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# **Biology, diagnosis and treatment of *Malassezia* dermatitis in dogs and cats**

## **Clinical Consensus Guidelines of the World Association for Veterinary Dermatology**

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**Running title** WAVD Consensus Guidelines *Malassezia* dermatitis

## **Abstract**

**Background** – The genus *Malassezia* is comprised of a group of lipophilic yeasts that have evolved as skin commensals and opportunistic cutaneous pathogens of a variety of mammals and birds.

**Objectives** – The objective of this document is to provide the veterinary community and other interested parties with current information on the ecology, pathophysiology, diagnosis, treatment and prevention of skin diseases associated with *Malassezia* yeasts in dogs and cats.

**Methods** – The authors served as a Guideline Panel (GP) and reviewed the literature available prior to October 2018. The GP prepared a detailed literature review and made recommendations on selected topics. The World Association of Veterinary Dermatology (WAVD) Clinical Consensus Guideline committee provided guidance and oversight for this process. The document was presented at two international meetings of veterinary dermatology societies and one international mycology workshop; it was made available for comment on the WAVD website for a period of six months. Comments were shared with the GP electronically and responses incorporated into the final document.

**Conclusions and clinical importance** – There has been a remarkable expansion of knowledge on *Malassezia* yeasts and their role in animal disease, particularly since the early 1990's. *Malassezia* dermatitis in dogs and cats has evolved from a disease of obscurity and controversy on its existence, to now being a routine diagnosis in general veterinary practice. Clinical signs are well-recognised and diagnostic approaches are well-developed. A range of topical and systemic therapies is known to be effective, especially when predisposing factors are identified and corrected.

## **Synopsis of the Clinical Consensus Guidelines.**

### **1. Introduction**

This section summarises the main conclusions from each of the section of the Consensus Guidelines. Literature reviews underpinning each of these summaries and recommendations are presented in the full text version.

### **2. The Genus *Malassezia***

*Malassezia* yeasts are unique in several ways, including their strict dependence on lipids, their cellular ultrastructure, and their dominance as eukaryotic residents on the skin of warm-blooded vertebrates. The taxonomy of the genus *Malassezia* is evolving. Eighteen species have been described to date but many other species are most probably present on the skin or mucosal sites of warm-blooded animals. Few phenotypic tests are available to differentiate *Malassezia* species and some of them may overlap. Consequently, DNA sequencing (or other techniques such as mass spectrometry) may be required for specific identification.

### **3. Historical aspects**

The history of the association between *Malassezia* yeasts and their animal hosts has been long-mired in controversy. Having been previously overlooked, canine *Malassezia* dermatitis has evolved from a controversial to a now routine diagnosis in small animal practice, with very significant welfare benefits for many animals.

### **4. Ecology and epidemiology**

*Malassezia pachydermatis* is a normal inhabitant of healthy canine skin and mucosae; it also predominates on the skin of the domestic cat, although other species are occasionally identified, particularly *M. nana* in the ear canal and *M. slooffiae* in the claw fold. Population sizes vary markedly between anatomical sites and between different breeds. These commensal *Malassezia* populations provide a reservoir of yeasts that might proliferate and or induce an inflammatory response under the influence of various host predisposing factors.

### **5. Pathogenesis: virulence attributes amongst *Malassezia* yeasts**

There have been significant advances in understanding of the mechanisms of interaction between *Malassezia* yeasts and their hosts. The outcome of *Malassezia* growth in the stratum corneum is dependent upon the metabolic activities of the yeasts (expression of cell wall and secreted virulence attributes) and the host's innate and adaptive immune defensive responses; interactions with other skin commensals (especially staphylococci) may also play a role. All these processes should ideally result in a delicately balanced homeostatic relationship. Further studies are required to define fully the parameters that dictate transitions between commensalism and parasitism that may yield opportunities for novel preventative and therapeutic strategies.

### **6. Pathogenesis: immunological responses to *Malassezia* yeasts and their clinical relevance in diagnosis/therapy**

A range of immunological hyper-responsiveness can be present in dogs with *Malassezia* dermatitis (none, immediate, delayed, contact). Tests for immediate hypersensitivity (serology, intradermal) are relatively accessible (although not standardised) whereas delayed reactivity following intradermal testing is assessed infrequently and patch testing is technically challenging in the clinical environment.

Serological and skin test reactivity is also seen in a proportion of unaffected dogs; thus immunological tests must be assessed in the context of clinical and cytological data; they should not be used as stand-alone 'diagnostic' tests.

It is intuitive that evidence of immediate, IgE-mediated or cellular hypersensitivity might indicate the need for rigorous antifungal therapy to minimize allergen challenge in the sensitized host, although this remains to be proven by controlled therapeutic studies.

Although evidence of immediate, IgE-mediated hypersensitivity provides a rationale for allergen-specific immunotherapy (AIT), to date, there is relatively limited evidence of beneficial effects of AIT against *M. pachydermatis* in dogs. More data is required before this can be systematically recommended.

Whilst some laboratories offer serological testing for IgG reactivity to *M. pachydermatis*, the clinical utility of this test is uncertain as there is no evidence of any diagnostic or therapeutic value.

### **7. Predisposing factors**

Dog breeds identified to be at increased risk of *Malassezia* dermatitis include West Highland white terriers, English setters, shih tzus, basset hounds, American cocker spaniels, boxers, dachshunds, poodles and

Australian silky terriers. Devon rex and sphynx cat breeds are also predisposed. The presence of skin folds is a common risk factor for localised disease. Dogs with *Malassezia* dermatitis often have concurrent hypersensitivity disorders, cornification defects or endocrinopathies. Cats without a breed predilection most often have an underlying hypersensitivity disorder, or visceral neoplasia or other serious internal disease.

## **8. Recommendations for skin sampling in dogs and cats**

Routine cytological sampling of skin sites in the veterinary clinic is best achieved by light microscopical examination (usually x 100 oil objective) of tape-strips or dry scrapes stained with modified Wright Giemsa stain (Diff-Quik [Harleco, NJ, USA] or generic equivalent). Cytology using swabs is normally best restricted to use in the ear canal. Factors such as important variations in anatomical site, breed, sampling method and host immune status commonly thwart the interpretation of the clinical significance of an observed population ("XX yeasts in YY fields"); trial therapy is routinely required to establish this.

A single report indicates that the sharp end of a tooth pick is the preferred method for sampling the dorsal claw fold in dogs.

Routine cultures provide primarily qualitative data on presence / absence of yeast, although 'heavy' growth on primary isolation plates likely indicates a high population. Modified Dixon's agar is the preferred medium for the isolation and quantification for *M. pachydermatis* from canine skin, in view of the relatively rapid growth of colonies that are readily distinguished from cutaneous bacteria and its' potential for supporting the growth of lipid-dependent isolates; incubation should be aerobic at 32-37°C for at least three days. Sabouraud's dextrose agar (preferably supplemented with 1% Tween 80) is an alternative for dog samples if modified Dixon's agar is unavailable, although occasional more-lipid dependent isolates will be overlooked with this medium; temperatures below 32°C should be avoided and use of 5-10% carbon dioxide should be considered.

For cats, samples should be grown on modified Dixon's agar at 32-34°C aerobically; cultures should be systematically extended to at least seven days in case of presence of slower growing *Malassezia* spp. Temperatures in excess of 34°C must be avoided because of the potential to inhibit the growth of thermo-sensitive species such as *M. globosa* known to inhabit feline skin.

Contact plates allow convenient, rapid and inexpensive quantitative culturing of *M. pachydermatis* from canine and feline skin; they are suitable for both diagnostic and research purposes. Optimally sized plates for cats and dogs (typically 18-27 mm diameter depending on site sampled) containing the preferred medium (modified Dixon's agar) are not available commercially but are readily custom-made in mycology laboratories.

Detergent scrub sampling is the 'gold standard' method for quantitative culture, although it is more suited to a research rather than diagnostic environment because it is suitable for only relatively flat skin on co-operative animals and rapid sample processing is required. This is the optimal technique for mycological assessment in therapeutic product development.

## **9. Diagnostic methods: molecular and mass spectrometry identification of yeasts in culture and skin**

Molecular techniques are pivotal in the accurate identification of many of the currently recognised *Malassezia* species with the usual exception of *M. pachydermatis*. In particular, sequencing of D1/D2 domain of the large subunit of the rRNA gene, ITS, IGS, CHS2 and  $\beta$ -tubulin genes allows for accurate identification of species and recognition of genotypes that may have relevance for host-adaptation and virulence. Microbiome studies utilising next-generation sequencing have the potential to re-define the microbial ecology of mammalian skin. Multiplex PCR and MALDI-TOF MS hold promise for rapid and specific identification of *Malassezia* from skin and culture specimens, respectively.

## **10. Histopathology**

A diagnosis of *Malassezia* dermatitis cannot be made by histopathology alone. Histopathological features in dogs often comprise hyperkeratosis or parakeratosis, irregular epidermal hyperplasia and spongiosis that extends to hair follicle infundibula, lymphocyte and granulocyte exocytosis, and a mixed, predominately lymphocytic, superficial perivascular or interstitial infiltrate with variable superficial dermal oedema. Yeast cells may or may not be observed in surface or infundibular stratum corneum (cytology or quantitative culture preferable for assessment of populations). In cats, histopathological features vary markedly according to the nature of the underlying disorder.

## **11. Antifungal susceptibility testing and resistance**

Testing for resistance is hampered by the unsuitability of the current CLSI and EUCAST reference methods, lack of an agreed modified protocol optimized for *M. pachydermatis* and absence of clinical breakpoints for either systemic or topical therapies. Despite these critical limitations, current data suggest that the vast majority of field isolates of *M. pachydermatis* are routinely susceptible to most relevant azoles (miconazole, clotrimazole, itraconazole, posaconazole and ketoconazole). However, the evidence that reduced susceptibility of *M. pachydermatis* to commonly used antifungal drugs may develop under both field and laboratory conditions highlights the need for surveillance and vigilance for the emergence of clinically relevant resistance. This is especially important in cases of canine atopic dermatitis, seborrhoeic dermatitis and chronic otitis externa where repeated treatments are commonly utilized. Improved and agreed reference methods designed to overcome the specific growth requirements of *Malassezia* spp. are therefore urgently required.

## 12. Clinical presentations in dogs

Erythema, usually with kerato-sebaceous scale ("greasy" material) and pruritus (minimal, mild, moderate or severe) dominates the clinical presentation, often favouring intertriginous zones. There may be concurrent hyperpigmentation, lichenification, malodour, traumatic alopecia and otitis externa. Some cases present with paronychia with claw fold erythema and swelling, waxy or crusty brown exudate, red-brown claw staining, or frenzied facial pruritus with varying, sometimes subtle, erythema of chin / perioral skin.

## 13. Clinical presentations in cats

Erythema, usually with kerato-sebaceous scale ("greasy" material) and pruritus (minimal, mild, moderate or severe) dominates the clinical presentation. There may be concurrent otitis externa and an observed breed predilection (Devon rex, sphynx). *Malassezia* dermatitis might feature in cats that present with a phenotype of allergic skin disease, idiopathic facial dermatitis (Persian / Himalayan), feline acne and serious internal medical disorders such as feline paraneoplastic alopecia and thymoma-associated exfoliative dermatitis.

## 14. Diagnostic approach

1. In dogs or cats with clinical presentations detailed in sections 12 or 13 above, establish whether *Malassezia* yeasts can be identified cytologically (or by quantitative culture using contact plates or detergent scrub; not routine swab culture) in lesional areas, or not. Counts may be high but not necessarily so.

YES Initiate trial therapy with appropriate topical and or systemic antifungal product.

NO Sample more sites; use an alternative sampling method; reconsider diagnosis.

2. Evaluate the clinical and mycological response to appropriate topical (3-4 weeks) and or systemic (2-4 weeks) antifungal therapy

- COMPLETE CLINICAL & MYCOLOGICAL RESPONSES - diagnose *Malassezia* dermatitis; consider possible underlying causes.
- PARTIAL CLINICAL RESPONSE, COMPLETE MYCOLOGICAL RESPONSE - diagnose *Malassezia* dermatitis; investigate/treat ongoing underlying skin disease.
- NO CLINICAL RESPONSE, COMPLETE MYCOLOGICAL RESPONSE - consider yeast presence incidental to other inflammatory disease.
- PARTIAL CLINICAL & MYCOLOGICAL RESPONSES - suspect *Malassezia* dermatitis; review compliance; extend or intensify antifungal therapy.
- NEITHER CLINICAL NOR MYCOLOGICAL RESPONSES - review compliance; consider abnormal drug absorption or metabolism, or drug resistance; change antifungal treatment and re-assess.

## 15. Treatment of *Malassezia* dermatitis in dogs and cats.

Amongst the various treatments utilised for *Malassezia* dermatitis in dogs, strong evidence is available only for the use of a 2% miconazole and 2% chlorhexidine shampoo, used twice weekly. This may be considered to be the topical treatment of first choice, where available and locally approved, and when owners are able to apply the product effectively. Moderate evidence is available for a 3% chlorhexidine shampoo.

For canine *Malassezia* dermatitis, there is moderate evidence for the oral use of ketoconazole at 5-10 mg/kg once or twice daily; and oral itraconazole at 5 mg/kg once daily or two consecutive days per week. Based on current limited evidence, the use of either of these two azoles is justified in canine cases and the final choice may depend on geographical differences in availability, regulatory status and cost. Rationale for itraconazole instead of ketoconazole includes the potential for intermittent dosing and a perceived tendency for itraconazole to be better-tolerated. However, definitive statistical evidence of superior safety and/or efficacy is lacking and cost-benefit analysis makes ketoconazole a more practical choice in some countries. Compounded formulations must be avoided due to unreliable bioavailability. Evidence for oral fluconazole is limited to a single study where it was used at 5-10 mg/kg once daily conjunction with cefalexin. Thus fluconazole requires further assessment, especially since *in vitro* MIC values are routinely the highest

amongst antifungal azoles utilised in veterinary medicine (section 10); this may correlate with intermittent anecdotal reports of treatment failures. Oral terbinafine warrants further evaluation due to partial beneficial effects in two trials and questionable stratum corneum concentrations in a pharmacokinetic study when given at the current dose of 30 mg/kg once daily.

In cats, there is weak evidence only for the use of oral itraconazole at doses of 5-10 mg/kg daily; or 5 mg/kg on a seven days on / seven days off protocol. In view of this limited data, good safety profile and, in line with guidelines for feline dermatophytosis, itraconazole should be considered as the systemic azole of first choice in this species for *Malassezia* dermatitis. Topical chlorhexidine and azole products have not been evaluated although their use is intuitive as adjunctive or sole treatments where application is practicable and clinically appropriate, such as in localised infections.

Treatment of chronic relapsing cases of *Malassezia* dermatitis in dogs and cats can be frustrating and may be limited by financial considerations. Identification and treatment of underlying causes is essential.

#### **16. Prevention of *Malassezia*-associated skin diseases in dogs and cats**

When predisposing factors cannot be controlled, regular topical treatment or pulse oral therapy are reportedly useful in minimising relapses. Topical treatments are preferred to systemic treatments for long-term therapy because of a lower risk of toxicity. Topical prevention of *Malassezia* dermatitis in dogs might be achieved using 2% chlorhexidine / 2% miconazole or 3% chlorhexidine shampoo twice weekly, as has been previously recommended for treatment. Lesser frequencies may be useful in preventing relapse in some cases but there is currently no evidence to support this. Twice weekly application of three drops of topical hydrocortisone aceponate shows promise in the prevention of *Malassezia* otitis externa associated with allergic skin diseases. Pulsed therapy with itraconazole (5 mg/kg once daily, two days on / five days off for three weeks) has been shown to be efficacious in the treatment of *Malassezia* dermatitis (but not otitis externa) in dogs and thus should be effective as a preventative. There are only anecdotal reports of preventative efficacy of itraconazole for dermatitis at a once-weekly interval.

#### **17. Zoonotic potential**

Given the high prevalence of *M. pachydermatis* hand carriage by dog owners (as assessed by PCR) and the relative rarity of serious human infections by this organism, the overall risk for zoonosis is quite low, particularly among people who are immunocompetent. The need for good hand hygiene by individuals in contact with pet dogs and cats should be emphasised.

## Table of contents

1 Introduction.....	8
2 The genus <i>Malassezia</i> . General properties, phylogeny, genomic studies and species of relevance to veterinary medicine.....	8
3. Historical aspects of <i>Malassezia</i> yeasts as skin pathogens in dogs and cats.....	10
4 Ecology and Epidemiology.....	13
5. Pathogenesis: virulence attributes amongst <i>Malassezia</i> yeasts.....	15
6. Pathogenesis: immunological responses to <i>Malassezia</i> yeasts and their clinical relevance in diagnosis/therapy....	17
7. Predisposing factors for development of <i>Malassezia</i> dermatitis.....	21
8 Quantification of <i>Malassezia</i> populations on canine and feline skin by cytology and culture.....	23
9. Diagnostic methods: molecular and mass spectrometry identification of yeasts in culture and skin.....	27
10 Histopathological features of canine and feline <i>Malassezia</i> dermatitis.....	28
11 Antifungal susceptibility testing and resistance.....	30
12 Clinical presentations in dogs.....	34
13. Clinical presentations in cats.....	35
14. Summary of the diagnostic approach.....	37
15. Therapy.....	38
16. Prevention of <i>Malassezia</i> -associated skin diseases in dogs and cats.....	42
17 <i>Malassezia</i> yeasts as zoonotic agents.....	44
References.....	47
Table 1. Summary of the taxonomy and ecology of the genus <i>Malassezia</i> .....	66
Table 2 a) The Strength of Recommendation Taxonomy (SORT).....	66
(b) descriptors for Levels of Evidence (LoE) for individual studies.....	66
Table 3. Clinical trials of oral ketoconazole in the treatment of canine <i>Malassezia</i> dermatitis in dogs.....	67
Table 4. Clinical trials of oral itraconazole in the treatment of canine <i>Malassezia</i> dermatitis.....	67
Table 5. Clinical trials of oral fluconazole and terbinafine in the treatment of canine <i>Malassezia</i> dermatitis.....	68
Table 6. Clinical trials of 2% miconazole / 2% chlorhexidine and 3% chlorhexidine shampoos in the treatment of canine <i>Malassezia</i> dermatitis.....	68



## 1 Introduction

Scientists have been grappling with the complexity of the genus *Malassezia* and their associated diseases for decades. Their relevance to companion animal veterinary practitioners first became apparent in the context of canine otitis externa in the 1950's, whereas their role in canine dermatitis was not established until more recently. *Malassezia* dermatitis is now recognised as a common skin disorder in canine practice, although it is encountered more occasionally in feline practice.

The commissioning of this review by the World Association for Veterinary Dermatology (WAVD) is timely, given the marked advances in our understanding of these lipophilic yeasts as a consequence of significant scientific endeavour, not least the sequencing of the genomes of the majority of the recognised species. Molecular biological techniques have transformed the taxonomy of the genus from two species in 1989 to 18 species at the time of publication, in the process explaining, at a stroke, many of the painstaking observations of phenotypic variation made by generations of mycologists.

This is the second WAVD consensus document on superficial veterinary mycoses, following on from one for dermatophytosis.<sup>1</sup> Whilst the headline title of our review is "diagnosis and treatment", the complexity of *Malassezia* dermatitis in dogs and cats requires a wider-ranging review. Unlike the dermatophytes, which visit and potentially infect the non-immune host, *Malassezia* yeasts are commensal organisms, forming a reservoir of potential pathogens in the stratum corneum or mucosae, that may induce disease whenever the homeostatic balance of yeast virulence, on the one hand, and host immunity, on the other, is disrupted in favour of the yeast.

Our review includes a full description of the genus, since six of the currently recognised species have been described on dogs and cats, and six more have been described in other species encountered by veterinary practitioners. The wide range of methods used to define and quantify *Malassezia* yeasts on skin is assessed; rarely does one investigator use the same method as the next, but the different methods often yield diverse and conflicting data; highlighting the strengths and weaknesses of each should promote careful method selection for future studies. A basic description of molecular methods is incorporated to aid the non-specialist reader in understanding how these techniques are currently applied to yeast identification and epidemiology, and how in future they may change our diagnostic approach to the case. A discussion of current concepts of yeast virulence and host immunity is a necessary prelude to a review of immunological methods in diagnosis and therapy, and in the context of the crucial consideration of the host pre-disposing factors, whose recognition and correction is key to the prevention of chronically-relapsing disease.

As the title indicates, one of our principal objectives is to describe the range of clinical presentations in dogs and cats, along with a suggested approach to elucidating the role, if any, of *Malassezia* yeasts in each case. Our therapeutic recommendations are drawn from both a systematic review of published therapeutic studies (section 15.2). Given the general paucity of high quality trials in this field, consensus views from the panel of authors have also been incorporated. Thus, our concluding summary of therapeutic options falls between the definitions of "Practice Guideline (Evidence-Based)" and "Practice Guideline (Consensus)".<sup>2</sup> Finally, in the spirit of a 'one health' approach, the zoonotic potential of these yeasts is reviewed.

## 2 The genus *Malassezia*. General properties, phylogeny, genomic studies and species of relevance to veterinary medicine

*Malassezia* yeasts form a well-defined and unique cluster of lipophilic fungi living almost exclusively on the skin and mucosal sites of warm-blooded vertebrates. The genus *Malassezia* (Baillon) is usually considered as a monophyletic taxon in the phylum Basidiomycota and subphylum Ustilaginomycotina, a highly diversified group of more than 1,500 species of plant pathogens.<sup>3</sup> Molecular analysis has confirmed that the genus *Malassezia* is deeply rooted in the Ustilaginomycotina with a sister relationship to Ustilaginomycetes and Exobasidiomycetes.<sup>4</sup> As a consequence, it was proposed that the genus should be assigned as its own class, Malasseziomycetes.<sup>4</sup> During the last decade, the analysis of the genome of *Malassezia* yeasts has allowed a better understanding of how these fungi, whose ancestors were most probably plant or soil residents, manage to survive and develop in the cutaneous ecosystem and how they interact with other members of the cutaneous microbiota. In 2007 the first genome sequence of a *Malassezia* species (*M. globosa*) was published.<sup>5</sup> A few years later, a similar analysis was performed for *M. sympodialis*<sup>6</sup> and for *M. pachydermatis*.<sup>7</sup> In 2015, a study reported the sequences, assemblage and annotations for the genomes of 14 *Malassezia* species, including multiple strains of the most relevant species in medical dermatology (*M. globosa*, *M. sympodialis*, *M. restricta* and *M. furfur*).<sup>8</sup>

The first remarkable feature of *Malassezia* genomes is their small size (~ 10 Mb), about half of the size of

other known basidiomycetous fungi, with some species having less than 4,000 predicted genes.<sup>5,8,9</sup> This may reflect adaptation to the limited ecological niche of the yeasts. Gene family analyses indicate that *Malassezia* yeasts display unique characteristics comprising (i) a low carbohydrate-degrading capacity due to reduction of glycosyl hydrolase-encoding genes; (ii) a lipid dependence for growth due to lack of a fatty acid synthase gene and (iii) a concomitant expansion of lipid hydrolysing enzymes (such as secreted lipases, phospholipases and acid sphingomyelinases) that allow *Malassezia* yeasts to collect and use fatty acids from the skin or mucosal surfaces of their hosts. Analysis of the genomes also revealed the presence of unique genes with unknown function and which were probably acquired through horizontal gene transfer.<sup>8</sup>

The sexual form of *Malassezia* yeasts is still unknown. However, a region corresponding to the mating type locus (*MAT*) has been identified for these yeasts;<sup>6</sup> it has been suggested that if there is an extant sexual cycle for some of them that it is more likely to be bipolar or pseudo-bipolar, with two mating types, rather than tetrapolar as in many other basidiomycetous fungi.<sup>8,10</sup>

On lipid-enriched media such as modified Dixon's agar, *Malassezia* colonies are cream to yellowish, smooth or lightly wrinkled, glistening or dull, with the margin being either entire or lobate. *Malassezia* yeasts appear as small ovoid, ellipsoidal or cylindrical cells (1.5 to 6.0 µm by 3.5 to 8.0 µm). Reproduction is by budding on a broad base and from the same site at one pole (monopolar blastic development). Some *Malassezia* species are able to form filaments in cutaneous lesions but also in culture under specific conditions.<sup>11-13</sup> *Malassezia* yeasts have a thick cell wall (~ 0.12 µm) whose innermost layer shows a characteristic serrated structure.<sup>12,14-16</sup>

The genus *Malassezia* (Baillon) was created in 1889 for a single species, *M. furfur*, observed in lesions of pityriasis versicolor, a common dermatological condition in humans.<sup>17</sup> It took a long time to understand the lipid dependence of this kind of fungi and, as a consequence, to obtain and maintain *Malassezia* yeasts in culture.<sup>18</sup> Conventional laboratory techniques could not be used and despite the description of numerous species, their accurate identification was not possible. In 1989, the genus *Malassezia* (Baillon 1889), also known under the generic name *Pityrosporum* proposed by Sabouraud in 1904, comprised only two taxa: *M. furfur* (syn. *P. ovale*, *P. orbiculare*), a lipid dependent species found on human skin and *M. pachydermatis* (syn. *P. pachydermatis*, *P. canis*), a species isolated from the skin of animals, especially dogs.

