- 1 What drives antimicrobial prescribing for companion animals? A mixed-methods study of UK
- 2 veterinary clinics
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4 Alice C. Tompson a,b alice.tompson@lshtm.ac.uk, corresponding author

5 Clare I.R. Chandler a clare.chandler@lshtm.ac.uk

6 Ana L.P. Mateus b amateus@rvc.ac.uk

7 Dan G. O'Neill b doneill@rvc.ac.uk

8 Yui-Mei (Ruby) Chang c ychang@rvc.ac.uk

9 Dave C. Brodbelt b dbrodbelt@rvc.ac.uk

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a. Department of Global Health and Development, London School of Hygiene and Tropical

12 Medicine, 15-17 Tavistock Place, Kings Cross, London, WC1H 9SH, United Kingdom

13 b. Veterinary Epidemiology, Economics and Public Health, The Royal Veterinary College,

14 Hawkshead Lane, North Mymms, Hatfield, Hertfordshire, AL9 7TA, United Kingdom

15 c. Research Support Office, The Royal Veterinary College, Royal College Street, London,

16 NW1 0TU, United Kingdom

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### 18 Abstract

19 Antimicrobial use in companion animals is a largely overlooked contributor to the complex problem of antimicrobial resistance. Humans and companion animals share living spaces 20 and some classes of antimicrobials, including those categorised as Highest Priority Critically 21 Important Antimicrobials (HPCIAs). Veterinary guidelines recommend that these agents are 22 not used as routine first line treatment and their frequent deployment could offer a surrogate 23 measure of 'inappropriate' antimicrobial use. Anthropological methods provide a 24 complementary means to understand how medicines use makes sense 'on-the-ground' and 25 26 situated in the broader social context.

This mixed-methods study sought to investigate antimicrobial use in companion animals whilst considering the organisational context in which increasing numbers of veterinarians work. Its aims were to i) to epidemiologically analyse the variation in the percentage of antimicrobial events comprising of HPCIAs in companion animal dogs attending UK clinics belonging to large veterinary groups and, ii) to analyse how the organisational structure of companion animal practice influences antimicrobial use, based on insight gained from anthropological fieldwork.

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36 A VetCompassTM dataset composed of 468,665 antimicrobial dispensing events in 240,998 37 dogs from June 2012 to June 2014 was analysed. A hierarchical model for HPCIA usage was built using a backwards elimination approach with clinic and dog identity numbers 38 included as random effects, whilst veterinary group, age quartile, breed and clinic region 39 40 were included as fixed effects. The largest odds ratio of an antimicrobial event comprising of a HPCIA by veterinary group was 7.34 (95% confidence interval 5.14 – 10.49), compared to 41 42 the lowest group (p < 0.001). Intraclass correlation was more strongly clustered at dog (0.710, 95% confidence interval 0.701 - 0.719) than clinic level (0.089, 95% confidence interval 43 44 0.076 -0.104). This suggests that veterinarians working in the same clinic do not 45 automatically share ways of working with antimicrobials. Fieldwork revealed how the 46 structure of the companion animal veterinary sector was more fluid than that depicted in the 47 statistical model, and identified opportunities and challenges regarding altering antimicrobial use. These findings were organised into the following themes: "Highest priority what?"; "He's 48 49 just not himself"; "Oh no – here comes the antibiotics police"; "We're like ships that pass in 50 the night"; and "There's not enough hours in the day".

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52 This rigorous mixed-methods study demonstrates the importance of working across

53 disciplinary silos when tackling the complex problem of antimicrobial resistance. The findings

can help inform the design of sustainable stewardship schemes for the companion animalveterinary sector.

56

57 Keywords

Antibiotic, Antimicrobial consumption, Treatment incidence, Companion animal, Social
 sciences, Epidemiology

60

# 61 Introduction

62 Antimicrobial resistance is recognised as a key threat to global health and the global 63 economy (O'Neill, 2016). However, major initiatives seeking to tackle this complex problem 64 have largely overlooked antimicrobial use in companion animals (UK Government, 2013; O'Neill, 2016). This is despite humans and companion animals sharing classes of 65 66 antimicrobials and living spaces, circumstances that could drive the development and spread of antimicrobial resistance relevant to human health (Pomba et al., 2017). Therefore, it is 67 important to include companion animal veterinary care within antimicrobial stewardship 68 activities. 69

70

The term antimicrobial stewardship is used to describe a range of approaches and 71 72 interventions seeking to 'optimize' antimicrobial use (Dyar et al., 2017). It originated in human healthcare but is now applied in broader One Health contexts. In companion animal 73 veterinary medicine, it has been interpreted as schemes to encourage the responsible use of 74 antimicrobials by decreasing prescription rates without increasing negative patient outcomes 75 (Allerton, 2018). The World Health Organisation (WHO) focuses stewardship efforts on 76 antimicrobials with the strongest evidence of transmission of resistant microbes or resistance 77 78 genes from animal sources to humans (World Health Organisation, 2019). These medicines, designated by the WHO as Highest Priority Critically Important Antimicrobials (HPCIAs), 79 include third and fourth generation cephalosporins, fluoroquinolones and macrolides, which 80

are all also available for use by companion animal veterinarians (National Office of Animal
Health, 2019).

