

## Exploring the fate of cattle herds with inconclusive reactors to the tuberculin skin test

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### 14 **Abstract**

15 Bovine tuberculosis (TB) is an important animal health issue in many parts of the world. In England  
16 and Wales, the primary test to detect infected animals is the single intradermal comparative cervical  
17 tuberculin test, which compares immunological responses to bovine and avian tuberculin.  
18 Inconclusive test reactors (IRs) are animals that demonstrate a positive reaction to the bovine  
19 tuberculin only marginally greater than the avian reaction, so are not classified as reactors and  
20 immediately removed. In the absence of reactors in the herd, IRs are isolated, placed under  
21 movement restrictions and re-tested after 60 days. Other animals in these herds at the time of the IR  
22 result are not usually subject to movement restrictions. This could affect efforts to control TB if  
23 undetected infected cattle move out of those herds before the next TB test. To improve our  
24 understanding of the importance of IRs, this study aimed to assess whether median survival time and  
25 the hazard of a subsequent TB incident differs in herds with only IRs detected compared with  
26 negative-testing herds. Survival analysis and extended Cox regression were used, with herds entering  
27 the study on the date of the first whole herd test in 2012. An additional analysis was performed using  
28 an alternative entry date to try to remove the impact of IR retesting and is presented in the  
29 Supplementary Material.

30 Survival analysis showed that the median survival time among IR only herds was half that observed  
31 for clear herds (2.1 years and 4.2 years respectively;  $p < 0.001$ ). Extended Cox regression analysis  
32 showed that IR-only herds had 2.7 times the hazard of a subsequent incident compared with negative-  
33 testing herds in year one (hazard ratio: 2.69; 95% CI: 2.54, 2.84;  $p < 0.001$ ), and that this difference in  
34 the hazard reduced by 63% per year. After 2.7 years the difference had disappeared. The  
35 supplementary analysis supported these findings showing that IR only herds still had a greater hazard

36 of a subsequent incident after the IR re-test, but that the effect was reduced. This emphasises the  
37 importance of careful decision making around the management of IR animals and indicates that re-  
38 testing alone may not be sufficient to reduce the risk posed by IR only herds in England and Wales.

39

### 40 **1. Introduction**

41 Bovine tuberculosis (TB) caused by *Mycobacterium bovis* occurs throughout the world, being  
42 particularly prevalent in Africa and South America. In Europe, countries that had not achieved  
43 Officially Bovine Tuberculosis Free Status (OTF) status in 2016 included Bulgaria, Croatia, Cyprus,  
44 Greece, Ireland, Italy, Portugal, Romania, Spain and the United Kingdom (EFSA and ECDC, 2017).  
45 Bovine TB is one of the most important animal health issues in England and Wales, with prevalence  
46 of the disease in some parts of England being the highest in the European Union (EFSA and ECDC,  
47 2017). Control of the disease is based on detection and slaughter of infected cattle using  
48 immunological testing of cattle herds, restriction of movement from infected herds and carcass  
49 inspection of animals at slaughter. Additional testing may be performed in herds perceived to be at  
50 risk, e.g. contiguous to an infected herd, or in animals prior to movement. More rigorous testing is  
51 applied to herds in which disease is suspected or confirmed.

52 In England, Defra's strategy for achieving OTF status for England published in 2014 saw the  
53 regionalisation of control measures to take account of the spatial heterogeneity of incidence risk  
54 (DEFRA, 2014). The overall incidence rate for England as a whole was 10.2 per 100 herd years at  
55 risk in 2016 (APHA, 2017a), but this varied considerably across the High Risk (HRA), Edge and  
56 Low Risk (LRA) areas of England (12.8, 3.4 and 0.3 herd years at risk respectively (APHA, 2017b)).  
57 In the HRA and Edge area, herds are tested on an annual basis, with herds in some parts of the Edge  
58 area being tested every six months, whereas in the LRA, herds are tested every four years. Tailored  
59 control measures are applied to each area in order to meet the objectives of the eradication strategy,  
60 which are to achieve OTF status, and more specifically to reduce incidence in the HRA, stop and  
61 reverse the spread of disease in the Edge area, and maintain or further reduce incidence in the LRA.