In 1990, Simmons and Guého described the new species *M. sympodialis* which was characterized by a sympodial budding process.<sup>19</sup> In 1996, Guého *et al.* had the opportunity to collect and examine many isolates from humans and animals.<sup>20</sup> Conventional and modern spectrum techniques were employed to characterise these isolates, encompassing morphology, ultrastructure, physiology and molecular biology. The result was a complete revision of the genus *Malassezia* and the description of four new species (*M. obtusa*, *M. globosa*, *M. slooffiae* and *M. restricta*).<sup>20</sup> Eleven more species were described subsequently by different groups and from varied hosts: *M. dermatis*,<sup>21</sup> *M. japonica*<sup>22</sup> and *M. yamatoensis*<sup>23</sup> from humans in Japan; *M. nana*<sup>24</sup> from cases of otitis externa in cats and cattle; *M. caprae*<sup>25</sup> from goats; *M. equina*<sup>25</sup> from horses; *M. cuniculi*<sup>26</sup> from rabbits; *M. arunalokei*<sup>27</sup> from humans in India; *M. brasiliensis* and *M. psittaci*<sup>28</sup> from domesticated parrots in Brazil; and *M. vespertilionis* from bats in the USA<sup>29</sup>. Of these, to date, *M. pachydermatis*, *M. furfur*, *M. sympodialis*, *M. globosa*, *M. slooffiae*, *M. nana*, *M. caprae*, *M. equina*, *M. cuniculi*, *M. brasiliensis*, *M. psittaci* and *M. vespertilionis* have been isolated from animals and are therefore relevant in veterinary dermatology (Table 1).

*Malassezia* species are lipid dependent due to an inability to synthesize long-chained (C14 or C16) fatty acids *de novo*.<sup>30</sup> There are some differences in lipid dependence among the species and this variability has been used for the development of specific tests for the identification.<sup>31</sup> Historically *M. pachydermatis* was regarded as being 'lipophilic but not lipid-dependent' because it was the only member of the genus to grow on Sabouraud's dextrose agar. Recently, genome sequencing has confirmed that *M. pachydermatis* lacks a fatty acid synthase gene like the other members of the genus, but is uniquely able to utilise lipid fractions within the peptone component of Sabouraud's dextrose agar for growth. These observations explain its failure to grow on lipid-free defined media and thus *M. pachydermatis* should now also be regarded as being 'lipid-dependent'.

The phenotypic identification scheme for the routine identification of *Malassezia* currently includes microscopic features, ability to use lipid supplements (different Tweens and cremophor EL [polyethoxylated castor oil]), catalase and beta-glucosidase reactions, and temperature tolerance at 32°C, 37°C and 40°C (Table S1). Despite the undisputable value of this phenotypic identification scheme, ambiguous results are sometimes reported. Furthermore, the addition of recently identified species resulted in similar physiological patterns and thus in a doubtful identification (*M. arunalokei* and *M. brasiliensis* are closely related to *M. restricta* and *M. furfur*, respectively) (Table S1). For all these reasons, specific identification should be confirmed by DNA sequencing analysis. Various molecular loci had been proposed to identify *Malassezia*

species (section 9). The most frequently used loci are the D1/D2 domain of the 26S *rRNA* gene and the internal transcribed spacer (ITS) regions.<sup>32,33</sup> Other genes such as *chitin synthase-2*<sup>34</sup> and  $\beta$ -*tubulin*<sup>35</sup> have been proposed for taxonomic purposes. Recently, mass spectrometry has also been utilised for the identification of *Malassezia* yeasts isolated from human patients in three French university hospitals.<sup>36</sup> A MALDI-TOF database of main mass spectra has recently been developed to allow the rapid identification of 14 *Malassezia* species.<sup>37</sup>

## 2.1 Conclusions

*Malassezia* yeasts are unique in several ways, including their strict dependence on lipids, their cellular ultrastructure and their dominance as eukaryotic residents on the skin of warm-blooded vertebrates. The taxonomy of the genus *Malassezia* is evolving. Eighteen species have been described so far but many other species are most probably present on the skin or mucosal sites of warm-blooded animals. Few phenotypic tests are available to differentiate *Malassezia* species and some of them may overlap. As a consequence, DNA sequencing (or other techniques like mass spectrometry) may be required for specific identification.

## 3. Historical aspects of *Malassezia* yeasts as skin pathogens in dogs and cats

### 3.1 Introduction: prehistory facilitating discovery

Analysis of the history of scientific discovery highlights the influence of language and geography, the role of experts and opinion leaders in study centres or in the modern day 'centres of excellence', and wider cultural effects that may impede or enhance investigation and implementation of technological advances in the pursuit of scientific progress. The fascinating history of the genus *Malassezia* and its role in disease spans four centuries and three continents and extends from early microscopical observations through to present day genome sequencing. After a [final] flurry of controversy in the late 20<sup>th</sup> century, this body of evidence culminated in development of the disease model that veterinarians use today.

### 3.2 The first reports of the yeast and disease

#### 3.2.1. Early reports

**1846** Karl Ferdinand Eichstedt was the first to observe hyphal elements and blastoconidia in scale from his patients and attributed these to cause a human skin disease he called "Pityriasis versicolor".<sup>38</sup>

**1853** Charles Robin named Eichstedt's fungus as *Microsporon furfur*, believing it to be a dermatophyte, and termed the associated skin disease "Tinea versicolor".<sup>39</sup>

**1873** Sebastiano Rivolta, an Italian veterinarian, noticed a double-contour budding yeast in human "psoriatic" scales and gave them the name *Cryptococcus psoriasis*.<sup>40</sup>

**1874** Frenchman Louis Charles Malassez<sup>41</sup> suggested that *Microsporon furfur* caused dandruff and correctly differentiated the yeast into genus of single cell fungi ("*Saccharomyces*") rather than the dermatophyte complex. For this correction, his name was ultimately attached to the genus.<sup>42</sup>

#### 3.2.2. Attempts at classification without laboratory isolation

**1884** Bizzozero studied these microbes and described them to be part of normal human skin flora. He claimed there were two different species and named them *Saccharomyces ovalis* and *S. sphaericus*.<sup>43</sup> However, these were later shown to be a single species.<sup>44</sup>

**1889** Baillon created the genus *Malassezia* to accommodate *M. furfur*, in honour of Malassez, who was already acknowledged as having described the new species – at least in the French-speaking scientific community.<sup>17</sup> However this particular yeast could not be grown and isolated in laboratory conditions because its lipid requirement in culture media were as yet unknown.

**1910** Raymond Sabouraud, a prominent medical mycologist, proposed the name *Pityrosporum malassezi* for this bottle shaped yeast thought to cause human dandruff.<sup>45</sup>

**1913** Alfred Kraus was able to culture *P. malassezi* in a medium containing lanolin.<sup>46</sup> In a prime example of the effect of geography and historical events on scientific discovery, this German scientist's work was largely overlooked with the onset of the First World War.

#### 3.2.3. Diseases associated with *Malassezia*

**1925** Weidman reported bottle shaped yeast from the scale of the single horned Indian rhinoceros (*Rhinoceros unicornis*) with a generalised exfoliative dermatitis.<sup>47</sup> In contrast to *M. furfur* this yeast cultivated readily on routine media without lipid supplementation. Weidman classified the yeast in the genus *Pityrosporum*, with the species name *P. pachydermatis*. The rhinoceros responded rapidly to topical therapy with 1% salicylic acid in lard.

**1928-9** McLeod and Dowling part-fulfilled Koch's postulates by isolation of *M. furfur* from humans with seborrhoeic dermatitis in an oleic acid broth.<sup>48,49</sup> They then inoculated lesion-free skin of a person with seborrhoeic dermatitis and a normal human with the broth isolate and reproduced seborrhoeic lesions in both, from which they re-isolated *M. furfur*. An incidental finding was an association between the dandruff, yeast and a concurrent coccoid bacterium that was carefully separated and subcultured. MacLeod and Dowling regarded these pyogenic micrococci as secondary invaders, even accepting it was constantly associated with seborrhoeic dermatitis.<sup>50</sup> Interestingly, MacLeod and Dowling referred to three names of the yeast in their work: the 'spore of Malassez', 'Pityrosporum of Sabouraud' or the 'flask bacillus of Unna'. Until now, no consensus had been reached as to whether these names covered a single organism, whether it was pathogenic and what disease was caused. This work was later confirmed by Moore and colleagues.<sup>51</sup>

These publications, discussions and controversies were a prerequisite to unravelling the role of *Malassezia* spp. in skin diseases of the dog and cat.

#### 3.2.4. The controversy and 'Dark Years': 1940 to 1960

**1940-1960** are known as the 'Dark Years' and spanned a period of more controversy. The hard won progress in the field was forgotten as a consequence of the Second World War and the overwhelming effect of cortisone treatment becoming available in the late 1940s, to which human seborrhoeic dermatitis responded. The role of *Malassezia* yeasts in the disease was thus forgotten.

**1970** The systematics were rectified when Sloff in Lodder's 'The Yeasts, a taxonomic study' assigned all *Pityrosporum* that grew on media without lipid enrichment as single species of *P. pachydermatis*.<sup>52, 53</sup>

**1984** The third edition of 'The Yeasts, a Taxonomic Study' (Yarrow and Ahearn) referred to the new genus *Malassezia* and confirmed that one species grew without lipid enrichment.<sup>54</sup> This was later officially added into the taxonomical order.<sup>55</sup>

**1990** A new species, *Malassezia sympodialis* was described<sup>19</sup> and by 1996 four new species were added to the genus.<sup>20</sup> This species was later isolated from a cat by Bond *et al.* in 1996.<sup>56</sup>

#### 3.2.5 Modern approaches

Currently there are 18 different species of *Malassezia*.<sup>35,57</sup> The genus continues to expand and this is likely to continue as the classical 'bottom-up' microbiological approaches merge with organism-level genomics and community or 'systems-level meta-genomics'.

### 3.3 *Malassezia* yeasts in animals

#### 3.3.1. Canine ear and skin disease: the first reports (1951 to 1999)

The discovery of *Malassezia* in animals occurred at a much later date than in humans. As mentioned, Weidman<sup>47</sup> isolated yeasts from the skin of a rhinoceros in 1925, but research in domestic animals largely re-started 30 years later.

#### 3.3.2. Malassezia yeasts in the canine and feline ear

**1955** Gustafson was the first to notice a bottle shaped yeast in otitis externa of a dog; he correctly recognized them as *Pityrosporum* and created a new species *P. canis*.<sup>58</sup> This was in error as he had misread Lodder's description and failed to consider Weidman's discovery of the yeast in rhinoceros skin, which grew without lipid enrichment. Gustafson was able to induce a spontaneously-resolving erythematous-ceruminous otitis externa in healthy dogs by the application of a suspension of '*P. canis*' to the external ear canal.<sup>58</sup>

**1961** Fraser also isolated and studied strains of yeast from healthy and diseased dog ears and correctly ascribed all as *P. pachydermatis*. During the next two decades, a number of studies showed the connection between canine otitis externa and *P. pachydermatis*.<sup>59,60</sup>

**1976** Baxter also showed that, unlike previously thought, the frequency of isolation of *P. pachydermatis* was comparable in healthy ears and in cases of otitis externa in dogs' and cats' ears.<sup>61</sup>

### 3.3.3. Canine skin disease

**1975** Dufait was the first to describe the skin disease, albeit in a local veterinary journal written in Dutch.<sup>62</sup> Also later, several non-English language studies were published,<sup>63-65</sup> all describing canine *Malassezia* dermatitis; however, without a widespread English audience, many pruritic dogs suffered as a result of this disadvantage.

**1987** Mason<sup>66</sup> spoke about three canine *Malassezia* dermatitis cases at the American Academy/College of Veterinary Dermatology meeting, creating objection and controversy, although another speaker from private speciality practice advised that he has seen a similar case. Similar discussions ensued at a lecture in 1992 at the British Veterinary Dermatology Study Group spring meeting.<sup>67</sup> One highlight of the debate was mention of Shuster's publication that showed that dandruff was clearly associated with '*Pityrosporum ovale*', elegantly dismissing the distorted view that effective dandruff treatments were cytostatic rather than antimycotic in action.<sup>68</sup>

**1988** Larsson *et al.*<sup>69</sup> drew attention to *Malassezia* skin disease in the English language by describing the skin disease associated with *P. pachydermatis*. Mason and Evans confirmed and expanded on those earlier observations,<sup>70</sup> although acceptance by the veterinary profession was not universal.

**1992** Pedersen published in Danish a case series of 10 dogs with *Malassezia* dermatitis.<sup>71</sup> He described the cytology, mycology, histopathology and the results of antifungal treatment. The before and after clinical photographs left no doubt about the dramatic health and welfare benefits of the antifungal therapy in these cases.

**1996** Mason *et al.*<sup>72</sup> followed up on knowledge of *Malassezia* associated dermatitis, staphylococcal pyoderma and demodicosis and integrated these into a unifying concept of skin commensals as opportunistic and complementary pathogens. By this time, the initial controversy that had dogged the profession regarding the significance of *Malassezia* yeasts as a cause for dermatitis in the canine had largely settled.

**Present day** Currently, *Malassezia pachydermatis* is now well-recognized as a commensal yeast of dog's skin and mucosa; overgrowth is commonly associated with otitis externa and dermatitis. Research continues in further characterising the relative pathogenicity of the different species and genotypes, and elucidating the factors that drive the conversion to pathogen (Figures 1a-c).

### 3.3.4 Malassezia yeasts in cats: rare or common?

The role of *Malassezia* spp. in feline skin disease beyond the ear canal had not aroused much interest until the research into canine and human *Malassezia* spp. carriage and associated dermatitis prompted some further work in the mid to late 1990's.

**1976** Baxter was the first to report on *M. pachydermatis* in cat ears and as previously mentioned, found that *Malassezia* were also present in the ears of healthy animals.<sup>61</sup> In 1990 Hajsig and Hajsig confirmed that *M. pachydermatis* is a normal part of cat skin microflora.<sup>73</sup>

At the time *Malassezia* dermatitis was considered extremely rare or unknown in the cat. A causal relationship between the overgrowth of *Malassezia* in the cat and the development of seborrhoeic dermatitis was proposed in 1994.<sup>74</sup> The two cats discussed had generalized exfoliative and greasy erythroderma which responded to antifungal therapy.

**1996** The isolation of *M. globosa* from skin of a healthy cheetah (*Acinonix jubatus*) represented the first report of lipid-dependent *Malassezia* spp from Felidae.<sup>20,75</sup> Bond *et al.*<sup>56</sup> isolated the first lipid dependent species (*Malassezia sympodialis*) from domestic cat skin. Subsequent studies by the same group and other groups in Spain and Japan led to reports on the isolation of *M. globosa*,<sup>76</sup> *M. furfur*,<sup>77,78</sup> *M. nana*<sup>24,79</sup> and, more recently, *M. slooffiae*<sup>80-82</sup> from domestic cats.

**2002** Mauldin *et al.* evaluated the presence of *Malassezia* yeasts in feline skin specimens submitted for histopathological examination from 1999 to 2000.<sup>83</sup> Of the 15 cats with *Malassezia*, 11 presented with an acute onset of multifocal to generalized skin lesions and were euthanised or died within two months. In contrast to humans and dog, *Malassezia* overgrowth in the cat, in the absence of hypersensitivity disorder

or a breed predilection (Devon rex, sphynx) may indicate a poorer prognosis due to an association with life-threatening systemic diseases.

**2005** Cafarchia *et al.*<sup>84</sup> reported that both the frequency of isolation and population sizes of *M. pachydermatis* was increased in cats with otitis externa, when compared with healthy cats.<sup>84</sup>

**2007** Cats with seborrhoeic and allergic presentations were shown to have concurrent *M. pachydermatis* overgrowth that responded clinically to azole antifungal therapy, in parallel to the situation in dogs.<sup>80,85,86</sup>

### 3.4 Conclusions

The history of the association between *Malassezia* yeasts and its animal hosts has been long mired in controversy. Recent genomic studies have elucidated previously problematical aspects of taxonomy and indicated that genotypes and species of *Malassezia* are evolving as an adaptation to particular host ecological niches. Having been previously overlooked, canine *Malassezia* dermatitis has evolved from a controversial to a routine now diagnosis in small animal practice, with very significant welfare benefits for many animals.

## 4 Ecology and Epidemiology

### 4.1 Introduction

*Malassezia* yeasts are common skin commensals in warm-blooded vertebrates. The loss of fatty acid synthetase genes (section 2), resulting in a requirement for a lipid source for growth, is likely one factor linking them to animal hosts. In one review,<sup>87</sup> it was proposed that *Malassezia* yeasts are potential pathogens that operate in a pliable, physiological 'transitional mantel zone' that is influenced by both host skin and the animal's external environment. Thus, yeast proliferation may be enhanced by either favourable environmental conditions (heat, humidity) and or changes in host susceptibility (section 7).

Many culture-based studies have been carried out worldwide both in humans and in animals (especially in dogs) to better understand the ecology of *Malassezia* species on healthy skin and in cases with cutaneous lesions.<sup>33</sup> Results are rarely comparable between studies because of the use of different sampling procedures, culture media and identification techniques. The influence of sampling methods and culture media on yeast counts are reviewed in section 8. The general conclusion is that *M. pachydermatis* remains by far the most important and prevalent species in dogs while the other lipid-dependent species are detected quite frequently in certain animal species (like cats) or body sites. Some of these lipid-dependent species seem to be host-specific (Table 1). In humans, three species (*M. globosa*, *M. restricta* and *M. sympodialis*) predominate.<sup>87</sup> Interestingly human-related species may have a different geographical distribution; *M. dermatitis* has been isolated in East Asia, *M. arunalokei* in India, whereas *M. obtusa* was mostly reported from Sweden, Canada, Bosnia and Herzegovina.<sup>27,33</sup>

*Malassezia* yeasts have been isolated from almost all domestic animals, different wild animals in captivity and also from wildlife. An exhaustive list of potential animal hosts was reported in 2010.<sup>88</sup> In addition, the presence of *Malassezia*-like organisms has been reported in a wide range of environmental habitats, from deep sea sediments, hydrothermal vents and arctic soils, to marine sponges, stony corals, eels, lobster larvae and nematodes.<sup>89-91</sup>

In recent years methods based on next generation sequencing (NGS) have allowed a better characterization of the complex microbial communities occurring on the skin and made it possible to detect *Malassezia* species that would otherwise be missed using culture-based methods (section 4.4).

### 4.2 Ecology of *Malassezia* yeasts in dogs

Colonisation of canine skin probably occurs in the very first days of life; how this occurs is not understood but likely involves transfer from the bitch's flora following removal of the amniotic membrane, licking and nursing in the same manner as staphylococci.<sup>92</sup> In a study performed in 22 newborn Rottweiler puppies, *Malassezia* yeasts were recovered from around 40% of samples collected from the lips, nail beds and ears, at three, seven and 35 days of age.<sup>93</sup>

Several investigators have explored *Malassezia* colonization in various anatomical regions of different breeds of adult healthy dogs; example studies that utilised culture methods are summarised in Table S2. There is some variability in the data, reflecting in part varied sampling methods; however, it can be seen that the sites most frequently colonised by *M. pachydermatis* in healthy pet dogs of various breeds are the peri-oral / lip region (81% of 58 dogs sampled using contact plates) and interdigital skin (60-70% of 40 dogs sampled using contact plates) whereas the yeast is less-often detected in the skin of the axilla (12.5% of 40), groin

(23% of 91) and dorsum (4% of 73). The perianal skin and anal mucosa is a frequent (~55% of 73 dogs) carriage site whereas nasal and oral carriage is less frequent. Using cytological techniques, it was reported that the highest number of *Malassezia* were on the chin region, while inguinal and axillary zones presented the lowest number.<sup>94</sup> *Malassezia* yeasts were identified by cytological methods in 10-31% of examined anal sacs in two studies of groups of healthy dogs (n=57),<sup>95</sup> usually in low numbers.<sup>95,96</sup> *Malassezia* yeasts were detected on the periocular skin of only three out of 56 (5%) clinically normal dogs.<sup>97</sup> Basset hounds show a marked breed variation, with significantly increased frequencies and population sizes of *M. pachydermatis* in the nose, mouth, vulva and axilla.<sup>98</sup>

Studies of oral carriage of *Malassezia* may have relevance as a source of transfer to the skin.<sup>99-101</sup> Twice-weekly application of a miconazole-chlorhexidine shampoo to seborrhoeic Basset hounds resulted in a significant reduction of *M. pachydermatis* populations in both the skin and mouth.<sup>99</sup> Another study pointed out the possible transfer of *Malassezia* yeasts between the perioral area and pruritic skin lesions of the inguinal area as a consequence of frequent licking, and between undamaged interdigital regions as a result of persistent scratching.<sup>102</sup>

Other than *M. pachydermatis*, lipid-dependent *Malassezia* yeasts are infrequently reported from dog skin. In initial reports, the identification of lipid-dependent yeasts was based only on morphological and physiological characteristics.<sup>84,103,104</sup> In the absence of molecular techniques, there is scope to confuse lipid-dependent *Malassezia* spp. with atypical strains of *M. pachydermatis* that show inconsistent or stable lipid-dependence.<sup>105,106</sup> However, the presence of *M. furfur* was confirmed by molecular biology in dogs with cutaneous lesions<sup>107</sup> or otitis<sup>108</sup> in Brazil. In a survey in Slovakia, mycological cultures performed from dogs with cutaneous lesions (n=118) and dogs with otitis externa (n=328) yielded *M. pachydermatis* as the most frequently isolated species (121 isolates); however, four lipid-dependent isolates were identified as *M. furfur* and one as *M. nana*.<sup>109</sup>

#### 4.3 Ecology of *Malassezia* yeasts in cats

The skin of Felidae is colonized by a diverse array of *Malassezia* spp yeasts. Whilst *M. pachydermatis* is most frequent, as in dogs, the lipid-dependent species isolated from domestic cats include *M. sympodialis*,<sup>56,76</sup> *M. globosa*,<sup>76</sup> *M. furfur*,<sup>77,78</sup> *M. nana*,<sup>24,79</sup> and *M. slooffiae*.<sup>80-82</sup> The original reports of isolation of *M. furfur* are based on phenotypic rather than molecular methods. The isolation of *M. globosa* from the skin of a healthy cheetah (*Acinonix jubatus*) represented the first report of lipid-dependent *Malassezia* spp from cats.<sup>20,75</sup> In 2004, a study described *M. nana*, a novel species from aural discharges of a cat and cattle.<sup>24</sup> Some lipid-dependent strains similar to the *M. sympodialis* type strain and isolated from cats were studied using DNA sequence analysis and grouped together with *M. nana*.<sup>110</sup> *Malassezia nana* seems to be the most common lipid-dependent species isolated from cats, particularly in the ear canal; similarities in the sequences of three loci of the rRNA gene,<sup>111</sup>  $\beta$ -tubulin gene and microsatellite profiles<sup>112</sup> indicate that a particular *M. nana* genotype predominates in this host. *Malassezia slooffiae* is primarily but not exclusively associated with the feline claw fold.<sup>80</sup>

*Malassezia pachydermatis* is less frequently isolated from cats than from dogs.<sup>73,75</sup> Marked variations in *Malassezia* populations have been reported in the external ear canal of healthy cats. One study identified *Malassezia* yeasts in 43 out of 52 healthy cats sampled in the winter months in north eastern USA.<sup>113</sup> By contrast, another study failed to identify yeasts in 20 health cats sampled in France; notably in the latter study, the presence of cerumen was an exclusion criterion whereas cerumen was noted in many of the US cats, especially amongst those with a purely indoor lifestyle.<sup>114</sup> *Malassezia* yeasts were detected in 20% (six of 30) ear canals of 15 cats with disease and in 43% (13 of 30) ear canals of 15 allergic cats.<sup>114</sup> Devon rex cats and sphynx cats, but not Cornish rex cats, are prone to high carriage rates of *Malassezia* yeasts and a generalised seborrhoeic dermatitis that responds to oral itraconazole.<sup>80,86,115,116</sup> Predisposing factors such as hypersensitivities and internal diseases that disrupt cornification are reviewed in section 7.

