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TITLE: Spatiotemporal patterns and agroecological risk factors for cutaneous and renal glomerular vasculopathy (Alabama Rot) in dogs in the UK

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1 2	Spatio-temporal patterns and agro-ecological risk factors for cutaneous and renal glomerular vasculopathy (Alabama Rot) in dogs in the UK			
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# 1 Abstract

2	The annual outbreaks of cutaneous and renal glomerular vasculopathy (CRGV) reported in UK dogs
3	displays a distinct seasonal pattern (November-May) suggesting possible climatic drivers of the
4	disease. Objectives of this study were to explore disease clustering and identify associations between
5	agro-ecological factors and CRGV occurrence. Kernel-smoothed maps were generated to show the
6	annual reporting distribution of CRGV, Kuldorff's space-time permutation statistic used to identify
7	significant spatio-temporal case clusters and a boosted regression tree model developed to quantify
8	associations between CRGV case locations and a range of agro-ecological factors. The majority of
9	diagnoses (92 %) were reported between November and May while the number of regions reporting
10	the disease increased between 2012 and 2017. Two significant spatio-temporal clusters were
11	identified - one in the New Forest during February and March 2013, and one adjacent to it (April
12	2015-May 2017) - showing significantly higher and lower proportions of cases than the rest of the
13	UK, respectively for the indicated time-periods. A moderately significant high-risk cluster ( $p = 0.087$ )
14	was also identified in the Manchester area of northern England between February and April 2014.
15	Habitat was the predictor with the highest relative contribution to CRGV distribution (20.3 %). Cases
16	were generally associated with woodlands, increasing mean maximum temperatures in winter, spring
17	and autumn, increasing mean rainfall in winter and spring, and decreasing cattle and sheep density.
18	Understanding of such factors may help develop causal models for CRGV occurrence.
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23	Keywords: Alabama Rot; boosted regression trees; CRGV; epidemiology; risk factors; space-
24	time permutation statistic
25 26	

#### 1 Introduction

2 Cutaneous and renal glomerular vasculopathy (CRGV) – also known as 'Alabama Rot' - is a disease

3 of unknown aetiology variably associated with clinically relevant acute kidney injury (AKI). CRGV

4 cases present with ulcerated skin lesions, most often affecting the distal limbs, progressing within 1-

5 10 days to the development of AKI in some, but not all cases. Skin lesions have also been found to

6 affect the face, nasal planum, oral cavity, tongue, ventrum and flanks. Additional biochemical and

7 haematological findings commonly reported include mild to moderate hyperbilirubinaemia, anaemia

8 and moderate to severe thrombocytopenia (Holm and others 2015).

9 A study by Holm et al which reported on the renal histopathology of CRGV cases confirmed the

10 lesions to be compatible with a thrombotic microangiopathy (TMA) (Holm and others 2015). In

11 human medicine, TMAs are considered a complex group of diseases which can involve both

12 hereditary and acquired contributing factors to the development of clinical disease (George and Nester

13 2014). Hereditary factors that have been identified include mutations in ADAMTS13 which result in

14 the condition known as thrombotic thrombocytopenic purpura (TTP), complement factors, metabolic

15 factors (MMACHC; methyl-malonic aciduria and homocystinuria type C protein) and diacylglycerol

16 kinase-ε (DKGE) - an abnormality of which results in a prothrombotic state. Autoantibody inhibition

17 of ADAMTS13, shiga-toxin exposure (shiga toxin-haemolytic uraemic syndrome), drug, toxin or

18 complement immune mediated acquired forms of TMA also occur (George and Nester 2014).

19 Preliminary investigations evaluating the existence of underlying infectious or toxic exposure (e.g.

20 shiga-toxin), have so far been unsuccessful (Holm and others 2015).

21 There has been much speculation in the general and non-peer reviewed veterinary press on the

22 possible existence of an association between CRGV occurrence and either specific habitats or weather

23 conditions since the majority of early cases occurred in the New Forest in southeastern England.

However, it is unclear whether this apparent connection is simply the result of the coincident locale of

the referral veterinary center (LH&DW) that initially raised awareness of CRGV as a disease entity,

26 or a true association. In addition, the UK outbreaks have so far displayed a distinct seasonal pattern

27 with cases generally reported between November and May. Such cyclical occurrence of a disease

often signifies the involvement of climatic factors, and the objectives of this study were to therefore

29 explore associations between a range of agro-ecological factors and CRGV locations, as well as map

and explore the distribution of cases between 2012 and 2017. The results of this study may help

31 develop causal models for CRGV, assist with validation of current and future proposed pathogenic

32 mechanisms and play a role in identifying the aetiology of the disease.

#### **1** Materials and methods

- 2 All CRGV cases diagnosed between November 2012 and May 2017 were included in the analysis.
- 3 Although one case was reported from Northern Ireland within this time period, it did not have
- 4 locational data and was therefore excluded from the spatial, but retained for the temporal analysis.