83

Epidemiological programmes such as VetCompass™ (O'Neill, 2013) and Small Animal 84 85 Veterinary Surveillance Network (SAVSNET) (Radford et al., 2010) collate anonymised electronic patient records (EPRs) from primary-care veterinary clinics and enable the 86 quantification of antimicrobial use in the wider companion animal population (Buckland et al., 87 88 2016; Singleton et al., 2017). In the United Kingdom (UK), antimicrobials are routinely 89 prescribed for companion animals: over a two-year period, 25.2% of dogs and 20.6% of cats 90 attending a veterinary clinic were given at least one antimicrobial treatment, with HPCIAs 91 accounting for around five percent of antimicrobial prescribing events in dogs (Buckland et 92 al., 2016). Unlike the livestock sector (O'Neill, 2016; Veterinary Medicines Directorate, 93 2019), there are no published target levels for appropriate antimicrobial use in companion 94 animals; however, professional bodies such as the British Veterinary Association (2015) and 95 the British Small Animal Veterinary Association (2018) advise that HPCIAs should not be 96 routinely used as first line treatment. Variation in the use of HPCIAs could act as a surrogate 97 measure for 'appropriate' antimicrobial use with a low proportion of HPCIA events amongst antimicrobial events presumed to be following this advice. This could offer potential 98 99 opportunities to benchmark companion animal veterinary clinics in the future.

100

In addition to companion animal and veterinarian characteristics (Radford et al., 2011;
Hughes et al., 2012), veterinary organisational structure has been associated with
antimicrobial use. For example, the proportion of companion animals receiving antimicrobials
varies approximately twofold between UK practices (Radford et al., 2011). Singleton and
colleagues (2017) investigated longitudinal changes in HPCIA utilisation in veterinary
consultations via a model that included practice (a single veterinary business) and premises
(branches that form a practice) as random effects. They identified similar amount of variance

108 at practice (0.225) and premise level (0.175) but did not explore the impact of belonging to different large veterinary groups. Across the UK companion animal veterinary sector, there 109 110 has been increasing corporatisation in recent years with approximately half of all UK 111 practices now belonging to large groups (Wedderburn, 2017). Understanding the context in 112 which a growing number of companion animal veterinarians work may provide insights into 113 where best to focus effective antimicrobial stewardship interventions. For example, 114 identifying the organisational level at which antimicrobial use is most tightly clustered could 115 indicate the most effective leverage point at which to intervene to change prescribing habits.

116

117 The social sciences are recognised to play a crucial role in understanding antimicrobial utilisation (Chandler et al., 2016). Often cast as 'irrational' and 'inappropriate', the methods 118 and theories of anthropology offer a means by which to ask, "what makes common sense 119 120 here, and why?" in order to develop situated accounts of antimicrobial use (Denyer Willis and Chandler, 2018). The cornerstone of anthropological methods is ethnography, involving 121 participant observation to study enacted practice - both conscious and subconscious. Such 122 an approach can provide additional insights to extend existing understandings of 123 124 antimicrobial use, especially in companion animals, which has mostly relied on surveys that can only describe self-reported behaviour (Will, 2018). Ethnographic studies have been 125 promoted in One Health for their ability to explicate the messy complexities of everyday lives 126 whilst situating them in their broader political, economic, historical and social contexts (Wolf, 127 2015). This is crucial for a deeper understanding of the wider influences on antimicrobial 128 129 use, beyond the moment of prescribing. Furthermore, anthropological approaches can 130 address calls for the exploration of issues of power, professional identity and reputation with 131 respect to veterinary prescribing of antimicrobials that, to date, remain under-scrutinised 132 (Wood, 2016).

133

This mixed-methods study harnesses the complementary strengths of epidemiology andanthropology. This enables the painting of a more complete picture of antimicrobial use in

companion animals, one that is, *"greater than the sum of the parts"* (O'Cathain et al., 2010).
The goal of this research is to help inform the design of antimicrobial stewardship efforts in
the companion animal veterinary sector. Therefore, the aims of this study are i) to
epidemiologically analyse the variation in the percentage of antimicrobial events comprising
of HPCIAs in companion animal dogs attending clinics belonging to large veterinary groups
and, ii) to analyse how the organisational structure of companion animal veterinary medicine
influences antimicrobial use, based on insight gained from anthropological fieldwork.

143

144 Materials and methods

## 145 Epidemiological study

146 **Design** 

A VetCompassTM dataset spanning June 2012 to June 2014 inclusive that had previously 147 148 been used to quantify UK antimicrobial use (Buckland et al., 2016) was analysed. Due to the time constraints of this PhD project, the study population was limited to dogs, the most 149 common UK companion animal species (O'Neill, 2013). The percentage of antimicrobial 150 dispensing events comprising of HPCIAs was selected as the outcome measure, given the 151 152 interest in these agents (Veterinary Medicines Directorate, 2019). In addition to the previously applied inclusion and exclusion criteria (Buckland et al., 2016), only data from 153 corporate veterinary groups with over thirty clinics were retained (Fig. 1). Supplementary 154 material 1 describes the full study inclusion and exclusion criteria. 155

156

157 [Figure 1 - The flow of data through the VetCompass™ epidemiological study including the
 158 hierarchical models]

159

# 160 Data cleaning and processing

Buckland et al.'s (2016) definition of an antimicrobial agent and application to the dataset were re-used (Supplementary material 1). In brief, these were medicines that destroy or inhibit the growth of bacterial microorganisms and authorised for systemic use. Additional

HPCIA coding based on the WHO's definition (2019) was added. As per Buckland et al.'s
approach, an antimicrobial event was defined as an independent record (line) in the
treatment data field of the EPR-derived dataset and, consequently, multiple events could
arise from a single consultation or across multiple visits.