62 Wales has tested all herds annually since 2008, and in 2016, the TB incidence rate in Wales was 7.0  
63 per 100 herd years at risk (APHA, 2017c). Wales has also moved towards a regional approach to TB  
64 eradication, by establishing Low, Intermediate and High TB Areas defined by disease incidence risk.  
65 A number of changes to TB control were introduced in October 2017 as part of the Welsh  
66 Government's eradication programme (Welsh Government, 2017). In Scotland, which is officially  
67 free of tuberculosis, herd-level risk-based surveillance is used for a more targeted approach to routine  
68 tests. Herds defined as low-risk are excluded from routine testing

69 The primary test used to detect infected animals is the single intradermal comparative cervical  
70 tuberculin (SICCT) test, which is based upon injection of bovine and avian tuberculins alongside one  
71 another in the skin of the neck. Cattle infected with *M. bovis* tend to show a greater response to  
72 bovine tuberculin than avian tuberculin, distinguishing infection with *M. bovis* from infection with  
73 other mycobacteria (De La Rua-Domenech et al., 2006). However, while the test is estimated to have  
74 high specificity (nearly 100%) (Goodchild et al., 2015), the sensitivity of the test at the animal level  
75 when using standard interpretation has been estimated to be around 80% but could be as low as 50%  
76 (De La Rua-Domenech et al., 2006, Nuñez-García et al., 2017).

77 Inconclusive reactors (IRs) to the skin test are defined in England and Wales as animals that  
78 demonstrate a reaction to the bovine tuberculin that is less than 4mm larger than an avian reaction

79 under standard interpretation of the test, or less than 2mm larger than an avian reaction under severe  
80 interpretation. In 2015, there were 2,785 herds in England in which only IRs were detected and  
81 which went on to have a re-test, and 21% of these herds had positive reactors (i.e. an incident) at the  
82 re-test (APHA, 2017b). In Wales, there were 970 IR-only herds of which 21% had an incident at the  
83 re-test (APHA, 2017c). Animals in these herds at the time of the IR result may be infected, yet the  
84 herds will not usually be subject to movement restrictions unless there is a recent history of TB in the  
85 herd. In England, 1,420 IRs were slaughtered in 2016 and 13.4% were found to have visible lesions  
86 (APHA, 2017a). In Wales, 862 IRs were slaughtered in 2016 and 2.9% had visible lesions (APHA,  
87 2017c). This could have implications for efforts to control TB if undetected infected cattle move out  
88 of those herds over the 60-day period prior to the re-test. This has been demonstrated in Ireland  
89 where Clegg et al. (2011a) reported that between 11.8% and 21.4% of IRs slaughtered before being  
90 re-tested were infected with *M. bovis* at post mortem, compared with between 0.13% and 0.22% of  
91 animals with a negative SICCT test.

92 A change in policy for the management of IRs was introduced in England in November 2017. The  
93 policy now requires that all IRs in the HRA and Edge Area with a negative result on re-testing must  
94 remain restricted for life to the holding in which they were identified. This also applies to IRs in  
95 infected herds in the LRA. In comparison, the Welsh eradication programme aims to remove IRs  
96 detected in chronically infected herds, under specific circumstances, alongside any reactors. These  
97 proactive approaches to managing the risks of IRs are appropriate in light of current knowledge, yet  
98 the factors associated with the fate of IR herds are still not well understood. Analysis of 2016  
99 surveillance data has shown that in the HRA and Edge areas of England, herds with a history of TB  
100 had a significantly greater risk of having a confirmed incident at the IR retest (APHA, 2017a).  
101 However, the association between a herd having an IR-only test result and the time to a subsequent  
102 incident has not been explored in England and Wales. To improve our understanding of the risk that  
103 IRs represent, this study aims to assess whether there are differences in the time to a subsequent  
104 incident in herds with only IRs detected compared with herds that test negative at a whole herd test.

105

## 106 2. Materials and Methods

### 107 2.1 Study population and data extraction

108 A retrospective cohort study followed cattle herds in England and Wales between 1<sup>st</sup> January 2012  
109 and 31<sup>st</sup> December 2016. Data describing TB testing and incidence for the study period were  
110 obtained from the Animal and Plant Health Agency's Sam database. The study population included  
111 all unrestricted herds (TB-free) in the high-risk and edge areas of England and Wales that had a  
112 whole-herd type test (WHT) in 2012. This included a small number of routine herd tests (5% of all  
113 WHT included) which in some cases might not include all animals in the herd. Herd demographic  
114 data, information relating to the first WHT in 2012 and the first subsequent incident (test where  
115 reactors were disclosed or infected animals detected at slaughter) were obtained. The number of  
116 incidents in the 10 years prior to the 2012 WHT, and the annual rolling county-level incidence at the  
117 end of 2012 were also obtained. The dataset was prepared using Microsoft SQL Server 2012 and  
118 extracted for cleaning and analysis using Stata 14 (Stata Corporation, College Station, TX, USA).

