

1 **Real-time modelling of indoor particulate matter concentration in poultry houses using**
2 **broiler activity and ventilation rate**

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12

13 **Abstract**

14 Measuring particulate matter concentration in poultry houses remains as a difficult task, primarily
15 because aerosol analysers are expensive, require specialist knowledge to operate and are labour
16 intensive to maintain. However, it is well known that high concentrations of particulate matter
17 causes health and welfare problems with livestock, farm workers and people living in the vicinity
18 of the farm premises. In this work, a data-based mechanistic model is developed to relate broiler
19 activity and ventilation rate with indoor particulate matter concentration. For six complete growing
20 cycles, in a U.K. commercial poultry farm, broiler activity was monitored using a camera-based
21 flock monitoring system (eYeNamic®) and ventilation rate was measured. Indoor particulate
22 matter concentration was continuously monitored by measuring size-segregated mass fraction
23 concentrations with the aerosol analyser DustTrak™. A discrete-time multi-input single-output

24 time-invariant parameters Transfer Function model was developed to determine the particulate
25 dynamics within each day of the growing cycle in the poultry house using broiler activity and
26 ventilation rate as inputs. This model monitored indoor particulate matter concentration with an
27 average accuracy of $R_T^2 = (51 \pm 26) \%$. A dynamic linear regression modelling with time-variant
28 parameters improved average accuracy with $R_T^2 = (97.7 \pm 1.3) \%$. It forecasted one sample-
29 ahead the indoor particulate matter concentration level, using a time window of 14 samples, with
30 a mean relative prediction error, $MRPE = (4.6 \pm 3.2) \%$. Thus, dynamic modelling with time-
31 variant parameters has the potential to be part of a control system to manage in real-time indoor
32 particulate matter concentration in broiler houses.

33 **Keywords:** climate control; dust; environmental quality; forecasting; precision livestock farming

34 1. Introduction

35

36 Poultry production is projected to become the biggest source of meat with at 134 million tonnes
37 predicted to be produced worldwide in 2023 (OECD-FAO, 2014). In the UK production currently
38 stands at 1.42 million tonnes per annum (National Statistics, 2016). Poultry production is also one
39 of the largest producers of bio-aerosols (Winkel, Mosquera, Groot Koerkamp, Ogink, & Aarnink,
40 2015) often associated with negative effects upon the health and welfare of poultry (Camba-
41 López, Aarnink, Zhao, Calvet, & Torres, 2010; Lai, Nieuwland, Kemp, Aarnink, & Parmentier,
42 2009) and humans (Basinas et al., 2015; Guillam et al., 2013; Radon et al., 2001). Normally, in air
43 quality terminology, particulate matter (PM) is defined as a complex mixture of fine solid or liquid
44 particles suspended in a gaseous medium. The term dust refers to a mixture of solid matter particles
45 formed often by mechanical fracture of different materials, sedimenting due to gravitational forces

46 (Zhang, 2004, p.618). Therefore, dust is made up of a number of PM size fractions exhibiting
47 different physical, chemical and biological characteristics, which define its behaviour and impact
48 in the environment or the health. Regarding particle sizes (PM_{size}), they are normally expressed in
49 μm , and their impact on the respiratory system. Inhalable particles, designated PM_{TOTAL} and up to
50 $100 \mu m$ in size, are deposited in the upper airways, whereas thoracic dust or PM_{10} particles
51 penetrate to the tracheobronchial region. Respirable dust (PM_{Resp}) penetrates to the alveolar region
52 and has a maximum size of around $4.5 \mu m$. Thus, in the USA, $PM_{2.5}$ is often referred to as the
53 respirable fraction of dust (Cambra-López et al., 2010).

54

55 Poultry production contributes about 40-57 % and 45-50 % of the total UK emissions of PM_{10} and
56 $PM_{2.5}$ from housed livestock, respectively (Klimont & Amann, 2002). The most recent emission
57 factors for $PM_{2.5}$ and PM_{10} measured in the UK were 5.1 and $31.6 \text{ mg animal}^{-1} \text{ day}^{-1}$ (Demmers et
58 al., 2010, p.34), well within the published range of values (Oenema, Velthof, Amann, Klimont, &
59 Winiwarter, 2012). However, due to the difficult nature of PM measurement and analysis, and its
60 expense, the amount of data available is low (Cambra-López, Winkel, Mosquera, Ogink, &
61 Aarnink, 2015; Wathes, Holden, Sneath, White, & Phillips, 1997).

62

63 Broiler houses indoor PM concentrations regularly exceed the recommended maximum
64 concentrations of 3.4 and 1.7 mg m^{-3} for inhalable and respirable PM, respectively (CIGR, 1994;
65 Takai & Pedersen, 2000). Most UK poultry operations are subject to environmental legislation
66 based on their size and are obliged to demonstrate dust management measures, i.e. simple control-
67 at-source measures such as using pelleted rather than meal feed or simple “end of pipe” control
68 methods. More complex dust abatement systems are rarely used in the UK, but more are used

69 elsewhere in Europe (Environment Agency UK, 2011, pp. 1-13). Therefore, there is a growing
70 need to integrate PM monitoring and management in modern poultry production.

71

72 Precision livestock farming (PLF) technology provides continuous measurement of key indicators
73 on livestock farms through image and sound analysis and other key sensors and thus offers the
74 potential to provide on-line control of the underlying process for these key indicators (Wathes,
75 Kristensen, Aerts & Berckmans, 2008). The focus of PLF technology has been on production and
76 welfare indicators, such as animal growth and animal health and behaviour (Aerts, Wathes, &
77 Berckmans, 2003; Kashiha, Pluk, Bahr, Vranken, & Berckmans, 2013; Van Hertem et al., 2014).
78 To date, few applications have focused on environmental related indicators (Rigo Monteiro,
79 Garcia-Launay, Brossard, Wilfart & Dourmad, 2017; Haeussermann et al., 2008).

80

81 In this work, it is aimed to determine the transfer function model structure needed to relate indoor
82 PM concentration dynamics with the key indicators animal activity and ventilation rate.
83 Ventilation rate has been proven to play a major role in PM concentration (Calvet, Cambra-López,
84 Blanes-Vidal, Estellés, & Torres, 2010). PM concentration has also been shown to vary with
85 animal activity (Calvet, Van den Weghe, Kosch & Estelles, 2009; Costa & Guarino, 2009;
86 Demmers et al., 2010). Broiler activity can be measured by analysing infra-red images offline
87 using a detailed analysis of the behaviour resulting in an accurate activity level, which provides a
88 direct cause-effect relationship between animal activity and dust concentration ($r^2 = 0.89$) (Calvet,
89 et al., 2009). Alternatively, online systems comparing subsequent images at pixel level provide a
90 general non-specified activity level. These activity levels were shown to be modified by inducing
91 step changes in the lighting regimes over the day (Demmers, Cao, Parsons, Gauss & Lowe, 2011;

92 Kristensen, Aerts, Leroy, Wathes & Berckmans, 2006). Thus, potentially, broiler activity data
93 could be used as an estimate of PM concentration and therefore used to guide the climate control
94 systems of buildings to minimise the emissions to the environment. In pigs, a dynamic modelling
95 approach has been tested to model the variation of PM concentration as function of several inputs,
96 such as animal activity and ventilation rate (Aerts, Vranken, Berckmans, & Guarino, 2008).
97 However, in poultry production there is still the need to develop different strategies at all
98 management levels to reduce dust concentration and air emissions (Powers, Angel & Applegate,
99 2005).

100

101 Therefore, the aim of this work was, firstly, to identify which time-invariant parameters transfer
102 function model structure defines the relationship between indoor PM concentration and broiler
103 activity and ventilation rate. It was expected that the impact of broiler activity and ventilation rate
104 on indoor PM concentration would change over time and it would be impacted by other variables,
105 such as indoor temperature and/or relative humidity, not taken into account explicitly in the model.
106 Thus, a time-variant parameters dynamic modelling approach was tested based on the previous
107 transfer function model structure, in order to develop a model that can be used to predict in real-
108 time the indoor PM concentration. Potentially, this model could then be used in a control system
109 in which manipulating the light level and/or ventilation rate and this would allow for reduced PM
110 indoor concentrations and/or emissions.

