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TITLE: Effect of Insulin and Fasting Regimen on Blood Glucose Concentrations of Diabetic Dogs during Phacoemulsification

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1 **RETROSPECTIVE STUDY**

2

3 **Effect of Insulin and Fasting Regimen on Blood Glucose Concentrations of Diabetic**
4 **Dogs during Phacoemulsification**

5

6 **Abstract**

7 This study aimed to compare four protocols for preanesthetic insulin administration and
8 fasting time with respect to the variation of intraoperative blood glucose (BG) concentrations
9 versus preanesthetic values (baseline). The patient records of dogs undergoing cataract
10 surgery were included. Data on anesthetic protocols, comorbidities, and intraoperative
11 complications (hyper- and hypoglycemia, hypotension, hypothermia, and bradycardia) were
12 analyzed. The insulin/fasting protocols included (A) 12 hr fasting and half insulin dose, (B) 6
13 hr fasting and half insulin dose, (C) 12 hr fasting and full insulin dose, and (D) 12 hr fasting
14 and no insulin. Forty-eight dogs were included (14 in A, 10 in B, 13 in C, and 11 in D).
15 Protocol D resulted in a significant increase of intraoperative BG concentrations compared
16 with baseline ($P = .001$), whereas in the remaining groups, the baseline BG did not differ
17 from intraoperative values. There were no statistically significant associations between the
18 treatment group and the occurrence of intraoperative complications or the presence of
19 diagnosed comorbidities. In conclusion, different insulin and fasting regimen protocols may
20 be used for diabetic patients with no apparent benefit or risk from one protocol versus
21 another. The use of insulin before surgery results in lesser increase of BG intraoperatively as
22 compared with preanesthetic values. However, whether this should be interpreted as better
23 perioperative control of glycemia remains debatable.

24 **Abbreviations**

25 BG (Blood glucose); (BCS) Body Condition Score

26 **Introduction**

27 Diabetes mellitus is a systemic disease whose prevalence in the canine population in
28 the UK has been reported as 0.32%.¹ Diabetic cataract is one of the most common
29 complications of this endocrine disorder in dogs and can be treated surgically.²

30 Diabetes mellitus poses some anesthetic challenges owing to the possible co-
31 morbidities such as kidney dysfunction, hyperadrenocorticism, systemic hypertension and
32 peripheral neuropathies.³ In addition, chronic hyperglycemia with BG concentrations higher
33 than the renal threshold (12-14 mmol/L) results in osmotic diuresis, dehydration and
34 electrolyte imbalances such as hyponatremia, hypokalemia and hypophosphatemia.³

35 Changes in basal metabolism and body temperature, the stress response, the
36 requirement for fasting and a disruption of the normal exercise routine, all of which
37 ordinarily accompany anesthesia, have the potential to perturb the glycemic control of well
38 medically controlled diabetic dogs. As a result, in diabetic patients, the risk of poor glucose
39 regulation is likely to be increased in the perioperative period, which may exacerbate the
40 deleterious effects of hyperglycemia on the body homeostasis. Besides hyperglycemia,
41 diabetic dogs undergoing surgery may experience hypoglycemia as a result of a combination
42 of administration of insulin and preanesthetic fasting.

43 Although the perturbation of glucose homeostasis in diabetic patients is of concern
44 among clinicians, the most effective insulin/fasting protocol in terms of optimal intra-
45 operative control of blood glucose (BG) concentrations has not been identified yet, and clear
46 guidelines are lacking. Some authors have recommended SC administration of either a full or
47 a fractional dose of insulin on the morning of the surgery after 12 hours of fasting.^{4,5}
48 However, the effectiveness of these protocols has never been evaluated. A more recent study
49 compared a quarter of a dose of insulin versus a full dose administered before anesthesia in
50 dogs fasted for 12 hours, and found that the full dose offered only marginal advantages over

51 the quarter-dose, as poorly controlled hyperglycemia developed in both cases.⁶ Some
52 textbooks aimed at general practitioners provide guidelines on how to handle insulin and food
53 for diabetic dogs the morning of surgery, but there is no general consensus between authors
54 regarding the dose of insulin or the administration or withholding of food.^{3,7,8} As a result, the
55 choice of the insulin/fasting protocol is based on the subjective preference of the clinician.