119 Herds entered the study on the date of their first WHT in 2012. Herds with a positive test result at the  
120 first 2012 WHT, or an incident linked to this test, were excluded. The remaining herds were grouped  
121 into two cohorts: those with a clear test result at the 2012 WHT ("clear herds") and those that had  
122 only IRs detected ("IR only herds"). The outcome was defined as a subsequent incident (i.e. reactors  
123 detected at a subsequent test or infected animals detected at slaughter) during the follow-up period.  
124 Herds were censored either on the date of the test that disclosed an incident or at the end of the study  
125 period, whichever was earlier. Herds lost to follow-up due to the closure of the farm contributed time  
126 at risk until the date they were archived in Sam. Time was measured in days, but scaled up to years  
127 for the analysis.

128 The hypothesis being tested was that the hazard of a subsequent incident is different between herds in  
129 which IRs have been detected and herds which test negative.

130

### 131 2.2 Statistical analyses

132 Descriptive analyses were performed to examine the number of herds in each cohort (clear herds or  
133 IR only herds), and the number of incidents during the follow-up period. The median survival time in  
134 years for each cohort was estimated using the Kaplan-Meier method (Kaplan & Meier, 1958).  
135 Differences in survival time between the two cohorts were analysed using the log-rank statistic.

136 Cox regression was used to examine the association between first WHT status in 2012 and the hazard  
137 of a subsequent incident. Other explanatory variables examined for an association with the hazard of  
138 a subsequent incident were herd type, herd size, the season in which the 2012 WHT took place, the  
139 number of incidents in the previous 10 years, geographical risk area and annual rolling county-level  
140 incidence at the end of 2012. These other explanatory variables were then individually added to a  
141 model with first WHT status in 2012 to assess whether they resulted in a change in the hazard ratio  
142 for the primary exposure. Herd size, the number of incidents in the previous 10 years and county-  
143 level incidence were analysed as both continuous and categorical variables, and those that resulted in  
144 the greatest change in the hazard ratio for first WHT status in 2012 were used in the analysis. Efron's  
145 method for dealing with ties was used since there were a large number of tied events in the dataset  
146 due to the large number of herds and the resolution of the temporal unit (days). All variables  
147 associated with the hazard of a subsequent incident with a p value < 0.20 in univariable analyses  
148 were considered for inclusion in a multivariable model.

149 The multivariable analysis was performed in a stepwise manner with the variable first WHT status in  
150 2012 (“clear” or “IR only”) forced into the model as the primary exposure variable. The outcome  
151 variable was occurrence of a subsequent incident. Confounders were then sequentially added to the  
152 model in a forward stepwise manner, starting with the variable that resulted in the greatest change in  
153 the hazard ratio for first WHT status in the univariable analysis. An interaction between herd type  
154 and location was considered. The likelihood ratio test and Akaike’s Information Criterion (AIC) was  
155 used to compare models (Burnham & Anderson, 2002). Model fit was assessed using Harrell’s C  
156 concordance statistic and by plotting the Cox Snell residuals and deviance residuals, as recommended  
157 by Dohoo et al. (2010).

158 To test the assumption of proportional hazards, a log-minus-log survival plot was generated for first  
159 WHT status adjusted for variables included in the final model. The correlation between the  
160 Schoenfeld residuals of each variable and transformed time was assessed using the Chi-squared test.  
161 A p-value of less than 0.05 was taken as evidence against the null hypothesis that the hazards were  
162 proportional. In addition, graphs of the scaled Schoenfeld residuals over time were plotted for each  
163 variable to look for nonlinear relationships between the residuals and time or influential outliers.  
164 Interactions between each of the variables and log time were assessed by extending the model to  
165 include time varying coefficients using the `tvc` command in Stata. Model fit could not be assessed  
166 using the Cox-snell and deviance residuals after the inclusion of the time-varying coefficients, so  
167 models were assessed using the likelihood ratio test and AIC.

168 An additional analysis was performed using the date of the first subsequent clear herd test after the  
169 first WHT as the entry date, thereby excluding herds that were disclosed as infected at the IR retest.  
170 The purpose of this was to try to remove the impact of the IR retesting and ensure that all herds were  
171 starting out on comparable testing regimes. The results of this analysis are presented in the  
172 supplementary material.

173

### 174 **3. Results**

#### 175 **3.1 Descriptive analysis**

176 There were 30,600 unrestricted herds that had a WHT in 2012, and overall, the median percentage of  
177 animals tested per herd at the first WHT in 2012 was 98%. Of the 30,600 herds, 27,289 (89%) tested  
178 negative (clear) and 3,311 (11%) only had IRs (IR only) at the first WHT in 2012. Overall, 30% of  
179 herds went on to have a subsequent incident within the follow-up period. A greater percentage of IR  
180 only herds went on to have a subsequent incident compared with clear herds (63% and 27%  
181 respectively) (Z-test to compare two proportions:  $p < 0.001$ ) (Table 1).