111

112 2. Material and Methods

113

114 *2.1. Data collection*

115

116 The experiments were carried out at a commercial broiler farm in a newly build mechanically
117 ventilated broiler house (110 m × 20 m; capacity 50,000 birds). The building was indirectly heated
118 using a central heating system and heat exchangers placed below the ridge line of the building
119 (CUBO, Chore-time Europe B.V., Panningen, The Netherlands). Water was provided using nipple
120 drinkers and dry pelleted feed was supplied to standard poultry feeders using augers. Wood
121 shavings were used as litter. There were no special means to maintain good litter condition, besides
122 the ad-hoc changes in heating and ventilation settings. This worked well from spring to autumn,
123 but during winter results were limited. Following the current legislation, the daily light scheduled
124 consisted in three light periods of 6 h each, together with two dark periods of 2 and 4 h, respectively

125

126 PM concentration was measured below two fan shafts (ventilation stage 1 and 2, respectively)
127 using two DustTrak™ DRX 8533 analysers (TSI Ltd., Shoreview, Minnesota, US fitted with a
128 PM₁₀ inlet, providing simultaneous data for PM₁, PM_{2.5}, PM_{Resp} (~PM_{4.5}), PM₁₀ and PM_{TOTAL}
129 inhalable dust at 2 min intervals. Due to the variable fan speed, some non-isokinetic sampling was
130 to be expected. The DustTrak™ instruments were factory calibrated to the respirable fraction of
131 standard ISO 12103-1, A1 test dust. The inlet and PM₁₀ impactor of the DustTrak™ instruments
132 were serviced and cleaned prior to use in each batch and the instruments returned to the factory
133 for internal cleaning of the optics and calibration after, on average, 1,600 h. The latter was more
134 frequent than the normal maintenance schedule, due to the continuous monitoring. A correction
135 factor of 1.29 for poultry dust was obtained using the internal gravimetric filter of the DustTrak™
136 as the reference sampler (n = 8). Filters were weighed before and after exposure using an analytical

137 balance in a climate controlled room ($T = 20 \pm 1 \text{ }^\circ\text{C}$; $RH = 50 \pm 5 \%$). This factor was lower than
138 the factor obtained against European reference samplers of 1.58 (Winkel et al., 2015). In this study,
139 the concentrations for PM_{10} , $\text{PM}_{2.5}$, PM_{10} , PM_{RESP} and PM_{TOTAL} obtained within the day were used
140 to evaluate the model performance. During the implementation the continuous operation of the
141 DustTraKTM analysers was hampered by frequent failures of the power supply to the instruments.
142 Therefore, more servicing and calibration of the instruments by the manufacturer was required due
143 to contamination of the internal parts and optics of the instruments by excessive exposure to dust.

144
145 Ventilation rate was measured using three full size measuring fans (Fancom B.V., Panningen, The
146 Netherlands) fitted below fans of ventilation stage 1, 2 and 3 (out of 6), as well as the runtime
147 monitoring of each fan and ventilation stage. Based on the number of fans and the throughput
148 measured by the measuring fan(s), the total flowrate calculated was therefore based an accurate
149 measurement of the overall ventilation rate.

150
151 The eYeNamic[®] (Fancom BV, Netherlands) is a top view camera system that measures the activity
152 and distribution of animals. It generates a visualisation of the floor area and image analysis
153 software translates the acquired images into indices of activity and distribution of the flock within
154 the in-view floor area. These indices are measures of animal movement and position. Data
155 collection consisted of an activity index per minute. The camera was not equipped with infrared
156 sensors, thus activity measurements could be only collected during the light periods.

157
158 Data were collected from 14 growing batches over a period of 2.5 years, but only data from six
159 growing cycles was accurate enough to be used for further analysis because of the aforementioned

160 power failures and instrument problems. All other data were logged using LabVIEW virtual
161 instrument routines (LabVIEW, National Instruments, Austin, Texas, US) running on a local
162 computer. The eYeNamic[®] data were logged separately from the fourth batch onwards, following
163 a software modification. Data from each individual light period throughout the growth period was
164 used to perform the system identification and time-variant parameters modelling.

165

166 2.2 System Identification and modelling

167

168 The modelling framework used in this work is defined as data-based mechanistic. In summary, a
169 deterministic model structure is inferred inductively from the data. This mathematical
170 representation can only be accepted if it can be linked, in physically meaningful terms, to the
171 process analysed (Young, 2006). Therefore, a system identification step is firstly used to find
172 which data-based transfer function model structure characterises the indoor PM concentration as
173 a dynamic response to broiler activity and ventilation rate. Then, a multi-input, single-output
174 (MISO) transfer function (TF) modelling approach was evaluated using broiler activity and
175 ventilation rate as inputs and indoor concentration of each PM size individually as output. Once,
176 the structure of the model was set, a time-variant parameters dynamic transfer function, in this case
177 a dynamic linear regression (DLR) approach, was used to evaluate its performance and potential
178 to be used in a control system for monitoring and controlling indoor PM concentration by
179 evaluating its forecasting properties. Concurrently, the time-evolution of the model parameters
180 was linked to the biological process.

181

182 This analysis is carried out using MATLAB® (v.2015b, The Mathworks, Inc., Natick,
 183 Massachusetts, US) software and the CAPTAIN Toolbox, which is a collection of routines
 184 developed to characterize and model non-stationary time-series (Young, Taylor, Tych & Pedregal,
 185 2007). In this work, the routines dedicated to identify a transfer-function (TF) model structure and
 186 the execution of dynamic linear regression models were used.

187 2.2.1 Multi-Input Single-Output (MISO) Transfer Function (TF) model

188

189 The relation between broiler activity and ventilation rate as inputs and indoor PM concentration in
 190 different batches was studied by using a MISO discrete-time transfer function model. The model
 191 had the following general structure (Young, 1984),

192

$$193 \quad y(k) = \sum \frac{B_i(z^{-1})}{A(z^{-1})} u_i(k - \delta_i) + \xi(k) \quad (1)$$

194

195 where $y(k)$ and $u_i(k)$ are the output, PM concentration, and the inputs of the model, broiler
 196 activity and ventilation rate; δ_i is the delay associated with the input i ; $\xi(k)$ is additive noise
 197 assumed to be zero mean, serially uncorrelated sequence of random variables with variance σ^2 ,
 198 accounting for measurement noise, modelling errors and effects of unmeasured inputs to the
 199 process; k is the sample of the measurement; $A(z^{-1})$ and $B_i(z^{-1})$ are two series given by:

200

$$201 \quad A(z^{-1}) = 1 + a_1 z^{-1} + a_2 z^{-2} + \dots + a_{n_a} z^{-n_a} \quad (2)$$

202

$$203 \quad B_i(z^{-1}) = b_0 + b_1 z^{-1} + b_2 z^{-2} + \dots + b_{n_b} z^{-n_b} \quad (3)$$

204

205 where a_j and b_j are the model parameters to be estimated; z^{-1} is the backward shift operator,
206 $z^{-1}y(k) = y(k - 1)$, with y and k defined as in Eq. (1) and n_a and n_{b_i} are the orders of the
207 respective polynomials. The model parameters were estimated using a refined instrumental
208 variable approach (Young, 1984). The model structure is displayed as $[n_a n_{b_1} n_{b_2} \delta_1 \delta_2]$. The best
209 model is selected according to the Young identification criterion (YIC) and the coefficient of
210 correlation (R_T^2). The YIC provides a combined measure of fitting agreement and parametric
211 efficiency.