56 The primary aim of this retrospective study was to compare 4 protocols for insulin dose
57 and fasting time with respect to the variation of intra-operative BG concentrations versus pre-
58 anesthetic values (baseline), in diabetic dogs undergoing cataract surgery. A secondary aim
59 was to determine whether there was an association between the choice of the insulin /fasting
60 regimen and the occurrence of perianesthetic complications, namely hypothermia,
61 hypotension, hyper- and hypoglycemia, and bradycardia. A further secondary objective was
62 to investigate whether the choice of the anesthetic protocol could produce an effect on the
63 intra-operative BG concentrations.

64 The authors hypothesized that preoperative administration of insulin would maintain
65 better glucose control and be associated with fewer intraoperative complications than
66 withholding of insulin on the day of surgery in diabetic dogs. It was also hypothesized that
67 poorly controlled intra-operative hyperglycemia would increase the risk for intra-operative
68 complications.

69

70 **Materials and Methods**

71 **Case selection criteria and medical records review**

72 The study was conducted under approval of the Clinical Research Ethical Review Board of
73 the Royal Veterinary College (license number: 2017-1017).

74 The medical records of all the dogs with diagnosed diabetes mellitus undergoing
75 elective phacoemulsification at the Queen Mother Hospital for Animals (QMHA) of the

76 Royal Veterinary College between October 2012 and October 2017 were reviewed. The cases
77 were identified through the database, by using the following key word-combinations:
78 “dog/canine + diabetic/diabetes + anesthesia/anesthetic”, “dog/canine + insulin +
79 anesthesia/anesthetic”, “dog/canine + cataract surgery”, and “dog/canine +
80 phacoemulsification”. Additionally, a list of the canine patients undergoing
81 phacoemulsification was obtained through the internal logbook for surgical procedures. The
82 search was manually refined and the incomplete patient files, as well as the records of non-
83 diabetic dogs undergoing phacoemulsification, were excluded. Demographic data of the
84 patients enrolled in the study (sex, age, breed and Body Condition Score (BCS)) were also
85 collected and used for statistical analysis. Fructosamine serum concentrations, as well as the
86 presence of co-morbidities, were noted when this information was available on the record.
87 The last BG concentration measured in each patient before anesthesia was recorded as the
88 pre-anesthetic BG value (baseline). The information on whether insulin and/or glucose were
89 administered during the anaesthetic was also recorded.

90

91 **Definitions and treatment groups**

92 The following events occurring during anesthesia were considered peri-anesthetic
93 complications and defined as follows:

- 94 • Hyperglycemia (BG >250 mg/dL or 13.9 mmol/L);⁶
- 95 • Hypoglycemia (BG <70 mg/dL or 3.9 mmol/L);⁶
- 96 • Hypothermia (rectal body temperature <36.7 Celsius);⁹
- 97 • Hypotension (either mean arterial pressure <54 mmHg measured with oscillometry, or
98 as systolic arterial pressure <90 mmHg measured with Doppler);¹⁰ and
- 99 • Bradycardia (heart rate <60 beats per minute in the presence of hypotension as above
100 defined).¹¹

101 Clinician-dependent peri-operative insulin/fasting time regimen protocols used at our
102 institution led to the identification of the following treatment groups:

- 103 • Group A: half of the usual insulin dose administered SC on the morning of surgery in
104 dogs fasted for 12 hours;
- 105 • Group B: half of the usual insulin dose administered SC on the morning of surgery in
106 dogs fasted for 6 hours;
- 107 • Group C: the dog's full insulin dose administered SC on the morning of surgery after
108 12 hours fasting; and
- 109 • Group D: fasting time set at 12 hours and no pre-operative insulin; intra-operative
110 insulin to be administered at the anesthetist's discretion based on the BG
111 concentrations measured during the anesthetic.

112 In order to investigate whether the choice of the anesthetic protocol could produce an effect
113 on the intra-operative BG concentrations, all data were pulled together again using the
114 anaesthetic protocol as a grouping factor. The following two possible treatment groups were
115 identified, based on the most common anesthetists' choices at the QMHA:

- 116 • Group AO (Acepromazine-Opioid): acepromazine^a and an opioid - either methadone^b
117 or pethidine^c or butorphanol^d in premedication; and
- 118 • Group O (Opioid): opioid-based premedication (either methadone or pethidine or
119 butorphanol).