182 The percentage of herds that suffered a subsequent incident was greater among herds with three or  
183 more incidents in the 10 years prior to the 2012 WHT, dairy herds, and increased with herd size  
184 (Table 1). In addition, herds appeared to be more likely to have a subsequent incident if they were  
185 located in the high-risk area of England and in a county where incidence was greater than the median  
186 incidence across all counties at the end of 2012 (Table 1). The percentage of herds that had a  
187 subsequent incident did not vary with the season in which the 2012 WHT took place. Among IR only  
188 herds, 53% of subsequent incidents were disclosed by an IR retest, whereas among clear herds, 19%  
189 of subsequent incidents were disclosed by an IR retest (Z-test to compare two proportions:  $p < 0.001$ ).  
190 The median number of skin test reactors was lower among incidents disclosed by an IR retest than  
191 among incidents disclosed by other tests (0 vs 1 respectively; Wilcoxon rank-sum test:  $p < 0.001$ ).

192 However, the median numbers of IRs and reactors to the gamma interferon test was zero among  
 193 incidents disclosed by an IR retest and among incidents disclosed by other tests.

194 Seven herds were excluded from the analysis as they had an archive date (date herd closed down) that  
 195 fell before the date of the first WHT in 2012 and they were not tested again within the follow-up  
 196 period. This left 30,593 herds under observation. There were 9,326 herds with a subsequent incident,  
 197 which occurred at a median follow-up time of 1.8 years (range: 0.02 to 4.9), while 21,267 herds were  
 198 censored at a median follow-up time of 4.5 years (range: 0.03 to 5.5). There were 3,705 herds lost to  
 199 follow-up because the business closed down. More clear herds were lost to follow-up (13.1%) than  
 200 IR only herds (3.8%).

201 The median survival time among IR only herds was over half that observed for clear herds. Median  
 202 survival time was also reduced among herds with more than 200 animals, dairy herds and herds with  
 203 3 or more incidents in the previous 10 years (Table 2).

204 There was a difference in the survival functions of the clear and IR only cohorts (Figure 1) and this  
 205 observation was supported by the results of the log-rank test (Table 3). Significant differences in  
 206 survival were also observed between herds grouped according to their TB history, geographical area,  
 207 county level incidence, production type and size (Figure 2B-F). The survival of herds did not appear  
 208 to vary according to the season in which their 2012 WHT took place (Figure 2A), although the log-  
 209 rank test indicated there was some evidence of a difference (p=0.04).

210

### 211 **3.2 Assessment of the hazard of subsequent incidents among clear and IR only herds**

212 A Cox regression was performed to assess the hazard of a subsequent incident within the two  
 213 cohorts. There were strong associations between each of the explanatory variables and the hazard of  
 214 subsequent incidents in the univariable analysis (Table 4). Factors found to be associated with  
 215 increased relative hazard of a subsequent incident were having an IR only test result at the 2012  
 216 WHT, having the first 2012 WHT in autumn or winter compared with spring, a recent history of TB,  
 217 increased county-level incidence, being a dairy herd (compared to a beef herd), and increasing herd  
 218 size. Herds in the edge area of England, and those in Wales, had a reduced incidence rate when  
 219 compared to the high-risk area of England. Herds classed as production type “other” also had a  
 220 reduced incidence rate compared with beef herds (Table 4).

221 The initial multivariable Cox regression model included first WHT status in 2012, herd size, the  
 222 number of incidents in the 10 years before the first WHT in 2012, herd type, county-level TB  
 223 incidence and geographical risk area. The plot of the Cox-Snell residuals (Figure 3) indicated that the  
 224 model was a poor fit, and the plot of the deviance residuals over time (Figure 4) revealed a number of  
 225 observations that were not well fit by the model, particularly those herds with the shortest survival  
 226 time. However, the Harrell’s C statistic was 0.75 indicating that the model correctly predicted the  
 227 sequence of two observed failures 75% of the time. Assessment of the proportionality of the hazards  
 228 using the log-minus-log plot (Figure 5) indicated that the ratio of hazards varied over time. The Chi-  
 229 squared test of the correlation between the Schoenfeld residuals of each variable and transformed  
 230 time generated a p value <0.05 for all variables except local incidence, indicating that the  
 231 proportional hazards assumption had been violated. The log-minus-log plot illustrated a change in the  
 232 ratio of hazards around 60 days, which correlated with the timing of IR retests. This indicated that an  
 233 analysis of the time to a subsequent incident may not be appropriate given the differences in follow-

234 up testing between the cohorts, and that time varying coefficients should be included to model  
235 interactions between the explanatory variables and time.