168

169 The variable 'any HPCIA' was generated and coded as positive for all antimicrobial events 170 linked to a unique dog identity number if one or more of these events comprised of an 171 HPCIA. Dog age was calculated as the period between the birth date and the antimicrobial 172 dispensing date; ages <0 or >24 years were coded as missing. Age was grouped a priori into 173 quartiles to allow for non-linearity of effects and to facilitate interpretation. Dog sex was coded as male, female or missing. The 20 most prevalent dog breeds in the dataset were 174 taken as categories, the remaining pure breeds were pooled together ('other purebreds') as 175 176 were 'cross breeds'. The clinic postcode was used to derive its region in the UK.

177

# 178 **Descriptive and univariable analyses**

Counts and percentages were calculated for each categorical variable (dog sex, breed, clinic
region). Dog age was summarised for each quartile using median and interquartile range
(IQR) after reviewing its distribution. The Pearson chi-square test and the Mann Whitney U
test, as appropriate, checked for differences between the sample characteristics of each
veterinary group (Kirkwood and Sterne, 2003).

184

The total and average (mean, median) number of antimicrobial events and HPCIA events per dog were calculated. From the total number of antimicrobials events, the continuous outcome measure of the percentage of events compromising of HPCIAs was calculated at dog, clinic and veterinary group levels along with 95% confidence intervals (95% CIs). The distribution of the percentage of HPCIA events at a clinic level was plotted graphically. The composition of HPCIA events by veterinary group was investigated using percentages and 95% CIs.

192

### 193 Hierarchical modelling

194 A multilevel logistic regression model was built for the binary outcome of whether an 195 antimicrobial event comprised of a HPCIA (yes versus no) using complete cases 196 (antimicrobial events with full data on dog identification number, dog age, dog sex, dog 197 breed, clinic identification number, clinic region, veterinary group identification number) in the 198 dataset. This was with the aim of investigating the clustering of HPCIA use within dogs, 199 clinics and veterinary groups. Data at individual veterinarian level were not available. Dog 200 identity number and clinic identity number were added as random effects whilst veterinary 201 group was included as a fixed effect. Clinic and animal identities were included as random effects due to the large number of individual identities at both levels and where the interest 202 was in adjusting for clustering at these levels rather evaluating individual animal or clinic 203 204 differences. A screening criterion of a univariable p-value <0.25 was applied when considering the inclusion of additional fixed effects (dog age, sex, breed, clinic region) 205 (Hosmer and Lemeshow, 2004). 206

207

208 Model development used a manual backwards stepwise elimination approach. Models 209 without dog identity number, clinic identity number or veterinary group were not considered 210 as this would have prevented the investigation of HPCIA use at these levels. Likelihood ratio tests were used to compare the performance of the new, smaller model to the original. The 211 estimated coefficients of the remaining variables were compared to those from the full model 212 with all variables included to check there was no sizable change in their magnitude (Hosmer 213 214 and Lemeshow, 2004). Pair-wise interaction effects between age quartile and percentage of HPCIA events in each veterinary group were evaluated. However limited computational 215 216 power prevented the inclusion of an interaction term in the hierarchical modelling.

217

Model performance was assessed using Receiver Operator Curve (ROC) statistics and
Hosmer Lemeshow residuals (Hosmer and Lemeshow, 2004; Statalist, 2017). Odd Ratios

(ORs) and 95% CIs were calculated for each fixed effect variable. The intraclass correlation
coefficients (ICCs) at a dog and clinic level were calculated to assess the clustering of
HPCIA use, that is the correlation among observations within the same cluster (Dohoo et al.,
2003).

224

Due to the imbalanced structure of the dataset with most dogs having a single antimicrobial event, the analyses were re-run i) in the same model using a dataset limited to dogs with multiple antimicrobial events only (model 2) and ii) a model with a binary outcome of whether a dog received any HPCIA (model 3) (Fig.1). The ICCs and performance of these models were compared to the main model (model 1) to assess the robustness of the estimates produced.

231

Data analyses were conducted in Stata 16 (StataCorp, Texas, USA) and statistical
significance was set at the 5% level. These analyses were covered by the VetCompass™
research ethics approval from the Royal Veterinary College's Ethics and Welfare Committee
(SR2018-1652).