#### 4.4 *Malassezia* yeasts as components of the cutaneous microbiome

The original cutaneous microbiome studies mainly focused on prokaryotic inhabitants; thereafter fungi received more attention in humans and also in dogs and cats.<sup>117,118</sup> The first large-scale sequencing analysis which evaluated fungal diversity ("mycobiome") on human skin clearly demonstrated that *Malassezia* yeasts are the most abundant fungal organisms on many human skin sites, as previously shown for the scalp.<sup>119-121</sup> In contrast to extensive bacterial diversity found at all human skin sites tested,<sup>122</sup> the fungal diversity seems more site-dependent.<sup>121</sup> Eleven *Malassezia* species were identified with *M. restricta* being predominant in the external auditory canal, retroauricular crease and glabella; while *M. globosa* was on the back, occiput and inguinal crease. The remaining species were detected across other body sites and with lower frequency.<sup>121</sup> Reanalysis of these metagenomic datasets using a more complete set of *Malassezia* genomes demonstrated the presence of 12 species, with *M. restricta* and *M. globosa* by far the most abundant, distantly followed by *M. sympodialis*.<sup>8</sup> The metagenomic analysis of skin samples from 40



asymptomatic individuals in Hong Kong revealed that 90% of the sequencing reads matched to *M. restricta*.<sup>123</sup> Another study investigating 40 asymptomatic individuals in Japan indicated that *Malassezia* population differed by sex, body part and season.<sup>124</sup> Another study reported a significant decrease in community diversity as an indication of skin disease in humans.<sup>125</sup>

Only a very few studies examined the skin microbiota in dogs and cats.<sup>117,118,126-128</sup> One study suggested that the main force driving the variability in microbiota composition was the individual, rather than the breed, hair coat or the skin site.<sup>126</sup> Another study used NGS to define a much more diverse cutaneous mycobiota than that previously described with culture-based techniques in studies of healthy and allergic dogs.<sup>118</sup> The cutaneous mycobiota appeared to be influenced by various factors including environmental exposure, cohabitation with other pets and skin health status. Surprisingly, *Malassezia* yeasts were not the most abundant fungal organisms on healthy canine skin. Sequences corresponding to filamentous contaminants from the environment (*Alternaria*, *Cladosporium* and *Epicoccum* spp.) were predominant. Furthermore, the same study was unable to detect any significant differences in the relative abundance of *Malassezia* yeasts between healthy and allergic dogs.<sup>118</sup> In a similar metagenomic analysis performed in healthy and allergic cats, the most abundant fungal sequences were identified as filamentous contaminants from the environment (*Cladosporium* and *Alternaria* spp.) and not *Malassezia* yeasts, which were identified in 30% (35 of 108) and 21% (eight of 39) of healthy and allergic cat samples, but rarely accounted for more than 1% of the relative fungal abundance.<sup>117</sup>

#### 4.5 Conclusions

*Malassezia pachydermatis* is a normal inhabitant of healthy canine skin and mucosae. *Malassezia pachydermatis* also predominates in the skin of the domestic cat, although other species are occasionally identified, particularly *M. nana* in the ear canal and *M. slooffiae* in the claw fold. Population sizes vary markedly between anatomical sites, and between different breeds. These commensal *Malassezia* populations provide a reservoir of yeasts that might proliferate and or induce an inflammatory response under the influence of various host predisposing factors.

## 5. Pathogenesis: virulence attributes amongst *Malassezia* yeasts

The interactions between *Malassezia* yeasts and the skin of their hosts, and the factors which influence transition from commensal to pathogen, are the subject of intensive scientific endeavour, especially pertaining to the common pathogens of humans (*M. globosa*, *M. sympodialis*, *M. restricta* and *M. furfur*).<sup>33,129</sup> Comparative genomic studies following the sequencing of 14 *Malassezia* species have significantly advanced opportunities for understanding of the adaptation of the genus to its limited ecological niches (mainly skin), elucidation of virulence attributes necessary for colonisation and infection, and identification of novel interventional targets for therapy.<sup>8</sup> In particular, the novel description of *Agrobacterium tumefaciens*-mediated transformation systems that allows for the insertion of transfer DNA and targeted gene deletion in *M. furfur*, *M. sympodialis* and *M. pachydermatis*, and thus analysis of individual gene function, is certain to revolutionise our understanding of the biology of this genus.<sup>130-132</sup>

The presence of a nutritionally absorptive fungus within the stratum corneum exposes the host to an array of chemicals, immunogens and allergens, comprising fungal cell wall-associated carbohydrates, proteins and lipids; secreted enzymes that generate both substrates for nutrition and an array of irritant metabolic by-products. The cell wall of *Malassezia* spp. is unusual in thickness (90-150 nm<sup>14,133</sup>), morphology (inner spiralling / corrugation<sup>14,133,134</sup>) and composition (predominance of (1→6)-β-D-glucan, trace of mannan<sup>135</sup> with unusual polysaccharide assembly<sup>136</sup>, chitin prominent in bud-scar<sup>137</sup>, lipid-rich wall and capsule<sup>6</sup>) (Figure 2). Interaction with other commensal microbes might also influence pathogenicity and expression of virulence factors.<sup>138-140</sup> Thus, these commensal yeasts are likely highly regulated by continuous interactions with the host immune system (section 6)<sup>141</sup> and these interactions ultimately determine whether the outcome is inflammation (i.e. fungal disease) or not.

Adherence, the specific attachment of the microbe to host cells, is a key step in colonisation and infection of animals by commensal and pathogenic fungi.<sup>142</sup> Adherence of *M. pachydermatis* to canine corneocytes has been reviewed in detail,<sup>143</sup> and likely involves a small family of proteins that are covalently bound to cell wall carbohydrate and anchored to the plasma membrane.<sup>6</sup> *Malassezia* cells adhering to keratinocytes have the potential to modulate the expression of an array of cytokines, chemokines and antimicrobial peptides, the outcome of which may be immune-stimulatory (as may occur in disease states, characterised by the development of cutaneous inflammation) or immune-suppressive (promoting commensal carriage)<sup>144</sup> see review.<sup>143</sup> A change in host immunity, altered skin microclimate or disruption in epidermal physiology associated with concurrent diseases (section 7) may predispose animals to clinical disease. Co-proliferation of staphylococci in the same lesions<sup>145</sup> may exacerbate clinical signs and necessitates concurrent antibacterial therapy in some cases.<sup>146</sup> The term 'dysbiosis' has been applied to similar microbial imbalances



within the cutaneous microbiome, albeit primarily in the context human and canine atopic dermatitis (cAD).<sup>118,147-149</sup>

The *Malassezia* genus' evolution to lipid-dependency is associated with a wide expansion of lipase and phospholipase genes, and loss of carbohydrate metabolism genes, although numbers of secreted proteins overall appear lower than those of related plant pathogens (section 2).<sup>150</sup> Lipases, highly expressed in the skin of human patients with dandruff and seborrhoeic dermatitis, likely damage the epidermal barrier directly and by hydrolysis of triglycerides.<sup>151-153</sup> Phospholipase activity in *M. pachydermatis* is stimulated by the endogenous opioid peptide  $\beta$  endorphin present in the skin of dogs with dermatoses;<sup>154</sup> activity was significantly higher amongst *M. pachydermatis* isolates derived from the dogs with otitis externa<sup>155</sup> or skin lesions<sup>156,157</sup> when compared with those obtained from the dogs with healthy external ears, or non-lesional skin, respectively. Similar observations have been made in pathogenic strains of *M. restricta* and *M. globosa* collected from lesions of seborrhoeic dermatitis in humans.<sup>158</sup> Genotypic variants of *M. pachydermatis* with high phospholipase activity induced higher expression of pro-inflammatory genes from cultured human keratinocytes.<sup>159</sup> Laboratory data indicated that phospholipase production might act in synergism with biofilm formation (layers of adhering yeasts embedded in variable quantities of extracellular matrix) to induce or exacerbate skin lesions in dogs.<sup>160</sup> By contrast, a secreted aspartyl protease from *M. globosa* inhibited *S. aureus* biofilm proliferation and rapidly hydrolysed protein A, a major staphylococcal virulence factor.<sup>139</sup> A correlation between biofilm formation and other virulence factors (hydrophobicity, adherence) was observed amongst 60% of 16 clinical isolates of *M. furfur*.<sup>161</sup> The role of biofilm formation in potentially reducing susceptibility to antifungal drugs is discussed in section 10. Other enzymes from *Malassezia* yeasts such as acid sphingomyelinases and chitin deacetylases may also influence host-yeast interactions.<sup>162</sup>

*Malassezia* yeasts from human skin have the ability to synthesise *in vitro* a large panel of indolic compounds (mainly malassezin, indolo[3,2-b] carbazole, pityriacitrin, pityrialactone and indirubin) when tryptophan is used as the single nitrogen source.<sup>33</sup> These indoles act as potent ligands for the aryl hydrocarbon receptor (AhR), a nuclear receptor and transcriptional regulator with pleiotropic effects that include down-regulation of immune stimulation, modification of melanogenesis and epidermal cell function, and inhibition of antagonistic microbes.<sup>152,163</sup> One study suggested that *M. pachydermatis* is able to induce activation of the AhR in human keratinocytes and to increase the expression of responsive genes and markers of epidermal differentiation.<sup>164</sup> Since indole production was not detected in a study of 80 *M. pachydermatis* strains from canine otitis externa,<sup>165</sup> AhR activation by *M. pachydermatis* might be associated with the release of compounds other than indolic metabolites.

*Malassezia* cell wall carbohydrates have been long-recognised as IgE binding epitopes in humans with AD<sup>166,167</sup> while other studies have highlighted their importance in fungal cell recognition by host phagocytic cells.<sup>168</sup> C-type lectins are proteins that bind carbohydrates in a calcium-dependent (hence C) manner via highly-conserved carbohydrate-recognition domains.<sup>168</sup> Langerin, a C-type lectin expressed by Langerhans cells that recognises mannose and beta-glucans, has a strong affinity for *Malassezia* and *Candida* spp. and is regarded as a major pattern recognition receptor for both commensal and pathogenic fungi.<sup>169,170</sup> By contrast, Mincle, a C-type lectin expressed by activated phagocytes that binds glucosyl and mannosyl-glycolipids from *M. pachydermatis* and *M. sympodialis*,<sup>171</sup> selectively recognises *Malassezia* spp. but not other fungi.<sup>172</sup> In co-operation with Dectin-2, another C-type lectin that recognises a distinct hydrophilic O mannobiose-rich protein, Mincle and Dectin-2 collaborate to enhance production of inflammatory cytokines such as TNF- $\alpha$ , MIP-2 and IL-10 from mouse activated phagocytes exposed to *Malassezia furfur*.<sup>171</sup> C-type lectin-mediated innate immune mechanisms are discussed further in section 6.

## 5.1 Conclusions

There have been significant advances in the understanding of the mechanisms of interaction between *Malassezia* yeasts and their hosts. The outcome of *Malassezia* growth in the stratum corneum is dependent upon the metabolic activities of the yeasts (expression of cell wall and secreted virulence attributes) and the host's innate and adaptive immune defensive responses; interactions with other skin commensals (especially staphylococci) may also play a role. All these processes should ideally result in a delicately balanced homeostatic relationship. Further studies are required to define fully the parameters that dictate transitions between commensalism and parasitism that may yield opportunities for novel preventative and therapeutic strategies.

## 6. Pathogenesis: immunological responses to *Malassezia* yeasts and their clinical relevance in diagnosis/therapy

The presence of *Malassezia* organisms on the skin, both in normal and excessive numbers, is known to activate the skin immune system.<sup>173</sup> There is now compelling evidence that *Malassezia* antigens can stimulate innate, antibody and cell mediated immune responses, as well as triggering hypersensitivity reactions.<sup>174</sup> Although this immune response may offer a degree of protection in certain circumstances, it may also be harmful. In animals in which an overgrowth of organisms has occurred, or in individuals that are predisposed to allergic sensitization, the ensuing inflammatory response can lead to clinical signs such as dermatitis and pruritus. This section comprises a comparative review of human and dog studies; publications on immune reactivity to *Malassezia* species in cats appear to be lacking.

### 6.1 Activation of keratinocytes

The initial interplay between *Malassezia* organisms and the skin immune system is likely to take place in the epidermis.<sup>175</sup> It has been demonstrated that application of *M. pachydermatis* suspensions on healthy dog skin can induce skin lesions similar to those observed in naturally occurring *Malassezia* dermatitis.<sup>176</sup> This indicates that *Malassezia* cell surface markers or metabolic products derived from the yeast may be able to directly damage the skin or induce pathogenic effects by activating the skin immune system.<sup>173</sup> In order for this to happen, antigens or allergens produced or expressed by *Malassezia* spp. would need to penetrate the stratum corneum in order to be recognised by Langerhans cells or keratinocytes. These would then act as antigen presenting cells able to sensitise and then activate the T lymphocyte population. An impaired barrier function, such as is seen in canine and human atopic dermatitis,<sup>177</sup> is likely to facilitate transepidermal allergen penetration. Activation of keratinocytes by *Malassezia* is suggested by studies in humans which demonstrated that *M. furfur* could invade keratinocytes and resist phagolysosome fusion.<sup>178,179</sup> Furthermore, *M. sympodialis* produces extracellular vesicles, enriched with the allergens Mala s 1 and s 7, that bind actively to and are internalised by human keratinocytes, potentially promoting sensitisation and maintenance of inflammation.<sup>180</sup>

Keratinocytes recognise *Malassezia* antigens via Toll-like receptors,<sup>174</sup> although they, along with other cells, can also be activated via the aryl hydrocarbon receptor following stimulation with *Malassezia furfur*-derived indole alkaloids such as malassezin, indirubin and indolo carbazole.<sup>175</sup> These tryptophan-derived metabolites can trigger a variety of effects such as apoptosis of human melanocytes, but their precise role in cutaneous pathology remains to be determined. Once activated, keratinocytes can alter their cytokine expression, with up-regulation of the immunosuppressive cytokines IL-10 and TGF- $\beta$  and down-regulation of the inflammatory cytokine IL-1 $\alpha$ .<sup>181</sup> Contradictory results have been reported for IL-6 and TNF- $\alpha$  with some studies demonstrating up-regulation and others showing down-regulation.<sup>181</sup> Keratinocytes activated by *Malassezia* antigens also produce antimicrobial peptides but it is not known to what extent these are protective.<sup>182</sup>

### 6.2 Activation of antigen presenting cells

Activation of antigen presenting cells has also been demonstrated *in vitro* using human-derived immature monocyte-derived dendritic cells (MDDCs), which are analogous to Langerhans' cells in the skin. These cells can take up whole *M. furfur* yeast cells and extracts, as well as recombinant *M. furfur* allergen 5 (Mal f 5)<sup>183</sup> Mala f 1<sup>184</sup> and *M. furfur* mannan. The internalisation was shown to occur via binding to the mannose receptor and pinocytosis. Subsequently, the MDDCs underwent maturation, indicated by up-regulation of CD83 expression and increase in expression of the co-stimulatory molecules CD80 and CD86. These mature dendritic cells are excellent antigen presenting cells and are capable of presenting peptides on MHC molecules to T cells.<sup>185</sup> Dendritic cells have also been shown to be activated by interaction between *Malassezia* antigens and various members of the C type lectin class of receptors such as Mincle, Dectins 1 & 2, and Langerin.<sup>175</sup> This results in the production of pro-inflammatory cytokines such as IL-1, IL-6, IL-8 and TNF- $\alpha$ .<sup>175</sup> A recombinant Mala f 1 gene fragment upregulated the production of IL-6, TNF-Alpha and IL-10, but not IL-12, from human leukaemia-derived dendritic cells.<sup>184</sup> Interestingly, dendritic cells that have been stimulated by *Malassezia* antigens appear to be resistant to lysis by Natural Killer cells, a mechanism that likely favours survival of the cells in order to maintain antigen presentation.<sup>186</sup>

### 6.3 T-lymphocyte mediated immune responses

T cell-mediated immunity is important in the prevention and recovery from fungal infections and healthy human individuals demonstrate cell mediated immune responses throughout life.<sup>187</sup> A deficiency in cell-mediated responses could therefore predispose the host to overgrowth of *Malassezia* organisms. Cell-mediated immune responses to *Malassezia* organisms have been investigated both in humans and dogs using assays such as leucocyte migration inhibition, peripheral blood mononuclear cell (PBMC) proliferation, immunohistochemistry, cytokine production, skin prick tests and atopy patch tests. *Malassezia* antigens and

extracts have been shown to stimulate proliferation of PBMCs in a dose dependent manner in both humans<sup>188</sup> and dogs.<sup>189,190</sup> Furthermore, a reduction in PBMC responses was seen in seborrhoeic basset hound dogs compared to healthy basset hound dogs,<sup>189</sup> although the role of this impaired response in the pathogenesis of *Malassezia* overgrowth in this breed is currently unknown.

Despite the possible role of T lymphocytes in protective immunity, there is evidence that these cells are involved in sensitisation of humans and dogs that become allergic to the yeasts. Basset hound dogs with *Malassezia* dermatitis usually show delayed rather than immediate intradermal test reactivity to *Malassezia* antigens<sup>191</sup> although contact sensitization, as demonstrated by patch test reactivity and characterised histologically by infiltration with CD3+ lymphocytes and neutrophils, more closely correlates with disease status in this breed.<sup>192</sup> In both atopic humans and dogs, PBMC responses to *Malassezia* spp. are exaggerated. *Malassezia* antigens triggered significantly higher PBMC responses in atopic people compared to healthy individuals<sup>193-196</sup> and this effect has also been demonstrated in atopic dogs.<sup>190</sup> In *P. orbiculare* [syn. *M. globosa*] patch test-positive atopic dermatitis patients, an infiltration of CD4<sup>+</sup> T cells is seen at the patch test site,<sup>197</sup> and the expression of intercellular adhesion molecule (ICAM)-1 and human leucocyte antigen (HLA)-DR in the dermis of these patients was also up-regulated. Furthermore, in lesional atopic skin, the majority of the T cell clones that were reactive for *P. orbiculare* showed a Th2 or Th2/Th0 like cytokine profile<sup>195</sup> and atopic human patients that are sensitised to *Malassezia* yeasts typically show increased synthesis of the Th2-related cytokines IL-4, IL-5, IL-10 and IL-13 by *Pityrosporum* [*Malassezia*]-stimulated PBMCs.<sup>196,198,199</sup> Taken together, these findings provide compelling evidence that T lymphocytes play a pivotal role in the generation of hypersensitivity reactions to *Malassezia* species in genetically susceptible individuals.

#### **6.4 IgG, IgM and IgA responses to *Malassezia* yeasts**

As would be expected in a typical immune response against an infectious agent, antibodies directed against antigens from *Malassezia* yeasts are produced in healthy humans and dogs throughout life. IgM, IgG and IgA antibodies against *Malassezia* species are present in both young and elderly people, but the amount of IgG and IgM tends to tail off with age corresponding with declining numbers of commensal yeasts.<sup>200-202</sup> The *Malassezia*-specific IgA concentration was found to be relatively low in all age groups. In dogs, serum titres of *Malassezia*-specific IgG and IgA in seborrhoeic basset hound dogs with high cutaneous populations of *M. pachydermatis* and affected dogs of various breeds significantly exceeded those of healthy basset hound dogs and healthy beagle dogs.<sup>189</sup> Using Western immunoblotting to detect IgG responses in dogs to extracts of *M. pachydermatis*, four proteins of 219, 110, 71 and 42 kDa were shown to be recognised mainly by dogs with *Malassezia* dermatitis as compared to healthy dogs.<sup>203</sup>

In humans with atopic dermatitis, the *Malassezia*-specific IgG concentration in adults is no different to that found in healthy individuals.<sup>194,197</sup> However, in young atopic patients aged between 16 and 21 years, significantly elevated *Malassezia*-specific IgG concentrations are found.<sup>204,86</sup> This probably reflects increased exposure to the organisms through atopic skin and a tendency for IgG to follow IgE production. Despite this, no correlation has been found between *Malassezia*-specific serum IgG concentration and atopy patch test responses to the yeast in patients with atopic dermatitis.<sup>197,63</sup> It is therefore considered that determination of *Malassezia*-specific IgG concentrations has little value in the diagnosis of *Malassezia* sensitization in atopic human patients.<sup>178,197</sup> However, concentrations of IgG4, a subtype that is induced in Th2 responses, are correlated with IgE concentrations in atopic patients with sensitivity to *Malassezia sympodialis*.<sup>205</sup>

In atopic dogs with or without cytological evidence of *M. pachydermatis* overgrowth, there are significantly higher serum titres of *Malassezia*-specific IgG than those seen in healthy dogs.<sup>206</sup> However, there was no significant difference between atopic dogs with or without *Malassezia* overgrowth. By comparing the IgG response to *M. pachydermatis* antigens using western immunoblotting, a protein of 25 kDa was identified in the majority of atopic dogs with *Malassezia* dermatitis, but only a few atopic dogs without *Malassezia* overgrowth and none of the normal dogs, suggesting that this protein may have some clinical relevance in the pathogenesis of *Malassezia* hypersensitivity.<sup>207</sup>

In summary, it is clear that IgG responses to *Malassezia* yeasts are common in both healthy humans and dogs. This probably reflects exposure of the immune system to antigens produced by commensal organisms. However, enhanced IgG responses can be seen in dogs with *Malassezia* dermatitis and in humans and dogs with atopic dermatitis. The role of this IgG response in the pathogenesis of skin disease is currently unclear, both in humans and dogs. However, as overgrowth with *Malassezia* organisms does not appear to be a self-resolving condition, it seems likely that these antibodies are not protective. Alternatively, IgG antibodies could activate the complement system, as has been demonstrated with *Pityrosporum ovale* [*M. furfur*] and *P. orbiculare* [*M. globosa*],<sup>208,209</sup> and exacerbate the inflammatory response. A final possibility is that IgG responses to the yeast are merely an epiphenomenon and neither contribute to, nor inhibit, the ongoing

disease process. Further studies are therefore required to determine the precise role played by these antibodies in *Malassezia*-induced skin disease.

### 6.5 IgE responses to *Malassezia* yeasts

Increased concentrations of *Malassezia*-specific IgE are frequently present in atopic humans and dogs. Multiple studies have shown that IgE responses to *Malassezia* organisms are significantly higher in human patients with atopic dermatitis compared to those with other atopic diseases or healthy individuals.<sup>204,210-216</sup> In human patients with atopic dermatitis of the head and neck, the clinical severity of the condition is significantly correlated with the concentration of anti *M. furfur* IgE.<sup>217</sup> Furthermore, IgE production by PBMCs stimulated with *Malassezia* extracts *in vitro* was significantly higher in radioallergosorbent test (RAST)<sup>+</sup> atopic dermatitis patients compared with RAST<sup>-</sup> atopic dermatitis patients or healthy controls.<sup>198</sup> This *Malassezia*-specific IgE has been found to correlate with the degree of response to *Malassezia* extracts in atopy patch tests at 48 h post-test in atopic dermatitis patients.<sup>197</sup>

In humans, multiple proteins from *Malassezia* yeasts ranging in molecular size from 9-110 kDa have been characterised as major allergens in atopic dermatitis and a number have been sequenced and cloned, including Mal f 1-9 and Mal s 1-13.<sup>216,218-228</sup> One particular allergen, Mala s 13, a thioredoxin enzyme, can cross-react with the human form of the enzyme, leading to the production of auto-reactive T cells that can sustain ongoing skin inflammation.<sup>229</sup> A similar effect has been reported for Mala s 11, a manganese-dependent superoxide dismutase enzyme that is found in both yeast and human cells.<sup>174</sup>

In dogs, concentrations of IgE antibodies to *M. pachydermatis* are significantly higher in atopic dogs than in healthy dogs or non-atopic dogs with *Malassezia* overgrowth.<sup>206</sup> However, dogs with recurrent *Malassezia* otitis had similar concentrations of allergen-specific IgE to those with healthy ears, suggesting that hypersensitivity is not always involved in such infections.<sup>230</sup> Using Western immunoblotting to characterise individual antigen responses, proteins with molecular weights of 45, 52, 56 and 63 kDa from *M. pachydermatis* have been demonstrated to be major allergens in atopic dogs with *Malassezia* overgrowth.<sup>231</sup>

These studies provide convincing evidence that proteins from *Malassezia* yeasts can act as allergens in dogs predisposed to the development of atopic dermatitis.