236 The final extended Cox regression model contained first WHT status, herd size, recent history of TB,  
237 herd type, local incidence and geographical risk area, and included interactions between time and  
238 first WHT status, herd size, TB history, risk area and herd type. The relative hazard of having a  
239 subsequent incident was 2.7 times greater among herds that were IR only at the 2012 WHT compared  
240 with herds that had a clear test result (after adjusting for herd size, testing following the 2012 WHT,  
241 recent history of TB, herd type, local incidence and geographical risk area) (Table 5). The interaction  
242 with time indicated that the increased relative hazard of having a subsequent incident among IR only  
243 herds decreased by 63% each year. This means that according to the model, the relative hazard of 2.7  
244 in year one is reduced to 1.34 in year two, and drops to 0.89 by year three. This change in relative  
245 hazard over time is presented in Figure 6. This shows that the effect disappears (i.e. the relative  
246 hazard = 1) by around 970 days, or 2.7 years.

247

#### 248 **4. Discussion**

249 Understanding the level of infection that could be present among IRs is important for directing  
250 control measures. In Ireland, Clegg et al. (2011a) found that IRs that passed the IR retest and then  
251 moved herds within 6 months were 12 times more likely to have a positive result at the next test, or  
252 have lesions detected at slaughter, compared to all animals in Ireland. Our analysis has shown that  
253 the time interval before a new TB incident in IR only herds was around half that of herds with a  
254 negative whole herd test; and that the hazard of a subsequent incident was 2.7 times greater for IR  
255 only herds compared with clear herds after accounting for the influence of traditionally accepted  
256 drivers of TB. This difference in hazard decreased over time by 63% per year.

257 The number of incidents in the 10 years prior to the study was consistently associated with an  
258 increase in the hazard of a subsequent incident. This is in agreement with other studies where TB  
259 history has been identified as a risk factor for future incidents (Olea-Popelka et al., 2004, Good et al.,  
260 2011, Karolemeas et al., 2011). Herd size has frequently been associated with increased disease risk  
261 (Olea-Popelka et al., 2004, Green and Cornell, 2005, Reilly and Courtenay, 2007, Brooks-Pollock  
262 and Keeling, 2009), but this association can be difficult to interpret. An effect of increasing herd size  
263 may simply reflect changes in other risk factors related to farm management, or it may have  
264 implications on the sensitivity and specificity of the test at herd level (Skuce et al., 2012).

265 Dairy herds located within areas subject to badger culling in England were shown to have a greater  
266 risk of TB than beef herds in the same areas (Vial et al., 2011). It has also been shown in separate  
267 analyses for England and Wales that the effect of herd type is reduced after adjusting for herd size  
268 and location (APHA, 2017a, APHA, 2017c). In this study, there was no difference in the rate of  
269 subsequent incidents among dairy compared with beef herds, after adjusting for herd size, location  
270 and other factors that were not included in the country-level analyses described above (APHA,  
271 2017a, APHA, 2017c). However, the time-varying coefficient for herd type was significant for dairy.  
272 This suggests that the hazard of a subsequent incident among dairy herds increases by 14% each year.  
273 This may be related to the longer life expectancy of dairy cattle compared to beef cattle, meaning that  
274 dairy cattle are at risk of exposure to TB for longer than beef cattle (Humble et al. 2009; Vial et al.  
275 2011). Both O'Hagan et al. (2015) and Downs et al. (2016) have shown that dairy SICCT reactors are  
276 less likely to have visible lesions than beef reactors, which could indicate that infected dairy cattle are

277 detected through SICCT surveillance earlier than beef cattle. Therefore, one might expect IRs from  
278 beef herds to pose a higher future risk than IRs from dairy herds.

279 Increased county-level incidence was associated with an increased hazard of a subsequent incident,  
280 and herds in the edge area of England and in Wales had a reduced hazard compared with herds in the  
281 high risk area of England. Olea-Popelka et al. (2004) and Green et al. (2008) both showed that  
282 increased local prevalence of TB is associated with an increased risk of infection. Johnston et al.  
283 (2011) found regional variation in risk factors for TB incidents, and Brunton et al. (2017) reported  
284 spatial heterogeneity in the factors associated with the spread of endemic TB. The significant time-  
285 varying coefficient for Wales is interesting, and indicates that the hazard for herds in Wales reduces  
286 over time. This was not seen for herds in England, so could be related to differing policies on IRs in  
287 the two countries.