212 2.2.2 Dynamic Linear Regression (DLR)

213 The DLR modelling approach was tested in order to check if model accuracy improved by
214 considering within the day variation of broiler activity and ventilation rate to have an impact on
215 indoor PM concentration. The advantage of the DLR model, with respect to the time-invariant
216 parameters models, is that it allows the parameters to vary over time. Hence, it is possible to take
217 into account the impact of the external variables (disturbances) on the output, which are not used
218 explicitly in the model, and the impact of the dynamic changes of the inputs to the output. The
219 DLR is the simplest state-space model using time-variant parameters. Its general expression is
220 given by:

$$221 \quad y_k = T_k + \sum_{i=1}^m c_{i,k} u_{i,k} \quad (4)$$

222 where y_k is the output (i.e. the relevant indoor PM concentration) or dependent variable; T_k is a
223 trend or low frequency component; $c_{i,k}$ are time-varying parameters over the observational interval
224 which reflect possible changes in the regression relationship; and $u_{i,k}$ are the inputs of the model
225 (broiler activity and ventilation rate), which are assumed to affect the dependent variable y_k

226 (Taylor, Pedregal, Young & Tych, 2007). In this study, on each discrete time instant k , the time-
227 variant parameters linear relation can be written as:

228

$$229 \quad D_k = c_{1,k} + c_{2,k}A_k + c_{3,k}VR_k \quad (5)$$

230

231 where D is the measured indoor PM concentration of different PM sizes ($mg\ m^{-3}$) and A and VR
232 are the animal activity (%) and the ventilation rate ($m^3\ h^{-1}$) at time k , respectively. $c_{1,k}(mg\ m^{-3})$,
233 $c_{2,k}(mg\ m^{-3})$, $c_{3,k}(mg\ h\ m^{-6})$ are the time-variant model parameters estimated at time k .

234

235 At every discrete time instant k , the parameters $c_{1,k}$, $c_{2,k}$ and $c_{3,k}$ were estimated based solely in
236 PM concentration, broiler activity and ventilation rate measurements during a time window of a
237 previous S samples, as described in Aerts et al., 2003. In the experiments, the time between two
238 subsequent observations lasted 2 min. At each time instant k (min) the parameters of Eq. (5) were
239 estimated based on the measured values of animal activity, ventilation rate and PM concentration
240 in a time window of S samples (from sample $k - S + 1$ until k) and, subsequently, the concentration
241 was predicted F samples ahead ($k + F$) by using Eq. (5) with A_{k+F} and VR_{k+F} . At sample $k + 1$,
242 the procedure was repeated. In this way, the PM concentration was predicted at each time instant
243 based on a small window of current and past data, minimising the effect of obsolete data (Aerts et
244 al., 2003).

245

246 In order to investigate the accuracy of the model predictions, as a function of window size S and
247 prediction horizon F , the recursive estimation algorithm was applied to each dataset with a window
248 size ranging from 7 to 18 samples and a prediction horizon ranging from 1 to 7 samples. The

249 goodness of the prediction estimations of the DLR approach were quantified by means of the mean
250 relative prediction error (MRPE), which is defined as:

251

$$252 \quad MRPE = \frac{1}{N} \sum_{k=1}^N \sqrt{\left(\frac{D_k - \widehat{D}_k}{D_k}\right)^2} \cdot 100 \quad (6)$$

253

254 where MRPE is a percentage; N is the number of samples; D_k is the PM concentration measured
255 at time k and \widehat{D}_k is the predicted concentration at time k .

256 3 Results and Discussion

257

258 The dynamics of the two inputs, broiler activity and ventilation rate, and the output, indoor PM
259 concentration were visually inspected throughout a light period. The measured PM concentration
260 for different particle size classes showed a similar instantaneous pattern as broiler activity, as was
261 expected and can be seen in the example displayed in Fig. 1. However, it can be also seen that
262 there is a change in the indoor PM concentration trend with a change in ventilation rate whilst
263 broiler activity remained constant. This indicates that using only one of the two variables, either
264 broiler activity or ventilation rate, as input it would not be possible to characterise all the dynamics
265 present in the time-evolution of indoor PM concentration.

266

267 Thus, a system identification process was applied individually to the data of each light period in
268 the growth cycle using a multi-input single-output discrete-time time-invariant parameters transfer
269 function modelling approach. The aim of this system identification process was to establish, using
270 the data collected, a suitable transfer function model structure to characterise the impact of broiler

271 activity and ventilation rate on the indoor PM concentration dynamics. The model order for the
 272 models performing best in terms of YIC and R_T^2 grouped per day (approximately 70 % of the days)
 273 was found to be [1 2 1 0 0-5]. These results are displayed in Table 1. In Fig. 2, an example of this
 274 MISO TF model performance for a light period in a growth cycle is shown.

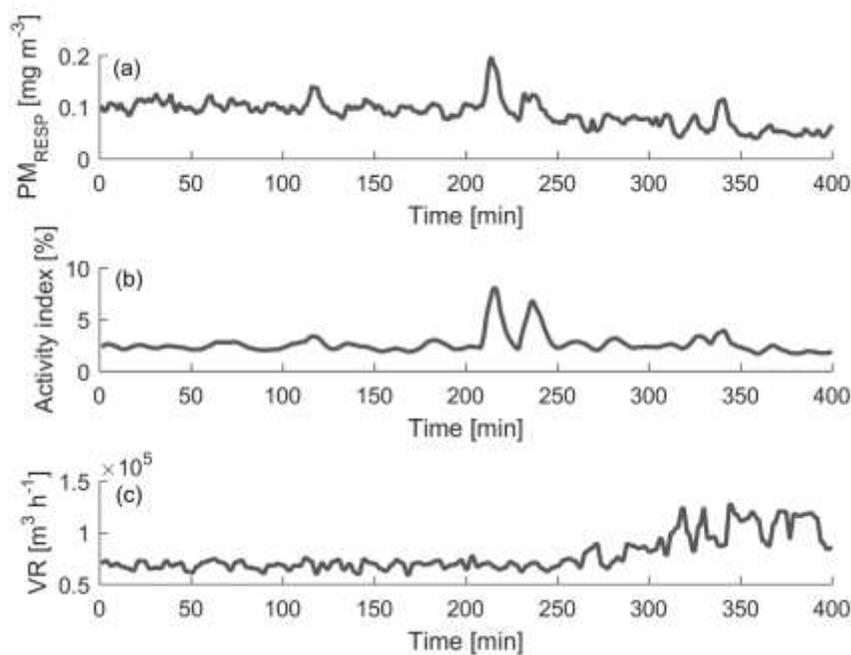
275 Table 1. Results from the system identification process to find a suitable MISO TF model to relate the sampled data of broiler
 276 activity and ventilation rate with PM_{RESP} indoor concentration. The table shows the model orders n_A , n_{B_1} , n_{B_2} for polynomials
 277 A , B_1 and B_2 , respectively, the delays δ_1 and δ_2 , associated to the inputs broiler activity and ventilation rate, respectively, and
 278 the fitting agreement (R^2) and Young Identification Criterion (YIC) for the most accurate model found during the identification
 279 process from the daily average of the analysis of each individual light period in a growth cycle (*Day*).

Day	n_A	n_{B_1}	n_{B_2}	δ_1	δ_2	R^2	YIC
2	1	2	1	0	4	0.41	-0.21
3	1	2	1	0	0	0.60	-2.82
6	1	2	1	0	4	0.60	-2.05
7	1	2	1	0	4	0.74	-4.51
9	1	2	1	0	0	0.66	-5.21
10	1	2	1	0	3	0.24	-2.67
11	1	2	1	0	3	0.15	-2.44
13	1	2	1	0	0	0.84	-6.46
14	1	2	1	0	0	0.53	-4.49
15	1	2	1	0	0	0.26	-3.03
16	1	2	1	0	0	0.92	-5.94
17	1	2	1	0	0	0.10	5.27
20	1	2	1	0	1	0.57	-3.45
22	1	2	1	0	2	0.09	1.17
24	1	2	1	0	2	0.81	-7.08
25	1	2	1	0	0	0.80	-5.61
26	1	2	1	0	2	0.49	-2.89
28	1	2	1	0	0	0.02	-0.54
30	1	2	1	0	5	0.66	-5.63
31	1	2	1	0	0	0.56	-1.15
33	1	2	1	0	5	0.57	-4.64

280

281 The model structure is a first order model with a second order B -polynomial multiplying broiler
 282 activity, without any delay, and a first order B -polynomial with varying delay multiplying
 283 ventilation rate. These results may be interpreted as broiler activity accounting for the short term
 284 dynamics in PM concentration (two b -parameters and no delay) whereas the ventilation rate