### 6.6 Mast cell responses

Studies have indicated that mast cells may be involved in innate immune responses against *Malassezia* spp. The interaction between Curdlan, a  $\beta$ -glucan component of the cell wall of *M. sympodialis*, and C-type lectin pattern recognition receptors (such as Dectin-1) expressed on the surface of human cutaneous mast cells, induced degranulation and augmented IgE-mediated granule exocytosis.<sup>232</sup> In other circumstances, fungal ligands may induce Dectin-1 mediated mast cell activation and production of chemokines and cytokines without degranulation.<sup>232</sup>

In order to demonstrate full IgE-mediated hypersensitivity, it is necessary to document mast cell degranulation following allergen exposure. In humans, both intradermal tests (IDT) and skin prick tests (SPT) may show positive reactivity to *Malassezia* allergens in patients suffering from atopic diseases,<sup>213</sup> whereas these tests are usually negative in healthy controls. Stronger reactions are typically seen in patients with generalised atopic dermatitis,<sup>193,204,213</sup> or those with lesions predominantly on the head and neck.<sup>219,222,233</sup> The SPT results have also been found to correlate with levels of *Malassezia*-specific IgE in the serum<sup>204,234</sup> and with results of basophil histamine release tests<sup>233</sup> but not with the severity of atopic dermatitis.<sup>234</sup>

Positive IDT results to *Malassezia* extracts have also been reported in atopic dogs.<sup>191</sup> Immediate hypersensitivity responses to intradermal injections of *M. pachydermatis* extracts at concentrations which caused no reaction in healthy dogs have been observed in atopic dogs with *Malassezia* dermatitis, although they were also seen in some atopic dogs without *Malassezia* dermatitis.<sup>235</sup> Nevertheless, the reactivity to the extracts in atopic dogs with cytological evidence of *Malassezia* overgrowth was significantly higher than that in atopic dogs without.<sup>191</sup> Additionally, positive immediate hypersensitivity reactions to extracts from *M. pachydermatis* using Prausnitz-Küstner tests have been demonstrated.<sup>236</sup> Clinically normal dogs received pooled sera from atopic dogs with *Malassezia* dermatitis that were IDT positive to *Malassezia* extracts and serum from an atopic dog with *Malassezia* dermatitis exhibiting high levels of anti-*Malassezia* IgE on an ELISA assay. Positive IDT responses were observed in the recipients following subsequent injection of the yeast extract, indicating that anti-*Malassezia* IgE antibodies are functional in Type I hypersensitivity reactions.<sup>236</sup> As with intradermal testing to other allergens, there is reasonable agreement between the results of intradermal tests and IgE serology to yeast allergens, although it is possible to record a positive result in one test and a negative result in the other.<sup>237</sup> The frequency of immediate hypersensitivity responses to *M. pachydermatis* extracts in non-atopic dogs with *Malassezia* dermatitis is low. One study

investigating IDT reactivity to *M. pachydermatis* in eight healthy basset hound dogs, 17 basset hound dogs with *Malassezia* dermatitis and 19 healthy beagle dogs, reported that only two affected basset hounds and one healthy beagle dog showed immediate hypersensitivity reactions.<sup>238</sup> Taken together, these findings suggest that mast cell mediated hypersensitivity responses to *M. pachydermatis* allergens may be involved in the pathogenesis and contribute to the clinical signs in many cases of cAD. As a result of this *Malassezia* allergens are now typically included in standard intradermal allergen tests and IgE serology, although, to date, there is relatively limited evidence of beneficial effects of allergen-specific immunotherapy against *M. pachydermatis* in dogs.<sup>239</sup>

## 6.7 Conclusions

Based on the above studies, the likely sequence of events leading to immune responses to *Malassezia* yeasts can be summarized as follows:

1. *Malassezia* species proliferating within the stratum corneum of dogs (and humans) produce numerous antigens and allergens.
2. These antigens penetrate to the living epidermis and activate keratinocytes, resulting in the production of antimicrobial peptides and alterations in cytokine expression.
3. *Malassezia*-derived antigens that permeate into and through the living epidermis are captured by epidermal Langerhans' cells and/or dermal dendritic antigen-presenting cells.
4. These cells then migrate to regional lymph nodes and present the antigen to a T lymphocyte via a major histocompatibility complex (MHC) class II molecule.
5. In cooperation with different cytokines, T helper (Th) 0 precursor cells differentiate into Th1 cells and/or Th2 cells. A cytokine environment dominated by IL-12 would favour Th1 cell development, whereas IL-4 and IL-13 would stimulate the development of Th2 cells.
6. T helper cells would activate B lymphocytes and stimulate them to differentiate into antibody-forming plasma cells. By secreting IL-2 and IFN- $\gamma$ , Th1 cells would promote IgG production, whereas IL-4 and IL-13 from Th2 cells would promote immunoglobulin class switching to IgE.
7. The production of *Malassezia*-specific IgG antibodies could potentially provide a degree of protective immunity against *Malassezia* organisms. Alternatively, these antibodies might activate the complement system causing epidermal damage and inflammation.
8. The development of allergen-specific IgE antibodies could lead to sensitization of cutaneous mast cells. Subsequent exposure to *Malassezia* allergens could trigger the release of inflammatory mediators, resulting in a Type I hypersensitivity reaction.
9. Circulating populations of *Malassezia*-specific sensitised T-cells might mediate delayed and contact hypersensitivity in selected patients.

## 6.8 Implications for clinical diagnosis and therapy

1. A range of immunological hyper-responsiveness can be present in dogs with *Malassezia* dermatitis (none, immediate, delayed, contact). Tests for immediate hypersensitivity (serology, intradermal) are relatively accessible (although not standardised) whereas delayed reactivity following intradermal testing is assessed infrequently and patch testing is technically challenging in the clinical environment.
2. Serological and skin test reactivity is also seen in a proportion of unaffected dogs; thus immunological tests must be assessed in the context of clinical and cytological data; they should not be used as stand-alone 'diagnostic' tests.
3. It is intuitive that evidence of immediate, IgE-mediated or cellular hypersensitivity might indicate the need for rigorous antifungal therapy to minimize allergen challenge in the sensitized host, although this remains to be proven by controlled therapeutic studies.
4. Although evidence of immediate, IgE-mediated hypersensitivity provides a rationale for allergen-specific immunotherapy (AIT), to date, there is relatively limited evidence of beneficial effects of AIT against *M. pachydermatis* in dogs. More data is required before this can be systematically recommended.
5. Whilst some laboratories offer serological testing for IgG reactivity to *M. pachydermatis*, the clinical utility of this test is uncertain because there is no evidence of any diagnostic or therapeutic value.

## 7. Predisposing factors for development of *Malassezia* dermatitis

Overgrowth of commensal *Malassezia* yeasts may occur due to alterations of the skin's surface microclimate, leading to inflammatory skin disease.<sup>240</sup> Primary diseases that cause increased moisture, altered surface lipids, and/or disruption of stratum corneum barrier function, or aberrant immune responses may encourage this secondary process of overgrowth.

### 7.1 The role of gender, age and breed

Gender and age do not appear to be consistently correlated with the presence of *Malassezia* dermatitis, but breed predilections in dogs have been described in several studies where cases were compared to the institutions' general hospital<sup>67,241,242</sup> or diagnostic laboratory<sup>243</sup> populations. Breeds identified to be at increased risk by these studies include West Highland white terriers (WHWT), English setters, shih tzus, basset hounds, American cocker spaniels, boxers, dachshunds, poodles and Australian silky terriers. Breeds with conformations that favour skin folds are also prone to infections at intertriginous anatomical sites. The basset hound dog and WHWT in particular demonstrate clinically distinctive conditions characterized by generalized seborrhoea (basset hound)<sup>98,145</sup> or generalized, severely pruritic dermatitis with marked lichenification and hyperpigmentation.<sup>244</sup> It is noteworthy that all of these breeds are recognized to be at increased risk for developing either atopic dermatitis or primary idiopathic seborrhoea. However, basset hounds in the UK that present with (an albeit relapsing) *M. pachydermatis*-associated seborrhoeic presentation typically respond dramatically to antimicrobial shampoo therapy with no residual clinical signs of atopic or seborrhoeic dermatitis.<sup>145</sup>

Likewise, predisposed feline breeds have been recognized, although not through statistical analyses as compared to general hospital populations. Case-control studies in Devon rex cats and the sphynx breed, have demonstrated increased *Malassezia* counts as compared to domestic shorthaired cats.<sup>78,81,115,116</sup> In these cases, *Malassezia* overgrowth is associated with the clinical finding of seborrhoeic skin; especially in the claw folds.<sup>78</sup>

### 7.2 The role of cutaneous hypersensitivity disorders in the host

Pruritic inflammatory diseases such as atopic dermatitis may create microclimate changes due to scratching (disruption of barrier function), licking (added moisture) or increased production of sebum.<sup>107</sup> Despite the clinical observation that *Malassezia* overgrowth and atopic dermatitis commonly co-occur,<sup>107,245</sup> studies have sometimes failed to demonstrate a clear statistical association between the two conditions.<sup>241,242</sup> Nevertheless it is generally accepted that *M. pachydermatis* may increase the inflammation associated with cAD directly by induction of inflammatory cytokines from epidermal cells and indirectly by acting as an allergen<sup>240,246</sup> (section 6). Thus, a hypersensitivity response to *M. pachydermatis* might explain the discordance in the studies mentioned above since density of yeast on the skin's surface cannot be used as the sole determinant of its pathogenic effect.<sup>246</sup> However, in a study where cAD was the predominant diagnosis for a group of dogs with inflammatory skin lesions, there was a statistically significant correlation between higher CADESI-03 scores and increased colony forming units of *M. pachydermatis* isolated by quantitative culture.<sup>107</sup> The range of epidermal barrier defects that might influence *Malassezia*-host interactions in cAD has been the subject of a detailed review.<sup>240</sup> *Malassezia* spp. overgrowth has also been reported in series of cats with allergic skin disease.<sup>85,114</sup>

### 7.3 The role of seborrhoeic dermatoses and aberrant cornification

Primary and secondary seborrhoeic conditions also favour proliferation of *Malassezia* spp. Seborrhoeic dermatoses in dogs have been statistically associated with significantly higher *M. pachydermatis* skin surface counts as compared to healthy dogs.<sup>98,247</sup> Similar associations have been described in cats with seborrhoeic disorders.<sup>80,115</sup> Endocrinopathies such as hypothyroidism and hyperadrenocorticism may cause secondary seborrhoea in dogs; while no studies have demonstrated either disease to be an independent risk factor for *Malassezia* overgrowth, cases where an endocrinopathy and *Malassezia* dermatitis have occurred concurrently have been reported.<sup>241,242,248</sup> In cats, no differences in *Malassezia* carriage were noted between healthy controls and cases with either hyperthyroidism or diabetes mellitus, using a contact plate technique for quantitative culture at several body sites; however, it should be noted that the cats with endocrinopathies in this study had no skin lesions at the time of sampling.<sup>82</sup>

*Malassezia* overgrowth appears to be favoured by skin diseases with (assumedly) altered stratum corneum barrier function through aberrant cornification. Diseases of dogs characterized histopathologically by parakeratotic hyperkeratosis – such as zinc-responsive dermatosis and hepatocutaneous syndrome/superficial necrolytic dermatitis – have been anecdotally reported to promote yeast overgrowth (section 10).<sup>249</sup> The presence of *Malassezia* overgrowth is associated with pruritus in American bulldogs with autosomal recessive congenital ichthyosis caused by a single base deletion in the gene *NIPAL4*.<sup>250-252</sup> In cats, a histological study of 550 skin biopsy cases identified *Malassezia* overgrowth most commonly with thymoma-associated dermatosis (TAD) and paraneoplastic alopecia (PNA) associated with internal

neoplasia.<sup>83</sup> In TAD, parakeratosis is a common histopathological feature, while PNA presents either with absence of a stratum corneum or some degree of parakeratosis.<sup>83</sup> In one cat with TAD, resolution of *Malassezia* dermatitis was reported to occur after its thymoma was surgically excised.<sup>253</sup> It is important to recognize that these diseases are not typically pruritic unless *Malassezia* overgrowth is present. Feline facial acne, thought to represent an idiopathic disorder of follicular keratinization, may also result in *Malassezia* overgrowth.<sup>254</sup>

#### **7.4. The role of climate**

The skin surface presents a range of natural micro-climates and several ecological niches with different moisture and nutrient levels may be recognised.<sup>255</sup> The eyes, ears, nares, oral cavity, lip fold, prepuce, vagina and anus provide microenvironments that are moist with secretions and constitute unique ecological niches (section 4). The skin also presents gross topographical features that affect moisture and retain secretions such as the intertriginous zones and the interdigital spaces. It is from these areas that *Malassezia* overgrowth commonly develops. The external (macro-) environment may also play a role in predisposing dogs to *Malassezia* overgrowth. It is generally recognised that *Malassezia* dermatitis is more common in tropical climates and during warm, humid months in more temperate latitudes, in accordance with the concept that *Malassezia* yeasts inhabit a 'transitional mantel zone' that is influenced by both host skin and the animal's external environment.<sup>87</sup> Although not specifically studied in the dog, this factor is well-documented in human medicine.<sup>33</sup>

#### **7.5. Factors of uncertain importance**

The role of immunosuppression as a risk factor for *Malassezia* overgrowth has been discussed anecdotally,<sup>70</sup> but no studies have examined the immunosuppressed state as a risk factor for animals with active yeast infection. In cats without skin disease, retroviral infection was associated with increased density of *Malassezia* spp. isolation from the hair coat as compared to non-infected cats.<sup>256</sup>

Antibacterial therapy has not been reported to be an independent risk factor for development of *Malassezia* dermatitis in any published studies. However, a correlation between the onset of *Malassezia* dermatitis (or otitis) and the recent use of antibacterial drugs is sometimes observed by practitioners and could reflect a reduction in "competition" for micro-ecological resources as the bacterial population is reduced.<sup>242</sup> However, the opposite has also been noted for individual dogs, where yeast counts dropped following treatment with cefalexin.<sup>146</sup>

#### **7.6. 'Idiopathic' cases.**

In a proportion of dogs and cats with *Malassezia* dermatitis, no concurrent disease or predisposing factors are identified.<sup>70,242</sup> This is analogous to 'idiopathic recurrent pyoderma' and likely reflects incomplete understanding of, and an inability to investigate and define in detail any impairment of, the complex co-ordinated innate and adaptive immune events that determine the outcome of skin colonisation by these yeasts (section 6).

#### **7.7 Conclusions**

Dog breeds identified to be at increased risk of *Malassezia* dermatitis include West Highland white terriers (WHWT), English setters, shih tzus, basset hounds, American cocker spaniels, boxers, dachshunds, poodles and Australian silky terriers. Devon rex and sphynx cats are also predisposed. The presence of skin folds is a common risk-factor for localised disease. Dogs with *Malassezia* dermatitis often have concurrent hypersensitivity disorders, cornification defects or endocrinopathies. Cats without a breed predilection most often have an underlying hypersensitivity disorder, visceral neoplasia or other serious internal disease.



## 8 Quantification of *Malassezia* populations on canine and feline skin by cytology and culture

### 8.1 Background

The assessment of the presence and number of *Malassezia* species is an important step in the characterisation of the cutaneous ecosystem of dogs and cats in both health and disease. Studies utilising traditional cytological and cultural methods have clearly demonstrated that *Malassezia* yeasts are normal inhabitants of healthy canine and feline skin and mucosae (section 4).<sup>58,65,73,81,94,104,257-259</sup> It is also clear that *Malassezia* overgrowth is a feature of a variety of clinical presentations in dogs<sup>98,102,107,145,247,260</sup> and cats.<sup>81,82,85,115,261</sup> More recently developed molecular techniques have the advantage of being able to detect minority populations or organisms that cannot be cultivated using routine methods<sup>148</sup>, an issue that hindered progress with the lipid-dependent *Malassezia* spp. for decades.<sup>262</sup> The molecular microbiome approach has led to a marked expansion of the diversity of bacterial genera shown to inhabit skin.<sup>148</sup> The *Malassezia* genus has retained its status as the dominant fungal inhabitant of this ecological niche in humans<sup>263</sup>, whereas dog and cat studies have shown a wider diversity of fungal genera, normally associated with an environmental source (section 4). The relatively low abundance of *Malassezia* spp.<sup>117,118</sup> likely reflects the detection of transient environmental visitors rather than permanent residents.<sup>148</sup>

Researchers and clinicians have developed a range of semi-quantitative and quantitative methods for enumeration of yeasts in skin, some of which have important applications in veterinary clinical practice for routine diagnosis, assessment of response to therapy, and in research and development of novel therapeutic agents and formulations. However, it is important to recognise that each have limitations that affect the final count;<sup>264</sup> methods suitable for rapid assessment of *Malassezia* populations by veterinary practitioners 'on the clinic floor' are not necessarily optimal for scientific research. The relative lack of sensitivity of histopathology for detecting *Malassezia* spp. in the stratum corneum is discussed in Section 10.

### 8.2 Development and utility of skin sampling techniques for quantification of cutaneous *Malassezia* populations.

Methods for microbiological assessment of skin populations have traditionally included impression (cytology using slides or tape; culture) and dispersal (primarily cup-scrub or swab-wash) methods.<sup>264</sup> Impression culture methods tend to under-estimate microbial populations whereas dispersal methods yield values closer to the true population.<sup>265,266</sup> Cytological examination without impression (scrapings, swab samples) are more recent developments.

#### 8.2.1. Impression cytology and related techniques

*Development.* Early studies primarily utilised cytological techniques as *Malassezia* dermatitis emerged as an important inflammatory disease of dogs. These included scraping methods,<sup>70,267</sup> direct impression with glass slides,<sup>69,241</sup> or slide preparations prepared by rolling swabs previously rubbed on the lesional skin.<sup>70</sup> One study elegantly described the utility of the direct application of vinyl adhesive tape in the assessment of cutaneous bacterial and fungal populations in human skin.<sup>268</sup> A comparison of effectiveness of staining methods for detecting *Malassezia* cells in specimens from animals seems lacking, perhaps reflecting widespread satisfaction with a modified Wright-Giemsa stain ("Diff-Quik" [Harleco, NJ, USA] or generic equivalent) (Figure 3) that is likely to be available in the clinical area,<sup>67,269,270</sup> although cotton-blue lactophenol<sup>69</sup> and May-Grunwald Giemsa<sup>102</sup> stains have also been used.

*Utility.* Tape-stripping has gained wide acceptance in veterinary clinical practice as a rapid and versatile method for recovering stratum corneum cells and their attendant adherent microbes.<sup>271</sup> It has also been used in a number of therapeutic studies,<sup>146,271</sup> although these publications should be interpreted with due regard to the limitations of this method discussed below. Adhesive tape can be applied to deeply folded or recessed areas that are not readily accessible for direct slide application, often with minimal animal restraint. The adhesive properties aid removal of skin surface material especially in erythematous lesions with limited exudation. By counting yeast cells in a certain number of microscopical fields in the tape-strip sample, a known area of skin surface is examined.<sup>269</sup> Different brands of tape vary in their ability to resist staining procedures without crinkling or becoming opaque and a 'trial-and-error' approach is needed to identify suitable products from amongst those available locally. Scotch tape (3M, St. Paul, MN, USA) and Sellotape™ Diamond Clear (Henkel Ltd. Hemel Hempsted, UK) often work well.

#### 8.2.2 Impression culture

Culture of impression samples obtained using contact plates,<sup>272</sup> adhesive tape<sup>273-275</sup> or Denman brushes<sup>276</sup> for quantification of *Malassezia* spp. in skin was well described in human dermatology long before veterinarians became interested in this area, although contact plates have been used for the study of cutaneous bacteria in dogs.<sup>277-279</sup> Squares of sterile carpet, popular for skin surface mycological sampling in France,<sup>280</sup> have also been utilised for recovery of *Malassezia* spp. in dogs and cats.<sup>107,261,281</sup>



*Development.* The contact plate technique was shown to be a convenient, rapid and inexpensive method for the quantitative culture of *M. pachydermatis* from canine skin.<sup>260,282</sup> Comparable yeast counts were obtained in four serial applications of contact plates containing modified Dixon's agar to lesional canine skin. When incubated at 32°C, *M. pachydermatis* colony counts on contact plates after three and seven days of incubation were identical on both modified Dixon's agar and Sabouraud's dextrose agar (SDA), whereas day seven counts on both media were higher than day three counts when incubated at 26°C.<sup>283</sup>

*Utility for dogs.* The contact plate technique has been used to demonstrate significantly elevated groin populations of *M. pachydermatis* in atopic dogs.<sup>260</sup> In healthy dogs, increased frequencies of isolation and population sizes of *M. pachydermatis* were found in the lip and interdigital skin when compared with truncal sites.<sup>259</sup> *Malassezia pachydermatis* populations varied between anatomical sites in healthy basset hound dogs, with higher populations on the pinnae when compared with the perineal area.<sup>270</sup> Contact plate counts of *M. pachydermatis* were reduced by both oral ketoconazole and oral terbinafine in healthy basset hounds,<sup>284</sup> by climbazole shampoo in research beagle dogs,<sup>285</sup> by chlorhexidine and climbazole wipes in a pilot study of five shar pei dogs.<sup>286</sup>

*Utility for cats.* Contact plate counts from the trunk were significantly higher in healthy Devon rex cats when compared to healthy domestic short-haired cats and Cornish rex cats; seborrhoeic Devon rex and sphynx cats had high yeast counts that exceeded those of the other groups.<sup>80,116</sup> Contact plates were also used in two studies that assessed the beneficial therapeutic effects of oral itraconazole in cats with *Malassezia dermatitis*.<sup>86,287</sup>

### 8.2.3. Dispersal methods – detergent (cup) scrub

*Development.* A detergent scrub technique using wash fluid comprising 0.075 M phosphate-buffered saline, pH 7.9 and 0.1 per cent Triton X-100, first reported for studies of the human cutaneous bacterial flora,<sup>265</sup> and subsequently in studies of cattle<sup>266</sup> and dogs,<sup>288-290</sup> is considered the 'gold standard' method for quantitative culture.<sup>264,271</sup> The method was also used to quantify *Malassezia* organisms on human skin.<sup>291,292</sup> In a series of development studies for quantifying *M. pachydermatis* on canine skin,<sup>293</sup> counts of the yeast in suspension of pure cultures and in skin washings declined in contact with Triton X-100 and other detergents. Vortexing or manual or mechanical shaking of the samples yielded comparable counts. The technique was judged suitable for the quantitation of *M. pachydermatis* on canine skin provided samples were processed without delay and preferably within one hour of collection.

*Utility.* Detergent scrub sampling supported a genetic predisposition to *Malassezia* overgrowth in basset hound dogs by demonstrating high populations (compared to healthy mixed-breed dogs) in the axillae of healthy hounds and even higher numbers in the axillae of seborrhoeic basset hound dogs.<sup>98</sup> Detergent scrub counts were also used to evaluate and compare antimicrobial efficacy of shampoos in the treatment of seborrhoeic hounds.<sup>145</sup>

### 8.2.4. Dispersal methods – swab wash

*Development.* Microbial populations recovered using conventional swabs can be quantified by dispersing organisms from the swab tip using solutions containing detergents; counts can be achieved by plating serial dilutions onto relevant culture media. This method was used previously in the quantification of staphylococci from porcine,<sup>294</sup> human<sup>295</sup> and canine skin and/or mucosae.<sup>296</sup> *Malassezia pachydermatis* counts did not vary significantly in each of four swabs applied serially to anus and external ear canal of healthy beagle dogs; dry swabs and moistened swabs had comparable efficiency at recovering the yeast.<sup>282</sup> Yeast counts were comparable when swab tips held in wash fluid were shaken manually or vortexed for 30 seconds.

*Utility.* Using the swab wash technique, the anus was the most frequently colonised site in healthy mixed breed and beagle dogs,<sup>259</sup> whereas *M. pachydermatis* was more frequently isolated in higher populations at nasal and buccal sites in both healthy and seborrhoeic basset hounds.<sup>98</sup> Swab-wash counts from the claw fold of sphynx cats and seborrhoeic Devon rex cats exceeded those of healthy domestic short haired, Cornish rex and Devon rex cats, whereas ear and anus populations were comparable.<sup>116</sup>

## 8.3. Comparison of methods

A number of investigators have evaluated the relative effectiveness of different sampling methods for *Malassezia* yeasts, primarily in dogs rather than cats. One early publication<sup>241</sup> reported a subjective preference for direct impression using glass slides over use of cotton swabs, skin scraping and histopathology. Others found that both tape-strips and dry scrapes yielded high yeast counts from lesional skin whereas moistened swabs were much less efficient.<sup>269</sup> Similarly, various authors have reported that swab cytology was inferior to superficial scraping,<sup>297</sup> tape-stripping<sup>298</sup> and conventional culture of swabs.<sup>84</sup> By contrast, *Malassezia* spp. yeasts and extracellular cocci were recovered in higher numbers from the dorsal claw fold (following skin eversion) by gentle scraping with the sharp point of a tooth pick, when compared

with tape-stripping and direct impression using glass slides,<sup>299</sup> likely reflecting enhanced cell exfoliation from the hard cuticle of the claw surface in this specialised site.