288 The TB testing regime in England and Wales is determined by factors such as location, animal  
289 movements and disease history. As such, it varies considerably between herds across both cohorts.  
290 However, there are also structural differences in the data due to the TB control policy. IRs have a  
291 subsequent test following disclosure of IRs, which does not take place in herds where all the cattle  
292 tested negative to the whole herd test. This increases the probability of IR-only herds having a  
293 subsequent incident compared with herds that tested clear, since increased testing increases the  
294 chances of detecting disease. This is further complicated by the fact that animals that have a second  
295 IR test result at the follow up test will automatically be classified as reactors. This means that there is  
296 a bias towards detecting cases within the IR only cohort. Unfortunately, the structure of the data did  
297 not allow the analysis of individual test data for each herd to explore the impact of this further.  
298 Instead, the time-varying coefficients were included to model how the relative hazard of a subsequent  
299 incident amongst IR only herds compared with clear herds varied over time. A reduction in the  
300 hazard ratio over time was observed, which indicates that the hazard for IR only herds becomes  
301 comparable to that of clear herds after around two and a half years. If the effect of re-testing was the  
302 only reason that IR only herds had a greater hazard of a subsequent incident, then we would expect  
303 the hazard ratio to reach 1.0 after the 60 day retest. The fact that it takes over two years to reach 1.0  
304 suggests that the hazard of a subsequent TB incident is still higher among IR only herds than herds  
305 that tested negative to a whole herd test once the effect of re-testing has been removed.

306 An additional analysis was performed to try to remove the impact of the IR re-testing by ensuring  
307 that all herds were starting out on comparable testing regimes, and the results of this analysis are  
308 presented in the supplementary material. The results of this additional analysis indicate that there is  
309 still a significantly greater hazard of a subsequent incident amongst IR only herds compared with  
310 clear herds, but that this is reduced once the effect of re-testing is removed. This aligns with the  
311 finding that the hazard ratio is still greater than 1.0 after the 60 day re-test has passed. However, the  
312 additional analysis needs to be interpreted cautiously as the sample size for the IR cohort was  
313 reduced by almost half (46%) due to missing or inaccurate values within the subsequent clear test  
314 variable used as the new entry date. The clear herd cohort was less affected by missing values (15%).  
315 This introduces a considerable bias to the additional analysis and makes it difficult to draw firm  
316 conclusions from this about the fate of IR only herds compared to clear herds after they get through  
317 the IR testing regime.

318 There is potential for the misclassification of IRs due to the imperfect test for TB. The influence of  
319 disease prevalence on the predictive value of the test also introduces the potential for  
320 misclassification across risk areas. For example, the low positive predictive value of the test when  
321 prevalence is low means that IRs in the low-risk areas may be false positives, while the low negative



322 predictive value of the test when prevalence is high means that IRs in high-risk areas may be false  
323 negatives. Even if perfect classification were possible, the nature of IRs is that their infection status is  
324 uncertain. They may be uninfected animals that have been exposed to other mycobacteria, or they  
325 may be infected animals that do not respond adequately to the test due to factors such as  
326 immunosuppression or co-infection (De La Rua-Domenech et al., 2006). This uncertainty makes  
327 managing the potential risk that IRs pose challenging, and highlights the need for evidence to  
328 understand this risk.

329 The finding that the hazard of a subsequent incident reduces over time among IR only herds indicates  
330 that the policy in England and Wales for dealing with IRs is having an effect. However, these herds  
331 still appear to be at greater risk of having an incident after the IR re-testing regime. This could reflect  
332 that the testing is not removing all potentially infected animals from the herd, or there may be other  
333 factors which put these herds at a greater risk of having a TB incident that we have yet to understand.  
334 This is important information for both policy makers in England and Wales, and those in other  
335 countries looking to learn from the English and Welsh experience in tackling bovine TB. The  
336 evidence from this analysis suggests that the new policy decision in England, restricting IRs with a  
337 negative re-test to the herd in which they were detected for life, should help reduce any residual risk  
338 associated with an IR for disease spread. This approach has been implemented in Ireland since 2012  
339 (DAFM, 2016) following the analysis of the fate of IRs by Clegg et al. (2011b).

340 The present study has shown that the hazard of a subsequent TB incident is greater among IR only  
341 herds than herds that tested negative to a whole herd test, and that the hazard ratio decreases over  
342 time, but remains greater than 1.0 after the IR re-testing regime. This emphasises the importance of  
343 careful decision making around the management of IR animals and indicates that re-testing alone  
344 may not be sufficient to reduce the risk posed by IR only herds. Further characterisation of IRs is  
345 needed to determine whether the differences observed here are related to management or biological  
346 factors. This may be best achieved through an animal-level analysis so that the risk of retaining  
347 individual IR animals in a herd in England and Wales can be understood. Our findings correlate with  
348 the Irish findings, indicating that the risks of IRs are unlikely to be country and context specific. This  
349 provides further evidence of the risk that IRs pose for the spread of TB, which can support the  
350 development of policies in other countries relating to the management of IRs.