#### 5 *Identification of cases*

6 Cases were compiled by two investigators (DW & LH) and comprised 70 (68 %) from first-opinion

- 7 practice and 33 (32 %) from referral centres. A diagnosis of CRGV was based on the presence of
- 8 compatible clinical signs (including skin lesions), laboratory diagnostics (including AKI +/- oligo-
- 9 anuria, progression to azotaemia, hyperbilirubinaemia, anaemia and thrombocytopenia) and renal
- 10 histopathology findings compatible with thrombotic microangiopathy. Renal histopathology was
- 11 available either in isolation or as part of a full post-mortem examination in all cases, and in most cases
- 12 dermal pathology was also available.

13 The residential postcode of all CRGV cases was available together with the postcode of where the dog

14 had been recently walked, if markedly different from the residential postcode (e.g. owners had been

15 on holiday in the New Forest area yet normally resided in a different part of the country). Where the

16 residential and walked postcodes differed (n = 5), both postcodes were included in the dataset creating

a dataset of 107 postcodes for inclusion in the spatial analysis. Postcodes were converted to Easting

- 18 and Northing Cartesian coordinates and the British National Grid projection used for all spatial
- 19 analyses.

### 20 Agro-ecological data

As nothing is known about the environmental epidemiology of CRGV a broad general selection of
agro-ecological predictors was identified for initial inclusion in the model and the necessary digital
spatial data layers sourced as detailed in Table 1. Soil drainage, fertility, habitat and landcover were

- extracted from the 1:250 000 NATMAP SoilScapes map for England and Wales. There is no such
- 25 map available for Scotland and therefore the spatial modelling was confined to England and Wales,
- and all other predictor data were clipped to this extent. Cattle, sheep and pig densities were extracted
- 27 from Gridded Livestock of the World (<u>http://www.fao.org/ag/againfo/resources/en/glw/home.html</u>),
- and climate data extracted from the United Kingdom's Met Office gridded land surface climate
- 29 observations (monthly climate variables at 5km resolution) held by the Centre for Environmental Data
- 30 Analysis (http://catalogue.ceda.ac.uk/uuid/87f43af9d02e42f483351d79b3d6162a).
- 31 For the purpose of analysis, the six soil drainage and 14 habitat categories were retained, but the 12
- 32 original soil fertility categories were collapsed into six categories as follows: high; moderate-to-high;
- 33 moderate; low (low + very low); lime-rich (lime rich + lime rich to moderate + lime rich to very low +

low to lime rich) and mixed (low to high + low to moderate). The original landcover categories were
 retained.

3 Climatic variables downloaded included monthly data for mean temperature, maximum temperature, minimum temperature, rainfall, rain-days-1mm, rain-days-10mm, air frost and ground frost. These 4 5 data were downloaded for the period September 2011 to December 2016 (2017 data was unavailable). 6 Although the first cases were recorded in November 2011, climate data for the preceding two months were included to allow for the creation of the Autumn 2011 variables (September-November), 7 resulting in six years of Autumn data but only five years of data for the remaining seasons. The 8 9 variables snow-falling and snow-lying would have been included in the analysis but the data were not available post 2011. As reporting of CRGV cases has displayed a strong seasonal pattern with cases 10 occurring primarily in winter and spring, rather than use monthly or annual data, monthly climatic 11 12 variables were aggregated to create seasonal versions of each variable on the following basis: Spring 13 (March-May), Summer (June-August), Autumn (September-November) and Winter (December-14 February). For each of the three months comprising a season, the relevant monthly raster maps were 15 summed and divided by 18 (Autumn) or 15 (Spring, Summer, Winter) to create a mean seasonal 16 version of each climatic variable. The final climatic variables included in the model for each season 17 were: mean temperature, mean maximum temperature, mean minimum temperature, mean rainfall, 18 mean number of days with rainfall > 1 mm, mean number of days with rainfall > 10 mm, mean number

19 of days experiencing ground frost and mean number of days experiencing air frost (Table 1).

20 All layers were resampled to a resolution of 1 km<sup>2</sup> and clipped to the England-Wales extent. ArcGIS

software 10.5.1 was used to extract values of each predictor variable to the case and background data

22 points to create the complete dataset, which was then randomly divided into training, validation and

- test sub-datasets comprising 60, 20 and 20 % of the data points respectively.
- 24 Mapping the spatio-temporal distribution of cases

A heatmap was created using the R tidyverse package (R Development Core Team 2011; Wickham
2016) to illustrate the temporal reporting pattern of CRGV cases between 2012 and 2017 by both
month and year. Kernel-smoothed maps were generated for individual years and for the study period
as a whole to show the spatial distribution of cases. Optimum bandwidth was estimated using the
quartic approximation of a true Gaussian kernel function. A bandwidth of 20 km was used for all
maps with an output cell size of 1 km<sup>2</sup>. All maps were produced using ArcGIS 10.5.1.