120 Whether animals received one or another premedication, they were induced with propofol^e
121 or alfaxalone^f followed by inhalational anesthesia with either sevoflurane^g or isoflurane^h
122 delivered in oxygen.

123

124 **Data analysis**

125 Descriptive statistics applied for demographic data. Normality of data was assessed with the
126 Kolmogorov-Smirnov test. . Either one-way repeated measures analysis of variance or
127 Friedman repeated measures analysis of variance on ranks were used, depending on data
128 distribution, to evaluate changes in intraoperative BG concentrations within each treatment
129 group, whereas the groups were compared with respect to the intraoperative BG
130 concentrations with Kruskal-Wallis one-way analysis of variance on ranks. Item imputation
131 was applied to substitute missing values.¹² Two-way analysis of variance, with time and
132 treatment (AO and O) as source of variation factors, was used to evaluate the effect of the
133 anesthetic protocol on the BG concentrations over time. The proportions of dogs
134 experiencing intra-operative complications, as well as of those receiving intraoperative
135 insulin, within each set of treatment groups (A, B, C and D; AO and O) were analysed with
136 χ^2 and Fisher exact tests, respectively. For groups A–D comparisons, if an overall difference
137 was detected between groups with respect to one of the aforementioned variables
138 (intraoperative complications and intraoperative insulin administration), then the χ^2 test was
139 followed by an additional Fisher exact tests for pairwise comparison.

140 Commercially available software^{i,j,k} were used. *P* values lower than 0.05 were considered
141 statistically significant.

142

143 **Results**

144 Data are presented as either means and standard deviation, or medians and interquartile (25
145 and 75%) ranges, where it applies.

146 The initial search identified 114 files that were then revised and screened. A total of 48
147 dogs of various breeds, consisting of 31 (all of whom were castrated) and 17 females (15 of
148 whom were spayed), all on treatment with an intermediate-acting insulin product^l at the time
149 of surgery, met the inclusion criteria and were included in the study. The 48 dogs still

150 included after screening were operated between January 2013 and December 2017. Dogs
151 were prescribed a drop of dexamethasone phosphate 0.1%^m to be applied topically onto the
152 affected eye/s once every other day, or once daily, for as many days as the patient had to wait
153 before the surgery, which was routinely between 2 to 14 days, depending on the surgery
154 schedule. Immediately postoperatively, the same drops were continued for life. No other pre-
155 operative ocular medical treatment was regularly given with the exception of tropicamideⁿ to
156 dilate the pupil and topical flurbiprofen^o, both given alternatively every 15 minutes for 1
157 hour, 1 to 2 hours immediately before induction, in preparation for the pre-operative
158 electroretinogram that was performed in all the patients. In the majority of the patients, the
159 baseline BG concentrations were above the reference ranges provided for dogs by the
160 laboratory of our institution (3.6–7.0 mg/dL), namely, 22 (19–30), 17 (12–22), 16 (15–26),
161 and 17 (9–21) mg/dL in groups A, B, C, and D, respectively. The difference in baseline BG
162 between groups was not statistically significant ($P = .19$). The proportion of
163 nonhyperglycemic dogs (including both the hypoglycemic and the normoglycemic ones,
164 based on the preanesthetic BG measurement) was lower in groups A (0%; $n = 0$) and B (9%;
165 $n = 1$ normoglycemic dog) than in the remaining groups C (30%; $n = 3$ normoglycemic dogs)
166 and D (36%; $n = 1$ hypoglycemic dog and 3 normoglycemic dogs).⁶ This difference was
167 statistically significant ($P = .013$). IV atracurium^p was administered intraoperatively to all
168 patients (dose range: .1–.3 mg/kg). The neuromuscular block was monitored through a nerve
169 stimulator with a train-of-four stimulating pattern, and reversed with intramuscular
170 neostigmine^q (dose range: .01–.03 mg/kg) and glycopyrronium^r (dose range: .01–.02 mg/kg)
171 at the end of surgery, if the train-of-four ratio was < 9 .¹³ Intermittent positive-pressure
172 ventilation was provided to all dogs during the neuromuscular block.

