1	Responsible antimicrobial use in critically ill adult horses
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### 26 Summary

Due to increasing microbial resistance, pressure on veterinarians is mounting to adhere to responsible use of antimicrobial drugs. Antimicrobials are frequently included in the treatment of systemically ill horses due to the strong likelihood of an infection and the innate difficulties in differentiating systemic inflammation secondary to non-infectious from infectious causes. In light of increasing antimicrobial drug resistance and the potential negative impact of antimicrobials on equine patients, every attempt should be made to identify non-infectious disease, choose first line antimicrobials and discontinue treatment as soon as possible. In most cases, a short duration of antimicrobial therapy ranging from a single dose (for example pre-operatively) to 24-72h might be sufficient with long-term treatment being rarely required. The article aims to provide practical guidelines for antimicrobial drug usage in critically ill adult horses by describing ancillary diagnostic aids that can help establishing whether or not an infection is present, discussing commonly encountered pathogens and their typical antimicrobial drug sensitivity patterns and providing some guidance how to safely shorten the duration of antimicrobial therapy. 

### 51 Introduction

Critical or intensive care in people extends beyond internal medicine dealing with a subset of 52 53 patients with immediate life-threatening conditions (Marshall, Bosco et al. 2017). In equine medicine, the term is used more loosely, often referring to animals that require more intense 54 support than the average equine patient or simply animals with systemic and potentially life-55 threatening disease (Lascola, Vander Werf et al. 2017). Many critical illnesses in horses are 56 57 associated with infection or with significant absorption of bacterial products and toxins causing activation of the inflammatory and coagulation system. Clinically, both scenarios are 58 59 often indistinguishable. Although not effective against purely inflammatory conditions, antimicrobials are frequently included in the treatment of these animals due to the strong 60 likelihood of an infection and the innate difficulties in differentiating systemic inflammation 61 62 secondary to non-infectious causes from infections. However, due to increasing microbial resistance, pressure on veterinarians is mounting to adhere to responsible use of antimicrobial 63 drugs. A recent study in a UK referral hospital over a ten-year period demonstrated an 64 increase in prevalence of extended spectrum beta lactamase (ESBL)-producing E. coli as well 65 as increased antimicrobial resistance to frequently used antimicrobials including doxycycline, 66 gentamicin and 3rd generation cephalosporins (Isgren, Edwards et al. 2019); other studies 67 have confirmed increasing resistance in bacteria isolated from horses, particularly after 68 antimicrobial therapy (Maddox, Williams et al. 2011, Theelen, Wilson et al. 2020). 69 70 Unnecessary or unnecessarily long use of antimicrobial drugs enhances development of resistance in pathogens, increases the cost of treatment and exposes the patient to possible 71 side effects, most noticeably disruption of the intestinal microbiome and antimicrobial-72 induced diarrhoea (Gronvold, L'Abee-Lund et al. 2010, Johns, Verheyen et al. 2012, Barr, 73 Waldridge et al. 2013, Costa, Stampfli et al. 2015). Every attempt should therefore be made 74 to limit their use. This can be achieved by making every effort to identify non-infectious 75

disease, choosing first line antimicrobials in the first instance and discontinuing treatment as 76 quickly as possible. The use of drugs of veterinary and human medical importance including 77 3rd-, 4th- and 5th-generation cephalosporins, glycopeptides (vancomycin), quinolones 78 (enrofloxacin, marbofloxacin), macrolides (erythromycin, azithromycin, clarithromycin), 79 newer, extended spectrum penicillins and carbapenems, should be avoided unless there is 80 confirmed infection with a susceptible organism or for the treatment of life-threatening 81 82 conditions unlikely to respond to first line choices (Raidal 2019). Many clinicians feel that some antimicrobials such as vancomycin and carbapenems should not be used in animals 83 84 under any circumstances. Even drugs commonly used in horses and other veterinary species such as gentamicin, rifampicin, ampicillin and amoxicillin-clavulanic acid are now on the 85 World Health Organisation list of critically important antimicrobials which could lead to 86 restrictions of their use in veterinary medicine in the future 87 (https://www.who.int/foodsafety/publications/antimicrobials-sixth/en/). In most cases, a short 88 duration of antimicrobial therapy ranging from a single dose (for example pre-operatively) to 89 24-72h might be sufficient with long-term treatment being rarely required. Recent studies in 90 human medicine have shown impressive reductions in antimicrobial resistance with a 91 reduction of not only overall use but also with a decrease in days of antimicrobial therapy 92 highlighting the importance of shortening treatment (Dona, Barbieri et al. 2020). 93