### 31 *Cluster detection*

32 Kuldorff's space-time permutation statistic (implemented in SaTScan v9.5) was used to identify

33 spatio-temporal clusters as this statistic requires only case data (spatial location and time for each

34 case), with no information needed about controls or the population at risk. The number of observed

1 cases in a cluster is compared to what would have been expected if the spatial and temporal locations 2 of all cases were independent of each other so that there is no space-time interaction. That is, there is a 3 cluster in a geographical area if, during a specific time period that area has a higher proportion of its 4 cases in that time period compared to the remaining geographical areas. Cartesian coordinates of all 5 cases were used as the spatial inputs and month of reporting was used to indicate the timing of each 6 case. The data were analysed for the study period as a whole. Clustering and cluster detection tests are 7 viewed as complimentary as they test different hypotheses, and a simulation study by Waller et al. 8 (2006) indicated that it is possible to have a significant cluster, but no overall significant clustering. 9 For this reason, tests for clustering were not run prior to implementing the space-time permutation 10 statistic.

#### 11 *CRGV* suitability modelling

The suitability models were generated using boosted regression trees (BRTs), a robust machine 12 learning method with the ability to account for non-linearity and complex relationships between the 13 14 dependent and predictor variables (Elith and others 2008b). BRTs differ from the traditional regression 15 methods commonly used in epidemiological studies in that rather than producing a single 'best' model, 16 they optimize predictive performance by using the technique of boosting to adaptively combine large 17 numbers of relatively simple tree models (Elith and others 2008b). As well as being more easily 18 interpreted than other machine learning methods such as support vector machines or random forest models, BRTs have been shown to generally out-perform more conventional approaches, such as 19 logistic regression, in general species distribution modelling studies (Elith and others 2006). 20

## 21 Background data points

- 22 As calibration of the boosted regression tree (BRT) model used to identify associations between agro-
- ecological risk factors and CRGV distribution required both presence and absence records, 2000
- 24 background points were randomly generated within the confines of the England/Wales boundary in
- 25 order to characterize the agro-ecological conditions existing within. The number of background points
- 26 was a trade-off between adequately characterizing the variability in the environment while
- 27 maintaining a sufficiently high prevalence so as to not suffer from possible bias linked to artificially-
- 28 induced prevalence (Barbet-Massin and others 2012).
- 29 Calibration and evaluation of the boosted regression tree model
- 30 The BRT algorithm was implemented using the gbm package (version 1.6-3) in R 3.3.1 (R
- 31 Development Core Team 2011) together with the k-fold cross-validation stage-wise function available
- 32 from (Elith and others 2008b). Pairwise combinations of a range of potential *lr* and *tc* were trialled to
- determine the best combination for identifying the optimal number of trees (a tree complexity of 4 and
- learning rate of 0.005). This optimum combination should result in more than 1000 trees (Elith and

- 1 others 2008b) while allowing the model to converge. A bernoulli error structure was specified and
- 2 stochasticity was maintained through a bag-fraction of 50 %. As there was considerable collinearity
- 3 between the two variables habitat and landcover (habitat nested within landcover), models were run
- 4 with either habitat or landcover (keeping all other predictor variables constant) to determine which of
- 5 the two predictors had the higher relative contribution to CRGV distribution and this variable was
- 6 retained in the model while the other was dropped. In order to determine whether any variables were
- 7 best omitted from the model, variables were removed in turn, starting with those having the smallest
- 8 relative influence, and average change in predictive deviance calculated. Variables for which this
- 9 value exceeded the model's original estimated standard error were excluded from the model.
- 10 Relative influence or contribution of the predictor variables to the response was calculated using
- 11 formulae developed by (Friedman 2001) and implemented in the gbm package. These measures are
- 12 based on the number of times a variable is selected for splitting, weighted by the squared
- 13 improvement to the model as a result of each split, and averaged over all trees. The relative
- 14 contribution of each variable was scaled so that together they summed to 100 with higher numbers
- 15 indicating a stronger contribution to the response. Partial dependence plots describing relative
- 16 probability of CRGV presence in relation to the range of values of each predictor variable were
- 17 generated after accounting for the average effects of all other variables in the model. The predictive
- 18 power of the model was evaluated using the test dataset and area under the ROC curve (AUC)
- 19 computed for the binary classifier.