In a study of normal canine skin it was reported that the tape culture method was effective at recovering *Malassezia* but only low numbers of yeast cells were found in samples prepared by direct glass slide impression, skin scraping or cotton swab sampling.<sup>94</sup> *Malassezia pachydermatis* was more frequently detected in a group of dogs with chronic dermatitis using a tape culture technique when compared with conventional swabbing.<sup>300</sup> Potential growth interference from volatile antimicrobial components of the tape should be evaluated when this cultural method is utilised.<sup>273,301</sup>

Yeast counts on diseased skin (18 sites in six dogs) measured by using detergent scrubs and a contact plate technique were significantly correlated whereas counts obtained by the tape-strip technique from adjacent skin did not correlate with either quantitative cultural method.<sup>293</sup> Varied results were reported in other studies where tape-strip counts and contact plate counts have been compared.<sup>260,270</sup> Contact plate counts typically yield *M. pachydermatis* population densities 100-fold lower than those derived by the dispersal detergent scrub technique<sup>259,293</sup> and yeast cell densities measured by tape-strip.<sup>270,293</sup> Thus, the contact plate technique may have low sensitivity for yeast detection when true populations are very low, but may prove more efficient when yeast are more abundant such as in clinical cases or in selected breeds with high populations in healthy skin.

#### 8.4 Culture media

In quantitative investigations of the skin flora, the culture medium is known to have significant effects on the counts of *Malassezia* yeasts derived from human skin,<sup>272,292,302</sup> prompting analogous canine studies. Amongst *Malassezia* spp., *M. pachydermatis* is the least fastidious and normally grows readily on routine media such as SDA,<sup>106</sup> although *M. pachydermatis* variants with more-exacting lipid requirements have been isolated.<sup>105,303,304</sup>

In early qualitative studies, the addition of 1% Tween 80 to a dextrose / yeast extract agar enhanced the isolation of *M. pachydermatis*.<sup>305</sup> Tween 80 was not needed for the selective and differential isolation of *M. pachydermatis* in the presence of peptone,<sup>306</sup> now recognised as the essential lipid source for growth of that species on SDA.<sup>18,106</sup> *Malassezia pachydermatis* was isolated from swab wash samples in comparable numbers after three and seven days of incubation at 32°C on each of five media; SDA, SDA plus 1% Tween 80, Ushijima's medium A, modified Dixon's agar and Leeming's medium.<sup>283</sup> After three days of incubation, the colonies were most distinctive on modified Dixon's agar, forming buff-coloured domed colonies 1-1.3 mm in diameter that were readily distinguished from other cutaneous microbes; on other media the colonies were low convex. Colony counts on contact plates were significantly greater after incubation for seven days on SDA and modified Dixon's agar than after three days at 26°C, whereas three day and seven day counts were comparable at 32°C; this poor growth on SDA at 26°C was in accordance with previous<sup>53,307</sup> and subsequent reports.<sup>281</sup> Counts from swab-wash samples grown on SDA or modified Dixon's agar for four or seven days were comparable at 32 and 37°C. When compared with aerobic culture, an atmosphere of 5-10% carbon dioxide significantly increased the frequency of isolation and the colony counts on SDA but not modified Dixon's agar in swab-wash samples incubated at 37°C for seven days.<sup>283</sup>

One study compared *Malassezia* counts on SDA and modified Dixon's agar from swabs and carpet squares at 27 and 37°C.<sup>281</sup> The frequencies of isolation were significantly higher on modified Dixon's agar at both temperatures after eight days of incubation; higher counts of larger colonies were cultivated on modified Dixon's agar.

To the best of the authors' knowledge, there are no reports of comparative studies on quantification of *Malassezia* spp. from feline skin. Although *M. pachydermatis* predominates on both healthy cats and cats with dermatitis, lipid-dependent species such as *M. sympodialis*,<sup>56,76</sup> *M. globosa*,<sup>76</sup> *M. furfur*,<sup>77</sup> *M. nana*,<sup>79,116</sup> and *M. slooffiae*<sup>80,82,116</sup> are occasionally encountered. Modified Dixon's agar has proven suitable for the isolation of these species at 32°C over a seven day incubation period, whereas SDA does not support their growth.<sup>18</sup>

#### 8.5 Recommendations for culture

Modified Dixon's agar is the preferred medium for the isolation and quantification for *M. pachydermatis* from canine skin in view of the relatively rapid growth of distinctive colonies and its potential for supporting the growth of lipid-dependent isolates; incubation should be aerobic at 32-37°C for at least three days. SDA (preferably supplemented with 1% Tween 80) is an alternative for dogs if modified Dixon's agar is unavailable, although occasional more-lipid dependent isolates will be overlooked with this medium; temperatures below 32°C should be avoided and use of 5-10% carbon dioxide should be considered.

In cats, samples should be grown on modified Dixon's agar at 32-34°C aerobically; cultures should be systematically extended to at least seven days in case of presence of slower growing *Malassezia* spp. Temperatures in excess of 34°C must be avoided because of the potential to inhibit the growth of thermo-sensitive species such as *M. globosa* known to inhabit feline skin.<sup>18</sup>

### **8.6 Defining normal and abnormal populations of *Malassezia* spp. yeasts in the context of clinical reports**

Veterinary clinicians in speciality clinical practice are accustomed to systematically evaluating *M. pachydermatis* populations in inflammatory skin lesions in the majority of their canine and feline patients, most often with cytology; they will frequently record 'elevated populations' or 'overgrowth' in their clinical records. When these observations are subject to critical scientific review (such as when case series or reports are submitted to journals for publication) problems arise because reviewers and editors tend to prefer objective confirmation of such claims. This has resulted in definitions of abnormal populations in terms of "more than XX yeast cells in YY oil-immersion (x100) fields".

It is important to appreciate that these definitions are likely arbitrary unless previous studies established the likely values obtained with the identical sampling method in that particular anatomical site in that particular breed of dog or cat. Marked variations in populations between anatomical sites within the same dog are routinely described; for example, contact plates may yield confluent growth from the lip region of healthy dogs whereas counts are routinely much lower in the axilla and groin.<sup>259</sup>

Breed variation is extreme in some circumstances, for example in basset hound dogs<sup>98,308</sup> and Devon rex and sphynx cats.<sup>80,115,116</sup> It is important to note that high populations can be recovered from clinically normal skin; using the 'gold standard' detergent scrub samples, population sizes in healthy and seborrhoeic basset hound dogs were shown to overlap.<sup>98</sup> 'High' counts need not necessarily be clinically relevant in each case where they are detected.<sup>98,259</sup> By contrast, 'normal' or at least 'lower' populations may be sufficient to exacerbate cutaneous inflammation in patients with immediate or delayed hypersensitivity responses.<sup>246,309,310</sup> Ultimately, a commensal existence or cutaneous disease caused by *M. pachydermatis* involves complex interactions between the yeast and its' mammalian host that are not simply a result of achieving a particular number or density of yeast cells within the stratum corneum.

### **8.7. Conclusions: recommendations for skin sampling in dogs and cats**

Routine cytological sampling of skin sites in the veterinary clinic is best achieved by light microscopical examination (50x or 100x oil objectives) of tape-strips or dry scrapes stained with modified Wright Giemsa stain ("Diff-Quik" or generic equivalents). Cytology using swabs is normally best restricted to use in the ear canal. Factors such as important variations in anatomical site, breed, sampling method and host immune status commonly thwart the interpretation of the clinical significance of an observed population ("XX yeasts in YY fields"); trial therapy is routinely required to establish this (sections 8.5 and 14.1-3).

A single report indicates that the sharp end of a tooth pick is the preferred method for sampling the dorsal claw fold in dogs.

Routine cultures provide primarily qualitative data on presence / absence of yeast, although 'heavy' growth on primary isolation plates likely indicates a high population.

Contact plates allow convenient, rapid and inexpensive quantitative culturing of *M. pachydermatis* from canine and feline skin; they are suitable for both diagnostic and research purposes. Optimally sized plates for cats and dogs (typically 18-27 mm diameter depending on site sampled) containing the preferred medium (modified Dixon's agar) are not available commercially but are readily custom-made in mycology laboratories that are accustomed to preparing their own media

Detergent scrub sampling is the 'gold standard' method for quantitative culture, although it is more suited to a research rather than diagnostic environment because it is suitable for only relatively flat skin on co-operative patients and rapid sample processing is required. This is the optimal technique for mycological assessment in therapeutic product development.

## 9. Diagnostic methods: molecular and mass spectrometry identification of yeasts in culture and skin

The application of molecular biological techniques has underpinned the radical transformation of the taxonomy of the genus *Malassezia* over the last 30 years with an expansion from two to 18 recognised species (section 2).<sup>8,53</sup> This section briefly reviews some of the more commonly reported molecular and mass spectrometry techniques that may be encountered in the veterinary literature on *Malassezia* species.

### 9.1. Electrophoretic karyotyping

Electrophoretic karyotyping involves the separation of intact chromosomal DNA according to its size on an agarose gel, generating specific banding patterns dependent upon the number and size of chromosomes.<sup>9,311</sup> This technique differentiates between *Malassezia* species, but not between genotypes within each species.<sup>56,76,312</sup> The ready availability of sequence-based methods has largely superseded this method in yeast identification.

### 9.2 Ribosomal RNA gene sequencing

Ribosomal RNA gene sequences have proven attractive targets for molecular fungal taxonomy on account of the presence of multiple copies in the genome and their tendency to have combinations of highly-conserved sequences that may be recognised by pan-fungal PCR primers, separated by highly varied species-specific or genotype-specific domains. Amongst these, the D1/D2 domain of the 26S rRNA and the internal transcribed spacer (ITS) regions have been frequently used in the identification and phylogenetic studies of *Malassezia* species (Figure S1 and S2).<sup>26-28,32,304,313-315</sup> Partial sequencing of the 26S rRNA of one hundred *M. pachydermatis* isolates identified seven sequence types, named sequevars (Ia-Ig); some of these variants seem to be host-specific for dog, ferrets and rhinoceros.<sup>303</sup>

Marked sequence diversity of the intergenic spacer (IGS)(Figure S1 and S2) of *M. pachydermatis* isolated from dogs and cats enabled identification of three major groups (1, 2, 3) with 10 subtypes (1A, 1B, 1C, 1D, 2A, 2B, 3A, 3B, 3C and 3D).<sup>316</sup> In Japan, Korea and Taiwan, isolates of *M. pachydermatis* of subtype 3D were obtained more frequently from cAD skin lesions than from healthy canine skin.<sup>317</sup> Moreover, subtype-3D strains isolated from atopic dermatitis skin produced higher amounts of phospholipase A2 than did strains of other genotypes, supporting the hypothesis that the pathogenicity of this yeast is associated with the production of high levels of phospholipase A2.<sup>157</sup> By contrast, lack of sequence diversity in the IGS region of *M. nana* isolates,<sup>111</sup> and in the  $\beta$ -tubulin gene and microsatellite profiles<sup>112</sup> indicate that a particular *M. nana* genotype predominates in cats.

### 9.3. Chitin synthase 2 and $\beta$ -tubulin genes

Amplification of the *chitin synthase 2* (*CHS2*) gene in seven *Malassezia* species yielded an ~620 bp fragment with 95% sequence homology between the species, although phylogenetic analyses indicated that each species was genetically distinct.<sup>34</sup> Limited genetic variability is seen in the *CHS2* gene amongst *M. pachydermatis* isolates from dogs in previous studies,<sup>318,319</sup> although wider variation was observed in another study.<sup>57</sup> Partial sequencing of the  *$\beta$ -tubulin* gene in *Malassezia* provides a further opportunity for species and genotype differentiation.<sup>35,112,320</sup>

### 9.4. Multilocus PCR approaches

Genotypic approaches involving analyses of sequences obtained from multiple loci on the *Malassezia* genome, such as combined analyses of D1/D2, ITS, CHS2 and  $\beta$ -tubulin sequences, has proven to be a powerful tool in the epidemiological assessment of the intraspecific variations and adaptation of these particular genotypes to specific hosts.<sup>35,57,304,321</sup>

### 9.5. Random amplification of polymorphic DNA

As the name suggests, random amplification of polymorphic DNA (RAPD) is a PCR-based method where short primers bind to random sites on the yeast genome to produce fragments that create specific banding patterns on electrophoresis. Whilst reproducibility is sometimes challenging, it is regarded as a useful epidemiological tool amongst *Malassezia* species.<sup>88</sup> One study reported that RAPD analysis of *M. pachydermatis* indicated the existence of four different genotypes (genotype 1 to 4), with the genotype-1 strains producing the highest amounts of biofilm and phospholipase activity.<sup>159</sup> Moreover, the study also reported that the inflammatory response induced by *M. pachydermatis* strains in immortalized human keratinocytes (HaCat cells) was significantly higher in cells co-cultured with strain of genotypes 1 or 2.<sup>159</sup> Conversely, cells infected with strains of genotype 3 or 4 did not significantly induce expression of TLR-2 and cytokines; overall these data further suggest a possible association between genotype and virulence factors expressed by *M. pachydermatis* strains.

### 9.6. Next generation sequencing and the microbiome

Methods based on next generation sequencing (NGS) have allowed a better characterization of the complex microbial communities occurring on the skin and made it possible to detect *Malassezia* species that would otherwise be missed using culture based methods (section 4.4).

### 9.7. Multiplex PCR

A multiplex PCR method that utilized multiple hybridization probes for six *Malassezia* species, including *M. pachydermatis*, was reported to provide sensitive and rapid identification of *Malassezia* DNA in skin swab samples from both animals and humans.<sup>322</sup>

### 9.7. MALDI-TOF mass spectrometry

Matrix assisted laser desorption ionization-time of flight mass spectrometry (MALDI-TOF MS) has emerged as a tool for identification of microbial cells or cell extracts.<sup>323</sup> The method is rapid and sensitive, although identification of isolates is possible only if the spectral database contains fingerprints of the relevant species and strains. Mass spectrometry has also been utilised for the identification of *Malassezia* yeasts isolated from cases in three French university hospitals.<sup>36</sup> A MALDI-TOF database of main mass spectra has been developed to allow the rapid identification of 14 *Malassezia* species (section 2).<sup>37</sup>

### 9.8. Conclusions

Molecular techniques are pivotal in the accurate identification of many of the currently recognised *Malassezia* species, with the usual exception of *M. pachydermatis* (which is readily distinguished from the other species by growth on Sabouraud's dextrose agar). In particular, sequencing of D1/D2 domain of the large subunit of the *rRNA* gene, ITS, IGS, *CHS2* and  $\beta$ -*tubulin* genes or regions allows for accurate identification of species and recognition of genotypes that may have relevance for host-adaptation and virulence. Microbiome studies utilising next-generation sequencing have the potential to re-define the microbial ecology of mammalian skin. Multiplex PCR and MALDI-TOF MS hold promise for rapid and specific identification of *Malassezia* from skin and culture specimens, respectively.

## 10 Histopathological features of canine and feline *Malassezia* dermatitis

### 10.1 Background

The histopathological features in skin biopsy specimens from lesions of *Malassezia* infection in dogs and cats commonly represent an amalgamation of features reflecting both the host response to the yeast as well as the concurrent, underlying disease. Preparation of the skin prior to biopsy and/or the ready loss of surface scale and yeasts during histological processing results in the number of yeasts observed by microscopy to be of questionable interpretative pathological significance. The issue of yeast number is compounded by the potential for hypersensitivity responses to *Malassezia* allergens to exaggerate the immunological reaction out of proportion to the population density of the yeast (section 6). Taken together, a diagnosis of *Malassezia* dermatitis cannot be made by histopathology alone; it is more realistic to limit expectations of histopathology to providing evidence of a consistent inflammatory process and/or indication of the nature of an underlying predisposing disorder. In routine cases, skin populations of the yeast are best assessed by cytology or quantitative culture (section 8). In some cases, histopathological approaches might be best employed after the yeast has been removed by appropriate therapy to enhance the characterisation of underlying disease.

### 10.2 Experimental studies

Cutaneous responses to the application of viable and killed *Malassezia* have been assessed both clinically and histologically in laboratory animals (guinea pigs, mice, rabbits) and humans, primarily with 'lipid-dependent' *Malassezia* species isolated from humans (whose nomenclature pre-dates current revised taxonomy) applied under occlusion.<sup>324-327</sup> Lesions generally comprised focal areas of scaling that most often resolved without treatment upon discontinuation of inoculation and were characterised histologically by yeast cells or hyphae in the stratum corneum, variable epidermal hyperplasia and superficial dermal inflammation with granulocytes and lymphocytes. Interestingly, Swiss white mice developed skin lesions whereas the nude (nu/nu) mouse, the hairless mice and nude rats did not.<sup>324</sup> Inoculation of suspensions of *M. pachydermatis* into the middle ear and dermis of immunosuppressed mice led to transient infection that resolved within 21 days.<sup>328</sup>

In laboratory beagle dogs application of *M. pachydermatis* was associated with epidermal hyperplasia, occasionally with parakeratosis, superficial perivascular dermal inflammation with primarily neutrophils and lymphocytes, and sometimes mast cells (but not eosinophils); features were more severe at sites that were occluded.<sup>329</sup> Histological changes markedly reduced within seven days of withdrawal of yeast challenge.

### 10.3 Canine *Malassezia* dermatitis

Histopathologically, canine *Malassezia* dermatitis presents with variable but often marked hyperkeratosis and multifocal parakeratotic hyperkeratosis with the characteristic budding, bottle-shaped yeasts and often

cocci (if not lost during processing) over an irregular spongiotic epidermal hyperplasia that extends to the follicular infundibula; see reviews.<sup>83,330</sup> Exocytosis of lymphocytes is a regular feature and neutrophilic or eosinophilic exocytosis may create epidermal microabscesses. Mast cell exocytosis is rare but a linear array of mast cells at the dermo-epidermal junction is not infrequent. Pigmentary incontinence is occasionally observed. The follicular ostia and infundibulae may be dilated with hyperkeratosis, occasional focal parakeratosis and yeast cells, with variable coccoid bacteria. The superficial dermis has variable oedema with interstitial and perivascular inflammation of lymphocytes (usually the dominant cell type), plasma cells, histiocytes, neutrophils and eosinophils.

As mentioned above, it is important to recognise that yeast cells are not always observed; *Malassezia* cells were absent in 23 out of 86 cases in one study.<sup>243</sup> The follicular ostia / infundibulae may be the best place to find yeast cells when numbers are low. Whilst visible in haematoxylin and eosin stained slides, periodic acid-Schiff or Grocott's-Gomori methenamine silver stains accentuate the presence of the yeast.

Authors in one study<sup>243</sup> proposed standardized histological criteria for the 'presumptive' or final histopathological diagnosis of '*Malassezia* dermatitis' comprising:

1. pronounced irregular epidermal and infundibular hyperplasia;
2. prominent epidermal and infundibular parakeratotic hyperkeratosis;
3. diffuse epidermal and infundibular intercellular oedema (spongiosis);
4. diffuse epidermal and infundibular lymphocytic exocytosis;
5. superficial perivascular to interstitial dermatitis wherein lymphocytes are a prominent inflammatory cell type.
6. presence of unipolar budding yeast in the surface and/or infundibular keratin.

In the case of absence of budding yeasts, those authors<sup>243</sup> regarded the histopathological diagnosis as 'presumptive' provided the first five criteria were fulfilled. Unfortunately, similar features may be observed in skin biopsies from lesional skin in dogs with cAD,<sup>331</sup> a disease whose signs may mimic or complicate those of canine *Malassezia* dermatitis.<sup>332</sup> Features more supportive of *Malassezia* dermatitis include scalloping of epidermal and follicular margins, eosinophilic pustules and diffuse lymphocytic exocytosis, but none of these are pathognomonic.<sup>330</sup>

#### **10.4 Feline *Malassezia* dermatitis**

The histopathological features associated with *Malassezia* dermatitis in cats are poorly defined although hyperkeratosis and irregular epidermal hyperplasia have been observed in association with abundant yeasts in the stratum corneum, analogous to canine features.<sup>261</sup> The lack of description of the histopathology associated with these yeast in allergic and seborrheic cats that are otherwise healthy reflects infrequent biopsy sampling in cases more readily assessed by cytological techniques or culture.<sup>85</sup> By contrast, more reports are available in cats with generalised infections that are often associated with visceral neoplasia or other serious internal diseases (Table S3).<sup>83</sup>

#### **10.5 Other conditions where *Malassezia* yeasts may be observed histologically**

*Malassezia* yeasts may proliferate opportunistically in response to skin disease and it is therefore not surprising that they may be observed in the stratum corneum in a variety of conditions. According to a standard textbook,<sup>330</sup> *Malassezia* may feature in cases of bacterial intertrigo, feline hypersensitivity reactions, acrodermatitis of bull terrier dogs, atopic dermatitis, congenital follicular parakeratosis, facial dermatitis of Persian and Himalayan cats, familial paw pad hyperkeratosis, feline acne, feline paraneoplastic alopecia, feline thymoma-associated exfoliative dermatitis, female hyperoestrogenism, food allergy, hypothyroidism, ichthyosis, interdigital furunculosis, mucinosis, nasodigital hyperkeratosis, sarcoptic mange, seborrheic dermatitis, Sertoli cell tumour-associated skin disease, superficial necrolytic dermatitis and zinc-responsive dermatosis (Table S4).

#### **10.6. Conclusions**

A diagnosis of *Malassezia* dermatitis cannot be made by histopathology alone. Histopathological features in dogs often comprise hyperkeratosis or parakeratosis, irregular epidermal hyperplasia and spongiosis that extends to hair follicle infundibulae, lymphocyte and granulocyte exocytosis, and a mixed, predominately lymphocytic, superficial perivascular or interstitial infiltrate with variable superficial dermal oedema. Yeast cells may or may not be observed in surface or infundibular stratum corneum (cytology or quantitative culture are preferable for assessment of populations). In cats, histopathological features vary markedly according to the nature of the underlying disorder.

## 11 Antifungal susceptibility testing and resistance

### 11.1 Introduction

Antifungal chemotherapy is widely used in the treatment of a range of clinical presentations in both humans and animals that are attributable to cutaneous *Malassezia*. Previous reports have highlighted varied *in vitro* drug susceptibility results amongst the different species and within genotypes of selected species.<sup>333,334</sup> The need for surveillance of antimicrobial resistance (AMR) in general has increased in parallel with the emergence of AMR as a significant threat in both human medicine and animal health. This section reviews literature relevant to *Malassezia* spp. and identifies areas for future development.

### 11.2 Methods

The susceptibility test is critical for the selection of treatment strategy and the control of drug-resistant pathogens and in circumstances where the organism's susceptibility cannot be reliably predicted by its' identity. In 2008, the Clinical and Laboratory Standards Institute published the most recent document (CLSI M27-A3) in its' series of reference standards for susceptibility testing of yeasts.<sup>335</sup> However, these focused on invasive human pathogens such as *Candida* and *Cryptococcus* spp. but *M. pachydermatis* does not exhibit sufficient growth in the standard defined (and lipid-free) RPMI 1640 medium to permit use of the reference method for this species. The European Committee on Antimicrobial Susceptibility Testing (EUCAST) analogous reference susceptibility test for pathogenic yeasts and moulds<sup>336</sup> also specified use of an RPMI-1640 based culture medium that is unsuitable for *Malassezia* spp. Establishment of reference susceptibility tests for *M. pachydermatis* may ultimately depend on work by organisations such as the International Society for Human and Medical Mycology (ISHAM) working groups or the Veterinary Committee on Antimicrobial Susceptibility Testing (VetCAST), a subcommittee of EUCAST. In the absence of standard methods appropriate for *M. pachydermatis* the CLSI M27-A2 and A3 protocols have been adapted by various modifications of the process (Table S5).<sup>333,334,337-340</sup> Technical specifics were usefully reviewed in detail, including a discussion of the effects of medium and conditions of incubation, lipid supplementation, inoculum preparation, end-point determination and quality control aspects.<sup>341</sup>

Modified Etest protocols and conventional disc diffusion (CLSI M44-A) have also been used to determine the *in vitro* susceptibility of *M. pachydermatis* (Table S5 and S6); tests of this type are more suited to routine diagnostic use than broth dilution methods.<sup>333,337,342</sup> The CLSI-recommended medium (Mueller-Hinton agar supplemented with glucose and methylene blue) optimally supports the growth of *M. pachydermatis* provided the inoculum is incubated with a lipid source (Tween 40 or 80); agar diffusion using clotrimazole and miconazole discs on this agar yielded values that correlated with MIC determined by broth dilution.<sup>343</sup> Etest, a commercially-available manual *in vitro* diagnostic method that utilizes a plastic strip with a predefined gradient of antimicrobial agent, was used to establish MIC values for ketoconazole and itraconazole that reportedly correlated well with those obtained using a broth dilution method.<sup>334</sup> One limitation is that strips are not available for all antifungal agents used in dogs and cats.