173 Data pertaining age (119 ± 24 months) and fructosamines serum concentrations (502 ± 234
174 $\mu\text{mol/L}$; $n = 12$) showed normal distribution, whereas total BG concentration (including

175 preanesthetic and intraoperative values in all groups; 19 [12–27] mg/dL) was not normally
176 distributed. Six out of the 12 measured fructosamines serum concentrations were >500
177 $\mu\text{mol/L}$. Body condition score (5 [4–5]/9) was recorded in 34 out of 48 files only. Pre- and
178 intraoperatively, the glycemia was assessed on whole blood with a glucometer specifically
179 designed for veterinary patients. The time interval between subsequent intraoperative BG
180 concentrations measurements was 30 min. At least one missing intraoperative BG value was
181 found in 25% ($n = 12$) of the files. Therefore, a total of 19 out of 240 BG concentrations were
182 replacement values obtained with data imputing.

183 Intra-operative BG concentrations changed significantly compared to baseline values only
184 in group D ($P = .014$; **Figure 1**). Overall, the four treatment groups (with insulin/fasting
185 regime as treatment factor) were compared with respect to intraoperative BG concentrations,
186 a statistically significant difference was found only between group B (14 [10–22] mg/dL) and
187 group D (30 [17–34] mg/dL; $P = .005$).

188 Regarding the choice of the anesthetic protocol, 42% of the dogs ($n = 20$) were included in
189 group AO, whereas group O was composed of the remaining 58% ($n = 28$). The BG
190 concentrations over time were not affected by the choice of the anesthetic protocol ($P = .36$).
191 In group D, the frequency of intraoperative administration of insulin, carried out on a case-
192 by-case basis at the anesthetist's discretion, was higher than in any other group, and this
193 difference was statistically significant ($P < .001$; **Table 1**). None of the patients experienced
194 intraoperative hypoglycemia. There were no statistically significant associations between the
195 treatment group (A, B, C, or D; AO or O) and the occurrence of intraoperative complications
196 or the presence of underlying diagnosed comorbidities. The comorbidities represented in the
197 study population were mitral valve disease ($n = 7$), chronic bronchitis ($n = 1$), pancreatitis (n
198 $= 6$), gall bladder mucocele ($n = 1$), and hyperadrenocorticism ($n = 5$). Of the 16 patients with
199 diagnosed comorbidities, 25% ($n = 4$) had more than one condition at the same time. The

200 proportions and numbers of dogs experiencing intra-operative complications within each
201 treatment group are shown in Table 1.

202

203 **Discussion**

204 The findings of this study indicate that the use of insulin before surgery results in lesser
205 increase of BG intraoperatively, as compared with preanesthetic values, than insulin
206 withdrawal.

207 Anesthesia may alter the delicate endocrine balance of diabetic patients by triggering a
208 stress response through a complex interplay involving the hypothalamic–pituitary axis, the
209 neuroendocrinal system, and the autonomic nervous system.¹⁴ The net result of such neuro-
210 endocrinal outflow is a hypermetabolic state characterized by hyperglycemia.¹⁴

211 Unsurprisingly increases in cortisol and BG concentrations are commonly observed during
212 anesthesia in non-diabetic animals and humans.¹⁴⁻¹⁶ Presumably, patients with diabetes
213 mellitus, especially if the condition is poorly controlled medically, may experience a less
214 predictable, and possibly more pronounced, neuro-endocrine response to anesthesia, resulting
215 in uncontrolled hyperglycemia. If this were true, it would be reasonable to expect the
216 hyperglycemia to be at least partially refractory to the usual insulin dose, and more
217 challenging to stabilize in case of pre-operative insulin withdrawal.