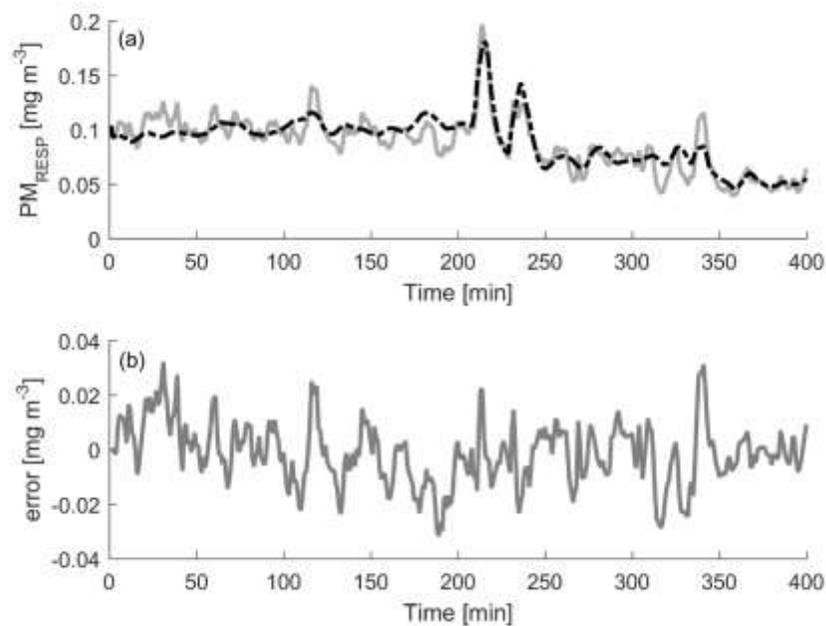
285 accounts for the long term, or trend, dynamics exhibit by PM concentration within the light period
 286 (one b -parameter and delay). The delay term associated to the ventilation rate represents,
 287 mathematically, the physical characteristic for which a change in ventilation rate has a slower
 288 diminishing effect on the PM concentration. This is in agreement with what it was deduced by
 289 inspecting the dynamics exhibited by the variables in Fig. 1. Therefore, the model term related to
 290 broiler activity takes care of the rapid variability in the indoor PM concentration, while the
 291 ventilation rate accounts for the general trend changes in the indoor PM concentration level.



292 Figure 1. Example of PM_{RESP} indoor concentration (a), broiler activity level (b) and ventilation rate (c) data for a light period on
 293 day 10 in the growth cycle.
 294

295 For 70 % of the days analysed, the model performance was acceptable ($R_T^2 \geq 65\%$ and $YIC \leq$
 296 5.0) although, on average, it is showed only a fitting agreement (R_T^2) and YIC values of $R_T^2 =$
 297 $(51 \pm 26)\%$ and $YIC = (-3 \pm 2)$, respectively. Fig. 2 shows a descriptive example for a light
 298 period, concerning the PM_{RESP} indoor concentration. Similar results were obtained for PM_1 , $PM_{2.5}$,
 299 PM_{10} and PM_{TOTAL} indoor concentrations. Inspecting Fig. 2, the previous performance results

300 discussed can be explained by the inability of the model to capture the fast variability of the indoor
301 PM concentration. The average level and some of the variability was thus captured, but the model
302 consistently missed extreme values from the fast variability. Usually, this indicates that the impact
303 of the input in the output varies throughout the period studied, in this case throughout the light
304 period. Thus, time-variant parameter modelling was considered may be more suitable to
305 characterise the process studied.

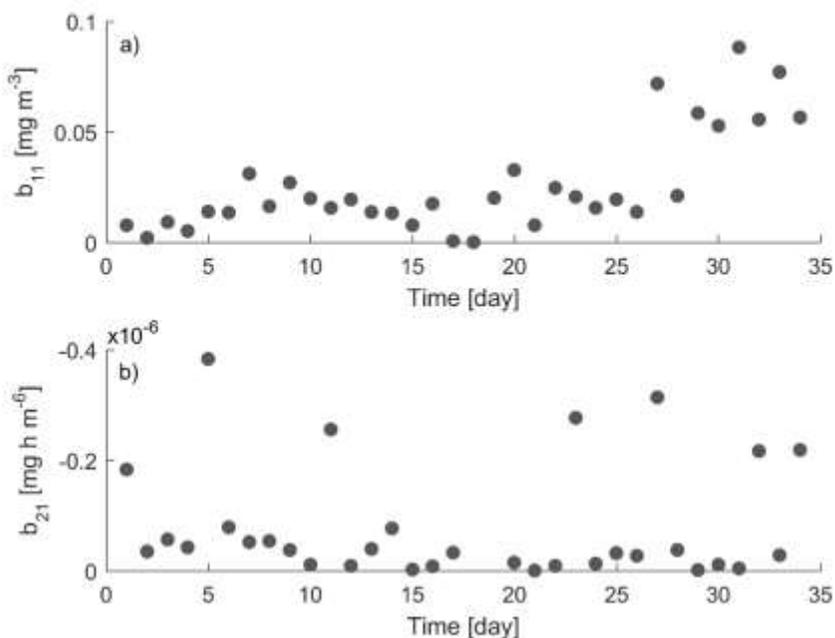


306

307 Figure 2. Comparison between the raw PM_{RESP} indoor concentration data (solid line) for one of the monitored light periods and the
308 multi input – single output (MISO) transfer function (TF) model output (dashed line) using broiler activity and ventilation rate as
309 inputs (a). The fitting error is displayed in (b).

310 In order to explore further the reason why the MISO TF model could not fully describe the indoor
311 PM concentration dynamics, the estimated values of the time-invariant model parameters,
312 summarised per day from the individual light period analysis, were investigated. Fig. 3 shows the
313 daily estimates for the parameters b_{11} and b_{21} associated to broiler activity and ventilation rate,
314 respectively. It is clear that these daily estimates vary throughout the growth cycle. Parameter b_{11}
315 evolution, associated with broiler activity, initially showed low average values and little

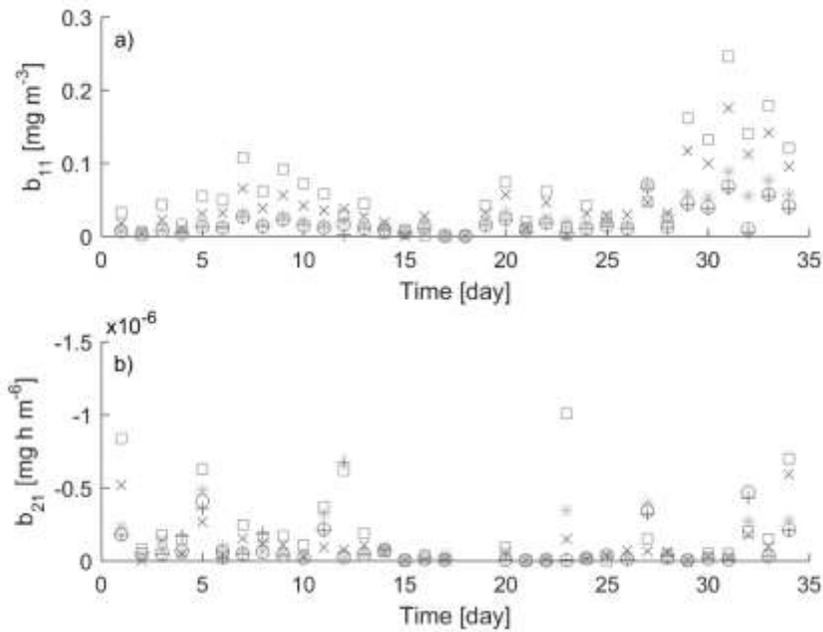
316 variability. However, as the growth cycle continued its average value and variability increased.
 317 Parameter b_{21} associated with ventilation rate, showed higher average values and variability at the
 318 beginning of the growth cycle but as the growth cycle advanced, its average value decreased and
 319 it became more stable. The order difference in the values of these parameters is due to the units in
 320 which broiler activity and ventilation rate are used in the model.