94 Unfortunately, in everyday life clinicians' efforts are often impeded by the inability to 95 differentiate infectious from non-infectious conditions due to non-specific clinical signs, 96 difficulties of culturing relevant pathogens, financial limitations impeding repeated testing 97 and pressure from the owners, yard managers or trainers to use antimicrobial drugs. To 98 further compound the issue, prospective studies investigating responsible antimicrobial drug 99 use in horses are lacking and most guidelines are based either on information gained from human research or, more commonly, clinical impressions, which are subjective and unreliableat best.

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## 103 Identifying the need for antimicrobial treatment

Antimicrobial drugs are unlikely to have a significant beneficial effect against anything but 104 infectious diseases, usually bacterial or rarely fungal or protozoal in origin. Although some 105 106 antimicrobials such as tetracyclines also have anti-inflammatory effects (Pradhan, Madke et al. 2016), their use for purely inflammatory conditions is controversial and use of classic anti-107 108 inflammatories such as non-steroidal anti-inflammatory drugs is far more appropriate. Unfortunately, it is extremely difficult to reliably rule out an infectious process. Clinical 109 examination findings are non-specific and rarely help with the differentiation. Heart and 110 respiratory rates are greatly influenced by pain, cardiovascular compromise and systemic 111 inflammation making them much more useful in judging disease severity rather than an 112 infectious or non-infectious nature. While the presence of a fever increases the clinical 113 suspicion of an infection, it is by no means conclusive as inflammation, hyperthermia, 114 neoplasia or significant tissue trauma can also lead to an increase in rectal temperature. 115 Equally, the absence of a fever does not rule out even severe infection. Haematology and 116 acute phase protein concentrations such as fibrinogen or serum amyloid A (SAA) can be 117 difficult to interpret as increases in concentrations can be triggered by infectious and non-118 119 infectious inflammatory conditions alike (Westerman, Tornquist et al. 2015, Long and Nolen-Walston 2020). Although a statistical difference in SAA concentrations between infectious 120 and non-infectious airway disease has been reported, there was significant overlap between 121 groups and in some horses with infection, SAA concentrations remained low or at 0mg/L 122 (Viner, Mazan et al. 2017). In adult horses, leucopaenia is commonly observed with 123 significant inflammation, often originating from the gastrointestinal system, and caused by 124

margination and extravasation of leucocytes at the site of inflammation. It does not 125 necessarily indicate the presence of infection or the need for antimicrobial treatment. 126 127 Leucocytosis in mature horses can be observed with inflammatory, infectious and neoplastic conditions or following administration of corticosteroids and is therefore also of limited use 128 when trying to differentiate infectious from non-infectious conditions (Targowski 1975, 129 White, Affolter et al. 2009, Meichner, Kraszeski et al. 2017). As immediate treatment is 130 131 usually required, the clinician needs to make an educated guess whether or not infection is likely and antimicrobial drugs are needed. Many equine viral and some bacterial diseases can 132 133 be diagnosed by polymerase chain reaction (PCR) with results often being available the following day. Cytological samples, submitted in addition to samples for culture and 134 sensitivity, can be of great value when trying to rule out an infectious aetiology as results are 135 much quicker available compared to culture and are not compounded by difficult culturing 136 processes. In cases where clinicians have already initiated antimicrobial treatment but PCR or 137 cytological results do not support an infection or identify a viral cause for the disease, 138 antimicrobial treatment should be discontinued immediately. The old concept that "a course 139 of antibiotics needs to be finished to avoid development of resistance" is incorrect and 140 obsolete. The perception that stopping antibiotic treatment early encourages antibiotic 141 resistance is not supported by evidence, while increasing length of antimicrobial use 142 undoubtedly increases the risk of resistance development (Llewelyn, Fitzpatrick et al. 2017). 143 144 In contrast, reducing the length of treatment has a significant effect on decreasing previously existing resistance (Dona, Barbieri et al. 2020). Submitting samples for culture and sensitivity 145 remains essential as results will provide guidance for further antimicrobial choices if the 146 initial treatment fails to resolve the infection. Over time, results also provide invaluable 147 insight into regional pathogens and their antimicrobial sensitivity patterns (Johns 2017, 148 Raidal 2019). Reports on commonly identified bacteria, the organ system they were cultured 149

150 from and their sensitivity patterns can often be obtained from regional laboratories providing151 insight into local resistance patterns.

