

75 is insufficient direct evidence showing that circulating concentrations of glycocalyx
76 components correlate with endothelial injury[2]. Many proposed concepts about glycocalyx
77 function in health and disease are therefore still speculative and controversial and not always
78 backed up by clinical evidence[2; 10; 11].

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80 *Vascular permeability and transvascular fluid flux*

81 In the healthy state, the glycocalyx serves as a barrier opposing vascular permeability through
82 a negatively charged molecular meshwork by limiting transvascular movement of molecules
83 that are negatively charged, larger than 70-kDa, or both. By establishing a transvascular
84 albumin gradient, it has been hypothesised that the intact glycocalyx regulates transvascular
85 fluid flux following the so-called revised Starling equation or revised Starling principle[8]. The
86 revised Starling principle states that transvascular exchange of fluid no longer occurs according
87 to a simple gradient of hydrostatic and oncotic pressures across the capillary wall. Rather, the
88 endothelial glycocalyx layer generates a virtually protein-free space between the glycocalyx
89 and the endothelial cell membrane. Thereby, the oncotic gradient is moved from being between
90 the plasma and the interstitium to being between the plasma and the protein-free space between
91 glycocalyx and endothelial cell membrane. The ‘transglycocalyx’ oncotic pressure replaces the
92 interstitial oncotic pressure as the key force opposing fluid filtration in the revised
93 equation[12]. A further major change to the Starling principle is that, according to the revised
94 principle, the oncotic pressure difference across the endothelial glycocalyx layer can oppose,
95 but not reverse, the filtration rate[8]. This is referred to as the ‘no absorption’ rule and states
96 that raising the oncotic pressure in the plasma cannot recruit fluid from the interstitial space.
97 However, not only the glycocalyx but also the underlying endothelium influence permeability.
98 The double-barrier concept stipulates that simultaneous disruption of the endothelial cell
99 barrier and glycocalyx is needed to readily increase vascular permeability. While damage to

100 the glycocalyx alone overlying a tight cellular barrier has minimal effect on permeability,
101 identical glycocalyx damage overlying a leaky cellular monolayer will rapidly increase fluid
102 efflux[11]. The effects of glycocalyx damage therefore depend on the underlying endothelial
103 cell phenotype and vary between and probably also within tissues[11]. When glycocalyx-
104 dependent permeability changes within the systemic vasculature are studied, it is therefore
105 important to consider how both, glycocalyx structural adaptations and the underlying
106 endothelial cell phenotype, will influence detectable permeability changes[11]. The new
107 concept of the revised Starling principle has possible clinical implications: According to the no
108 absorption rule, use of colloids to prevent or treat oedema would be fruitless as fluid cannot be
109 reabsorbed[8]. The clinical applicability of this theory has been questioned as infusions of
110 hyperosmolar and hyperoncotic solutions seem to increase the intravascular volume well
111 beyond the infused volume suggesting that recruitment of interstitial fluid into the intravascular
112 space takes place[10; 13]. Anecdotally, a poor correlation between albumin concentration and
113 the presence or absence of peripheral oedema is occasionally noted in sick horses. The revised
114 Starling principle offers an attractive explanation why even with very low albumin
115 concentrations minimal oedema might be observed, providing the glycocalyx layer is intact,
116 maintaining the protein-free space above the endothelium. On the other end of the spectrum,
117 massive interstitial fluid accumulation might be observed with severe damage to this internal
118 barrier, as seen in states of systemic inflammation, despite relatively normal albumin
119 concentrations[14].

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121 *Glycocalyx and fluid therapy*

122 Intravenous fluid therapy is an important part of managing critically ill horses or horses
123 undergoing general anaesthesia. Pathological injury to the glycocalyx occurs in ischaemia–
124 reperfusion, inflammation, sepsis, shock, hyperglycaemia, burns and excessive shear

125 stress[12]. There is increasing evidence that iatrogenic interventions such as fluid therapy
126 influence the integrity of the glycocalyx and can even worsen the injury from the initial
127 pathological events[15]. Rapid crystalloid or colloid fluid administration induce endothelial
128 glycocalyx shedding in people and dogs[16; 17]. Proposed mechanisms include hemodilution
129 of plasma components and potential osmotic changes.

130 Elimination of infused fluid during surgery and anaesthesia decreases to approximately
131 10%–20% of that in a conscious state due to the effects of the procedure and anaesthetics on
132 adrenergic activity and levels of aldosterone, antidiuretic hormone, and renin. The half-life of
133 crystalloids can be up to 10 times longer under general anaesthesia augmenting plasma volume
134 expansion and increasing the risk of hypervolaemia and peripheral fluid accumulation[14]. The
135 effect of hypervolaemia on the glycocalyx is controversial[18]. Hypervolaemia has been
136 postulated to damage the glycocalyx, at least partially by triggering the release of atrial
137 natriuretic peptide (ANP) that caused an increase in vascular permeability and histological
138 degradation of the glycocalyx in an animal model[19]. Findings in human patients with induced
139 hypervolaemia (15-25ml/kg/h) during routine surgery are conflicting with some finding
140 evidence of ANP release and glycocalyx shedding[20; 21] while others did not[22]. However,
141 almost all studies showed proportionate fluid retention following high volume infusions under
142 general anaesthesia. Use of such high fluid rates would be rare in routine equine surgeries but
143 clinicians should still be aware of the increased risk of fluid accumulation during general
144 anaesthesia and possible associated risks of hypervolaemia.