321
 322 Figure 3. Average daily estimations from the analysis of the individual light periods of the time-invariant parameter b_{11} associated
 323 with broiler activity (a) and parameter b_{21} associated with ventilation rate (b).

324 These parameter evolutions may be related to the poultry production process. At the beginning of
 325 the growth cycle, broilers are small and their activity plays a less important role in the generation
 326 and dynamics of PM e.g. increase in concentration due to broilers lower than the reduction due to
 327 the ventilation rate. It accounts for the sudden variations in the indoor PM concentration level but
 328 the overall concentration trend is governed by the ventilation rate. As broilers grow, their activity
 329 starts playing a greater role in PM concentration dynamics, while the contribution of ventilation

330 rate diminishes. It can be seen that b_{11} has a positive value, indicating that an increase in broiler
331 activity will induce an increase in indoor PM concentration. Also, b_{22} has negative values, meaning
332 that an increase in ventilation rate will generate a decrease in the indoor PM concentration. This
333 can be also explained in terms of the broiler production process. As broilers increase their
334 movement, they will interact with the dust in their local environment, lifting it up and increasing
335 the overall level of PM concentration. Normally, these events are of short duration and, after some
336 time, these particles sediment due to gravity. Thus, increases in broiler activity induce sudden
337 increases in indoor PM concentrations. This explains the positive value of the b_{11} parameter and
338 the activity being related to the rapidly varying indoor PM concentrations. When ventilation rate
339 increases, it induces it dilutes indoor PM concentration, gradually decreasing its level. This
340 explains the negative b_{22} parameter value and the modelling structure pointing to ventilation rate
341 affecting the trend dynamics of the indoor PM concentration but with a certain time delay. It should
342 also be taken into account that the activity measurements from the eYeNamic[®] system are less
343 accurate when the floor area is increasingly occupied by birds. It has been shown that once birds
344 reach, on average, 1 kg of bodyweight, the activity index become less reliable as they consistently
345 cover most of the floor area (Peña Fernández et al., 2018). This may contribute to the higher
346 variability exhibited by the model parameter linked to broiler activity towards the end of the
347 growth cycle. Another aspect that can affect the dynamics is the thinning procedure. On day 31,
348 on average, around 10-15 % of the birds are removed. This time evolution for the estimated values
349 of the model parameters is consistent across the different PM sizes analysis, as it can be seen in
350 Fig. 4.



351

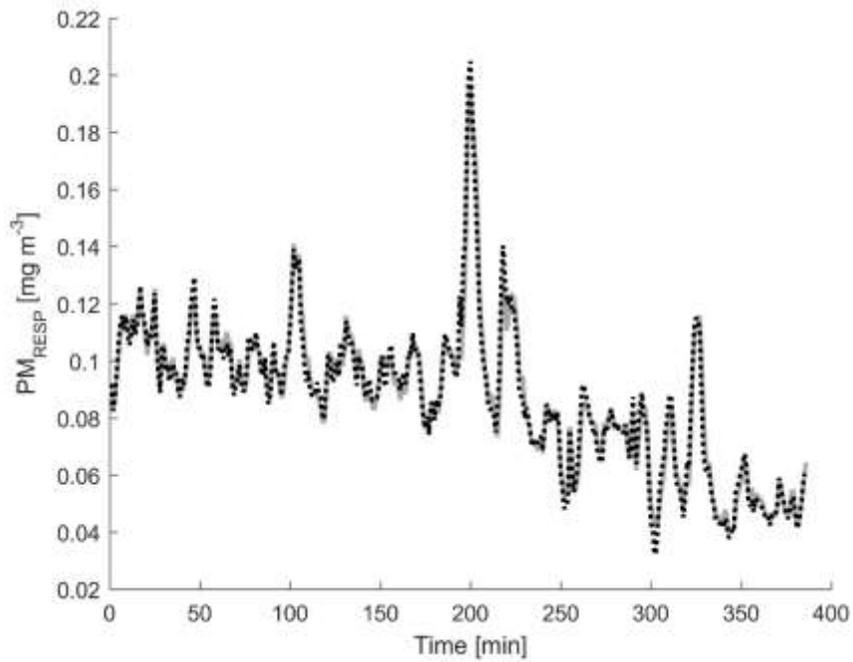
352 Figure 4. Average daily estimations from the analysis of the individual light periods of the time-invariant parameter b_{11} associated
 353 with broiler activity (a) and parameter b_{21} associated with ventilation rate (b) for particle sizes of $1\mu\text{m}$ (square), $2.5\mu\text{m}$ (x), $10\mu\text{m}$
 354 (circle), Respiratory (RESP) size (asterisk) and TOTAL (cross).

355 Thus, from the previous analysis, it seems that a discrete-time MISO time-invariant parameters TF
 356 model with a $[1\ 2\ 1\ 0\ 0\ -5]$ structure is capable of estimating the indoor PM concentration level in
 357 a broiler house. This model structure seems to be aligned with the expected impact of the inputs,
 358 broiler activity and ventilation rate, in the output, indoor PM concentration, according to the broiler
 359 rearing process. However, its performance is hampered by its inability to capture all the extremal
 360 values exhibited by the indoor PM concentration within the daily dynamics. Estimates of the model
 361 parameters show an evolution along the growth cycle, indicating that the impact of broiler activity
 362 and ventilation rate on indoor PM concentration changes, not only changes throughout the growth
 363 cycle, but also between the consecutive light periods monitored. Consequently, if a discrete-time
 364 MISO time-invariant parameters TF model was built using an average value of the model
 365 parameters, the issue of coping with the maximum and minimum variability of the PM
 366 concentration would become acute, lowering even more the fitting agreement (R_T^2). Thus, it would

367 not be possible to just develop a unique model to simulate dust concentration with a given or fixed
368 set of parameter values that could be used to develop a control system.

369 Due to this inability to model indoor PM concentration variability over a light period with a time-
370 invariant parameter transfer function model, a time-variant approach was tested. It was expected
371 that these time-varying parameters modelling approach would closely follow the evolution of the
372 indoor PM concentration throughout the light period and the growth cycle. Furthermore, the model
373 forecasting properties were evaluated in order to test the model's capabilities to be used as the core
374 of a control system to actively manage in real-time the indoor PM concentration in broiler houses.

375 In Fig. 5, a descriptive example for a light period of the DLR one-sample ahead model forecasting
376 performance for PM_{RESP} indoor concentration is shown. Different time window and prediction
377 horizon sizes were evaluated in order to check the potential of the model to estimate the different
378 PM sizes concentration variability exhibited throughout a light period of the growth cycle. By
379 averaging the time-variant parameters model forecasting performances from each individual light
380 period over all the growth cycles monitored, it was discovered that using a window size of 14
381 samples it was possible to predict one sample-ahead the dust concentration value with an average
382 MRPE of $(4.6 \pm 3.2) \%$. This means that after gathering 28 min of data from the inputs and output,
383 it is possible to start forecasting the indoor PM concentration with an average prediction error of
384 4.6 % of the measured value.