152 Limiting the duration of antimicrobial treatment in proven infections can be challenging. Fear of negating a treatment success often leads clinicians to prolong use of 153 antimicrobial drugs in clinically apparently recovered patients. Unfortunately, studies to 154 identify the minimum effective treatment duration have rarely been performed, even in 155 156 people, leave alone in horses. Current guidelines are therefore often purely based on absence of data for efficacy of shorter courses rather than the explicit need for long therapies 157 158 (Llewelyn, Fitzpatrick et al. 2017). In the past, many clinicians have used return to normal haematologic parameters or normal concentrations of acute phase proteins, mainly 159 fibrinogen, as a marker to safely discontinue antimicrobial treatment. Plasma fibrinogen has a 160 relatively long half-life of 4.1-5.2 days in horses and awaiting normal concentrations likely 161 results in over-treatment (Coyne, Hornof et al. 1985). Return to normothermia, improved 162 appetite and return of normal demeanour might be better indicators that further treatment is 163 not necessary. It is also common practice to initially treat systemically ill horses with 164 injectable antimicrobial drugs for 48-72h, often in a hospital setting, followed by continued 165 oral treatment at home. In most cases, this continuation of antimicrobial treatment is not 166 necessary. An alternative approach is stopping antimicrobial treatment after 48-72h and 167 monitoring the patient for another 24h whilst still in the hospital (or close monitoring by the 168 169 owner at home). The additional cost for hospitalisation are at least partially offset by saving cost for drugs. Should signs of infection re-occur, such as recurrence of a fever, a decrease in 170 appetite or change in demeanour, treatment can easily be re-initiated. If not, the patient can be 171 discharged off all medications. 172

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174 Responsible use of antimicrobials in gastrointestinal diseases

Horses with a primary complaint of colic rarely require antimicrobial therapy. The 175 examination is focused on establishing the nature of the problem and deciding whether 176 177 medical or surgical options should be pursued. Horses with fever and vague colic signs with or without diarrhoea often suffer from intestinal inflammation such as enteritis and colitis or 178 from peritonitis. There is no evidence that antimicrobial therapy is beneficial in cases of 179 intestinal inflammation. Some clinicians even feel that their use is contraindicated, 180 181 considering the negative impact of antimicrobials on the microbiota, adding further insult to an already disturbed microbial environment (Harlow, Lawrence et al. 2013, Shaw and 182 183 Stampfli 2018). Exceptions include colitis caused by Neorickettsia risticii (Potomac horse fever) or rare cases of Lawsonia intracellularis in adult horses (Page, Slovis et al. 2014) 184 where treatment with oxytetracycline is indicated. Antimicrobial treatment has also been 185 considered for clostridia-associated diarrhoea. An association between metronidazole 186 treatment and survival was identified in horses diagnosed with clostridial diarrhoea but 187 metronidazole had no effect on survival of horses with non-clostridia associated diarrhoea 188 (Weese, Toxopeus et al. 2006). However, administration of metronidazole has also been 189 linked with identification of metronidazole-resistant Clostridium difficile strains. These 190 strains are suspected to be more virulent and carried an increased risk of mortality compared 191 to horses infected with metronidazole-susceptible strains (Magdesian, Dujowich et al. 2006, 192 Schoster and Staempfli 2016). Considering the controversial evidence and pressure of 193 194 building resistance refraining from use of metronidazole in horses with diarrhoea might be preferable. Bacteraemia has been reported in adult horses with colitis and is sometimes 195 considered as a reason for use of antimicrobials in these patients. However, prior treatment or 196 treatment during hospitalization with antimicrobial drugs did not protect horses from 197 development of bacteraemia arguing against their use (Johns, Tennent-Brown et al. 2008). 198