236

## 237 Anthropological study

#### 238 Data collection

Fieldwork was undertaken by the lead author (AT) over nine months in 2019 at three UK 239 companion animal clinic sites belonging to different large veterinary groups (two commercial 240 and one charitable). The extended nature of placements enabled the researcher to become 241 embedded in the clinic teams who became less conscious of being 'studied'. All aspects of 242 243 daily clinic life were observed including consultations, surgical procedures, administrative 244 and reception duties. The researcher's non-veterinary background facilitated a 'fresh pair of eyes' (an 'etic' view) on taken-for-granted situations, illuminating the unwritten rules 245 surrounding companion animal veterinary work that become self-evident from an 'emic' view 246 247 (Russell Bernard, 1985). Within these observation periods, informal interviews were

248 undertaken with veterinarians, support staff and owners to clarify arising issues. Detailed 249 field notes describing relations, language, metaphors, and sense-making between those 250 actors at the interface of antimicrobial use were made with attention paid to both verbal and 251 non-verbal gestures. Additional written data sources included clinic and veterinary group 252 policies and media articles from the mainstream and veterinary press. Semi-structured 253 interviews were also conducted with veterinarians working at fieldwork clinics. These 254 followed a topic guide (Supplementary material 2) but with flexibility to follow up issues 255 raised by interviewees. The formal interviews were audio-recorded and transcribed.

256

### 257 Data analyses

The software NVivo 12 (QSR International Pty Ltd, USA) was used to organise the 258 gualitative data and facilitate thematic coding. Initial, low level codes situated in the data -259 260 such as the activity being undertaken or topic being discussed - were developed into more abstract themes (Ziebland and McPherson, 2006). Analysis involved comparing clinics to 261 draw out similarities and differences. Moving to a new physical space - and shifting between 262 emic (insider) and etic (outsider) perspectives - rendered visible the enacted 'common 263 264 sense' and supporting infrastructures (Chandler, 2019) in each location. Analyses were conducted by the first author and interim findings were discussed amongst the 265 multidisciplinary research team. 266

267

268 The empirical fieldwork data was considered in response to - and building on - the existing 269 theoretical literature. Anthropologists emphasise that researchers always operate from a 270 particular theoretical position that informs the inflection of the research: It shapes the lines of 271 inquiry, what is tuned into in conversations, what captures the fieldworker's gaze during 272 observations and what is deemed noteworthy. The theoretical orientation informing this 273 study arises from the research in anthropology and science and technology studies, influenced by the ontological turn in the social sciences, which moves from distinctions of 274 275 'nature' and 'culture' to understanding 'naturecultures' (Haraway, 2003). Anthropologists

276 strive to 'take seriously' their interlocutors and give voice to traditionally marginalised or overlooked groups. As such, this study sought to move beyond blaming veterinarians for 277 being irrational users of antimicrobials and beyond blaming owners for demanding 278 279 antimicrobials. Instead this project wanted to understand antimicrobial prescribing as an 280 emergent and contingent practice that is enacted under particular economic, social and 281 material conditions (Reynolds Whyte et al., 2002). It was informed by sensory accounts of 282 multispecies encounters (for example Kirksey and Helmreich, 2010) and material semiotic 283 approaches that have previously been used to study care in veterinary work (Law, 2010). 284

All study participants gave informed consent. The anthropological study was approved by the research ethics committee of London School of Hygiene and Tropical Medicine (16126).

- 287
- 288 Results

### 289 Epidemiological study

290 Descriptive results

The cleaned dataset contained 468,665 antimicrobial events across 240,998 dogs with 291 292 294,016 (62.7%) of these events arising from veterinary group C (Table 1). Of the total antimicrobial events, 29,984 comprised of HPCIAs (6.4%, 95% CI: 6.3; 6.5%): this 293 percentage differed between veterinary groups ranging from 4.9 % (95% CI: 4.8; 5.0) in 294 group B to 15.6% (95% CI: 15.2%; 16.1%) in group A (p<0.001). However, the canine and 295 clinic characteristics of antimicrobial events also varied between veterinary groups 296 (Supplementary material 3), potentially confounding this univariable finding although this is 297 accounted for in subsequent multivariable analyses. 298

299

300 The types of HPCIA used varied between veterinary groups. The higher percentage of

301 HPCIA events in group A was largely composed of fluoroquinolone use which contributed

13.4% (95% CI: 12.9;13.8%) to the total antimicrobial events in this group; This compared to

303 4.5% (95% CI: 4.4; 4.7%) in group B and 4.2% (95% CI: 4.2; 4.3%) in group C. Group B -

which had the lowest percentage of HPCIA events - had six uses of third generation
cephalosporins (0.0% of antimicrobial events), suggesting they were not routinely stocked by
clinics in this group. The corresponding results in groups A and C were 2.1% (95% CI: 2.0;
2.3%) and 1.9% (95% CI: 1.9; 2.0%) respectively. Macrolide use was low across all groups
(n = 1,137 0.2% of antimicrobial events).

At a clinic level (n = 367), the median percentage of HPCIA events was 5.9 (IQR: 3.4 -

10.4%) with a range of 0.0% (10 clinics) to 69.9% (1 clinic). When plotted graphically, a

positively (right handed) skewed distribution with a long tail was revealed (Fig. 2). The

median number of antimicrobial events per dog was 2 (IQR: 1 - 4, range: 1 - 60), whilst the

median number of HPCIA events was 0 (IQR: 0 - 0, range: 0 - 60).