218 The preoperative administration of half insulin dose is a common choice at the referral
219 center where the study was carried out. In dogs fasted for 6 hr, the rationale behind this
220 protocol is the need to control the perioperative glycemia in diabetic patients whose surgery
221 is scheduled in the early afternoon. These patients are fed a light meal (usually half of their
222 canned food dose) ~6 hr before surgery, and the clinicians halve the insulin dose in an
223 attempt to avoid sudden hypoglycemia because the food intake is smaller than usual. At our
224 referral center, some anesthetists also halve the insulin after 12 hr of fasting in order to

225 reduce the chances of a dog developing a hypoglycemic episode after having received insulin
226 and no food.

227 Altogether, these findings suggest that administering insulin in the pre-anesthetic
228 period may be a better clinical choice than not administering it. This information may be of
229 help when making general recommendations and supporting the development of future
230 studies. However, it is worth to mention that stability of the BG concentrations throughout
231 the peri-operative period does not necessarily imply an adequate medical control of diabetes,
232 a condition whose clinical evaluation is complex and should be based on more than one
233 parameter. Moreover, clinicians need to be aware that pre-operative fasting requires frequent
234 checking of a patient's BG concentrations to prevent a hypoglycemic episode.

235 Although the baseline BG concentrations obtained prior to anesthesia were not
236 statistically different between groups, it is worth considering that nonhyperglycemic dogs
237 were more represented in group D than in the other groups. This could have affected the
238 decision of the anesthetists in charge not to administer preoperative insulin, as reasonably the
239 clinicians would have been more likely to withhold insulin in hypoglycemic and
240 normoglycemic patients rather than in dogs with hyperglycemia.

241 As it is generally advised that patients should be in as optimal a general health
242 condition as possible for general anesthesia, one could assume that most patients referred for
243 an elective procedure have achieved adequate stabilization of any underlying medical
244 condition prior to the referral for anesthesia and surgery. Unfortunately, this is not always the
245 case. Although fructosamine serum measurements were available only in a few patients, it
246 should be noted that half of the values were above 500 $\mu\text{mol/L}$, which has been defined as the
247 cut off value for a poorly controlled condition.¹⁷ It is possible that patients without
248 fructosamine readings had sub-optimal glycemic control. If this were true, it would be
249 reasonable to assume that poorly controlled diabetes, a condition that might exacerbate the

250 effect of anesthesia on the glycemic control, could have been common in the study
251 population. It should be recommended as standard practice that diabetic patients for whom
252 general anesthesia is scheduled undergo not only routine preanesthetic baseline BG
253 measurement but also a thorough medical evaluation of the diabetes, which might include
254 fructosamine assay or glycemic curves, before being anesthetized.

255 As a result of its retrospective nature, this study has several limitations. Some of the
256 patients who had been included in the study after a preliminary search had incomplete files
257 for which they had to be excluded, or had their last preanesthetic BG concentration measured
258 days or even weeks before the day of surgery, a drawback that, owing to the day-to-day
259 variability of BG in diabetic dogs, could have jeopardized the accuracy of our findings.¹⁸
260 This reduced considerably the number of patients to be included in the study, which may
261 potentially represent a further source of bias. Another limitation pertains to the intraoperative
262 BG concentrations, which were measured at ~30 min intervals in most patients but not all as
263 a result of financial constraints, or at the anesthetist's discretion in cases with good glycemic
264 control, where more frequent measurements were not deemed to be necessary. The data could
265 be analyzed despite the missing values by applying item imputation, a statistical procedure
266 widely used for this purpose.¹² Further limitations worth consideration are the possible effect
267 of the topical steroid, administered in the preoperative period and possibly absorbed
268 systemically, on the glucose homeostasis,¹⁹ and the different sizes of the treatment groups,
269 which is suboptimal. Finally, using patients undergoing cataract surgery helped focus the
270 case capture effort and created a standardization of the cohort, but it risks adding a selection
271 bias.

272 Future studies should be prospective and standardize the time at which the baseline BG
273 measurements are taken, randomize treatment groups that ideally would be of equal sizes and

274 including as many diabetic patients as possible to avoid a potential selection bias based on the
275 presence of ophthalmic problems.

276

277 **Conclusion**

278 Several different insulin and fasting protocols may be used to anesthetize diabetic patients,
279 with no clear benefit or risks from one protocol versus another. Compared with

280 administration of either full or half insulin dose after 12 hr of fasting, or of half the insulin
281 dose after 6 hr of fasting, administering no insulin on the morning of anesthesia in diabetic
282 dogs resulted in greater increases of intraoperative BG, compared with preanesthetic values.