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Peritonitis, a less common but potentially life life-threatening disease, is also often associated 200 with fever and vague intestinal signs. Peritoneal fluid analysis can quickly rule peritonitis in 201 202 or out and is a procedure that can be easily performed in the field. The gross appearance of the sample can be misleading and an accurate cell count and protein concentration should 203 always be obtained to avoid misinterpretation. An increased cell count (>20-50x109/L) and 204 protein concentration (>25g/L) in a horse with compatible clinical signs is sufficient to make 205 206 a diagnosis of peritonitis, usually bacterial in origin. However, intestinal necrosis and infarction, for example secondary to migrating *Strongylus vulgaris* larvae can also result in 207 208 peritonitis (Pihl, Nielsen et al. 2018). Best treatment options and prognosis for peritonitis depend highly on the underlying cause and presenting signs. Horses with Actinobacillus 209 *equuli*-associated or so called idiopathic peritonitis (defined as peritonitis without identifiable 210 211 cause such as trauma, abdominal surgery, intestinal necrosis, infarction or rupture or neoplasia) with little or no systemic compromise have a much better prognosis and might 212 respond to monotherapy with first line antimicrobials (Henderson, Mair et al. 2008, Odelros, 213 Kendall et al. 2019). In contrast, surgical exploration should be strongly considered in any 214 horse with a history of previous trauma or abdominal surgery, a palpable or 215 ultrasonographically visible mass or suspicion of foreign body ingestion. In a recent study of 216 horses with wire ingestion all survivors underwent exploratory laparotomy highlighting the 217 fact early surgical intervention can be life-saving (Marley, Soffler et al. 2018). Surgical 218 219 exploration might also be indicated in horses non-responsive to medical treatment within 48h-72h to exclude the presence of significant intestinal compromise or other primary disease 220 process (Pihl, Nielsen et al. 2018). The benefits of surgery include identification and possible 221 correction of an underlying cause and recognition of cases with a poor prognosis, decreasing 222 the need for prolonged or ineffective use of antimicrobials. 223

Intra- or extracellular bacteria can be identified in 17-53% of peritoneal fluid samples 224 (Hawkins, Bowman et al. 1993, Matthews, Dart et al. 2001, Odelros, Kendall et al. 2019) and 225 226 pleomorphic gram-negative rods might be indicative of Actinobacillus equuli peritonitis (Matthews, Dart et al. 2001). In an older study, the presence of bacteria in peritoneal samples 227 was associated with non-survival (Hawkins, Bowman et al. 1993) but this is likely different 228 for idiopathic cases and cases of A. equuli peritonitis. For these horses, the prognosis is 229 230 usually good and microscopic presence of bacteria should not be interpreted as a worse prognostic indicator (Matthews, Dart et al. 2001, Odelros, Kendall et al. 2019). Bacterial 231 232 peritonitis secondary to release of bacteria from the intestine usually results in mixed infections with anaerobes and Enterobacteriaceae predominating, most commonly E. coli. 233 (van den Bogaard 1990, Davis 2003, Henderson, Mair et al. 2008). Gram positive bacteria 234 might also be present and this should be considered when choosing antimicrobial therapy 235 (Hawkins, Bowman et al. 1993). Penicillin remains a good first line choice for gram positive 236 infections and most anaerobes. Susceptibility patterns of gram negative bacteria are more 237 difficult to predict. Although increasing resistance can be problematic (Reuss and Giguere 238 2015) aminoglycosides remain a good initial choice. Early data indicated that penicillin-239 resistant Bacteroides spp. were isolated from 10-20% of equine peritonitis cases. A 240 combination of penicillin, gentamicin and metronidazole has therefore traditionally been 241 recommended while awaiting culture and sensitivity findings and use of this combination 242 resulted in a reported survival rate of 86% in horses with peritonitis (Davis 2003, Henderson, 243 Mair et al. 2008, Nogradi, Toth et al. 2011). However, in a more recent study, 91% of horses 244 with idiopathic peritonitis without signs of systemic inflammation responded to penicillin 245 alone. The remaining cases were predominately treated with penicillin and gentamicin and 246 only 1% (n=2) received a combination of penicillin, gentamicin and metronidazole with an 247 overall survival rate of 94% (Odelros, Kendall et al. 2019). Similarly, 61% of horses with 248