145 Hypernatraemia, induced by infusion of hypertonic saline, is equally controversial,
146 damaging the glycocalyx in some experimental studies[23] but not in others[24]. Acute and
147 chronic hyperglycaemia also compromises the glycocalyx[2] and high glucose concentrations
148 (11.1mmol/L) may exacerbate sepsis-induced vascular endothelial activation and injury and
149 glycocalyx degradation[25]. Choice of resuscitation fluid might also influence glycocalyx

150 damage and recovery. Haemorrhagic shock is associated with glycocalyx shedding and
151 increased vascular permeability. Compared to crystalloids, using hydroxyethyl starch (HES) or
152 plasma for resuscitation might improve vascular barrier function and glycocalyx recovery[26;
153 27]. Different colloids might have different effects and not only the oncotic properties, but also
154 the relative ability to protect and restore the endothelial glycocalyx could be relevant. Although
155 HES increased colloid oncotic pressure more than albumin in a study using isolated guinea pig
156 hearts, the reduction in capillary permeability was less compared to albumin. This was
157 attributed to the ability of albumin to be partially incorporated into the glycocalyx layer[28].
158 *In vitro* and *in vivo* studies suggest that albumin and fresh frozen plasma might be superior to
159 crystalloids and artificial colloids as resuscitation fluids, particularly during haemorrhagic
160 shock, but prospective clinical studies are needed to confirm these findings[29]. Current
161 recommendations for fluid therapy and resuscitation in people have not yet changed greatly in
162 light of these findings but emphasise the importance of avoiding fluid overload, severe
163 hypernatraemia and hyperglycaemia[12; 24]. It is probably prudent to heed this advice in in
164 horses, too.

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166 *Intravascular inflammation and coagulation*

167 The intact endothelium has multiple anticoagulant and profibrinolytic properties including the
168 production and release of nitric oxide, prostacyclin and tissue factor pathway inhibitor[30].
169 Endothelial cells also secrete heparan sulfate, which augments the anticoagulant action of
170 antithrombin through binding of antithrombin in plasma to heparan sulfate located on the
171 luminal surface and in the basement membrane of the endothelium. Antithrombin's
172 anticoagulant activity is known to increase dramatically when bound to heparan sulfate on the
173 endothelial glycocalyx[31]. During inflammatory conditions, such as sepsis and acute
174 respiratory distress syndrome, glucuronidases, including heparanases, reactive oxygen species

175 and other proteases, disrupt the glycocalyx, exposing adhesion molecules for leucocytes and
176 platelets such as E-selectin and intercellular adhesion molecule 1 on the denuded
177 endothelium[2; 32]. Exposure of the adhesion molecules and a decrease in the antithrombotic
178 properties leads to leucocyte and platelet recruitment, and fibrin and thrombus formation.
179 While this is initially a beneficial process, aiming to trap and eliminate invading
180 microorganisms, excessive activation can lead to altered blood flow, impaired oxygen delivery
181 and subsequent organ failure[33-35]. Circulating syndecan-1 and hyaluronan concentrations
182 have repeatedly been associated with disease severity, particularly in sepsis, and development
183 of disseminated intravascular coagulation (DIC)[36-38]. The search for effective treatments
184 for sepsis and DIC has been ongoing for decades and currently some hope is placed in therapies
185 that either prevent or limit damage to the glycocalyx or accelerate its regeneration. As
186 mentioned, judicious fluid therapy and use of plasma or albumin have been proposed but
187 require further investigation. Inhalation anaesthesia using sevoflurane has been suggested to
188 have glycocalyx-protecting or regenerating effects in some studies[39; 40] but this was not
189 confirmed by others[22; 41]. Other drugs with possible benefits include corticosteroids,
190 antithrombin, heparin and heparinoids[42]. Large scale clinical trials for these drugs in mainly
191 septic patients, used with a different rationale, so far failed to demonstrate beneficial results
192 consistently[43]. New treatment approaches include glycocalyx components and enzyme
193 inhibitors to prevent shedding, factors to accelerate angiogenesis and nanomaterial and
194 glycocalyx-mimetic biomaterials. Results of clinical trials are still outstanding[44].

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196 While the endothelial glycocalyx is unquestionable of great physiological and
197 pathophysiological importance, research is still in its infancy. Until targeted treatments are
198 fully investigated, avoiding iatrogenic damage by using judicious fluid therapy and avoiding
199 hypervolaemia, hypernatraemia and hyperglycaemia appears sensible.

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