283 Clinicians in charge of anesthetizing normoglycemic dogs were likely prompted to withhold
284 the insulin on the morning of surgery; however, there is no evidence that this decision
285 resulted in long-term differences in patient outcomes. These findings provide a basis for
286 future prospective studies in diabetic dogs of insulin/fasting protocols prior to anesthesia.

287

288 **FOOTNOTES**

289 ^a Acecare; Animalcare, UK

290 ^b Methadone Hydrochloride; Martindale Pharmaceuticals, UK, or Synthadon; Animalcare,

291 UK

292 ^c Pethidine injection; Martindale Pharmaceuticals, UK

293 ^d Alvegesic; Dechra, Italy

294 ^e Propofol-® Lipuro; Virbac, Italy

295 ^f Alfaxan; Jurox, UK

296 ^g Sevoflo; Abbott, USA

297 ^h Isoflo; Abbott, USA

298 ⁱ SPSS Statistics 23; IBM Inc., Chicago, IL, USA

299 ^j NCSS 9 and Pass 12 Statistical Software, NCSS LLC, NV, USA

300 ^k SigmaStat 4.0 and SigmaPlot 14; Systat Software Inc, CA, USA

301 ^l Caninsulin; Intervet, UK

302 ^m Maxidex (R); Novartis Pharmaceuticals, Camberley, UK

303 ⁿ Minims (R), Bausch and Lomb, Kingston upon Thames, UK

304 ^o Ocufer (R); Allergen, Marlow, UK

305 ^p Tracrium; GlaxoSmithKline, UK

306 ^q Neostigmine Methylsulfate injection; Hameln Pharmaceutical, UK

307 ^r Glycopyrronium Bromide; Martindale Pharmaceutical, UK

308 ^s Alphatrak2; Abbott Laboratories, Abbott Park, IL, USA

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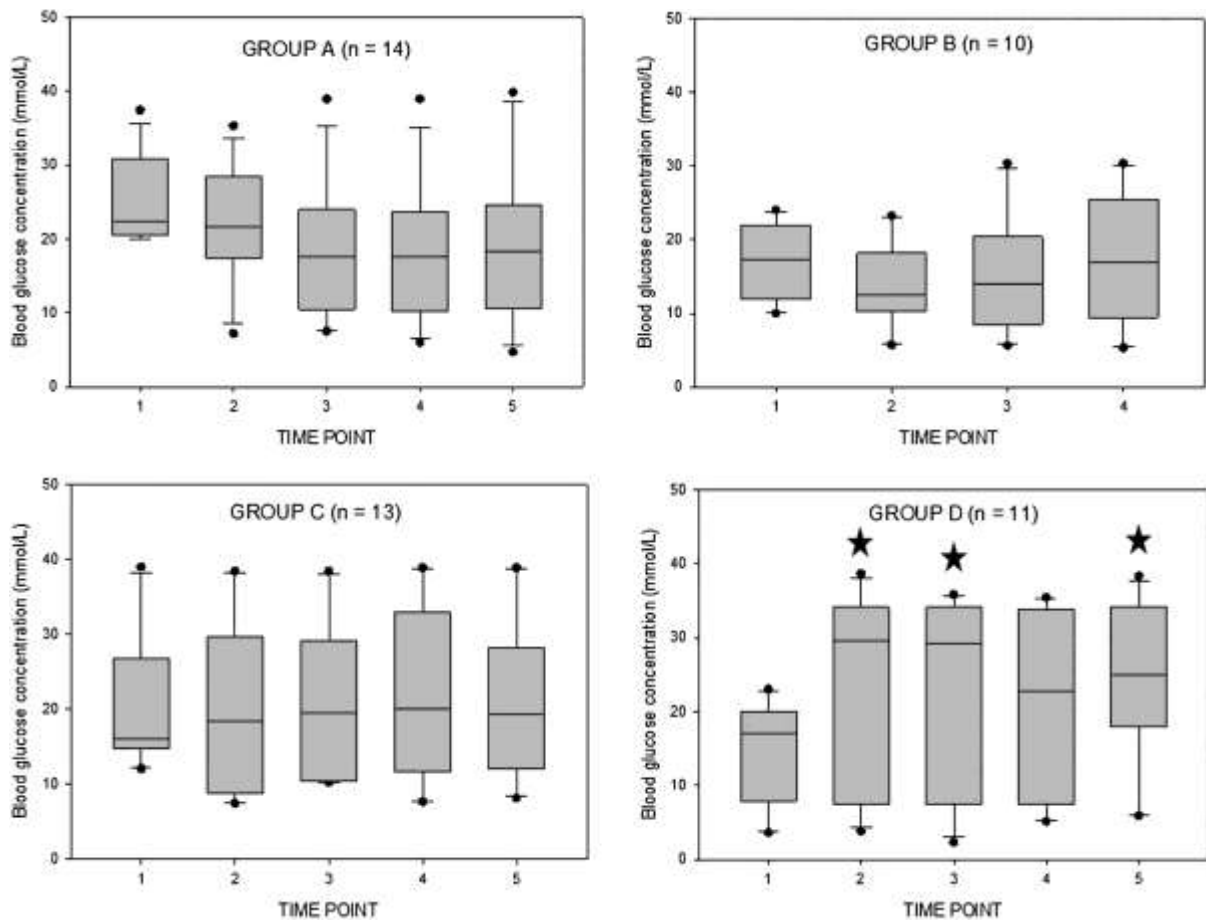
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365 **Figure legends**