Actinobacillus equuli infection respond to penicillin alone; the reminder to penicillin and 249 gentamicin (Matthews, Dart et al. 2001). In light of these findings, it might be appropriate to 250 251 use penicillin as monotherapy in horses with suspected Actinobacillus equuli or idiopathic peritonitis that show little evidence of systemic inflammation or cardiovascular compromise. 252 Should the clinical condition fail to improve within 24h, addition of gentamicin might be 253 indicated. In horses with predisposing factors or systemic compromise, a combination of 254 255 penicillin, gentamicin and metronidazole and consideration of surgical exploration if the condition fails to improve would be appropriate. The use of abdominal lavage with or without 256 257 closed-suction abdominal drains has been described in horses with peritonitis (Nieto, Snyder et al. 2003, Nogradi, Toth et al. 2011). Further studies are necessary to determine whether 258 both techniques improve the outcome or decrease the length for antimicrobial therapy. 259

Clinical signs and repeated peritoneal fluid analysis are probably the most useful tools 260 when trying to establish whether therapy is successful and when treatment can be 261 discontinued. Repeated abdominocenteses have no effect on peritoneal cell counts 262 (Schumacher, Spano et al. 1985) and can be performed every 24-48h, or more frequently, as 263 required by the case. The cell count should be substantially reduced but does not need to be 264 normal before antimicrobial drugs can be discontinued as inflammation is likely to persist 265 longer than infection. The author uses an arbitrary cut of point of a nucleated cell count of 266 <10-20 x109/L before discontinuing antimicrobial drugs which appears to be clinically safe. 267 Enterocentesis can increase the cell count significantly, up to 113±88 x109/L, and will make 268 interpretation of samples impossible for the next 3-4 days (Schumacher, Spano et al. 1985). 269 Intraperitoneal antimicrobial treatment administered via an intraperitoneal catheter has 270 experimentally achieved higher peritoneal fluid concentrations than intravenous 271 administration; however, the clinical usefulness and negative side effects still need to be fully 272 evaluated before this can be recommended (Alonso, Peccinini et al. 2018). 273

#### 275 *Responsible use of antimicrobials in respiratory diseases*

276 The history and general examination can help differentiate non-infectious from infectious respiratory disease. A horse with a chronic cough that is bright, alert and still performing, 277 although not quite as well as usual, is unlikely to have an infectious pneumonia. Adventitious 278 lung sounds are mainly caused by bronchoconstriction and mucus and exudate accumulation 279 280 and can be present in infectious and non-infectious diseases alike. In contrast, a horse with 281 fever and adventitious lung sounds that has recently travelled or suffered from choke should 282 be suspected of having an infectious pneumonia or pleuropneumonia. Even if a horse with such a history is normothermic and has normal thoracic auscultatory findings significant 283 intrathoracic disease cannot be ruled out and further diagnostics are indicated. Thoracic 284 ultrasonography is a quick and easy way to rapidly identify infectious pneumonia and 285 pleuropneumonia. If no areas of consolidation, free pleural fluid or large amounts of comet 286 tails are visualised, a significant intrathoracic infection is highly unlikely. Cytological 287 examination of a tracheal lavage or pleural fluid sample are very helpful in differentiating 288 infectious from non-infectious respiratory conditions and add invaluable information. While 289 often marked neutrophilic inflammation is common in infectious and non-infectious 290 conditions, number and location of bacteria (intra- or extracellular), morphology and gram 291 stain are helpful in identifying infections and essential for correct interpretation of culture 292 293 results. Cases of bacterial pneumonia usually show an abundance of intra- and extracellular bacteria and profuse bacterial growth. A positive bacterial culture from a sample with 294 neutrophilic inflammation but no or very few visible bacteria is in the vast majority of cases 295 indicative of non-infectious airway inflammation such as equine asthma, particularly if 296 growth is scant. These cases will likely respond to environmental management and/or anti-297 inflammatory treatment alone without the need for any antimicrobial treatment. 298