#### 20 Results

#### 21 Temporal pattern of CRGV case reporting

- 22 The first known cases of CRGV were reported in 2012 (November/December: 4%; n = 4) with a
- slight increase the following year (7 %; n = 7). Number of reported cases peaked in 2014 with a third
- of all cases reported in this year (33 %; n = 35) and decreased gradually thereafter (2017 January-
- 25 May: 17 %; n = 18) (Figure 1). Seasonally, CRGV cases were reported largely between December
- and May (Winter/Spring) with a third of all cases diagnosed in the first three months of the year
- 27 (January-March). Only 7 % of cases (n = 7) were reported in the summer months (June-August) with
- 28 no cases reported in October (Figure 1).
- 29 Spatial distribution of CRGV cases
- 30 The kernel density maps in Figure 2 show the density of CRGV cases (cases/km2) with darker brown
- 31 areas exhibiting a higher reporting density of cases and lighter brown areas a lower (or no) reporting
- 32 density of cases. Although the four initial cases of CRGV in 2012 were distributed randomly
- throughout England, in subsequent years reporting of the disease showed a tendency to cluster in
- 34 certain areas (Figure 2). In 2013, cases were located around the New Forest on the southern coast of

- 1 England and 2014 saw the expansion of this CRGV hotspot of reporting together with the
- 2 development of a second area of high reporting density in the Manchester region of northern England.
- 3 These two main high-density reporting areas (New Forest and Manchester) persisted through to 2017
- 4 although the New Forest hotspot was not apparent in 2016, replaced instead by an area of high
- 5 reporting density around Greater London and a smaller area of activity on the south coast of Wales. In
- 6 2017, distribution of cases was the most diffuse of all five years. In all years, the areas with a high
- 7 reporting density of cases were generally accompanied by a few localised cases of CRGV scattered
- 8 throughout England (Figure 2).
- 9 A map of the reporting density (cases/km2) of all cases aggregated over the five-year period shows
- 10 the north-east of England and the New Forest region of south England to have the highest five-year
- 11 density of CRGV cases (Figure 3). A diffuse triangular area covering a large part of south-central
- 12 England showed a medium-high reporting density of cases.
- 13 Kuldorff's spatio-temporal permutation statistic identified three spatio-temporal clusters. The cluster
- 14 locations are shown in Figure 3 and details of each is provided in (Table 2). The most likely cluster
- 15 occurred between April 2015 and May 2017 and included the area immediately to the right of the
- 16 New Forest. This region reported a significantly (p = 0.002) lower proportion of CRGV cases than the
- 17 rest of the UK (Figure 3). Between February and March 2013, the New Forest region on the south
- 18 coast of England exhibited a significantly (p = 0.004) higher proportion of cases than the rest of the
- 19 UK while between January and April 2014 the area around Manchester reported a moderately
- significantly (p = 0.087) higher proportion of cases than the rest of the UK (Figure 3).

#### 21 Agro-ecological factors associated with CRGV distribution

- 22 As habitat explained a greater proportion of the variability in CRGV distribution than landcover (20.3
- vs 16 %), it was retained in the final model. The final predictive model contrasting CRGV case
- locations with background points had a good accuracy, with an area under curve (AUC) of 0.903
- 25 when evaluated against the model calibration data set and an AUC of  $0.884 \pm 0.022$  when evaluated
- with cross-validation as implemented by (Elith and others 2008). The suitability map (Figure 4)
- 27 highlights areas of predicted high suitability for CRGV case occurrence and resembles the aggregated
- kernel-density map of CRGV case distribution for 2012-2017 (Figure 3). Areas with the highest
- 29 predicted suitability for CRGV occurrence include West Sussex, southern Dorset and southern
- 30 Hampshire in the south of England, and central greater Manchester in the north of England, together
- 31 with the eastern regions of South Glamorgan and western Gwent in Wales. In addition, there are small
- 32 localised areas of high suitability dotted throughout England, specifically in the counties of Somerset,
- 33 West Midlands and Nottinghamshire. Most of southern England, apart from south-west England, is
- 34 classified as moderately suitable. Broad regions of low suitability include North and Central Wales,

1 East Anglia, most of East Midlands, North Yorkshire, North East England, and the northern half of

2 North West England (Figure 4).

3 Four variables (AirFrostDays\_Su, SoilDrain, RainDays10\_Su and GrndFrostDays\_Su) were removed

4 from the model upon simplification leaving 34 variables. The relative contribution of each of these

5 predictor variables is presented in (Figure 5) and can be divided into roughly four groups based on

6 their relative influence on CRGV distribution: important, moderate, low and negligible contributors.

7 Habitat was the only important predictor in the model accounting for 20.3 % of the variation in

8 CRGV distribution. AvMaxTemp\_Wi (8.8 %), AvRain\_Wi (6.4 %), SheepDens (6.3 %), CattleDens

9 (6.1), AvTemp\_Sp (5.5 %) were moderate contributors together accounting for an additional 33.1 %

10 of the variation in disease distribution. These variables together with AvRain\_Sp (4.9 %),

11 AvMaxTemp\_Sp (4.0 %), AvMaxTemp\_Au (3.8 %) and PigDens (2.5 %) accounted for 68.4 % of the

12 variation in CRGV distribution. Predictors with a negligible impact on CRGV distribution included

soil fertility, number of days of ground (Au,Wi/Sp) or air frost (Wi/Sp) and number of days with >1

14 (Wi/Sp) or >10mm of rain (Au/Wi/Sp) (Figure 5).