315

316 [Figure 2 - The distribution of the percentage of antimicrobial events comprising of highest
 317 priority critically important antimicrobials by clinic (n = 367)]

318

### 319 Hierarchical modelling results

320 All variables met the univariable screening criterion for inclusion in the multivariable model

building stage. At this point dog sex was not statistically significant and, therefore, the

322 models comprised of clinic and dog as random effects, and corporate veterinary group, age

323 quartile, breed and clinic region as fixed effects.

324

Table 2 reports the main model (model 1) results: The OR of an antimicrobial event

326 comprising of a HPCIA was statistically significantly different between veterinary groups

327 (p<0.001) whilst and was positively associated with increasing quartiles of age. The nine

breeds with the greatest OR of an HPCIA event were classified as 'small' (Kennel Club, no

date). Compared to the South East, the OR of an event comprising of a HPCIA in Scotland

330 was reduced (0.26, 95% CI: 0.14; 0.49) whilst the corresponding figure for an event at clinics

in the north west was 0.47 (95% CI: 0.30; 0.73). In other regions, there was no statistically
significantly difference.

333

The area under the ROC for the main model (model 1) was 0.983 (95% CI: 0.983; 0.984) and the Hosmer-Lemeshow test was non-significant (p=0.314) suggesting an acceptable model fit. When dog identity number was removed as a random effect from the main model (model 1), the area under the ROC fell to 0.712 (95% CI: 0.709; 0.715, Hosmer-Lemeshow p-value 0.231) suggesting that the information contained with dog identity number variable makes a sizeable contribution to the model's performance.

340

341 Comparison of the ICCs in the main model (model 1) suggests HPCIA use is more strongly

342 clustered within a dog (0.710, 95% CI: 0.710; 0.719) than within a clinic (0.089, 95% CI:

343 0.076; 0.104). These estimates were broadly similar across the models 1 to 3

344 (Supplementary material 4). The removal of veterinary group identity number from the main

model (model 1) increased the clinic level ICC only slightly to 0.118 (95% CI: 0.102; 0.136).

346

# 347 Anthropological study

The statistical model presents a representation of the companion animal veterinary work in which a dog attends a single veterinary clinic and that each clinic is a neatly bounded entity under the umbrella of a corporate veterinary group. Time in the field revealed more fluid structures which are described below. These are presented in an order to reflect the levels of the statistical model.

353

#### 354 "Highest priority what?"

HPCIA – the quantitative outcome classification used in the statistical model - had little
meaning 'on the ground'. For example, antimicrobials were organised in clinic based on their
formulation type (tablet, injectable) rather than other categorisations. They were referred to

358 by their brand names amongst staff, for instance there was awareness regarding the 359 pressure to restrict use of Convenia (Zoetis), a third-generation cephalosporin. When 360 outlining treatment plans to owners, it was unusual for veterinarians to present choices 361 between different antimicrobials or describe their HPCIA status. More typically a yes/no 362 option was proposed: 'antibiotics' were offered or, in some cases, suggestions were made 363 that they should be withheld – at least initially – due to concerns about antimicrobial 364 resistance. The reasoning behind the selection of the antimicrobial agent offered to pet 365 owners was rarely articulated by the veterinarians.

366

#### 367 "He's just not himself"

Whether a dog received antimicrobials was shaped by a complex interplay of canine and owner characteristics. Owners determined if - and when - their dog attended the veterinary clinic and therefore could potentially access antimicrobials. Some owners presented at the first sign of trouble whilst others had to make tricky decisions about when to seek help based on limited financial and time resources. The epidemiological modelling did not investigate this entanglement of biological and social factors.

374

Furthermore, these canine-owner knots also influenced prescribing decisions by veterinarians who assessed whether owner characteristics, such as frailty, mobility or financial hardship, may hamper antimicrobial administration or prevent return to the clinic in case of problems. Frontline veterinarians had to balance the immediate welfare needs of the animal in front of them with the less tangible risk of antimicrobial resistance. In such circumstances, the use of long-acting, injectable agents such as Convenia (Zoetis) given then and there 'made sense'.

382

#### 383 "Oh no – here comes the antibiotics police"

Bue to the anonymization of information available in VetCompass™, it was not possible to
 quantitatively investigate variation in HPCIA use at an individual veterinarian level.

Observations revealed that this is important with several younger veterinarians taking on the role of local antimicrobials champion. They advised and, in some cases, cajoled their coworkers regarding more appropriate use. However, these champions revealed that they did not feel able to challenge all of their colleagues, in part due to their relative positions in the clinic hierarchy.

391

### 392 *"We're like ships that pass in the night"*

Modern ways of working challenge the notion of the veterinary clinic as a bounded unit with a stable workforce and shared practices. Shortages of qualified staff presented ongoing challenges in the fieldwork sites with rota gaps being filled by veterinarians from other clinics or locum staff. In some cases, out-of-hours work was contracted out to separate businesses. However, the flow of staff offered opportunities to share best practice between clinics.

398

Staffing patterns could pose issues in terms of continuity of care with pet owners no longer having a 'usual' veterinarian. For example, veterinarians were sometimes placed in awkward situations if pet owners had previously been seen by colleagues who had set a precedent by prescribing antimicrobials in conflict with guidelines.