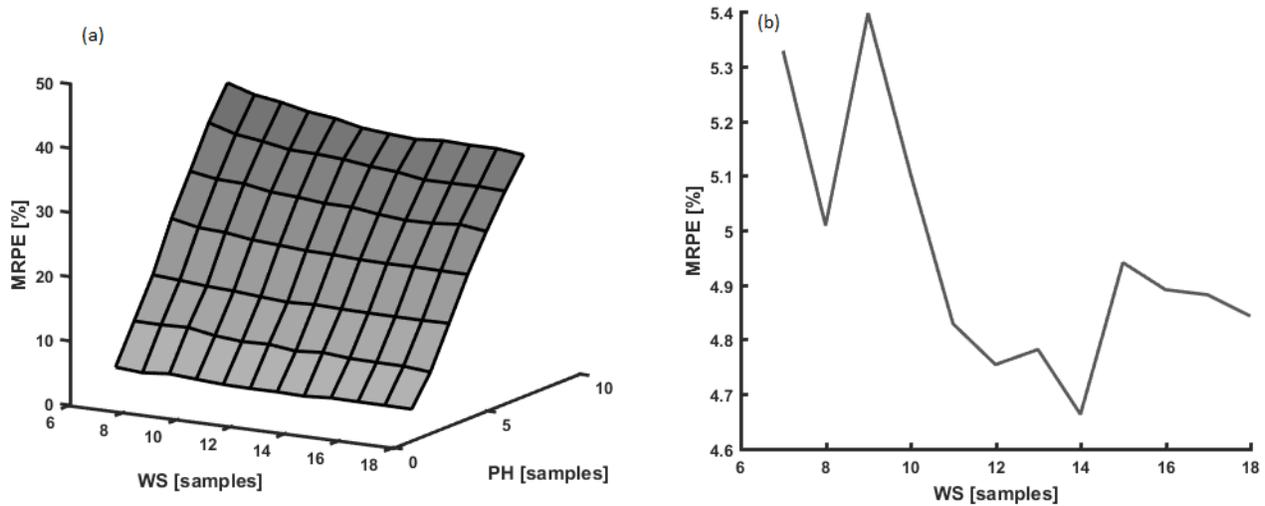
385

386 Figure 5. Example of the DLR model one sample ahead forecasting output for the PM_{RESP} indoor concentration (dashed line)
 387 against the sampled PM_{RESP} indoor concentration (solid line), using broiler activity and ventilation rate sampled data as inputs for
 388 an individual light period.

389 Fig. 6 shows the average MRPE for different combinations of time window and prediction horizon
 390 when modelling PM_{RESP} indoor concentration. These results confirm the hypothesis regarding that
 391 the time-invariant behaviour of the model parameters in the discrete-time MISO TF model
 392 hampered its ability to describe all the dynamics present in the indoor PM concentration dynamics
 393 throughout a light period. Therefore, a DLR model, in which these model parameters are able to

394 vary over time, is able to capture accurately, $R_T^2 = (97.7 \pm 1.3) \%$, the light period dynamics
395 present in the indoor PM concentration

396



397

398 Figure 6. Average mean relative prediction error (MRPE), in percentage, of the forecasts accuracy using the dynamic linear
399 regression (DLR) modelling approach with a historical window size (WS) ranging from 7 to 18 samples and a prediction horizon
400 (PH) ranging from 1 to 7 samples for PM_{RESP} indoor concentration from the individual light period analysis for all growth cycles
401 monitored (a). Insight of the mean relative prediction error (MRPE) for the different window sizes (WS) tested for one sample
402 ahead prediction horizon (b).

403 A check was required to see if the link between the mathematical model and the biological process
404 is preserved when time variation in the parameters is allowed and model complexity is reduced.

405 As expected, the model parameters exhibit variability, not only along the growing cycle but also
406 within the light period, as it can be seen in the descriptive example shown in Fig. 7.

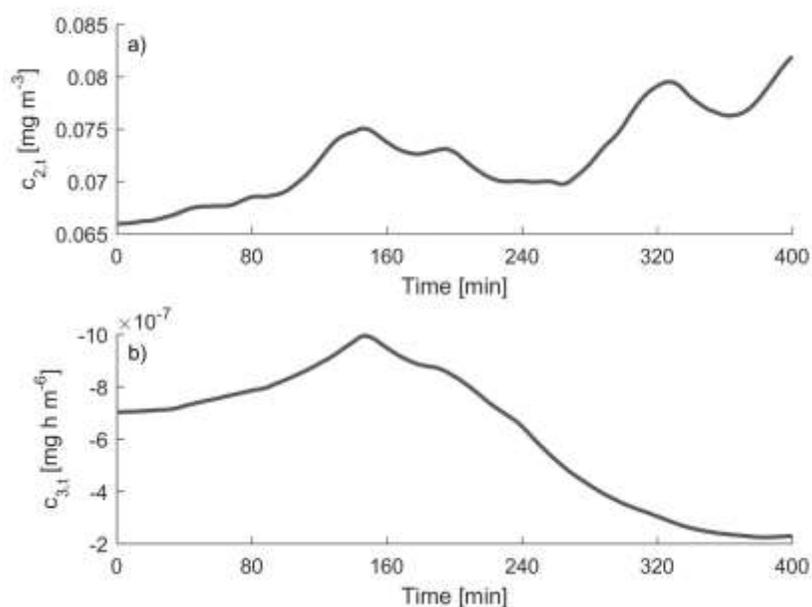
407 These dynamics indicate that the impact of broiler activity and ventilation rate changes throughout
408 the light period, and the subsequent parameter behaviour, may be induced by several reasons. The

409 impact of these inputs may be influenced by external variables playing a role in the process, such
410 as temperature or humidity. This could generate extra contributions to the dynamics of indoor PM

411 concentration but there could be external processes contributing to these dynamics such as particle
412 resuspension. Resuspension is a process in which particles initially on a surface, join a stream of

413 fluid. It is influenced, among other factors, by fluid velocity, turbulence, climatic conditions and

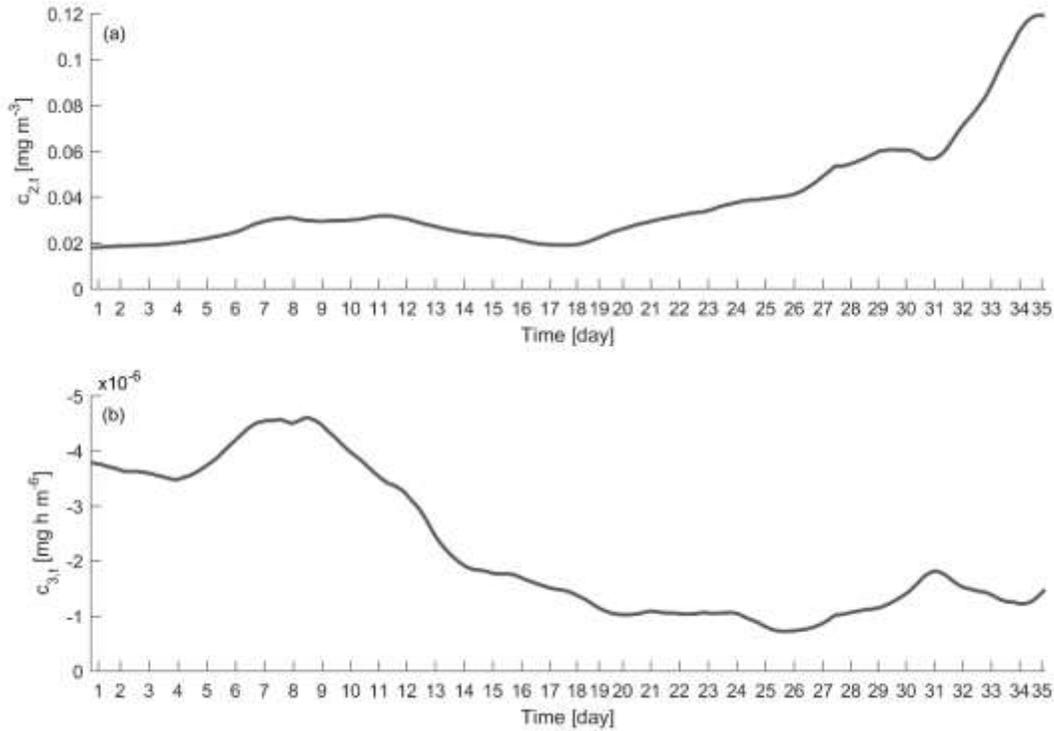
414 particle density (Mukai, Siegel, & Novoselac, 2009; Qian & Ferro, 2008). Thus, it is a process that
415 can be generated inside the house by both broiler activity and ventilation rate. It could therefore
416 interfere with the dynamic evolution of the indoor PM concentration and, therefore, contribute to
417 the time-variant parameter behaviour captured by the DLR model. These external contributions do
418 not strongly induce non-linear contributions to the indoor PM concentration dynamics and they
419 can be characterised by assuming general random-walk evolutions for these model parameters.
420 Further studies are needed to characterise fully which external processes contribute to the evolution
421 of these time-variant parameters.