15 Dependency profiles for the first ten predictors are shown in Figure 6. The dependency profile for the

16 predictor of primary importance (Habitat) shows that four habitat types are specifically associated

17 with CRGV distribution (in decreasing order of importance): 'mostly lowland dry heath communities',

18 'wet acid meadows and woodland', 'wet flood meadows with wet carr woodlands in old river

19 *meanders' and 'acid dry pastures; acid deciduous and coniferous woodland; potential for lowland* 

20 *heath*'. Woodland was a common descriptor in all but the most important habitat ('*mostly lowland dry* 

21 *heath communities* '). Habitat types least likely to be associated with CRGV occurrence included

22 'base-rich pastures and classic chalky boulder clay ancient woodlands; some wetter areas and lime-

23 rich flush vegetation', 'base-rich pastures and deciduous woodlands', 'steep acid upland pastures dry

24 *heath and moor; bracken gorse and oak woodlands'* and 'wet brackish coastal flood meadows'.

25 Pasture was a common descriptor in all these apart from the 'wet brackish coastal flood meadows'

26 habitat. Dependency profiles for the remaining nine predictors showed that, in addition to associations

27 with specific habitat types, increasing relative probability of CRGV presence was associated with

28 increasing mean maximum temperatures in winter, spring and autumn, increasing mean rainfall in

29 winter and spring, increasing mean temperature in spring, decreasing cattle and sheep density, and

- 30 variable pig density.
- 31 There was a mild interaction (strength: 10) between the variables Habitat and AvMaxtemp\_Wi with
- 32 increased probability of CRGV occurrence in three Habitats 'mostly lowland dry heath
- 33 communities', 'wet acid meadows and woodland', and 'wet flood meadows with wet carr woodlands
- 34 *in old river meanders*' associated with increasing mean maximum winter temperatures (Figure 7).
- 35

#### 1 Discussion

The first known cases of CRGV in UK dogs were reported in 2012 and although initial numbers were very low (2012: n=3) annual frequency of reported cases showed a general increase, albeit exhibiting occasional year-on-year variation. Diseases that "*have newly appeared in a population or have existed previously but are rapidly increasing in incidence or geographic range*" are defined as 'emerging'

6 (Morens and others 2004), and can be further divided into those that are 'newly emerging' (i.e. not

7 previously recognized) or 're-emerging/resurging' (i.e. diseases that were major a problem before

8 declining dramatically, and then increasing again). The outbreak pattern of CRGV in the UK accords

9 with the definition of a newly emerging disease as no cases were reported prior to 2012. However,

10 that does not mean that the disease was completely unknown in the country as it may simply not have

11 been recognized owing to a very low incidence in the population prior to 2012. A thorough search of

12 practice records is needed to definitely rule out the absence of potential CRGV diagnoses in UK dogs

13 pre-2012.

14 Newly emerging infections are often the result of microbial, host and environmental factors

15 interacting to create opportunities for infectious agents to evolve into new ecological niches. Factors

16 that can contribute to this emergence/re-emergence include changing ecosystems, climate and

17 weather, and microbial adaptation and change (Morens and others 2004). Our BRT model identified

18 the highest relative probability of CRGV occurrence to be associated with a range of agro-ecological

19 factors specifically, woodland and heath habitats, decreasing cattle and sheep densities, increasing

20 maximum temperatures in winter and to a lesser extent spring and autumn, and higher mean rainfall in

21 winter and spring.

Habitat, in particular woodlands and lowland dry heath communities, was the variable identified by

the BRT model to have the highest relative contribution to CRGV occurrence (20.3 %). However, UK

24 woodlands are not a unified entity. Ranging from the ancient trees and woodland pasture of the New

Forest's old hunting grounds where CRGV clustered in 2013, to the ash woodland of the Derbyshire

26 Dales and Peak District, the lime woods of the East Midlands and the beech woods in the Wye Valley,

27 Cotswolds, and Chilterns, the woodlands of the UK are highly diverse, each characterised by different

types of trees largely influenced by geology, soils, climate and history

29 (https://www.woodlandtrust.org.uk/visiting-woods/trees-woods-and-wildlife/woodland-

30 <u>habitats/exploring-woodland-habitats/;</u> accessed 14/01/2017). They also provide a rich habitat for a

31 wide range of wildlife, plants and fungi and this diversity makes it very difficult to isolate a single

32 pathogen that might be the cause of CRGV. Lowland heath communities are also highly varied.