351

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### 355 **Author contributions**

356 LB designed the study, performed the analysis and drafted the manuscript in part fulfilment of the  
357 requirements for the degree of Master of Science in Veterinary Epidemiology at the Royal Veterinary  
358 College, University of London. AP generated the dataset and edited the manuscript. DP and SD  
359 provided advice on study design and analysis, made additions to the text and edited the manuscript.

### 360 **Conflict of interest**

361 The authors declare that this research was conducted in the absence of any personal, commercial or  
362 financial relationships that could be construed as a potential conflict of interest.

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- 455

456 Table 1: Number and percentage of herds that had a subsequent incident, stratified by each  
 457 explanatory variable

Variable	N	Missing	Herds with a subsequent incident		
			n	%	95% CI <sup>1</sup>
First WHT status in 2012					
Clear	27,289	0	7,231	26.5	26.0-27.0
IRs Only	3,311		2,095	63.3	61.6-64.9
Season in which 2012 WHT took place					
Spring	9,935	0	2,976	30.0	29.1-30.9
Summer	3,996		1,198	30.0	28.6-31.4
Autumn	7,474		2,253	30.1	29.1-31.2
Winter	9,195		2,899	31.5	30.6-32.5
Number of incidents in the previous 10 years					
0-2	27,639	0	7,376	26.7	26.2-27.2
3 or more	2,961		1,950	65.9	64.1-67.5
Geographical risk area					
England high-risk	17,145	0	6,595	38.5	37.7-39.2
England Edge	3,311		636	19.2	17.9-20.5
Wales	10,144		2,095	20.7	19.9-21.5
Annual rolling county level incidence at the end of 2012					
0-14.6 per 100 herd years at risk	17,431	0	3,983	22.9	22.2-23.5
>14.6 per 100 herd years at risk	13,169		5,343	40.6	39.7-41.4
Herd type					
Beef	23,713	0	6,087	25.7	25.1-26.2
Dairy	6,447		3,189	49.5	48.3-50.7
Other	440		50	11.4	8.7-14.7
Herd size					
0-10	4,941	1,563	453	9.2	8.4-10.0
11-50	8,697		1,755	20.2	19.4-21.0
51-100	5,488		1,802	32.8	31.6-34.1
101-200	5,164		2,336	45.2	43.9-46.6
201-300	2,196		1,218	55.5	53.4-57.5
>300	2,551		1,700	66.6	64.8-68.4

458 <sup>1</sup>confidence interval

459

460 Table 2: Median, minimum and maximum survival time in the clear and IR only cohorts, and by each  
 461 explanatory variable

Variable	Level	Survival time (years)		
		Median	Min	Max
First WHT status in 2012	Clear	4.21	0.02	5.46
	IR	2.07	0.02	5.09
Season in which 2012 WHT took place	Spring	4.63	0.02	4.84
	Summer	4.36	0.05	5.46
	Autumn	4.11	0.02	5.28
	Winter	4.08	0.02	5.08
Number of incidents in the previous 10 years	0-2	4.22	0.02	5.46
	3 or more	2.36	0.02	5.42
Geographical risk area	England high-risk	4.07	0.02	5.28
	England Edge	4.26	0.05	5.46
	Wales	4.31	0.12	5.19
Annual rolling county level incidence at end of 2012	0-14.6 per 100 herd years at risk	4.27	0.04	5.46
	>14.6 per 100 herd years at risk	4.31	0.12	5.19
Herd type	Beef	4.23	0.02	5.46
	Dairy	3.76	0.02	5.22
	Other	4.25	0.18	4.99
Herd size	0-10	4.34	0.03	5.25
	11-50	4.36	0.05	5.42
	51-100	4.25	0.06	5.46
	101-200	4.11	0.02	5.22
	201-300	3.40	0.02	5.15
	>300	2.57	0.02	5.24

462

463 Table 3: Results of the log-rank tests for equality of survivor functions

Variable	Chi-squared	P value
First WHT status in 2012	3,008.9	<0.001
Season in which 2012 WHT took place	8.51	0.037
Number of incidents in the previous 10 years	2,635.7	<0.001
Geographical risk area	1,238.86	<0.001
Herd type	1,535.93	<0.001
Herd size	4,388.12	<0.001
Annual rolling county level incidence at end of 2012	1,207.05	<0.001

464

465 Table 4: Results of the univariable Cox regression analysis of factors associated with the rate of  
 466 subsequent incidents