403

#### 404 *"There's not enough hours in the day"*

Belonging to a large veterinary group presented the potential to share some of the workload 405 associated with antimicrobial stewardship. It was difficult for frontline veterinarians to 406 personally carve out time to undertake such activities because clinical and revenue 407 generating activities take priority under existing business models. At one fieldwork clinic, the 408 409 corporate headquarters distributed template stewardship materials for completion; however, 410 there was limited local capacity for this work in terms of time and personnel. In another group, a single 'top-down', business-wide policy regarding 'appropriate' use was introduced 411 but there was muted buy-in at a clinic level. The level of clinic autonomy – for example 412

413 deciding which drugs to stock – varied between veterinary groups whose organisational
414 cultures differed.

415

# 416 Discussion

417 This study is the first to combine epidemiological and anthropological approaches to provide 418 insights into antimicrobial use in the companion animal veterinary sector to help inform the 419 design of sustainable stewardship interventions for this setting. Based on a large 420 VetCompass<sup>TM</sup> dataset, the study quantified the variation in the percentage of antimicrobial 421 events comprising of HPCIAs between clinics and three different veterinary groups. It also 422 identified that relative HPCIA utilisation was more strongly clustered within dogs than within clinics. The anthropological fieldwork highlighted how the organisational structure of the 423 424 companion animal veterinary sector was more fluid than that depicted in the statistical 425 model, identifying opportunities and challenges when seeking to intervene regarding antimicrobial use. Table 3 provides a summary of the recommendations for antimicrobial 426 stewardship schemes in companion animal veterinary practice arising from this study. 427 428

429 The main hierarchical model suggests that the cost influences antimicrobial choice: the odds 430 of an antimicrobial event comprising of a relatively costly HPCIA were higher in low weight 431 breeds in which smaller - less expensive - doses are indicated. In the future, a minimum 432 price could be applied to a HPCIA dispensing event, deterring their use in smaller dog breeds. Recognising that companion animal veterinarians make decisions based on more 433 434 than clinical factors alone is important when considering how to alter antimicrobial use. Previous research has used clinical vignettes to assess 'appropriate' antimicrobial utilisation 435 (Barzelai et al., 2017; Hardefeldt et al., 2017; Van Cleven et al., 2018). However, such 436 methods overlook the day-to-day complexities faced by frontline veterinarians when making 437 choices about antimicrobial use. The model also revealed that the odds of an antimicrobial 438 439 event comprising of a HPCIA increased as dogs ages. This could be partially explained by

the contraindication for fluoroquinolones in young dogs (BSAVA, 2018) or by longitudinal
changes in the common conditions treatable using antimicrobials across a dog's life course.

443 The guantitative study estimated that the odds of an antimicrobial event comprising a HPCIA 444 was more tightly clustered at a dog level, perhaps reflecting their deployment in dogs with 445 ongoing conditions. Less clustering was calculated at a clinic level suggesting that 446 companion animal veterinarians working in the same clinic do not automatically share ways 447 of working with antimicrobials. It was considered unlikely that within-clinic specialisation by 448 veterinarians may have contributed to this limited within-clinic clustering, such that one 449 clinician may be more likely to deal with dermatological conditions, for example, whilst another specialised in gastro-intestinal disorders. Within VetCompass the vast majority of 450 work is primary care veterinary medicine with little internal referral and, as such, individual 451 452 veterinarians are likely to treat the spectrum of conditions that present to a clinic. This limited clustering was echoed by the fieldwork finding that the 'clinic' was not found be the bounded, 453 stable unit modelled in epidemiological studies as well as by work by Singleton et al (2017. 454 supplementary material) where clinic premises explained little of the variance reported. 455

456

A limitation of this study is that the quantitative data was from 2012 to 2014 and it is unclear 457 to what extent these patterns of antimicrobial use persist. This study period was chosen due 458 to the presence of a pre-existing, cleaned VetCompass dataset that facilitated the 459 undertaking of this analysis. A UK-based SAVSNET study found the percentage of HPCIA 460 events increased slightly between 2014 and 2016 (Singleton et al., 2017). Meanwhile, in the 461 Netherlands, a statistically significant decrease in HPCIA use was measured between 2012 462 to 2014; however inter-clinic variation became more pronounced (Hopman et al., 2019a), 463 464 perhaps suggesting differential uptake of antimicrobial stewardship messaging around HPCIA use. Subsequent to these quantitative data, the British Small Animal Veterinary 465 Association (2018) introduced its UK stewardship campaign which included developing clinic 466

467 level antimicrobial use policies. It will be interesting to assess whether the clinic level468 clustering of HPCIA use has subsequently changed.