### 11.3 Breakpoints: clinical versus epidemiological

A breakpoint is defined by EUCAST as "specific values of parameters such as minimum inhibitory concentrations (MICs) or inhibition zone diameters on the basis of which microbes can be assigned to the clinical categories 'susceptible (sensitive)', 'intermediate' and 'resistant'".<sup>336</sup> There is scope for confusion around use of the term breakpoint. "Clinical breakpoints" indicate to the clinician the likelihood of therapeutic success when using a particular antimicrobial against an infectious agent. "Epidemiological cut-off values" (ECOFFs) (syn. epidemiological or microbiological breakpoints) represent the highest acceptable MIC value of the wild-type microbial populations with no acquired phenotypically detectable resistance mechanism<sup>344</sup>; it is important to note that this might not be clinically relevant if the MIC is still below that achievable with the drug at any given site of infection. Unfortunately, interpretive breakpoints for antifungal susceptibility testing of *Malassezia* species are not yet available; thus, any classification of isolates into susceptible, intermediate and resistant categories remains speculative. This may be especially challenging in the context of topical therapy where high local concentrations are often achieved.<sup>345</sup> Review of existing literature on *M. pachydermatis* susceptibility testing suggest that, in some cases, previous use of the term "resistant" would be best regarded as an ECOFF rather than a clinical breakpoint<sup>334,346</sup> although further studies are required to confirm this hypothesis.

### 11.4 Antifungal drug susceptibility testing in *Malassezia* species

#### 11.4.1. Azole antifungal drugs

Imidazoles such as clotrimazole, climbazole and miconazole are used topically (most commonly in otic products, creams or shampoos) although ketoconazole and its triazole derivative itraconazole are widely available for oral use in dogs and cats. Posaconazole, a triazole structurally similar to itraconazole, is used orally or intravenously for the treatment of invasive mycoses in immunocompromised humans,<sup>347</sup> and



topically in dogs with *Malassezia* otitis. Clinical efficacy with these products corresponds to multiple, systematic reports of low MICs *in vitro* with drugs of this class,<sup>333,334,338,348</sup> with the exception of fluconazole (see below).

#### 11.4.2. Terbinafine

Terbinafine is a synthetic allylamine derivative that inhibits fungal ergosterol biosynthesis at the point of squalene epoxidation. Terbinafine was first shown to have activity *in vitro* against *Malassezia* spp. more than 30 years ago,<sup>349</sup> although in the same study terbinafine activity was 20-800 times greater amongst a range of dermatophytes. Subsequent to conflicting reports of efficacy using topical versus oral dosing in human pityriasis versicolor, *in vitro* studies showed lower MICs in isolates of *M. sympodialis* that correlated with efficacy of oral dosing; higher MICs in *M. globosa*, *M. restricta*, *M. slooffiae* and *M. furfur sensu stricto*<sup>350</sup> were associated with better responses to the higher concentrations achieved by topical therapy.<sup>351</sup> These species-related differential susceptibilities were confirmed in a subsequent study, with *M. sympodialis* and *M. pachydermatis* showing greatest susceptibility amongst examples of relative tolerance amongst other *Malassezia* species.<sup>352</sup>

Further studies in collections of field isolates of *M. pachydermatis* have routinely indicated susceptibility at concentrations similar to those obtained with azole antifungal drugs. In a study of 24 *M. pachydermatis* isolates (urea broth dilution), mean MIC values of terbinafine were favourably comparable to those of itraconazole, although ranges or MIC<sub>90</sub> values were not reported.<sup>353</sup> Similar activity was found (supplemented RPMI 1640 medium) with a single *M. pachydermatis* strain.<sup>333</sup> In a study of 62 canine derived *M. pachydermatis* strains (Christensen's urea broth, supplemented RPMI 1640), terbinafine was superior (based on MIC<sub>90</sub>) to fluconazole by six two-fold dilutions but inferior to ketoconazole and itraconazole by three and five dilutions respectively.<sup>338</sup> These values were barely exceeded in a pharmacokinetic study of skin concentrations achieved after oral dosing at 30 mg/kg sid.<sup>354</sup> In another study (Sabouraud's broth with 1% Tween 80) of 216 colonies of *M. pachydermatis* obtained from 28 dogs with otitis (n=25) or dermatitis (n=3), terbinafine was superior (based on MIC<sub>90</sub>) to fluconazole by five two-fold dilutions but inferior to ketoconazole and itraconazole by one and four two-fold dilutions respectively.<sup>340</sup> A similar relationship between terbinafine and ketoconazole susceptibility was noted in a study (supplemented Sabouraud's broth) of 51 *M. pachydermatis* strains from canine ears.<sup>355</sup>

#### 11.4.3. Nystatin

The polyene cyclic macrolides, amphotericin B and nystatin, were amongst the earliest broad-spectrum antifungals introduced for clinical use.<sup>356</sup> The potential toxicity of amphotericin B generally limits its use in veterinary medicine to serious progressive or disseminated systemic mycoses, whereas nystatin is active when applied topically.<sup>357</sup> Its mode of action is via altered cell membrane permeability mediated by preferential binding to ergosterol. These drugs were regarded as reference agents in early studies of *M. pachydermatis* susceptibility to azoles because of well-established activity against the genus,<sup>358</sup> although polyene-resistant strains have been induced *in vitro* by exposure to mutagen (N-methyl-N'-nitrosoguanidine) or UV irradiation.<sup>359</sup>

Wild type *M. pachydermatis* strains appear routinely susceptible to nystatin, although higher MICs (MIC<sub>90</sub> increased by a single two-fold dilution) have been reported amongst isolates obtained from dogs with skin disease when compared to isolates from healthy dogs (reportedly RPMI1640).<sup>348</sup> In a study of 51 strains from canine ears (supplemented Sabouraud's broth), nystatin was inferior to ketoconazole and terbinafine by seven and four two-fold dilutions, respectively.<sup>355</sup>

#### 11.4.4. Chlorhexidine

Previous studies of the activity of chlorhexidine against *M. pachydermatis* have primarily utilized dilutions of commercial products rather than conventional reference methods with the drug in its' pure form.<sup>360-363</sup> Although most of these studies have evaluated very small numbers of isolates, a common theme is that *M. pachydermatis* is typically susceptible to dilutions of chlorhexidine products, in accordance with reports of clinical efficacy. To the best of the authors' knowledge, reduced susceptibility to chlorhexidine *in vitro* has not been reported.

#### 11.4.5. Gentamicin and other aminoglycosides

Gentamicin is an aminoglycoside antibiotic with activity against many aerobic Gram-positive and negative bacteria, commonly formulated in polypharmacy otic products for dogs. Whilst gentamicin has been used as an inhibitor of bacterial growth in cultures of *M. pachydermatis* from ear swabs from laboratory dogs with high bacterial colonisation<sup>283</sup>, a subsequent report indicated that 20 of 20 isolates were susceptible to high concentrations *in vitro*.<sup>364</sup> Further studies (Sabourauds agar, Christensen's urea broth with Tween 40 & 80) have shown a wide variation in effect, with MICs' of gentamicin ranging from 1.0 to >150 ug/mL.<sup>365,366</sup> Netilmicin, tobramycin and framycetin also have variable but often high activity against *M. pachydermatis*.<sup>367</sup>



These studies indicate that gentamicin might not be an optimal drug, for suppression of bacterial growth, in culture media intended for the primary isolation of *M. pachydermatis* and that further studies are warranted. The extent of any clinical benefit of anti-*Malassezia* activity from gentamicin in otic formulations used in canine otitis externa requires further assessment.

#### 11.4.6. Other drugs

A small number of publications report activity *in vitro* of other agents against *Malassezia* spp. These include plant-derived substances such as Blad-containing oligomer (an antifungal agent approved for agricultural use);<sup>368,369</sup> beta-thujaplicin,<sup>355</sup> a honey-based gel,<sup>370</sup> kanuka and manuka<sup>371</sup> and other plant-derived essential oils;<sup>372</sup> agents primarily used in the topical treatment of human dandruff or seborrhoeic dermatitis (selenium sulphide, zinc pyrithione, ciclopiroxolamine,<sup>373</sup> rilopirox<sup>374</sup>) and povidone-iodine.<sup>375</sup> The calcineurin inhibitors (tacrolimus and pimecrolimus) have activity *in vitro* against human-associated *Malassezia* yeasts.<sup>376</sup> The clinical relevance of these observations for canine and feline infections requires further assessment.

#### 11.4.7. Combinations of antifungal drugs

Combining antifungal drugs might potentiate their efficacy, reduce the selection pressure for resistance, reduce side effects if lower doses can be used and achieve clinical efficacy when monotherapy is ineffective.<sup>377,283</sup> In a study of 30 *M. pachydermatis* isolates from canine otitis externa, primarily indifferent and often divergent interactions were observed between azoles and nystatin, terbinafine and caspofungin, although 55% (16 of 30) of isolates showed a synergistic interaction between caspofungin and itraconazole or fluconazole.<sup>377</sup> By contrast, amphotericin B antagonised the activity of itraconazole, but not fluconazole or posaconazole, in approximately 55% of 30 otic isolates of *M. pachydermatis* in a broth micro-dilution checkerboard system.<sup>378</sup> An additive interaction between fluconazole and calcineurin inhibitors against *M. globosa* and *M. restricta* is mediated by the immunophilin gene FKBP12.<sup>131</sup>

### 11.5 Reports of reduced susceptibility to antifungal drugs amongst *Malassezia* yeasts

#### 11.5.1. Selecting for resistance in the laboratory

In a study of 10 selected isolates of *M. pachydermatis* from canine ears (supplemented Sabouraud's broth), terbinafine MIC<sub>50</sub> increased by three two-fold dilutions when sub-cultured 30 times in Sabouraud's broth containing terbinafine at concentrations close to the original MIC.<sup>355</sup> Similarly, nystatin MIC<sub>50</sub> increased by a single two-fold dilution when sub-cultured in the same way and ketoconazole MIC<sub>50</sub> increased by four two-fold dilutions. From work in *Malassezia* and other pathogens, reduced susceptibility to azoles may be caused by reduced affinity due to alterations in the drug target enzyme,<sup>379</sup> by increased expression of the target gene (*ERG11*) encoding this enzyme, or by increased efflux by overexpression of genes encoding membrane transport proteins of the ABC transporter (*CDR1/CDR2*) or the major facilitator (*MDR1*) superfamilies.<sup>356</sup> Induction of high fluconazole MICs in 30 strains of *M. pachydermatis* by prolonged exposure was associated with elevated MICs to other azoles, suggesting that the molecular basis for these effects may in some cases confer cross-resistance to this drug class.<sup>380</sup> Further studies are needed to define the molecular genetics of the reduced susceptibility to azoles, terbinafine and nystatin induced in *M. pachydermatis* by laboratory exposure at sub-inhibitory drug concentrations and any implications for long-term drug use in clinical practice.

#### 11.5.2. Studies of field isolates

*Malassezia* spp are reportedly not susceptible to echinocandins and griseofulvin.<sup>381</sup> The inherently lower antifungal potency of the triazole, fluconazole, is largely compensated by its excellent pharmacokinetic properties for deep-seated infections of humans.<sup>356</sup> Amongst collections of *M. pachydermatis*, fluconazole consistently returns significantly higher MICs when compared with other clinically relevant imidazoles and triazoles,<sup>339,340,373,382</sup> leading to questionable predicted clinical utility of this agent in dogs and cats, pending development of clinical breakpoints and further clinical studies.<sup>383</sup>

In 2010 a study reported the first itraconazole and ketoconazole "resistant" isolate of *M. pachydermatis*, originating from canine seborrhoeic dermatitis.<sup>337</sup> Subsequently, it was reported that Italian clinical isolates of *M. pachydermatis* from canine skin lesions had low susceptibility to azoles compared with those from normal canine skin.<sup>338</sup> Another study expressed concern about the phenomenon in the worldwide context, given that secondary infections with *M. pachydermatis* in cAD are frequently treated using azole-containing shampoos.<sup>342</sup> These authors investigated azole (itraconazole and ketoconazole) susceptibility amongst *M. pachydermatis* isolates from cAD cases in East Asia over a two year period (2010 and 2011) by Etest using Sabouraud's dextrose agar supplemented with 0.5% Tween 40.<sup>342</sup> MICs amongst isolates from cAD isolates were significantly higher than the isolates from healthy dogs, in parallel to studies of isolates from healthy and seborrhoeic dogs from the same region.<sup>384</sup> Treatment failure in a dog with idiopathic *M. pachydermatis*

dermatitis that had received years of previously successful treatment using a combination of pulse oral itraconazole and topical therapy was associated with elevated MICs to azoles *in vitro*.<sup>385</sup>

Similar observations were made in studies of *M. pachydermatis* isolates from dogs with chronic otitis externa. One study reported that most *M. pachydermatis* isolates from canine otitis externa cases were inhibited by clotrimazole, miconazole and thiabendazole, at concentrations of 2-8 (clotrimazole), 1-4 (miconazole) or 16-32 (thiabendazole) µg/mL.<sup>345</sup> MICs were higher in *M. pachydermatis* isolates from chronic otitis dogs that had been previously treated with various topical ear products containing miconazole and clotrimazole.<sup>360</sup> Another study examined *in vitro* antifungal susceptibility of *M. pachydermatis* isolated from cases of canine otitis clinically nonresponsive to either topical miconazole or clotrimazole and recognized MICs above the limit of detection for miconazole and clotrimazole in some isolates.<sup>386</sup> Another study reported that high MICs of itraconazole and ketoconazole in a strain of *M. pachydermatis* from canine dermatitis were associated with missense mutations in the *ERG11* gene.<sup>387</sup> An additive effect of itraconazole in combination with tacrolimus<sup>387</sup> likely reflected calcineurin-inhibitor binding to the immunophilin FKBP12, as shown previously for additive interactions with fluconazole in *M. furfur* and *M. sympodialis*.<sup>131</sup>

It is important to note that products formulated for treatment of canine otitis routinely contain concentrations of the drug that are at least 650 times the MIC of the wild-type target pathogen. Therefore, antifungal concentrations in topical products typically exceed, by several orders of magnitude, the highest MIC values reported to date for azole-resistant strains.

#### 11.5.3. Biofilms

Biofilms, wherein groups of adherent microbial cells become embedded in an extracellular polymeric matrix, may protect the microbe from the host immune system and reduce susceptibility to antimicrobial drugs.<sup>160</sup> One study evaluated the *in vitro* antifungal susceptibility of *M. pachydermatis* strains, in both their planktonic and sessile (biofilm production) forms to fluconazole, posaconazole, voriconazole, miconazole, ketoconazole, itraconazole and terbinafine; MIC values were increased by 3-6 two fold dilutions in the sessile form.<sup>382</sup> Another study reported an increase in itraconazole and ketoconazole MICs by nine two-fold dilutions (<0.03 to >16 µg/mL) following biofilm formation in *M. pachydermatis*, either alone or when co-cultured with *Candida parapsilosis*.<sup>388</sup> A further study also reported that effective concentrations (EC<sub>50</sub>) of ketoconazole and itraconazole MICs increased by 18-169 and 13-124 times respectively against *M. pachydermatis* in biofilms when compared to planktonic forms.<sup>389</sup> Another study reported reduced susceptibility of *M. pachydermatis* biofilms to azoles, terbinafine and amphotericin B.<sup>390</sup> The significance of these important observations for therapy in clinical practice remains to be determined.

### 11.5. Conclusions: clinical context and implications for practice

Testing for resistance is hampered by the unsuitability of the current CLSI and EUCAST reference methods, lack of an agreed modified protocol optimized for *M. pachydermatis* and absence of clinical breakpoints for either systemic or topical therapies. Despite these critical limitations, current data suggest that the vast majority of field isolates of *M. pachydermatis* are routinely susceptible to most relevant azoles (miconazole, clotrimazole, itraconazole, posaconazole and ketoconazole). However, the evidence that reduced susceptibility of *M. pachydermatis* to commonly used antifungal drugs may develop under both field and laboratory conditions highlights the need for surveillance and vigilance for the emergence of clinically-relevant resistance. This is especially important in cases of cAD, seborrhoeic dermatitis and chronic otitis externa where repeated treatments are commonly utilized. Improved and agreed reference methods designed to overcome the specific growth requirements of *Malassezia* spp. are therefore urgently required.

## 12 Clinical presentations in dogs

### 12.1 Signalment

*Malassezia* dermatitis can potentially occur in dogs of any age, sex or breed, but signalment related predispositions have been reported. *Malassezia* dermatitis is often first diagnosed in dogs between one and three years of age,<sup>391</sup> as might be expected in a disease that is frequently secondary to atopic dermatitis or due to genetic predisposition. Important breed predispositions are discussed in section 7.1.<sup>67,241,242</sup> There is no sex predisposition.

### 12.2 Historical features

*Malassezia* dermatitis typically presents as a pruritic dermatosis or otitis and it is a major differential diagnosis in any dog with those presenting signs. The severity of pruritus can vary, ranging from mild to very severe. In one report, the onset of clinical signs coincided with the summer or humid months and then persisted into winter,<sup>392</sup> but this apparent seasonality has not been verified to be independent of an underlying seasonal allergy. The pruritus can manifest as face rubbing, head shaking, ear scratching, paw licking/chewing, anal scooting or generalized scratching, depending on the location of infection.<sup>392</sup> A pruritic facial hyperaesthesia is occasionally seen in association with *Malassezia* cheilitis.<sup>67,392</sup>

### 12.3 Skin lesions and distribution

The skin lesions in dogs with *Malassezia* dermatitis can be localised or generalised.<sup>70,267,392-394</sup> Regional dermatitis commonly occurs on the muzzle, lips, ventral neck, axillae, ventral abdomen, medial hindlimbs, interdigital skin, perineum and in the external ear canal and intertriginous areas. *Malassezia* paronychia may occur in some cases. Some clinicians have observed anecdotally that a localized area of *Malassezia* overgrowth can occur following persistent licking. On examination, skin lesions are characterised by diffuse erythema and variable amounts of kerato-sebaceous scale that can be brown (Figure 4), yellow or grey in colour.<sup>330,392</sup> The skin and hair coat may become greasy and self-induced alopecia can occur due to the pruritus. When paronychia is involved, there is reddish-brown staining of the claws (Figure 5) or hair, with inflammation of the surrounding soft tissue. Chronic cases can have marked lichenification. Hyperpigmentation can also occur with chronicity, depending on the breed; for example, West Highland white terriers typically develop this change with chronic disease (Figure 6), whereas basset hounds typically do not.<sup>145</sup> Dogs with generalised lesions often have an offensive, rancid odour.

Although the occurrence of severe generalized alopecia, lichenification and hyperpigmentation in the West Highland white terrier breed has been reported previously as a form of genetic epidermal dysplasia,<sup>244</sup> many veterinary clinicians believe this condition is a severe manifestation of allergic skin disease and concurrent *Malassezia* dermatitis. Similarly, historical reports of an idiopathic seborrhoea non-responsive to etretinate in West Highland white terriers and basset hounds may have reflected untreated *Malassezia* dermatitis.<sup>395</sup>

*Malassezia* overgrowth in the ears typically results in a pruritic, erythematous, ceruminous otitis externa which results in the accumulation of a brownish discharge. As on the skin surface, the medial aspect of the pinna can become lichenified in chronic cases.

It is uncertain how the range of immunological hyper-responsiveness to *M. pachydermatis* present in dogs with *Malassezia* dermatitis (none, immediate, delayed, contact; section 6) influences the spectrum of lesion type and severity in affected dogs.

### 12.4 Concurrent diseases

Many dogs with *Malassezia* dermatitis have concurrent dermatoses, especially hypersensitivity disorders, ectoparasitic infestation, bacterial pyoderma, endocrinopathies or cornification defects (section 7). This can complicate the diagnosis, or lead to misdiagnoses, due to overlapping of clinical signs. These diagnostic challenges are compounded by lack of direct correlation between yeast population density and clinical signs (section 8.5). However, it is also important to remember that in some cases, especially in predisposed breeds, there is no identifiable underlying cause and the dog's skin disease may respond completely to antifungal therapy.

### 12.5 Conclusions: clinical presentations in dogs

Erythema, usually with kerato-sebaceous scale, and pruritus (minimal, mild, moderate or severe) dominates the clinical presentation, often favouring intertriginous zones. There may be concurrent hyperpigmentation, lichenification, malodour, traumatic alopecia and otitis externa. Some cases present with paronychia with claw fold erythema and swelling, waxy or crusty brown exudate, red-brown claw staining, or frenzied facial pruritus with varying, sometimes subtle, cheilitis or erythema of chin / perioral skin.

## 13. Clinical presentations in cats

### 13.1 *Malassezia* dermatitis and paronychia

The clinical presentation of feline *Malassezia* dermatitis varies markedly depending on the underlying disease (section 7). *Malassezia* yeasts (chiefly *M. pachydermatis*) have been associated with a greasy seborrhoeic dermatitis in cats with or without concurrent paronychia.<sup>396</sup> Predisposing diseases include poorly defined genetic factors (in Devon Rex and sphynx cats),<sup>80,86,115,116</sup> feline atopic dermatitis (which may present with concurrent bacterial pyoderma),<sup>85,261,287,397</sup> adverse food reaction,<sup>261,287</sup> flea bite hypersensitivity,<sup>287</sup> although some recurrent cases are idiopathic despite diagnostic investigation.<sup>74,287</sup> There is conflicting evidence for diabetes mellitus as a predisposing cause.<sup>82,287,398</sup> Feline immunodeficiency virus-positive cats have been shown to carry more *Malassezia* organisms compared with normal cats but this was not associated with any clinical signs.<sup>399</sup>

Affected Devon Rex and sphynx cats typically show mild to marked greasy seborrhoea with alopecia (in Devon Rex) and hyperpigmentation or reddish-brown surface discolouration and variable erythema affecting the axillae, groin, ventral neck and predominantly ventral interdigital regions. This is commonly accompanied by a greasy, tightly adherent brown exudate on the claws and/or in the claw folds of multiple digits. Pruritus is not typically a feature.<sup>78,115</sup>

Less common presentations reported in Devon Rex cats include generalized seborrhoea sicca, a greasy seborrhoea affecting the ventral neck and head and pruritus.<sup>115</sup> In sphynx cats in particular, there may be some elevated *Malassezia* carriage (compared with normal cats) which may result in greasiness without overt inflammation or seborrhoea.<sup>85,116</sup>

Allergic cats show more variability in their presentation and may present with concurrent bacterial pyoderma.<sup>85,397</sup> Dermatitis may be localised or generalised with pruritus, alopecia, erythema and greasy exudate. The most commonly affected body regions include the face, chin, neck, limbs, abdomen and ear canals (see below). Less commonly affected regions include the tail and the pinnae, and in contrast with Devon Rex and sphynx cats, the interdigital regions and claw folds.<sup>85,261,287</sup>

Although uncommon, *Malassezia* overgrowth should be considered in every case of localised or generalised erythema associated with seborrhoea in cats.<sup>287</sup>

### 13.2 Feline idiopathic facial dermatitis

Feline idiopathic facial dermatitis is an uncommon skin disorder of presumed hereditary origin.<sup>400</sup> Persian, and anecdotally Himalayan, cats are predisposed.<sup>400-402</sup> Affected cats show progressive skin lesions on the face characterised by symmetrical erythema and exudate with black waxy material matting the distal hair particularly in facial folds, perioral, periocular and preauricular regions. Secondary excoriations may be present in severe cases. A concurrent bilateral erythematous otitis with black waxy exudate was reported in seven of 13 cats.<sup>400</sup>

*Malassezia* overgrowth has been found with cytology methods in approximately half of the reported cases, and more often with concurrent coccoid and/or rod bacterial overgrowth.<sup>400-402</sup> In one study five of 12 cats partly responded to antimicrobial agents. Of these cats, four received ketoconazole 10 mg/kg for 14-42 days either alone (2/4 cases) or with concurrent antibiotics (two of four cases). A partial reduction in pruritus and exudate was noted in three of four cats. A 2% chlorhexidine 2% miconazole shampoo three times weekly in one case was used to a good initial response but was subsequently withdrawn due to irritation. It was concluded based on the partial responses seen in some cats that concurrent infection may be a complicating factor in this disease.<sup>400</sup>

### 13.3 Feline paraneoplastic alopecia

Feline paraneoplastic alopecia is a clinically distinct disease that occurs secondary to carcinoma of the liver, bile duct, intestine and pancreas, as well as with a neuroendocrine pancreatic carcinoma and hepatosplenic plasma cell tumour.<sup>82,83,403-410</sup> The typical clinical presentation is of ventral alopecia with inelastic, thin, smooth and shiny but not fragile skin; adjacent hairs can be easily epilated. Limbs, paws and ears may be variably affected and dry crusting and fissuring of the footpads is occasionally noted. It is a non-pruritic disease unless there is significant secondary *Malassezia* (or bacterial) infection, usually manifest by crusts and brown exudate.<sup>404,406-408 405,409,410</sup> Systemic azoles (itraconazole 5-10 mg/kg once daily<sup>287</sup> and ketoconazole 5 mg/kg twice daily<sup>406</sup>) have been used in a limited number of cases to successfully treat concurrent *Malassezia* infections, resulting in reduced pruritus, better quality of life and reduction of inflammatory changes on histopathology, albeit without improving the poor prognosis associated with frequently metastatic neoplasia.<sup>83,406</sup>