33 Pastures were the habitat least associated with CRGV occurrence which, combined with the

34 decreasing domestic livestock densities, suggests it is unlikely CRGV is the result of a livestock-

related pathogen to which dogs are exposed while walking across pastures, either from contact with

1 the livestock themselves or their excretions, or from the practice of applying slurry to pastures

2 (Rankin and Taylor 1969). The lack of an association with pasture habitats is supported by the

3 decreasing relative probability of CRGV presence with increasing sheep and cattle densities.

4 Although habitat was the main contributor to the BRT model, a range of climatic variables were 5 identified to be of moderate importance in CRGV occurrence. CRGV cases were more likely to be diagnosed under milder (increasing AvMaxTemp Wi/Sp/Au), wetter (increasing AvRain Wi/Sp) 6 conditions in the colder months as typified by the south and west of the country. However, the fact 7 8 that Wales and most of south-west England (the most extreme of these) were two of the regions 9 predicted to be the least suitable for CRGV occurrence as illustrated in the risk map (Figure 4) 10 suggests that appropriate climatic conditions on their own are insufficient; the concomitant presence 11 of suitable habitats appears to be essential for CRGV occurrence (Wales and most of south-west 12 England are dominated by pastures). This hypothesis is supported by the interaction identified by the 13 BRT model between habitat and AvMaxTemp Wi. Similarly, those years in which the disease was 14 not reported in the New Forest region may have lacked the necessary climatic conditions (e.g. colder 15 winters) despite the habitat being suitable. By the same token, it is possible that the low-risk cluster 16 adjacent to the New Forest area lacks either optimal climatic conditions or suitable habitat for disease 17 occurrence.

18 It is interesting to note that disease distribution was associated with maximum seasonal temperatures

19 (Autumn, Winter and Spring) while the effect of minimum seasonal temperatures on CRGV

20 distribution was negligible. A study of changing climate extremes associated with warming has shown

that daily minimum and maximum temperatures have both been increasing globally, although the

former more than the latter (Alexander and others 2006). Climate is mostly a factor in diseases caused

- by pathogens that spend part of their lifecycle outside the host, exposed to the environment (Baylis
- 24 2017). Increasing maximum temperatures during the colder months in GB may have provided a

favourable habitat for an evolving organism or a new ecological niche for a pathogen that had always

been present in the environment but was previously unable to flourish in the comparatively cooler

27 conditions of previous decades. Isolating those climatic factors that might have played a role in the

emergence of the disease (pre-2012) may assist in the development of causal models for CRGV and

29 help identify the aetiology of the disease.

#### 30 Limitations

31 CRGV was initially reported largely in the New Forest area of England resulting in an increased

32 interest and awareness of the disease in this region which may have biased the habitat results towards

33 woodland. However, since its inception in 2012, CRGV has been reported in other parts of the UK. In

34 addition, the disease has been widely publicised in national and local media so that increased

awareness may no longer be confined to the New Forest area and therefore any potential habitat-

1 related biases arising from the regional focus is likely to have been mitigated over time. Only five (5 2 %) of the 103 cases provided a walking postcode that differed substantially from their residential postcode as a result of the affected dogs having accompanied their owners on holiday to, for example, 3 4 the New Forest region. However, the walking postcodes of other animals may also differ from their 5 residential postcode, especially in terms of habitat. However, this bias will have been mitigated to 6 some extent as the resolution of all agro-ecological variables used in this study was 1 km<sup>2</sup> and 7 therefore, provided dogs were walked within 1 km of their residential postcode there would have been 8 no difference between the values of their residential and walking postcodes. However, for dogs 9 walked greater than 1 km<sup>2</sup> from their residential postcode, there may have been a difference and 10 therefore for future studies of ecological risk factors it is important to obtain both the walking and 11 residential postcodes.

12 Cluster detection tests typically require some estimate of the population at risk in order to allow for 13 identification of areas with a higher risk of disease while simultaneously compensating for the uneven 14 distribution of the population. As this study lacked control or population-at-risk data, Kuldorff's 15 space-time permutation statistic was implemented instead of the more commonly used space-time 16 scan statistic. While the traditional scan statistic seeks to identify significant excess of cases within a 17 specific space-time window and provides a measure of how unlikely it would be to encounter the 18 observed excess of cases in a larger comparison region, the permutation statistic on the other hand, 19 seeks to identify areas with a higher proportion of cases compared to the remaining geographical 20 regions of the study area. However, an important limitation of the permutation statistic is that without population-at-risk-data it is not possible to determine whether identified clusters are due to an 21 22 increased risk of disease, or to different geographical population distributions at different times (e.g. 23 an influx of tourists and their pets to coastal resorts during the summer months), especially when the 24 study covers more than a single year. However, CRGV cases have generally been reported during the colder months when tourism generally falls off, mitigating the effect of this limitation to some extent 25 and making it more likely that the identified clusters are due to increased disease risk rather than 26

27 different geographical population distributions.