422
423 Figure 7. Example of the evolution of the $c_{2,t}$ time-varying parameter from the DLR model, which is associated to broiler activity
424 (a) and evolution of the $c_{3,t}$ time-varying parameter from the DLR model, which is associated to ventilation, rate (b) throughout a
425 light period when modelling PM_{RESP} indoor concentration

426 Furthermore, the DLR model time-variant parameters dynamics during the growth cycle were
427 evaluated, grouping the outcome from the analysis of each individual light period analysis per day.
428 As before, these parameters exhibit a time evolution during the growth cycle. In Fig. 8, a

429 descriptive example of the time-variant DLR model parameters dynamics during the growth cycle
430 is shown.



431
432 Figure 8. Average daily evolution from the analysis of each individual light period for a complete growth cycle of the $c_{2,t}$ time-
433 varying parameter from the DLR model, which is associated to broiler activity (a) and evolution of the $c_{3,t}$ time-varying parameter
434 from the DLR model, which is associated to ventilation rate (b) for PM_{RESP} indoor concentration.

435 As well in the results from the time-invariant parameter MISO TF modelling approach, the
436 parameter linked to broiler activity, $c_{2,t}$ in the DLR model, gained importance as the growth cycle
437 evolved as the size of the broilers increased. The parameter linked to ventilation rate, $c_{3,t}$, was more
438 important at the beginning of the growing cycle. Also, certain variability was observed in the time
439 evolution of the parameters, which was also probably induced by the inner variability these
440 parameters exhibit during every light period. It also appeared that there was little change in the
441 dynamics around day 31 when the thinning process which removed 10-15 % of the birds from the
442 broiler house, occurred. Thus, it can be seen that the dynamics of these time-variant parameters
443 are consistent with the time-evolution was inferred from comparing the daily estimates of the time-

444 invariant parameters. The time-variant nature of the parameters in the DLR model allowed these
445 dynamics to be captured in a more consistent and reliable manner. Therefore, it appears that the
446 logical relationship between the model characteristics and what it is expected from the broiler
447 production process is maintained and is clarified by using the DLR model.

448

449 Therefore, it appears there are several advantages in using a time-variant DLR model over the
450 discrete-time MISO time-invariant model. By allowing the parameters to vary over time, it is
451 possible to account for the effect on the output of external variables, which are not used explicitly
452 in the model. The averaged coefficient of determination reached by the DLR model, $R_T^2 = (97.7 \pm$
453 $1.3) \%$, appears to indicate that some of these external contributions may induce slightly non-
454 linear contributions to these dynamics, but model accuracy seems to be sufficient to characterise
455 the general time-evolution of indoor PM by means of just broiler activity and ventilation rate. Also,
456 model complexity has been reduced. Additionally, the DLR model forecasting error achieved,
457 $MRPE = (4.6 \pm 3.2) \%$, appears to indicate that after around half an hour of data has been
458 gathered for both inputs (broiler activity and ventilation rate) and output (indoor PM
459 concentration), it is possible to make accurate predictions of the changes in indoor PM
460 concentration level induce by changes in broiler activity and ventilation rate.

461 4 Current limitations and future perspectives

462 The time-variant parameters model developed in this study shows promising properties to monitor
463 the indoor PM concentration using broiler activity and ventilation rate as inputs. However, there
464 are certain limitations to its application that require discussion and need further research to be
465 addressed.

466 Firstly, due to the experiments being in a commercial setting, there are certain disadvantages,
467 which should be noted. The system identification and model evaluation were performed during a
468 “light period”. Due to current legislation, the light schedule was fixed. Every day there were 3
469 light periods of approximately 6 h and two dark periods of approximately 4 and 2 h. Also, the
470 camera included in the eYeNamic® system do not have infrared capabilities, thus it was not
471 possible to collect activity measurements during dark periods with the current technology used on
472 n the farm. Therefore, only data from the light periods have been used to develop and test the
473 model. Moreover, trying to combine the different light periods for a day in a single dataset may
474 induce sudden changes at the end and start of consecutive light periods, introducing artefacts for
475 the model identification and evaluation. In future, if broiler activity data is available during dark
476 periods, the model could be tested and adapted and if required continuously analysing the complete
477 day. However, working only during a light period does not result in a limitation. The aim of the
478 model is to be part of a predictive controller to be used in a commercial farm. The results show
479 that once around half an hour of data is collected under typical commercial conditions, the model
480 provides accurate predictions of indoor PM concentrations. Thus, it is feasible to develop a
481 controller based on the DLR model, which would operate during the light period in the broiler
482 house.

483 Regarding model performance, there are certain aspects, which should also be discussed. The
484 model may show limitations due to the characteristics of the building; the systems therein, such as
485 lighting or ventilation; the legal regulations required to ensure animal welfare or the type and
486 management of litter. Other external factors which are not explicitly taken into account in the
487 model, such as temperature or humidity, are expected to have an impact in the indoor PM dynamics
488 and thus on model performance. Two aspects should be taken into account regarding these issues.

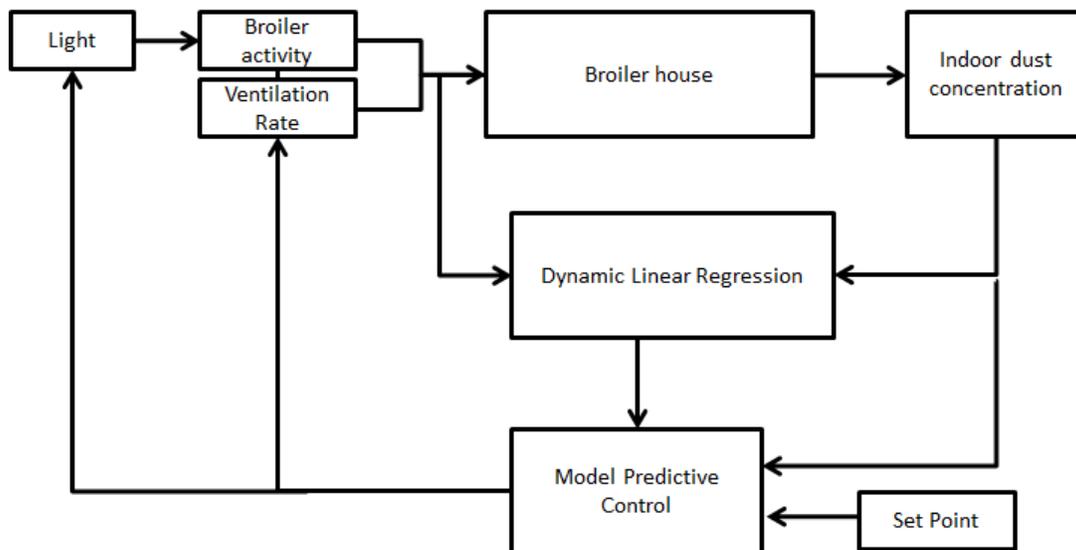
489 Due to the adaptive properties of the modelling framework, it is expected that the impact of
490 external factors not taken explicitly into account in the model would be captured by the time
491 evolution of the parameters. This is feasible, since the impact of these factors does not induce a
492 heavy non-linear behaviour during evolution of the model parameters, nor does it change the
493 relationship between model variables thereby impacting on the model order needed to describe the
494 process. Thus, the impact of these external factors does not alter the model structure since the
495 adaptive characteristics of the model can cope with them. Adaptive characteristics should be
496 understood as the model parameters will be estimated using data from that specific farm and will
497 be updated through time according to the past and current conditions of the inputs and output. On
498 the other hand, these different factors will limit the possible values of activity and ventilation rate
499 in the farm. These aspects, rather than affecting the model itself, would affect and limit the
500 development of a future model predictive controller. In principle, these limitations could be
501 included as a constraint for the cost function of the controller. Thus, the action advised by the
502 controller would be limited by these boundaries. Then, if constraints imposed by building
503 characteristics and regulations were too strict, the capabilities of the controller to suggest
504 alternatives to diminish the indoor PM concentration levels would be limited too. However, these
505 limitations also pose an opportunity to develop further the process knowledge. It is expected that
506 the time-evolution of the parameters throughout a rearing period would be the combination of the
507 intrinsic time evolution behaviour of the variables considered in the model and the contribution of
508 these external factors. This would help towards developing mechanistic expressions to establish
509 the relation between the external variables, starting from a data-based approach, which can be used
510 for both, gaining process knowledge and expand the current time-variant parameters model.