469

470 From the anonymised clinical data shared with VetCompassTM, it was not possible to 471 quantify the clustering of HPCIA use at an individual veterinarian level or include the 472 influence of owner characteristics. Future studies could quantitatively investigate these 473 factors. However, time spent in clinic demonstrated that the decision to use an antimicrobial 474 arose from complex interactions including those between the consulting veterinarian and the 475 companion animal owner, highlighting the benefits of a mixed-methods approach. A previous 476 qualitative study reported that veterinarians feel under pressure from owners to prescribe antimicrobials; however, owners reported that it was the veterinarians themselves who 477 encouraged their use (Smith et al., 2018). Social scientists, meanwhile, have argued that 478 479 focussing on who to blame overlooks the broader structural factors supporting the continued use of antimicrobials (Chandler, 2019). Future research should further investigate the 480 entangled roles of these actors whilst considering the context in which they operate. 481

482

483 The percentage of antimicrobial dispensing events comprising of HPCIAs varied widely between veterinary groups largely due to variation in fluoroquinolone use. At a clinic level, a 484 skewed distribution was observed. In the Dutch livestock sector, when defined daily 485 antimicrobial dose per animal was plotted by farm a similarly skewed pattern was noted (Bos 486 et al., 2015). The Netherlands Veterinary Medicines Authority used this as a basis to 487 benchmark establishments and require that any above the 75th percentile – an arbitrary 488 threshold - worked with their veterinarian to reduce their antimicrobial use. A similar 489 490 approach could be adopted in the companion animal veterinary sector to tackle the 'long tail' 491 of clinics using a higher proportion of HPCIAs. However, careful attention should be paid to 492 the selection of any future benchmarking metric: for example, a clinic may have a high percentage of antimicrobial events comprising of HPCIAs despite a relatively small 493 494 denominator (total antimicrobial events), thus masking a limited frequency of HPCIA events.

Alternatively, veterinarians might be careful users of HPCIAs but frequently prescribe other
antimicrobials. Future benchmarking could account for both absolute as well as relative
usage of antimicrobials overall as well as HPCIAs.

498

499 On-the-ground, antimicrobial stewardship activities have to be fitted around existing, income 500 generating workloads. Large veterinary groups may be able to shoulder some of this 501 stewardship burden. However, the fieldwork indicates that careful reflection should be given 502 to considering how best to ensure 'buy-in' by frontline veterinarians. Furthermore, the 503 organisational culture of each veterinary group varied, suggesting an 'off-the-shelf' approach might have limited impact. Whilst recent graduates may be willing to act as local champions 504 for appropriate antimicrobial use, consideration is required of how the hierarchies and 505 gender roles at play in veterinary work (Knights and Clarke, 2019) may help or hinder these 506 507 activities.

508

To date, there has been little published research evaluating the effectiveness of 509 510 interventions seeking to alter antimicrobial use in the companion animal veterinary sector 511 although several projects are underway. Two studies (Weese, 2006; Sarrazin et al., 2017) focused on the introduction of prescribing guidelines; however, the interpretation of their 512 findings is hampered by methodological issues such as lack of contemporaneous control 513 groups or, in the case Sarrazin et al. (2017), the short follow-up period. Targeting the 514 behaviour of individuals - such as prescribers - is a popular stewardship approach but also 515 516 one which often has limited impact as it fails to address broader contextual issues supporting the continued use of antimicrobials (Denyer Willis and Chandler, 2019). The current study 517 518 provides valuable insight into these contextual issues that, to date, have be largely 519 overlooked when seeking to optimise antimicrobial use in the companion animals. 520

521 A more recent trial (Hopman et al., 2019b) tested a multicomponent stewardship approach – 522 which include benchmarking activities, social pledges, veterinarian education and owner

information sheets. Total antimicrobial use was reduced by 15% although there was no statistically significant reduction in HPCIA use. Clinics were reimbursed for their involvement which required considerable veterinarian participation. If Hopman et al.'s intensive approach were to be rolled out more widely, the current study suggests that financial reimbursement or provision of veterinary staff to cover clinical duties could be crucial in supporting the completion of stewardship activities. Outside of a research context, it is unclear which commercial, professional, or governmental bodies would provide these.

530

531 To conclude, this rigorous mixed-methods study has provided fresh insights into

antimicrobial use in the companion animal veterinary sector. In doing so, it demonstrates the

533 strengths of working across traditional disciplinary silos to better understand and intervene in

this area. By using both quantitative and qualitative approaches, it has enabled a deeper

understanding of the organisational structure in which an increasing number of companion

animal work and how this can influence antimicrobial use. These findings will help inform the

537 design of sustainable stewardship interventions for this setting.

538

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544

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548

## 549 **Conflicts of interest statement**

550 The authors declare no conflicts of interest.

551

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700

Table 1. The distribution of antimicrobial and HPCIA events by veterinary group in a

703 VetCompassTM UK dataset from 2012 -2014. Distribution is reported in total and at a clinic

- <sup>704</sup> level (No.: Number; HPCIA: Highest priority critical important antimicrobial; CI: confidence
- 705 interval; IQR: interquartile range)
- 706