Variable	Level	HR <sup>1</sup>	95% CI <sup>2</sup>		P value
First WHT status in 2012	Clear	1.00			
	IRs only	3.58	3.41	3.76	<0.001
Season in which first WHT took place	Spring	1.00			
	Summer	1.06	0.99	1.13	0.105
	Autumn	1.08	1.02	1.14	0.007
	Winter	1.06	1.01	1.11	0.031
Number of incidents in the previous 10 years	<3	1.00			
	3 or more	1.50	1.49	1.52	<0.001
Geographical risk area	England high risk	1.00			
	England Edge	0.43	0.40	0.47	<0.001
	Wales	0.47	0.44	0.49	<0.001
Annual rolling county level incidence at end of 2012	0-14.6 per 100 herd years at risk	1.00			
	>14.6 per 100 herd years at risk	1.07	1.07	1.07	<0.001
Herd type	Beef	1.00			
	Dairy	2.26	2.16	2.36	<0.001
	Other	0.44	0.33	0.58	<0.001
Herd size	1-10	1.00			
	11-50	2.21	1.99	2.45	<0.001
	51-100	3.82	3.44	4.23	<0.001
	101-200	5.74	5.19	6.35	<0.001
	201-300	7.71	6.92	8.59	<0.001
	>300	10.49	9.45	11.63	<0.001

<sup>1</sup> Hazard ratio, <sup>2</sup> confidence interval

467

468

469 Table 5: Multivariable extended Cox regression model of factors associated with a subsequent  
 470 incident amongst clear and IR only herds, including time varying coefficients

Variable	Level	HR <sup>1</sup>	95% CI <sup>2</sup>		P value
<i>Main covariates</i>					
First WHT status in 2012	Clear	1.00			
	IRs only	2.69	2.54	2.84	<0.001
Herd size	1-10	1.00			
	11-50	1.92	1.70	2.17	<0.001
	51-100	3.00	2.66	3.39	<0.001
	101-200	3.93	3.49	4.43	<0.001
	201-300	4.65	4.09	5.30	<0.001
>300	6.18	5.45	7.02	<0.001	
Number of incidents in the previous 10 years		1.19	1.17	1.21	<0.001
Herd type	Beef	1.00			
	Dairy	0.98	0.93	1.04	0.547
	Other	0.61	0.45	0.82	0.001
Annual rolling county level incidence at end of 2012	0-14.6 per 100 herd years at risk	1.00			
	>14.6 per 100 herd years at risk	1.05	1.05	1.06	<0.001
Geographical risk area	England high risk	1.00			
	England Edge	0.90	0.80	1.02	0.088
	Wales	0.80	0.75	0.86	<0.001
<i>Time-varying coefficients</i>					
First WHT status in 2012	Clear	1.00			
	IRs only	0.37	0.34	0.39	<0.001
Herd size	1-10	1.00			
	11-50	1.20	1.05	1.38	0.008
	51-100	1.26	1.10	1.44	0.001
	101-200	1.32	1.16	1.51	<0.001
	201-300	1.46	1.26	1.69	<0.001
>300	1.40	1.21	1.61	<0.001	
Number of incidents in the previous 10 years		1.02	1.01	1.04	0.008
Geographical risk area	England high risk	1.00			
	England Edge	1.04	0.93	1.17	0.464
	Wales	0.88	0.83	0.94	<0.001
Herd type	Beef	1.00			
	Dairy	1.14	1.07	1.21	<0.001
	Other	0.62	0.44	0.88	0.007

<sup>1</sup> Hazard ratio, <sup>2</sup> confidence interval

471

472



- 473 Figure 1: Kaplan-Meier survival estimates for herds according to first WHT status in 2012
- 474 Figure 2: Kaplan-Meier survival estimates for herds according to season in which 2012 WHT took  
475 place (A), number of incidents in the previous 10 years (B), geographical risk area (C), annual rolling  
476 county level TB incidence at end of 2012 (D) herd type (E) and herd size (F).
- 477 Figure 3: Plot of Cox-snell residuals for the initial Cox regression
- 478 Figure 4: Plot of deviance residuals for the initial Cox regression
- 479 Figure 5: Log-minus-log survival plot for first WHT status adjusted for herd size, the number of  
480 incidents in the 10 years before the first WHT in 2012, herd type, county level TB incidence and  
481 geographical risk area. A reference line has been added to indicate the change in the HR at 60 days.
- 482 Figure 6: Change in relative hazard over time amongst IR only herds compared with clear herds,  
483 adjusted for herd size, the number of incidents in the 10 years before the first WHT in 2012, herd  
484 type, county level TB incidence and geographical risk area, and interactions between time and first  
485 WHT status, herd size, the number of incidents in the 10 years before the first WHT in 2012, herd  
486 type, and geographical risk area.