366 **FIGURE 1** Effects of four different insulin/fasting regimens (groups A, B, C, and D) on the
367 intraoperative blood glucose concentrations over time (1: baseline; 2: 30 min; 3: 60 min; 4:
368 90 min; 5: 120 min after anesthetic induction). The upper and lower quartiles (interquartile
369 range box) represent the data greater (25%) and lesser (25%) than the median, respectively,
370 accounting for 50% of the total data. The whiskers represent the ranges for the bottom 25%
371 and the top 25% of the data values. The dots represent the outliers. The stars indicate
372 statistically significant differences ($P = .001$) compared with baseline values.



373

374

375 **TABLE 1**

376 Proportions and numbers of dogs, within each treatment group, experiencing intra-operative
 377 complications (hypotension, bradycardia and hyperglycemia), requiring intra-operative (IO)
 378 insulin administration and with a clinical history of co-morbidities.

379

Group	Hypotension	Bradycardia	Hyperglycemia	Hypothermia	Co-morbidities	IO insulin
A	45% (n=9) <i>P</i> = .43	10% (n=2) <i>P</i> = .17	80% (n=16) <i>P</i> = .36	50% (n=10) <i>P</i> = .63	50% (n=10) <i>P</i> = .32	20% (n=4) <i>P</i> = .13
B	50% (n=6) <i>P</i> = .43	8% (n=1) <i>P</i> = .17	83% (n=10) <i>P</i> = .36	33% (n=4) <i>P</i> = .63	42% (n=5) <i>P</i> = .32	25% (n=3) <i>P</i> = .13
C	72% (n=16) <i>P</i> = .43	0% (n=0) <i>P</i> = .17	82% (n=22) <i>P</i> = .36	41% (n=9) <i>P</i> = .63	23% (n=5) <i>P</i> = .32	18% (n=4) <i>P</i> = .13
D	50% (n=6) <i>P</i> = .43	17% (n=2) <i>P</i> = .17	50% (n=6) <i>P</i> = .36	33% (n=4) <i>P</i> = .63	17% (n=3) <i>P</i> = .32	42% (n=5) <i>P</i> = .13
AO	52% (n=15) <i>P</i> = .62	7% (n=2) <i>P</i> = .33	90% (n=26) <i>P</i> = .23	55% (n=16) <i>P</i> = .62	21% (n=6) <i>P</i>= .04*	24% (n=7) <i>P</i> = 1
O	55% (n=20) <i>P</i> = .62	8% (n=3) <i>P</i> = .33	62% (n=23) <i>P</i> = .23	40% (n=15) <i>P</i> = .62	46% (n=17) <i>P</i>= .04*	24% (n=9) <i>P</i> = 1

380

381 * statistically significant