Vet. Group	No. dogs (%)	No. antimicrobial events (%)	No. HPCIA events (%)	Percentage of antimicrobial events comprising of HPCIAs (95% CI)	No. clinics (%)	Median clinic percentage of antimicrobial events comprising of HPCIAs (IQR)
А	12,565	25,909	4,044	15.6	90	13.8
	(5,2)	(5.5)	(13.5)	(15.2;16.1)	(24.5)	(10.9;19.9)
В	83,754	148,740	7,280	4.9	117	3.7
	(34.8)	(31.7)	(24.3)	(4.8;5.0)	(31.9)	(2.1;6.1)
С	144,679	294,016	18,660	6.3	160	5.3
	(60.0)	(62.7)	(62.2)	(6.3;6.4)	(43.6)	(3.6;7.7)
Total	240,998	468,665	29,984	6.4	367	5.9
	(100.0)	(100.0)	(100.0)	(6.3;6.5)	(100.0)	(3.4;10.4)

707

Table 2. The results of the main hierarchical model (model 1) investigating HPCIA events in

710 a VetCompassTM UK dataset of antimicrobial events from 2012 -2014 (n = 458,599) (No.:

711 Number; HPCIA: Highest priority critical important antimicrobial; CI: confidence interval)

Variable		No. (%)	Odds of HPCIA Exposure (95% CI)	p-value	
Votorinary -	В	146,802 (32.0)	1.00	<0.0001	
Veterinary –	A	25,417 (5.5)	7.34 (5.14;10.49)		
group	С	286,380 (62.4)	2.04 (1.56;2.70)		
	<1.5 years	113,060 (24.7)	1.00		
Age	1.5 to <4.3 years	116,388 (25.4)	2.12 (1.97;2.29)	<0.0001	
quartile	4.3 to <8.2 years	113,029 (24.6)	2.95 (2.73;3.18)	<0.0001	
	8.2 years and over	116,122 (25.3)	5.02 (4.64;5.43)		
	Crossbreed	94,069 (20.5)	1.00		
_	Staffordshire bull terrier	27,753 (6.1)	0.74 (0.65;0.84)		
—	Border collie	10,330 (2.3)	0.83 (0.68;1.01)		
—	Rottweiler	5,947 (1.3)	0.95 (0.74;1.23)		
_	Labrador retriever	35,097 (7.7)	0.96 (0.86;1.08)		
_	German shepherd dog	14,686 (3.2)	1.03 (0.87;1.22)		
_	Golden retriever	7,350 (1.6)	1.04 (0.84;1.30)		
_	Springer spaniel	7,708 (1.7)	1.22 (0.98;1.51)		
-	Jack Russell	22,303 (4.9)	1.28 (1.13;1.45)		
—	English springer spaniel	6,228 (1.4)	1.39 (1.11;1.74)		
—	Boxer	9,463 (2.1)	1.48 (1.22;1.79)		
Breed _	All other pure breeds	107,008 (23.3)	1.55 (1.43;1.68)	<0.0001	
	Border terrier	5,234 (1.1)	1.70 (1.34;2.15)	\$0.0001	
_	Cavalier King Charles	· · · · · · · · · · · · · · · · · · ·	¥		
	spaniel	11,941 (2.6)	1.85 (1.57;2.18)		
_	Cocker spaniel	19,289 (4.2)	1.98 (1.73;2.26)		
_	Bichon fries	7,611 (1.7)	2.09 (1.72;2.54)		
_	Lhasa apso	6,490 (1.4)	2.31 (1.89;2.84)		
_	West highland terrier	18,115 (4.0)	2.47 (2.17;2.81)		
-	Shih tzu	12,618 (2.8)	2.61 (2.24;3.03)		
-	Yorkshire terrier	14,634 (3.2)	2.83 (2.47;3.23)		
—	Pug	5,849 (1.3)	3.12 (2.52;3.86)		
—	Chihuahua	8,836 (1.9)	3.31 (2.80;3.92)		
	South East	78,224 (17.1)	1.00		
-	Scotland	18,765 (4.1)	0.26 (0.14;0.49)		
-	Northern Ireland	5,567 (1.2)	0.41 (0.17;1.01)		
_		45,192 (9.9)	· · · · · /		
_	North West		0.47 (0.30;0.73)		
Clinia –	North East	42,324 (9.2)	0.69 (0.41;1.14)		
Clinic	West Midlands	46,924 (10.2)	0.71 (0.45;1.11)	0.0017	
region	East Midlands	54,458 (11.9)	0.71 (0.45;1.11)		
-	Greater London	41,402 (9.0)	0.74 (0.49;1.11)		
-	East of England	65,092 (14.2)	0.80 (0.55;1.16)		
_	South West	45,011 (9.8)	0.88 (0.59;1.40)		
_	Channel Islands	926 (0.2)	0.98 (0.14;6.80)		
	Wales	14,714 (3.2)	1.02 (0.53;1.96)		

- Table 3. Recommendations for antimicrobial stewardship schemes in companion animal
- 714 veterinary clinics

Tailor language to reflect target audiences.

Address the structural influences supporting antimicrobial use (for example their physical accessibility in clinic).

Provide tools to support vet-owner discussions regarding antimicrobials.

Make stewardship activities inclusive to all staff including those working part-time, as locums or hour-of-hours.

Support antimicrobial champions by strengthening the evidence base regarding clinical outcomes when adhering to prescribing guidelines.

Incorporate mandatory antimicrobial stewardship training in CPD requirements.

Encourage benchmarking by the provision of accessible benchmarking tools and services such as SAVSNET-AMR (Radford et al., 2017).