511 In addition, regarding the time evolution of the parameters a trade-off between efficiency and
512 accuracy was needed. As in the commercial conditions tested, light periods will last 6 h, on
513 average, the time window size of 14 samples, or 28 min, selected as optimal to initialise the model,
514 seems acceptable. From the mean relative prediction error analysis, it seems possible to use an
515 even smaller time window size and still achieve low MRPE values. However, it can be also seen
516 that depending on the prediction horizon desired, the optimal time window size varies too. This is
517 due to the process dynamics needed to be captured in order to describe accurately the process. A
518 time window size too small will allow capturing sudden changes more accurately. However, the
519 dynamics involved in the general trend exhibited by the indoor PM concentration will be lost,
520 leading to a poorer overall performance as shown in the results. In contrast, a large time window
521 size will lose the capability to capture sudden changes. Therefore, as the objective was to find a
522 model, which can describe the dynamics of both light periods and growth cycles accurately, the
523 time window size, which minimises the average performance, was selected. It is also expected that
524 during a light period sudden peaks in PM concentration may emerge. Such a situation will have a
525 negative impact in the time-variant parameters model forecasting performance. It is expected that
526 if a particularly sudden increase is generated directly by a sudden change in one of the inputs in
527 the current model (e.g. broiler activity or ventilation rate), the model will be able to capture it to a
528 certain extent because sudden non-linear behaviours cannot be capture fully by the model
529 developed in this study. However, if the sudden change in indoor PM concentration is due to a
530 change in external factors, then the model will need some time to adapt to the new conditions,
531 increasing the prediction error for the immediate forecasted samples. Therefore, an error analysis,
532 focus on evaluating the maximal individual prediction error in each light period was performed.
533 On average, from all of the available dataset, the maximum individual relative prediction error is

534 (45 ± 23) %. This result demonstrates the existence of high punctual deviations in the model
535 predictions. Therefore, the impact of such errors on model performance was explored. The average
536 mean relative prediction error was one order of magnitude lower than the relative maximum error
537 (4.6 %). This already provides a first indication that in terms of the average performance the impact
538 of these events is not highly relevant. It appears that the adaptive properties of the model allow it
539 to quickly address these situations. An analysis was carried out of individual prediction errors in
540 these situations. It was found that relative individual prediction errors were equal or greater than
541 20, 30 or 50 % representing only 3.24, 1.15 and 0.23 % of all prediction errors. Thus, a sudden
542 peak in the PM concentration will have an impact on model performance, increasing the prediction
543 error. However, the adaptive capabilities of the time-variant model allowed this sudden change to
544 be quickly addressed, adapting the model parameters to resemble again the conditions governing
545 the indoor PM dynamics. Although the impact of these situations, at least in the datasets analysed
546 in this study, on the overall performance of the algorithm is not highly significant, this aspect needs
547 to be considered when developing a model predictive control system. The data-based mechanistic
548 modelling framework used in this work, allows some possibilities to address this issue. Currently,
549 the weight assigned to the previous measurements is the same. It has utilised a rectangular and not
550 an exponential window. This was because the focus of this study was on the average performance.
551 However, for short predictions may be interesting to assign more relevance to recent
552 measurements. This might be also achieved by selecting shorter time window sizes, although this
553 would indirectly assign more relevance to the impact of broiler activity than to ventilation rate, as
554 this contribution exhibits a delay for indoor PM dynamics. Therefore, further work needs to be
555 done to evaluate, over a longer term, the impact of these situations in the model and model
556 predictive controller. Linking the time evolution of the model parameters in such situations to the

557 external variables, it is expected to develop expressions for this relation, which in the future may
558 be included in the current model.

559 Overall, these limitations point out again the need for a dynamic modelling approach, such as the
560 one developed in this work, to manage indoor PM concentration dynamics. These models allow
561 can adapt their structure according to the needs pursued to develop compact model predictive
562 controllers and also provide insights into the biological and physical processes involved. The DLR
563 model shows potential to be part of the design of a control system to actively control indoor PM
564 concentration variability and, ideally, be extended to manage emissions to the surroundings as
565 well. A scheme describing such a control system is shown in Fig. 9.



566
567 Figure 9. Scheme describing a potential control system to manage and actively control the indoor particulate matter
568 concentration in the broiler house using a dynamic linear regression model as core of the process. Broiler activity, managed by
569 using light schedule and intensity as actuators, and ventilation rate are used as input for the model, which allows forecasting
570 the indoor particulate matter according to changes on these inputs. The model predictive control will advise which broiler
571 activity and ventilation rate levels are needed to achieve the desire set point of indoor particulate matter concentration.

572 This is the representation of a model predictive control, using the DLR model developed in this
573 work as core of it, to advise broiler activity, whose actuator is the light level in the building, and
574 ventilation rate levels, leading the indoor PM concentration to the desire level, introduced as set

575 point. Moreover, as indoor PM concentration is monitored as part of the model, it can be the first
576 actuator to decide when there is a need for the control system to operate. Once indoor PM
577 concentration exceeds the desired or imposed limit due to, for instance legislation, then the model
578 predictive controller will take action. In the livestock sector, there are already some proposals for
579 the control of integrated management systems in pig and poultry, especially related to their growth
580 process (Frost et al., 1997). In poultry, the development of integrated or control systems has been
581 focussed on broiler growth. There are examples based either on semi-mechanistic models (Stacey
582 et al., 2004) or data-based mechanistic models (Aerts et al., 2003), as applied in this work, to
583 develop control systems to manage broiler growth in real-time. Similarly, there are examples in
584 pig rearing to attempt to estimate the daily nutrient requirements of animals in order to manage
585 their growth and its impact in nitrogen excretion (Andretta, Pomar, Rivest, Pomar, & Radünz,
586 2016; Hauschild, Lovatto, Pomar, & Pomar, 2012). To the best of our knowledge, there has not
587 being any attempt of developing a data-based mechanistic model to manage and control the indoor
588 dust concentration in a broiler house. Therefore, the data-based DLR model developed in this work
589 has the potential to become the core of a control system to manage in real-time the indoor PM
590 concentration in broiler houses. To date, few of these integrated applications has been either
591 developed or adopted in commercial livestock production. However, it is expected that the
592 combination of the advances in hardware and software, such as an active-control system as used
593 in this example, together with an appreciation of the added value and benefit of these technologies,
594 will stimulate the uptake of precision livestock farming techniques by farmers (Berckmans, 2013,
595 pp. 276-277).

596 5 Conclusions

597

598 The aim of this study was to test the ability to develop a model, which describes the relation
599 between broiler activity and ventilation rate to PM concentration inside the broiler house. This
600 relation was studied in order to develop a real-time model to monitor and forecast the impact of
601 changes in broiler activity and/or ventilation rate in PM concentration within the day variability
602 indoors the broiler house.

603

604 A first order discrete-time multi-input single-output time-invariant parameters transfer function
605 (MISO TF) model allowed monitoring the daily variability of PM with an average coefficient of
606 determination $R_T^2 = (51 \pm 26) \%$. Broiler activity accounted for the fast dynamics exhibit by the
607 indoor PM concentration, while ventilation rate accounted for its slow trend or general dynamic
608 evolution within the day. The use of time-invariant parameters in these models hampered its
609 capability of capturing all the dynamics present in the indoor PM concentration.

610

611 Furthermore, a DLR model allows monitoring the current PM daily variability accurately and
612 allows PM concentrations to be forecast as functions of broiler activity and ventilation rate
613 accounting for the within the day time-evolution of the model parameters. An MRPE of $4.6 \pm$
614 3.2% was found for prediction of one sample-ahead indoor PM concentration values in this work
615 when using a time window of 14 samples. Thus, the DLR model exhibits excellent properties to
616 be the core of a model predictive control system to actively manage in real-time indoor PM
617 concentration variability along the day in the broiler house as function of broiler activity and
618 ventilation rate.

619

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621

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