### 13.4 Thymoma-associated exfoliative dermatitis

Significant colonisation of the skin with *Malassezia* organisms may be associated with some cases of thymoma-associated exfoliative dermatitis. This is a paraneoplastic syndrome characterised by a generalised marked inflammatory exfoliation with patchy hair loss and easily epilated hair, and variably thickened skin which may be fissured or ulcerated. While this disease has been reported a number of times, only two reports confirmed associated *Malassezia* overgrowth.<sup>253,411</sup> The remaining reports could not definitively confirm the presence of a thymoma,<sup>83</sup> or failed to demonstrate yeast on histopathology, though skin cytology and/or culture was not noted to be performed in all cases.<sup>412-415</sup>

A single cat with thymoma and confirmed *Malassezia* overgrowth (confluent growth on contact plates) that was treated surgically and followed up showed no yeast growth on contact plate culture six months following surgical removal. The only additional treatment for the *M. pachydermatis* initially isolated in this time was two baths in a selenium sulphide shampoo, indicating a likely strong association between yeast overgrowth and the thymoma.<sup>253</sup>

### 13.5 Superficial necrolytic dermatitis

Superficial necrolytic dermatitis (syn. necrolytic migratory erythema, metabolic epidermal necrosis) has been very rarely reported in cats, in association with pancreatic carcinoma, thymic amyloidosis, hepatopathies and intestinal lymphoma.<sup>83,416-419</sup> Clinical signs include scaling and variable alopecia of the trunk and limbs, with or without pruritus, and ulceration and crusting of the oral mucocutaneous junctions and interdigital regions. Two authors have reported secondary *Malassezia* dermatitis in conjunction with this presentation.<sup>83,420</sup>

### 13.6 Feline acne

*Malassezia* organisms have been identified with cytology methods and/or histopathology in 16% (four of 25) to 18% (four of 22) of reported cases of feline acne.<sup>254,421</sup> *Malassezia* organisms in feline chin acne have been identified not only on the skin surface, but also occasionally within comedones.<sup>422</sup> There is some debate over the role of the pathogenicity of *Malassezia* spp. in chin acne,<sup>421</sup> although two cases were reported in conference proceedings which responded poorly to antibiotics, had yeast organisms on both histopathology and cytology samples, and showed a good clinical and mycological response to a 30 day course of oral ketoconazole.<sup>74</sup>

### 13.7 Otitis externa

*Malassezia pachydermatis* is the predominant *Malassezia* yeast isolated from cats' ears; frequency of isolation is increased in cats with otitis externa.<sup>423</sup> In addition, *M. furfur* has been isolated from the ear of a healthy cat, whereas *M. sympodialis*<sup>56,76,424</sup> and *M. nana*<sup>24,116</sup> have been recovered from both healthy cats and cats with otitis externa. Whilst many healthy cats have ear canals apparently devoid of *Malassezia* yeasts, despite attempts to associate particular yeast counts with ear disease,<sup>425</sup> there is a subset of cats which can carry large numbers of yeast in their ear canals without evidence of otitis externa.<sup>114,398,426</sup> It has been suggested that the finding of excessive otic *Malassezia* organisms in the absence of clinical signs may potentially be indicative of occult systemic disease.<sup>114</sup>

Some feline cases of otitis externa are associated with *Malassezia* yeasts as the sole pathogen,<sup>424,427,258,426</sup> whereas concurrent bacteria<sup>426,427</sup> and/or *Otodectes cynotis* mites<sup>398,427</sup> are commonly identified. Affected cats usually present with excessive dark to black waxy to flaky exudate, erythema, with variable otalgia, canal wall hyperplasia, pruritus and odour.<sup>79,398,424</sup> Underlying diseases or co-morbidities include otocariasis,<sup>258,398</sup> atopic dermatitis,<sup>85,287,397</sup> and feline idiopathic facial dermatitis,<sup>400</sup> although some cats have no identified predisposing diseases.<sup>258,424</sup>

Interestingly, unlike the situation in seborrhoeic basset hounds,<sup>98,145</sup> there is no evidence for an increased prevalence of *Malassezia* otitis in seborrhoeic Devon Rex and sphynx cats prone to *Malassezia* dermatitis.<sup>80,115</sup>

### 13.8 Conclusions: clinical presentation in cats

Erythema, usually with kerato-sebaceous scale, and pruritus (minimal, mild, moderate or severe) dominates the clinical presentation. There may be concurrent otitis externa and an observed breed predilection (Devon rex, sphynx). *Malassezia* dermatitis might feature in cats that present with a phenotype of allergic skin disease, idiopathic facial dermatitis (Persian / Himalayan), feline acne and serious internal medical disorders such as feline paraneoplastic alopecia and thymoma-associated exfoliative dermatitis.

## 14. Summary of the diagnostic approach

### **14.1 Dog or cat presents with inflammatory skin disease potentially associated with *Malassezia* yeasts** (clinical features are summarised in sections 12.5 and 13.8)

### **14.2 Establish whether *Malassezia* yeasts can be identified cytologically (or by quantitative culture using contact plates or detergent scrub; not routine swab culture) in lesional areas. Counts may be high but not necessarily so.**

YES Initiate trial therapy with appropriate topical and or systemic antifungal product.  
NO Sample more sites; use an alternative sampling method; reconsider diagnosis.

Additional diagnostic evaluations and treatments may be indicated at first presentation depending on the clinical signs, including cases where signs suggest, for example, paraneoplastic disorders in cats.

### **14.3 Evaluate the clinical and mycological response to appropriate topical (three to four weeks) and or systemic (two to four weeks) antifungal therapy**

- COMPLETE CLINICAL & MYCOLOGICAL RESPONSE - diagnose *Malassezia* dermatitis; consider an underlying cause.
- PARTIAL CLINICAL, COMPLETE MYCOLOGICAL - diagnose *Malassezia* dermatitis; investigate/treat ongoing underlying skin disease.
- NO CLINICAL RESPONSE, COMPLETE MYCOLOGICAL - consider yeast presence incidental to other inflammatory disease.
- PARTIAL CLINICAL & MYCOLOGICAL RESPONSE - suspect *Malassezia* dermatitis; review compliance; extend or intensify antifungal therapy.
- NEITHER CLINICAL OR MYCOLOGICAL RESPONSE - review compliance; consider abnormal drug absorption or metabolism, or drug resistance; change antifungal treatment and re-assess.

## 15. Therapy

### 15.1 General considerations

Treatment of *Malassezia* dermatitis typically involves the use of topical and/or systemic antifungal medications.<sup>393</sup> Topical treatments such as shampoos, gels and lotions are appropriate for *Malassezia* dermatitis since the yeast is located within the stratum corneum. Shampoos are particularly interesting because they have a mechanical action that may reduce scaling and greasy exudation; some are formulated with keratoregulating agents such as phytosphingosine, ammonium lactate, zinc gluconate and salicylic acid.<sup>428</sup> Although some clinicians favour other topical formulations and combinations (such as mousse, wipes, sprays, particularly in skin folds), these products currently require further evaluation to justify their therapeutic recommendation. Systemic therapies are often more expensive than topical therapies but may be necessary in cases where topical therapy is challenging for the owner / patient affiliation or otherwise ineffective. However, a combined topical / systemic approach may be optimal in some dogs with generalized and/or severe lesions: one study (published in abstract form) showed that a combination of oral ketoconazole and 2% miconazole /2% chlorhexidine shampoo was more effective and allowed a better speed of cure than either topical or systemic treatment used alone.<sup>429</sup> It is also important to diagnose and treat the underlying cause responsible for the proliferation of the yeast (section 7).

### 15.2 Development of the consensus on therapy

Many *in vitro* studies have been published showing the potential activity of various molecules against yeast and notably *Malassezia* spp. (reviewed in section 10). Unfortunately trials assessing the *in vivo* efficacy of antifungal treatment for *Malassezia* dermatitis in dogs are few in number and commonly involve only small group sizes with resultant low statistical power. This is quite surprising if we consider that *Malassezia* dermatitis is a very common condition in veterinary medicine. In cats data are even less numerous and only open studies have been reported.

The authors approached the development of consensus guidelines on therapy on two levels. Firstly, we performed a systematic search and review of relevant published trial data with grading of the study quality to provide evidence based conclusions on the strength of recommendation, updating a previous review.<sup>430</sup> Secondly, we provided summary practice guidelines that are primarily evidence-based and drawn from part one and included a component of expert opinion where the evidence based data is limited or absent. Thus, our concluding summary falls between the definitions of "Practice Guideline (Evidence-Based)" and "Practice Guideline (Consensus)".<sup>2</sup>

For the systematic review we utilised the Strength of Recommendation Taxonomy (SORT), a comprehensive taxonomy for evaluating the strength of recommendation based on a body of evidence and the quality of individual studies (Table 2).<sup>2</sup> This schedule emphasises the use of patient-oriented outcomes and is designed to simplify the interpretation of studies and facilitate their incorporation into evidence-based patient care. Studies for review were identified by electronic searches of the PubMed (National Center for Biotechnology Information, US National Library of Medicine 8600 Rockville Pike, Bethesda MD 20894, USA) and CAB Direct (Centre for Agriculture and Bioscience International, Wallingford, UK) databases (keywords were *Malassezia*, treatment, dog, cat) and manual searches of *Advances in Veterinary Dermatology*, Volumes 1- 8 (no relevant studies identified). The studies were included if presented in full or abstract form in a peer-reviewed journal; studies presented only in conference proceedings were not evaluated due to likely infrequent availability to the wider veterinary dermatology community.

### 15.3 Review of trial data in dogs: systemic treatments

#### Azole derivatives

##### 15.3.1 Ketoconazole

In spite of the widespread practice use of ketoconazole to treat *Malassezia* dermatitis, only a few studies evaluated the efficacy of this azole *in vivo*. Studies were either randomized and controlled,<sup>146,383,429,432</sup> or controlled without blinding<sup>433</sup> (Table 3) involving relatively small group sizes (7-12 dogs). Together, these studies indicated that the use of ketoconazole at an oral dosage of 5-10 mg/kg/day is most often either completely or partially effective, when given fasted, to improve both clinical signs and cytological or colony counts from dogs suffering from *Malassezia* dermatitis (Strength of Recommendation (SoR) B-moderate). The length of treatment varied between three and four weeks in most cases. Whilst one un-blinded randomised study of 20 dogs suggested that there was no significant difference in efficacy between 5 and 10 mg/kg/day of ketoconazole administered once daily for three weeks,<sup>433</sup> there is insufficient evidence to comment specifically on the efficacy of the various doses used (5 or 10 mg/kg, once or twice daily). Reports of improved efficacy with KTZ at doses of 10 mg/kg twice daily<sup>391</sup> do not appear to have been subjected to critical comparative trials. Adverse reactions are regularly reported with the use of ketoconazole in dogs; a retrospective study of 632 dogs that received a median daily dose of 10 mg/kg reported adverse effects in



14.6%, including primarily vomiting (7.1%), anorexia (4.9%), lethargy (1.9%) and diarrhoea (1.1%).<sup>434</sup> Adverse effects were significantly more frequent in dogs receiving concurrent ivermectin or ciclosporin.<sup>434</sup>

### 15.3.2 Itraconazole

Itraconazole appears to be effective based on the few clinical trials that have evaluated its efficacy for the treatment of canine *Malassezia* dermatitis<sup>432,435</sup> (Table 4; SoR B-moderate). Two were comparative versus ketoconazole. Therapeutic doses recommended are variable with 5 mg/kg once daily, or for two consecutive days a week, being most often used. In two relatively small studies there were no significant differences in any results between itraconazole pulse therapy (two days on, five days off) and the daily administration of either itraconazole or ketoconazole.<sup>432,435</sup> The pulse therapy approach reflects predicted accumulation of this lipophilic drug in the stratum corneum<sup>436</sup> and reduces costs and likely also side-effects of this relatively well-tolerated azole. Adverse effects seem to be less frequent with itraconazole compared to ketoconazole: transient vomiting and decreased appetite were relatively rare in the published studies (four of 49 cases).

### 15.3.3 Fluconazole

Only one study evaluated the use of fluconazole for the treatment of *Malassezia* dermatitis in dogs.<sup>383</sup> This double-blind randomized controlled study comprising 25 dogs showed that fluconazole at 5-10 mg/kg orally once daily administered with food was as effective as ketoconazole at 5-10 mg/kg orally once daily for controlling the clinical and cytological signs of *Malassezia* dermatitis (Table 5). Concurrent use of cefalexin in both treatment groups limits full clinical interpretation (Level of Evidence [LoE] 2; SoR B-moderate). Transient side effects of vomiting [n=5] and or diarrhoea [n=2] were seen in six of 13 dogs.

## Allylamine derivatives

### 15.3.4 Terbinafine

One study showed that oral terbinafine at 30 mg/kg orally once daily for 21 days reduced yeast counts (assessed by contact plates) in a group of seven basset hounds with high skin population densities of *M. pachydermatis* but without dermatitis; mycological efficacy was numerically but not statistically inferior to oral ketoconazole at 10 mg/kg once daily.<sup>284</sup> This low-powered study was not included in the SORT analysis because the outcome is disease-orientated (yeast count) rather than patient-oriented (no clinical scoring) (LoE 3).<sup>2</sup> The efficacy of terbinafine was subsequently assessed in two clinical trials, either randomized and controlled by a different interval of dosing of terbinafine, or in a small study with concurrent cefalexin therapy (Table 5; SoR C-weak).<sup>146,437</sup> Monitoring of serum hepatic enzymes has been recommended for dogs receiving daily oral terbinafine in view of reports of reversible, mild-moderate elevations of alanine aminotransferase and serum alkaline phosphate.<sup>437,438</sup> A study designed to integrate pharmacokinetic data with previous MIC data in 10 healthy dogs treated with 30 mg/kg orally once daily for 21 d indicated that terbinafine does not achieve high stratum corneum and sebum concentrations compared with serum values; achieved concentrations barely exceeded previously reported *in vitro* MIC values.<sup>354</sup> These and other pharmacokinetic data might indicate preferential utility for systemic mycoses,<sup>439</sup> or the need for further dose optimisation for superficial mycoses in dogs.

## 15.4 Review of trial data in dogs: topical treatments

### 15.4.1 Miconazole and chlorhexidine

Miconazole is an azole derivative present in various shampoos, creams or lotions. A combination of 2% miconazole and 2% chlorhexidine in a shampoo formulation is licensed for the treatment of canine *Malassezia* dermatitis in a number of countries, primarily in Europe and Australia. Consistent high efficacy has been reported in randomised controlled trials (RCT) (Table 6; SoR A-strong). In one RCT study, clinical efficacy was associated with marked reductions in skin population densities of both *M. pachydermatis* and total bacteria / staphylococci, assessed using a detergent scrub technique.<sup>145</sup> In the second RCT, yeast counts were assessed by tape-strips whereas bacterial populations were not assessed.<sup>271</sup> Adverse effects to miconazole / chlorhexidine were not reported amongst the 48 dogs treated in these two studies,<sup>145,271</sup> although the UK data sheet of the product used mentions 'very rare' or 'exceptional' pruritic or erythematous reactions (<http://www.noahcompendium.co.uk/?id=-449936>).

### 15.4.2 Other chlorhexidine products

In a RCT, a 3% chlorhexidine shampoo was reported to be more than 50% effective in 18 of 22 treated dogs<sup>271</sup> (Table 6; LoE 1; SoR B-moderate) and was judged not inferior to 2% miconazole and 2% chlorhexidine. Four dogs had transient and self-limiting side effects; the UK data sheet reports that self-limiting pruritus and erythematous reactions are 'common' and that conjunctival inflammation may occur. A 3% chlorhexidine and 0.5% climbazole shampoo was compared with a 2% miconazole and 2% chlorhexidine shampoo in a blinded design in 16 basset hound dogs (seven healthy, nine greasy) by a single application of each product to one side of the body.<sup>440</sup> Both yeast counts (by contact plates) and clinical scores reduced during the fourth day trial period but clinical interpretation was limited by the varied clinical status of the subject dogs in this published abstract (LoE 3).



#### 15.4.3 Miconazole

A small RCT of 1% and 2% miconazole conditioners of low statistical power showed reductions in clinical scores and yeast counts but no statistical difference when compared with vehicle control (LoE 2).<sup>441</sup>

#### 15.4.4 Climbazole

A 2% climbazole shampoo had rapid mycological efficacy in a small disease-oriented (contact plate yeast count) rather than patient-oriented (no clinical scoring) RCT involving six treated and five control beagle dogs without skin lesions (SoR not applicable).<sup>285</sup> Similarly, a short, open study of wipes containing 0.5% climbazole, 0.3% chlorhexidine and Tris-EDTA assessed only yeast counts but not clinical parameters.<sup>286</sup>

#### 15.4.5. Enilconazole

A 0.2% enilconazole lotion is licensed in some countries for the topical therapy of dermatophytosis in dogs, cattle and horses. Only one retrospective study of 12 cases of generalised *Malassezia* dermatitis reported treatment with a 0.2% enilconazole lotion (frequency of application not detailed) in association with oral ketoconazole.<sup>442</sup> Although a complete mycological recovery was described, no specific report of clinical improvement was available (LoE 3; SoR not applicable).

#### 15.4.6. Others

In a RCT involving 35 dogs, 20 received a commercially-available mixture of essential oils (Malacalm, Flora Slr Oli essenziali, Lorenzana, Italy) twice daily for one month, 10 received oral ketoconazole 10 mg/ kg orally once daily and 2% chlorhexidine twice weekly and five dogs served as untreated controls.<sup>443</sup> Whilst the authors reported a >50% improvement in clinical scores in nine of 10 of dogs treated with essential oils, and in all of the dogs treated with ketoconazole and chlorhexidine, interpretation is limited by unclear randomisation and blinding procedures, and failure to report pre- and post-treatment group clinical scores (mean or median, range) in the three treatment groups (LoE 2; SoR C-weak). An unusual feature of this study was reported lack of relapse 150 days post-treatment in all of the essential oil-treated dogs. Further evaluation of this product is warranted.

Selenium sulphide, piroctone olamine, benzalkonium chloride, triclosan formulated either in gels, shampoos, lotions, sprays or spot-on are also available but there is insufficient evidence to recommend their use at this time. In an open case series published in abstract form, a shampoo combination of piroctone olamine and ammonium lactate and a lotion (piroctone olamine and salicylic acid) reduced clinical scores and cytological yeast counts in 14 seborrhoeic dogs with high *Malassezia* counts (LoE 3);<sup>444</sup> these data should be confirmed in a RCT.

### 15.5 Review of trial data in cats

In cats only open case series have been described, using oral itraconazole at varying doses and intervals (Table S7, LoE 3, SoR C-weak).<sup>85,86,287</sup> As for dogs, topical antifungal agents such as miconazole, chlorhexidine or climbazole are likely to be beneficial but there is no data to substantiate this, other than anecdotal reports.

### 15.6 Antifungal drug formulation

The relatively high cost of certain innovator-formulated drugs such as itraconazole has stimulated the compounding of bulk powder formulations by pharmacists in an attempt to reduce costs to clients. This is legal in some (but not all) countries under certain conditions. In a randomised cross-over study involving nine healthy beagle dogs, neither generic nor compounded itraconazole was bioequivalent to the innovator-formulated product.<sup>445</sup> Pharmacokinetic analyses showed that the compounded formulation had very low absorption and bioavailability (5% of innovator product), yielding likely ineffective plasma concentrations, whereas the generic and reference products were broadly similar to each other. In a similar study of eight healthy cats, itraconazole compounded in both capsules and suspension was absorbed poorly and inconsistently.<sup>446</sup> In a field study of 95 dogs and 20 cats receiving either compounded, generic or innovator-formulated itraconazole for systemic mycoses, sub-therapeutic concentrations were detected in 95.2% of animals receiving the compounded formulation but in only 12.5% or less of the animals treated with generic or reference formulations.<sup>447</sup> The poor bioavailability of itraconazole in compounded formulations and in some cases treatment failures, has been attributed to the absence of cyclodextrin, a carrier compound shown to improve gastro-intestinal absorption.<sup>448,449</sup> A study of compounded fluconazole suspensions showed poor pharmaceutical accuracy (median 74% of target concentration).<sup>450</sup> These data indicate that compounded azole formulations must not be used; innovator-formulated or proven generic formulations are preferred. There appears to be no published data on the comparative efficacy of different topical formulations containing the same or similar ingredients. This may be especially relevant with over-the-counter formulations where regulatory assessment of product quality may be less exacting and with molecules such as chlorhexidine whose stability may vary under different conditions.<sup>451</sup> Both published RCT of a 2%

miconazole and 2% chlorhexidine shampoo with strong evidence of efficacy utilised the same innovator-formulated licensed product (Malaseb, Derm-care Vet, Slacks Creek, Queensland, Australia);<sup>145,271</sup> one of these studies also showed good activity from a licensed 3% chlorhexidine shampoo (Microbex®, VIRBAC SA, Carros, France).<sup>271</sup>

### **15.7 Conclusions: consensus guidelines for treatment of *Malassezia* dermatitis in dogs and cats.**

Amongst the various treatments utilised for *Malassezia* dermatitis in dogs, strong evidence (SoR A) is available only for the use of a 2% miconazole and 2% chlorhexidine shampoo, used twice weekly (two RCT with LoE 1). This may be considered to be the topical treatment of first choice, where available and locally approved, and when owners are able to apply the product effectively. Moderate evidence (SoR B) is available for a 3% chlorhexidine shampoo (a single study of LoE 1).

For **canine** *Malassezia* dermatitis, there is moderate evidence (SoR B) for the use of ketoconazole at 5-10 mg/kg orally once or twice daily (five studies of LoE  $\geq 2$ ); and itraconazole at 5 mg/kg orally once daily or two consecutive days per week (two studies of LoE  $\geq 2$ ). Based on current limited evidence, the use of either of these two azoles is justified in canine cases and the final choice may depend on regional differences in availability, regulatory status and cost. Rationale for itraconazole instead of ketoconazole includes the potential for intermittent dosing and a perceived tendency for itraconazole to be better-tolerated. However, definitive statistical evidence of superior safety and/or efficacy is lacking and cost-benefit analysis makes ketoconazole a more practical choice in some countries. Compounded formulations must be avoided due to unreliable bioavailability. Evidence for fluconazole is limited to a single study (LoE 2) where it was used at 5-10 mg/kg orally once daily in conjunction with cefalexin. Thus fluconazole requires further assessment, especially since *in vitro* MIC values are routinely the highest amongst antifungal azoles utilised in veterinary medicine (section 10); this may correlate with intermittent anecdotal reports of treatment failures. Terbinafine warrants further evaluation due to partial beneficial effects in two trials (SoR C-weak) and questionable stratum corneum concentrations in a pharmacokinetic study when given at the current dose of 30 mg/kg orally once daily.

In **cats**, there is weak evidence only (SoR C) for the use of itraconazole at doses of 5-10 mg/kg orally once daily; or 5 mg/kg on a seven days on / seven days off protocol. In view of this limited data, good safety profile and in line with guidelines for feline dermatophytosis,<sup>1</sup> itraconazole should be considered as the systemic azole of first choice in this species for *Malassezia* dermatitis. Topical chlorhexidine and azole products have not been evaluated although their use is intuitive as adjunctive or sole treatments where application is practicable and clinically appropriate, such as in localised infections.

## 16. Prevention of *Malassezia*-associated skin diseases in dogs and cats

### 16.1 Management of underlying diseases

As *Malassezia* yeasts are part of the normal cutaneous microflora, complete elimination of the organism is unrealistic even with effective treatment.<sup>452</sup> Relapsing infections are common where there is persistence of the underlying cause for the yeast overgrowth. The standard clinical approach is identification and treatment of underlying causes, whenever possible,<sup>393,396,452-454</sup> though there is surprisingly limited reported evidence to document its efficacy (Table S8).