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In respiratory tract infections, Streptococcus equi subsp. zooepidemicus is one of the 299 most commonly isolated gram positive pathogens (Arroyo, Slovis et al. 2017, Carvallo, Uzal 300 301 et al. 2017). Streptococcus spp. are almost always sensitive to penicillin which is therefore one of the cornerstones in treatment of respiratory infections in horses (Reuss and Giguere 302 2015). Other penicillin-susceptible organisms include most gram-positive and gram-negative 303 anaerobic bacteria with the noticeable exception again being Bacteroides fragilis. Penicillin-304 305 resistant Bacteroides spp. were isolated from approximately 8% of pleuropneumonia cases (Hirsh and Jang 1987, Tomlinson, Reef et al. 2015). A foul smell, as it is often noted when 306 307 draining pleural effusions, has been associated with anaerobic bacterial involvement even if no anaerobic organisms are isolated (Popp 1977, Ashford, Plant et al. 1984, Brook 2008, 308 O'Brien 2012). Inadequate or delayed sample handling significantly reduces chances of 309 culturing anaerobic organisms as exposure to oxygen for any length of time can damage or 310 kill anaerobic bacteria (Brook 2008, Strobel 2009). Many clinicians therefore include 311 metronidazole in their treatment regime if chances of an anaerobic infection are high. Gram 312 negative bacteria involved in equine pleuropneumonia are variable but E. coli, Klebsiella, 313 Pseudomonas and Actinobacillus have been isolated (Arroyo, Slovis et al. 2017). Either 314 penicillin and gentamicin (pneumonia and pleuropneumonia without overt evidence of 315 anaerobic infection) or a combination of penicillin, gentamicin and metronidazole (strong 316 suspicion of anaerobic involvement) is typically recommended while awaiting culture and 317 sensitivity findings (Davis 2003, Henderson, Mair et al. 2008, Nogradi, Toth et al. 2011). In a 318 recent study, 92% of respiratory samples from ambulatory practice submitted to a laboratory 319 in the South of England were sensitive to the combination of penicillin and gentamicin and 320 87% to trimethoprim-sulfamethoxazole. Sensitivities of respiratory samples submitted from a 321 referral hospital to the same laboratory were slightly lower but still very acceptable with 83% 322

being susceptible to penicillin and gentamicin and 75% to trimethoprim-sulfamethoxazole(Potier and Durham 2019).

325 Although available information is limited and highly dependent on the geographical region, it is questionable whether 3rd or 4th generation cephalosporins would offer a 326 significant treatment advantage over the combination of penicillin and gentamicin (Toombs-327 Ruane, Riley et al. 2015, Awosile, Heider et al. 2018, Potier and Durham 2019). Considering 328 329 their reserved status, 3rd or 4th generation cephalosporins should only be used if indicated by culture and sensitivity when first line choices are not available. Enrofloxacin should not be 330 331 used as stand-alone or first line therapy as it has no activity against *Streptococcus* spp. and anaerobes and, as a fluorquinolon, is a reserved antimicrobial drug. If first line choices do not 332 improve the condition within 48-72h enrofloxacin could be used as a substitute for 333 gentamicin if indicated by culture and sensitivity results in life-threatening disease due to its 334 greater activity against Enterobacteriaceae, better penetration into phagocytic cells and 335 tissues, and better activity in purulent material (Reuss and Giguere 2015). In the recent UK 336 based study, no predictable efficacious second choice antimicrobial was identified for 337 respiratory isolates resistant to the first-line antimicrobials highlighting the importance of 338 obtaining a culture and sensitivity results early in the disease process (Potier and Durham 339 2019). 340

Pneumonia cases without significant tissue damage often only require a short course (2-4 days) of antimicrobials. Resolution of clinical signs or, ideally, repeat cytological evaluation of a repeated tracheal lavage can be used for guidance. Determining a safe point for discontinuation of antimicrobial treatment in pleuropneumonia cases is much more difficult as tissue damage is often extensive and abscess formation is common. Treatment for a minimum of 10 days or until clinical signs and diagnostic imaging findings indicate resolution has been recommended (Reuss and Giguere 2015, Raidal 2019). Although this is not supported by any scientific evidence, it offers a reference point, keeping in mind that
discontinuation of treatment after 2-4 days should be considered in cases with minimal tissue
damage.

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In summary, the desire to protect individual patients often leads veterinarians to use antimicrobial drugs "just in case" and to extend antimicrobial treatment for longer than necessary. Using easily available diagnostic tools such as cytology and ultrasonography can help identifying animals with a high chance of bacterial disease. Restricting the use of antimicrobial drugs to these horses and decreasing treatment duration, even if only by a couple of days, will reduce resistance development and benefit patients and the profession.

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