As the two clusters identified in southeastern England were reasonably close to the southern boundary
of the study area as defined by the physical barrier of the sea, it is necessary to acknowledge the
possible existence of edge-effects. Although edge effects may be negligible when dealing with largescale effects, they can be considerable when estimating small-scale effects close to the boundary.
Edge effects are usually dealt with either by using a weighting system that gives less weight to those
observations near the boundary, or through the use of guard areas (Pfeiffer et al. 2008). Unfortunately,
Kuldorff's space-time permutation statistic (as implemented in SaTScan v9.5) does not allow for the

use of a weighting system. However, as none of the identified clusters intersects with a coastal

- 1 boundary, and are in fact some distance inland, it is unlikely that edge-effects will have substantially
- 2 distorted the estimates of the space-time permutation technique in this instance.

3 Similarly, calibration of the BRT model also requires both disease presence and absence data. 4 However, when lacking absence data for species distribution modelling alternatives exist in the form 5 of pseudoabsence or background data. Background data are sampled from the whole study area in 6 order to characterize the environmental conditions existing within it (Peterson and others 2011). It can 7 be argued that the use of background data has advantages over that of disease absence data as the 8 latter can be problematic making it difficult to distinguish between absence of disease and lack of 9 observation or reporting of disease events in an area. Alternatively, the disease species may be absent, 10 even though the habitat is suitable for its occurrence, due to a geographical or man-made barrier 11 preventing its spread into the area (Hirzel and others 2002). These situations can be considered 'false absences', biasing study results. Lobo and others (2010) identified three types of absence data 12 typically occurring in primary datasets - environmental, contingent and methodological - and insisted 13 14 that to optimize prediction from species distribution models all absences should ideally be 15 environmental ones; contingent and methodological absences being deemed 'noise'. The use of 16 background data to characterise the environment of the study area therefore largely removes the 17 biases associated with false absences and mimics the environmental absences required to optimize

18 prediction from species distribution models.

In this study, we used fixed seasons although it could be argued that such an approach is not appropriate if, as reported, spring and autumn are becoming shorter in duration (Jones and others 2013). However, the data in this study covers a five year period making it difficult to account for the official start of each season each year. Furthermore, the start of each season will occur over a period of weeks across the country and therefore a fixed approach in defining the seasons gives a benchmark for a unified analysis of data from different regions and different years.

#### 25 Conclusion

The results of this study provide owners with broad overview of when and where their dogs are likely 26 27 to be most at risk of developing CRGV in the UK. Outbreaks displayed a distinct seasonal pattern with > 90 % of cases reported between November and May while the area from which cases have 28 29 been reported has expanded since 2012 to encompass most of the western and southern regions of 30 England. The eastern parts of the country – East Anglia in particular – appear to have a decreased risk 31 of disease. These factors, together with the association identified between disease occurrence and 32 specific habitats (CRGV occurrence was most frequently associated with woodlands and lowland dry 33 heath and least associated with pastures) provide dog owners with an indication of when to be most 34 vigilant for symptoms of the disease, as early identification and treatment is critical. Further research into factors differentiating high and low risk regions – especially the adjacent high and low risk 35

- 1 clusters identified in southeastern England has the potential to provide further information central to
- 2 the epidemiology of this disease.

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- 5 Dog Owners Group (NFDog).
- 6

# 7 Conflict of interest

- 8 The authors are not aware of any conflicts of interest.
- 9

## **10** Author contributions

- 11 KS performed all analyses and wrote the first draft of the paper; LH & DW compiled the case dataset;
- 12 all authors contributed substantially to the interpretation of data, drafting of the final manuscript,
- 13 and critical revision for important intellectual content. All authors approved the final version
- 14 of the manuscript for submission.

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Table 1: Descriptors of the spatial agro-ecological predictor variables selected to model the distribution of cases of
 cutaneous and renal glomerular vasculopathy in dogs in the United Kingdom

Predictor name	Descriptor	Data source
CattleDens	Density of cattle (heads/km <sup>2</sup> )	Gridded Livestock of the World
SheepDens	Density of sheep (heads/km <sup>2</sup> )	( <u>http://www.fao.org/ag/againfo</u> /resources/en/glw/home.html)
PigDens	Density of pigs (heads/km <sup>2</sup> )	
Habitat		NATMAP SoilScapes map for
Landcover		England and Wales (1:250 000). (http://www.landis.org.uk/data/
SoilDrain	Soil drainage characteristics	inisonscapes.cm)
SoilFert	Soil fertility characteristics	
AvTemp	Mean temperature of the Spring (Sp), Summer (Su), Autumn (Au) and Winter (Wi) months (°C).	United Kingdom Met Office 5 km × 5 km gridded data sets
AvMaxTemp	Mean maximum temperature of the Spring (Sp), Summer (Su), Autumn (Au) and Winter (Wi) months (°C).	( <u>https://www.metoffice.gov.uk/r</u> <u>esearch/climate/climate-</u> <u>monitoring/ukcp09/register</u> )
AvMinTemp	Mean minimum temperature of the Spring (Sp), Summer (Su), Autumn (Au) and Winter (Wi) months (°C).	
AvRain	Mean rainfall of the Spring (Sp), Summer (Su), Autumn (Au) and Winter (Wi) months (mm).	
AvRainDays1	Mean number of days with a rainfall of >1 mm in the Spring (Sp), Summer (Su), Autumn (Au) and Winter (Wi) months (days)	
AvRainDays10	Mean number of days with a rainfall of >10 mm in the Spring (Sp), Summer (Su), Autumn (Au) and Winter (Wi) months (days).	
GrndFrostDays	Mean number of days with ground frost in the spring (Sp), Summer (Su), Autumn (Au) and Winter (Wi) months (days).	
Air Frost Days	Mean number of days with air frost in the Spring (Sp), Summer (Su), Autumn (Au) and Winter (Wi) months (days).	