### 16.2 Topical therapy

When predisposing factors cannot be identified or controlled in an animal suffering from recurrent *Malassezia* infections, regular topical or pulsed oral antifungal therapy have been recommended to minimise the frequency of infection relapses that cannot be managed by other means.<sup>396,452,455</sup>

Multiple topical therapies have been shown to be effective for treatment of *Malassezia* dermatitis in dogs and cats (section 15). In addition, because of a lower risk for toxicity, topical treatments have also been recommended (if practical and not causing irritation<sup>431,455</sup>) as preferable to systemic treatments for long-term therapy in chronically relapsing cases.<sup>453</sup> Furthermore, there is evidence that bathing dogs in 2% chlorhexidine / 2% miconazole shampoo every three days can reduce oral carriage of *Malassezia* organisms.<sup>99</sup> However, recommendations for weekly antifungal shampoo bathing as a preventive strategy to reduce the frequency of recurrent *Malassezia* dermatitis appear anecdotal rather than evidence-based.<sup>452</sup> Studies *in vitro* that demonstrate shampoo persistence on hairs may or may not be relevant to persistence on skin,<sup>453</sup> and studies *in vivo* on topical therapy persistence were conducted in normal dogs rather than in dogs with *Malassezia* dermatitis.<sup>285,286</sup>

In a comparative study of a commercial mixture of essential oils (Malacalm; Flora s.r.l., Lorenzana, Pisa, Italy) applied twice daily for one month to 20 dogs with recurrent *Malassezia* dermatitis, and combined ketoconazole (10 mg/kg orally once daily) and 2% chlorhexidine shampoo (twice weekly) for 21 days in 10 dogs, both groups showed good clinical and cytological improvements at day 30.<sup>443</sup> When reviewed at day 180, all conventionally treated dogs had reportedly relapsed whereas dogs treated with the essential oil formulation had not.<sup>443</sup> Interpretation of this study is limited by incomplete randomisation, clinical and cytological data in the publication and an absence of within and between-group statistical comparisons at day 180-time point; consequently this treatment cannot be recommended for prevention without further critical assessment.

With respect to prevention of recurrent *Malassezia* otitis, while studies *in vitro* have demonstrated antimicrobial efficacy of ear cleaners,<sup>456,457</sup> there are only two studies examining topical therapy for prevention of *Malassezia* otitis. A single study examining dogs with recurrent allergic otitis showed a favourable outcome to a once weekly ear cleaner containing chloroxylenol and cerumenolytics (Epiotic, Virbac Carros, France) and concurrent twice weekly three drops per ear of 0.0584% topical hydrocortisone aceponate solution (Cortavance, VIRBAC SA, Carros, France) (95% chance of remaining free of relapse at day 180) compared with weekly ear cleaner alone (50% chance of remaining infection free at day 180).<sup>458</sup> However, the lack of detail regarding the nature of the infection for both pre-treatment and relapsing otitis, makes a recommendation specifically for the prevention of *Malassezia* otitis difficult. A second open study examining twice weekly 2% acetic acid 2% boric acid topically showed the protocol unsuccessful in preventing relapse of *Malassezia* otitis beyond previously documented relapse intervals in six of eight cases.<sup>459</sup>

### 16.3 Pulsed oral antifungal therapy

Oral antifungal drugs might be given by an intermittent / pulsed dosing schedule to try to prevent recurrent *Malassezia* dermatitis (and otitis) in dogs and cats, particularly where topical therapy is either ineffective or impractical. Overall, published evidence that substantiates the efficacy of this approach is lacking. Whilst the clinical risk appears low, it should be noted that intermittent use of oral antifungal drugs could potentially predispose to development of antifungal resistance (section 11).<sup>355,385</sup> A dosing regimen of two consecutive days per week ("weekend therapy") has been investigated or proposed for three oral antifungal drugs. Terbinafine (30 mg/kg orally once daily, two days on / five days off) reduced yeast counts in tape-strips from dogs with *Malassezia* dermatitis but clinical resolution was considered complete in only one of 10 dogs at the end of 21 days.<sup>437</sup> Whether pulse therapy with terbinafine might prevent relapse of previously resolved infections remains to be determined, but doubts over skin concentrations achieved with current doses<sup>354</sup> makes use of this drug questionable.

Pulsed therapy with itraconazole (5 mg/kg orally once daily, two days on / five days off for three weeks) has been shown to be efficacious in the treatment of *Malassezia* dermatitis in dogs<sup>435</sup> and thus should be effective

as a preventative, although one report highlighted potential for development of antifungal drug resistance with pulse dosing (section 11.5.2<sup>385</sup>). By contrast, this regimen was not fully effective at reducing otic counts of *Malassezia* and therefore pulsed dosing cannot currently be recommended for prevention of otitis externa. There are only anecdotal reports of preventative efficacy of itraconazole for dermatitis at a once-weekly interval. Ketoconazole (5-10 mg/kg orally once daily, two consecutive days per week) has also been recommended anecdotally without any published studies at this dose,<sup>452</sup> although itraconazole is generally regarded as being a safer drug (section 15).

#### **16.4 *Malassezia* Allergen-Specific Immunotherapy**

Hyposensitisation to *Malassezia* by immunotherapy was initially proposed in 1998 as an alternative to extended or repeated administration of antifungal drugs in dogs with recurrent *Malassezia* dermatitis with demonstrated IgE to *Malassezia* antigens.<sup>246</sup> In a non peer-reviewed report on *Malassezia* immunotherapy (aqueous extract, 1,000 PNU weekly, Greer Laboratories, Lenoir, GA, USA) added to conventional immunotherapy for other allergens in four dogs with atopic dermatitis still prone to recurrent *Malassezia* dermatitis, results were reported as good to excellent in all cases.<sup>460</sup> A retrospective study reported a good response to subcutaneous alum-precipitated immunotherapy (Artuvetrin®, 100 µg/mL, ArtuVet Animal Health BV, Lelystad, Netherlands) using 1 mL per month (dose adjusted if required based on clinical response) for a minimum of 10 months. Nine of 16 *Malassezia* mono-sensitised dogs had an observed reduction in use of both anti-inflammatory and antifungal medication, as well as a >50% reduction in pruritus scores.<sup>461</sup> However, despite the improvement noted in both studies, the quality of evidence remains low and the significance of >50% improvement of pruritus is disputable as a meaningful measure of success.<sup>462</sup> Further studies are required to better assess the potential benefits of *Malassezia* allergen-specific immunotherapy as a preventative approach.

#### **16.5 Conclusions**

When predisposing factors cannot be controlled, regular treatment is often required to minimise relapses. Topical treatments are preferred to systemic treatments for long-term therapy because of a lower risk of toxicity. Topical prevention of *Malassezia* dermatitis in dogs might be achieved using 2% chlorhexidine / 2% miconazole or 3% chlorhexidine shampoo twice weekly, as has been previously recommended for treatment. Lesser frequencies may be useful in preventing relapse in some cases but there is currently no evidence to support this. Twice weekly topical hydrocortisone aceponate shows promise in the prevention of *Malassezia* otitis externa associated with allergic skin diseases. Pulsed therapy with itraconazole (5 mg/kg orally once daily, two days on / five days off for three weeks) has been shown to be efficacious in the treatment of *Malassezia* dermatitis (but not otitis externa) in dogs and thus should be effective as a preventative, although one report has highlighted potential for development of antifungal drug resistance with pulse dosing. There are only anecdotal reports of preventative efficacy of itraconazole for dermatitis at a once-weekly interval.

## 17 *Malassezia* yeasts as zoonotic agents

### 17.1 Background

Characterizing the zoonotic potential of pathogenic agents is always a difficult task. The concomitant presence of the same species or the same genotypes in humans and animals is a first indication but of course it is not absolute proof unless transmission from one host to another has been clearly demonstrated. The case of *Malassezia* yeasts is rather complex because many of the 18 currently described species have been found on the skin of animals as well as of humans (Table 1).

### 17.2 Skin colonisation in humans

Several species of *Malassezia* yeast are known to colonize healthy people as part of the commensal microbiome of human skin.<sup>33,121,463,464</sup> Spatial distributions of the species most commonly identified (*M. globosa*, *M. sympodialis* and *M. restricta*) may vary according to the age, body site and geographical location of the subjects studied.<sup>88,121,465</sup> The archetypal zoophilic species, *M. pachydermatis*, may also be isolated from healthy human skin by culture or detected by molecular techniques, especially from the face<sup>465,466</sup> and hands.<sup>466,467</sup> In a retrospective study of 32 *Malassezia* spp. isolates from human clinical specimens collected in 1984 at the Center for Disease Control in Atlanta (USA) 15 were identified as *M. pachydermatis*.<sup>468</sup> These last isolates were mainly from skin, tissue fluids (e.g. eye, ear, vagina) and four were isolated from blood. Skin colonization by *Malassezia* species in full term healthy newborns has been also investigated.<sup>469</sup> *Malassezia pachydermatis* was not isolated from the skin of human neonates, while *M. sympodialis* and *M. globosa* colonisation begins at birth and increases in the first weeks of life.

### 17.3 Genotyping

In epidemiological studies, genotyping of *Malassezia* yeasts may be required in order to identify the source of infection and to discover possible connection of genotypes with particular diseases. Different studies targeted various RNA or DNA regions in order to distinguish the molecular pattern of *M. pachydermatis* and to assess whether genotype classification was in accordance with host preferences. In one of these studies, the partial sequencing of large subunit rRNA in one hundred isolates resulted in the differentiation of seven different haplotypes, namely Ia, Ib, Ic, Id, Ie, If, Ig.<sup>303</sup> Haplotypes Ic, Id and Ig seemed to be specific to one animal species (rhinoceros, dogs and ferrets, respectively). Isolates from humans all belonged to haplotype Ia which was also detected in animals (especially dogs and cats). More recently, the spectrum of fungal species ("mycobiome") in the human skin was investigated using next generation sequence techniques.<sup>121</sup> In this study, 14 skin sites representing a range of physiological characteristics were sampled from 10 healthy adult volunteers. *Malassezia* yeasts predominated on most of the sampled body sites and 11 *Malassezia* species (including *M. pachydermatis*) were directly identified by rRNA gene sequencing from the different clinical samples.

### 17.4 Isolation of *Malassezia pachydermatis* from humans

Pathogenic roles for various *Malassezia* species have been described in association with several human skin diseases including atopic dermatitis, seborrhoeic dermatitis, folliculitis, psoriasis and pityriasis versicolor.<sup>88,464</sup> Among these diseases, *M. pachydermatis* has been most commonly isolated from human patients with seborrhoeic dermatitis.<sup>465,470,471</sup> However, it is difficult to assign a truly pathogenic role to *M. pachydermatis* in the context of these studies and its identification from surface samples of human skin is typically ascribed to contact with dogs (even though epidemiological data on pet contact is not always available). One exception has been the report of a facial granuloma in a dog owner, where *M. pachydermatis* was identified by electron microscopy in affected tissues, the yeast was grown and identified by standard microbiological methods and the patient responded to anti-fungal therapy.<sup>472</sup>

*Malassezia pachydermatis* has also been isolated with significantly higher prevalence from the sputum of asthmatic human patients (21.7%) than from healthy controls (0%).<sup>473</sup> The clinicopathologic significance of this finding, if any, is unknown and data on pet ownership was not collected from the subjects studied.

### 17.5 Zoonotic aspects

From a zoonotic perspective, the pathogenic role best documented for *M. pachydermatis* is a syndrome of life-threatening fungaemia that occurs in pre-term neonates while receiving lipid-rich nutritional infusions via catheter.<sup>474-480</sup> *Malassezia furfur* is the primary skin-colonizing species of human infants<sup>481</sup> and is therefore the species most commonly implicated in this syndrome;<sup>482</sup> however *M. pachydermatis* has also been clearly documented as an aetiological agent.<sup>474</sup> For example, an epidemiological investigation of an outbreak occurring in a neonatal intensive care unit (NICU) identified a single strain of *M. pachydermatis* – as determined by pulsed-field gel electrophoresis – which was isolated from 15 infants with sepsis, nine colonized infants, the hands of a nurse and three dogs owned by other health care workers in the NICU.<sup>474</sup> In another study, 47 out of 86 *M. pachydermatis* isolates collected from 25 neonatal patients at a French University Hospital were genotyped using intergenic-spacer 1 nucleotide sequence polymorphisms

analysis.<sup>476</sup> All 47 isolates clustered within sequence type 3 (most of them clustered within the 3D subtype, the remaining clustered within three newly described subtypes: 3E, 3F and 3G). No particular subtype was associated with a collection site or a particular time-period but multiple genotypes could colonize the same neonatal patient. The outbreak resolved upon implementation of infection control measures, including withdrawal of lipid-rich hand moisturisers from staff. In addition to this neonatal syndrome, *M. pachydermatis* has been implicated in severe systemic infections of immunocompromised adult patients.<sup>483,484</sup>

In a study designed to estimate the prevalence of *M. pachydermatis* hand carriage by dog owners, two groups of people were sampled by culture and PCR techniques: owners of 50 healthy dogs and owners of 75 atopic dogs with cytological evidence of *Malassezia* dermatitis and/or otitis.<sup>467</sup> When detection rates by hand culture were compared, owners of affected dogs were 11 times more likely to be positive for yeast isolation than owners of healthy dogs. However, there was no difference between groups when the highly sensitive PCR technique was utilized, as greater than 90% (70 of 75) of owners in each group had detectable hand carriage.<sup>467</sup> This study served to underscore the importance of good hand hygiene by health care professionals in whom mechanical carriage of many ubiquitous opportunistic pathogens is possible. Very little is known about hand washing agents and techniques (contact time etc.) that will effectively eliminate carriage of *Malassezia* yeasts from human hands. However, it is known that both chlorhexidine and polyhexanide have excellent *in vitro* activity against *M. pachydermatis*.<sup>485</sup> In addition, improved hand-washing practices eliminated an endemic problem with *M. pachydermatis* infections in a NICU.<sup>474</sup>

### **17.6 Conclusions**

Given the high prevalence of *M. pachydermatis* hand carriage by dog owners (as assessed by PCR)<sup>467</sup> and the relative rarity of serious human infections by this organism, it may be surmised that the overall risk for zoonosis is quite low, especially among people who are **not** severely immunocompromised. The need for good hand hygiene by individuals in contact with pet dogs and cats should be emphasised.

## Figure legends

**Figure 1** The numbers of publications on *Malassezia pachydermatis* by year (a) and for dogs (b) and cats (c) from 1900 to present day.

**Figure 2** Transmission electron micrograph of *Malassezia pachydermatis*.

Cells have a thick cell wall with characteristic inner spiralling. Monopolar blastic development is associated with a prominent bud scar. Scale bar = 500 nm.

**Figure 3** Tape-strip impression from the lip fold of a dog with *Malassezia pachydermatis* dermatitis.

Abundant ovoid to short cylindrical yeast cells with broad-based budding amongst squames; modified Wright-Giemsa stain ("Diff-Quik"), x50.

**Figure 4** *Malassezia* dermatitis in the neck fold of a bull mastiff.

There is a localised and demarcated area of focally intense erythema of thickened skin, with mild alopecia and kerato-sebaceous material matting the remaining hairs.

**Figure 5** *Malassezia* paronychia in a dog characterised by brown discoloration of the proximal claw.

**Figure 6** *Malassezia* dermatitis affecting the axillae of an atopic West Highland white terrier.

The chronic lesions are characterised by symmetrical areas of intense hyperpigmentation, severe lichenification, erythema and tightly adherent crust.

**Figure S1** Schematic structure of the ribosomal RNA gene in fungi

**Figure S2** Sequencing identification for biological classification level in fungi on each rDNA region

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Table 1. Summary of the taxonomy and ecology of the genus *Malassezia*

<b>Malassezia species</b>	<b>Description and authors [reference]</b>	<b>Synonym</b>	<b>Presence in animals</b>	<b>Presence in humans</b>
<i>M. furfur</i>	1889, (Robin) Baillon <sup>17</sup>	<i>Pityrosporum ovale</i>	HS (dogs, cats, others)	HS, PV, fungaemia
<i>M. pachydermatis</i>	1925, (Weidman) Dodge <sup>47</sup>	<i>P. pachydermatis</i> , <i>P. canis</i>	HS + LS (dogs, cats, many others, mostly canids)	HS (dog contact), fungaemia
<i>M. sympodialis</i>	1990, Simmons & Guého <sup>19</sup>	<i>M. furfur</i> serovar A	HS, OT (cats)	HS, AD, SD
<i>M. globosa</i>	1996, Midgley, Guého & Guillot <sup>20</sup>	<i>P. orbiculare</i> <i>M. furfur</i> serovar B	HS, OT (cats)	HS, PV, SD, AD
<i>M. obtusa</i>	1996, Midgley, Guillot & Guého <sup>20</sup>		–	HS, LS
<i>M. slooffiae</i>	1996, Guillot, Midgley & Guého <sup>20</sup>		HS (pigs, cat claws)	HS, LS
<i>M. restricta</i>	1996, Guého, Guillot & Midgley <sup>20</sup>	<i>M. furfur</i> serovar C	–	HS, SD
<i>M. dermatis</i>	2002, Sugita <i>et al.</i> <sup>21</sup>		–	HS, AD
<i>M. japonica</i>	2003, Sugita <i>et al.</i> <sup>22</sup>		–	HS, AD, SD
<i>M. nana</i>	2004, Hirai <i>et al.</i> <sup>24</sup>		HS (cats, horses), OT (cats, cattle)	–
<i>M. yamatoensis</i>	2004, Sugita <i>et al.</i> <sup>23</sup>		–	HS, SD
<i>M. caprae</i>	2007, Cabañes & Boekhout <sup>314</sup>		HS (goats)	–
<i>M. equina</i>	2007, Cabañes & Boekhout <sup>314</sup>	<i>M. equi</i>	HS, LS (horses)	–
<i>M. cuniculi</i>	2011, Cabañes & Castilla <sup>26</sup>		HS (rabbits)	–
<i>M. arunalokei</i>	2016, Honnavar, Rudramurthy, & Prasad <sup>27</sup>		–	HS, SD
<i>M. brasiliensis</i>	2016, Cabañes <i>et al.</i> <sup>28</sup>		HS (parrots)	–
<i>M. psittaci</i>	2016, Cabañes <i>et al.</i> <sup>28</sup>		HS (parrots)	–
<i>M. vespertilionis</i>	2018, Lorch <i>et al.</i> <sup>29</sup>		HS (bats)	–

– not reported; AD atopic dermatitis; HS healthy skin; LS lesional skin; PV pityriasis versicolor; SD seborrhoeic dermatitis; OT otitis.

Table 2 a) The Strength of Recommendation Taxonomy (SORT)  
(b) descriptors for Levels of Evidence (LoE) for individual studies

Strength of Recommendation	Definition <sup>2</sup>
A - Strong	Based on consistent and good quality patient-oriented evidence
B - Moderate	Based on inconsistent or limited quality patient-oriented evidence
C - Weak	Recommendation based on consensus, usual practice, disease-oriented evidence or case series

Table 2b

Level of Evidence	Definition for treatment studies <sup>2</sup>
1 - Good quality patient-oriented	High quality individual RCT, or meta-analysis of consistent RCTs
2 - Limited quality patient-oriented	Lower quality clinical trial, cohort study, case-control study
3 - Other evidence	Recommendation based on consensus, usual practice, disease-oriented evidence or case series

**Table 3. Clinical trials of oral ketoconazole in the treatment of canine *Malassezia* dermatitis in dogs**

Study	Design	Dose used	Clinical outcome	Adverse effects	Comment	LoE
Bensignor 2001 <sup>433</sup>	RCT-UB (10 dogs per group)	5 OR 10 mg/kg once daily	7/20 complete response, rest partial	In 10 mg/kg group: vomiting [n=2], anorexia [n=1], apathy [n=1]	Small group sizes; two KTZ doses compared	2
Rosales <i>et al.</i> 2005 <sup>146</sup>	RCT-B (seven dogs received KTZ)	5-10 mg/kg twice daily	4/7 >50 % clinical improvement	Nil	Small group sizes and concurrent cefalexin therapy limits interpretation. Compared with terbinafine.	2
Bensignor 2006 <sup>432</sup>	RCT-B (10 dogs received KTZ)	10 mg/kg once daily	4/10 complete response, 6/10 partial	Nil	Small group sizes; compared to pulse ITZ	2
Bensignor <i>et al.</i> 2012 <sup>429</sup>	RCT-B (10 dogs)	10 mg/kg once daily	83% improvement at 6 weeks	Not specified	Abstract publication only; compared to shampoo alone or combined with KTZ	2
Sickafoose <i>et al.</i> 2010 <sup>383</sup>	RCT-B (12 dogs received KTZ + cefalexin)	5-10 mg/kg once daily	7/12 >50 % clinical improvement	6/12 dogs: transient anorexia [n=2], vomiting [n=3], vomiting and soft stool [n=1]	Concurrent cefalexin therapy limits interpretation. Compared with FCZ.	2

FCZ fluconazole; ITZ itraconazole; KTZ ketoconazole; LoE level of evidence; RCT-B randomised controlled trial – blinded; RCT-UB randomised controlled trial – unblinded.

**Table 4. Clinical trials of oral itraconazole in the treatment of canine *Malassezia* dermatitis**

Study	Design	Dose used	Clinical outcome	Adverse effects	Comment	LoE
Pinchbeck <i>et al.</i> 2002 <sup>435</sup>	RCT-B (10 dogs per group)	5 mg /kg once daily or two consecutive days/week, for 21 d	Median lesion score reduced by 60% (pulse) and 31% (daily)	Nil	Small group sizes; two ITZ intervals compared	2
Kumar <i>et al.</i> 2002 <sup>486</sup>	RCT-BNS (11 dogs received ITZ)	5 mg/kg once daily	All responded fully by d22	Nil	Combined with twice weekly selenium sulphide shampoo. No statistical analyses	3
Bensignor 2006 <sup>432</sup>	RCT-B (10 dogs received ITZ)	5 mg/kg once daily for two consecutive days/week	5/10 complete response, 5/10 partial	Transient vomiting [n=2], inappetence [n=1]	Small group sizes; compared with KTZ	2

ITZ itraconazole; KTZ ketoconazole; LoE level of evidence; RCT-B randomised controlled trial – blinded; RCT-BNS randomised controlled trial – blinding not specified

**Table 5. Clinical trials of oral fluconazole and terbinafine in the treatment of canine *Malassezia* dermatitis**

Study	Drug	Design	Dose used	Outcome	Adverse effects	Comment	LoE
Sickafoose <i>et al.</i> 2010 <sup>383</sup>	Fluconazole	RCT-B (13 dogs received FCZ + cefalexin)	5-10 mg/kg once daily	8/13 >50 % clinical improvement	6/13 dogs: transient vomiting [n=4], vomiting and soft stool [n=1], diarrhoea [n=1]	Concurrent cefalexin limits interpretation. Compared with KTZ + cefalexin.	2
Rosales <i>et al.</i> 2005 <sup>146</sup>	Terbinafine	RCT-B (8 dogs received terbinafine + cefalexin)	30 mg/kg once daily	3/8 >50 % clinical improvement	Nil	Small group sizes and concurrent cefalexin limits interpretation. Compared with KTZ + cefalexin.	2
Berger <i>et al.</i> 2012 <sup>437</sup>	Terbinafine	RCT-B (10 dogs received terbinafine at either dose)	30 mg/kg once daily OR on two consecutive days/week, for 21 days	8/10 >50% clinical improvement in both groups	Mild signs in 3/10 dogs in both groups (overall 6/20); vomiting [n=2], diarrhoea [n=2], anorexia [n=1], panting [n=1]. Elevated ALT in 1 dog.	Small group sizes. Complete clinical resolution in only 3/20 dogs at d21. Eight dogs developed pyoderma.	2

ALT, alanine aminotransferase; KTZ, ketoconazole; LoE, level of evidence; RCT-B, randomised controlled trial – blinded.

**Table 6. Clinical trials of 2% miconazole / 2% chlorhexidine and 3% chlorhexidine shampoos in the treatment of canine *Malassezia* dermatitis**

Study	Product	Design	Frequency	Clinical outcome	Adverse effects	Comment	LoE
Bond <i>et al.</i> 1995 <sup>145</sup>	2% miconazole / 2% chlorhexidine	RCT-B (16 basset hounds received MC-CH)	Every third day	15/16 'marked improvement'	Nil	Compared to 0.25% selenium sulphide shampoo	1
Maynard <i>et al.</i> 2011 <sup>271</sup>	2% miconazole / 2% chlorhexidine	RCT-B (32 dogs received MC-CH)	Twice weekly	Good (≥50%) response in 91% of dogs	Nil	Compared to CH	1
Bensignor <i>et al.</i> 2012 <sup>429</sup>	2% miconazole / 2% chlorhexidine	RCT-B (10 dogs)	Twice weekly	85% improvement at 6 weeks	NR	Abstract publication only	2
Maynard <i>et al.</i> 2011 <sup>271</sup>	3% chlorhexidine	RCT-B (22 dogs received CH)	Thrice weekly for 2 weeks, then twice weekly for two weeks, then weekly if needed	Good (≥50%) response in 86% of dogs	Transient self-limiting pododermatitis [n=1], increased pruritus [n=2], exfoliation [n=2]	Compared to MC-CH	1

CH 3% chlorhexidine; LoE level of evidence; MC-CH 2% miconazole / 2% chlorhexidine; RCT-B randomised controlled trial – blinded.