Table 2: Characteristics of the high and low risk clusters of cases of cutaneous and renal glomerular vasculopathy (CRGV)
 in dogs in the United Kingdom (January 2012 – May 2017) as identified by Kuldorff's space-time permutation statistic.

Cluster ID	Risk level	Date	Expected cases	Observed cases	P-value
1	Low	1/4/2015 - 31/5/2017	10	0	0.002
2	High	1/2/2013 – 31/3/2013	0	4	0.004
3	High	31/1/2014 - 30/4/2014	1	5	0.087



2 3 Figure 1: Heat map illustrating the temporal distribution of 107 cases of cutaneous and renal glomerular vasculopathy

(CRGV) in dogs in the United Kingdom, divided by month and year (November 2012 – May 2017, inclusive). Months are

4 shown on the x-axis and years on the y-axis. The shading of the blue blocks represents the frequency of CRGV cases 5 reported that month (lighter shading = higher frequency). The grey background is visible when no cases were reported in a 6 month.





2 Figure 2: Maps showing annual location and kernel-smoothed density of cases of cutaneous and renal glomerular



- Figure 3: Map showing location and kernel-smoothed density of cases of cutaneous and renal glomerular vasculopathy
- (CRGV) in dogs in the United Kingdom (January 2012 May 2017) together with the location of two spatio-temporal
- clusters exhibiting a significantly higher proportion cases (o), and one spatio-temporal cluster exhibiting a significantly
   lower proportion of cases (o), than the remainder of the UK. Clusters were identified using Kuldorff's space-time
- Figure 3: Map showing lot
   (CRGV) in dogs in the Unit
   clusters exhibiting a signif
   lower proportion of cases
   permutation statistic.



1

2 3 Figure 4: Map showing predicted suitability of England and Wales for the occurrence of cases of cutaneous and renal

glomerular vasculopathy (CRGV) in dogs. Yellow dots represent the location of reported CRGV cases (n = 107).



- 1 Figure 5: Relative contribution of the 34 predictor variables modelling the spatial distribution of cutaneous and renal
- glomerular vasculopathy (CRGV) in dogs in the UK (2012-2017). Relative influence (or contribution) of each variable is
   scaled so that the sum adds to 100, with higher numbers indicating stronger influence on the model outcome. Colours
- 4 refer to category of predictor variable.



2 Figure 6: Partial dependence plots or boosted regression tree (BRT) profiles for the ten top predictor variables modelling the spatial distribution of cutaneous and renal glomerular vasculopathy (CRGV) in dogs in the UK (2012-2017). Partial

dependence plots show the predicted dependence between the dependant variable of the BRT model on the y-axis

3 4 5 6 7 (probability of CRGV presence) versus each predictor variable on the x-axis. The top 10 predictor variables were included in this figure: Habitat, AvMaxtemp Wi (°C), CattleDens (heads/km<sup>2</sup>), SheepDens (heads/km<sup>2</sup>), AvRain Wi (mm), AvTemp Sp

(°C), AvRain\_Sp (mm), AvMaxTemp\_Sp (°C), AvMaxTemp\_Au (°C) and PigDens (heads/km<sup>2</sup>). Relative contribution of each

8 predictor variable is given in brackets and a key provided for habitat types. Habitat types in bold (1, 7 12, 14) are those

9 associated with CRGV presence.



2 Figure 7: Interaction between the variables Habitat and AvMaxTemp\_Wi in the boosted regression tree (BRT) model for

3 the spatial distribution of cutaneous and renal glomerular vasculopathy (CRGV) in dogs in the UK (2012-2017).

4 Interaction plots show the predicted dependence between the dependant variable of the BRT model on the y-axis

5 6 (probability of CRGV presence) versus the combined effect of each the two interaction predictor variables on the x- and y-

axes. The two predictor variables included in the interaction shown in the plot are Habitat and AvMaxtemp\_Wi (°C).