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The full details of the published version of the article are as follows:

TITLE: Anthropogenic environmental drivers of antimicrobial resistance in wildlife

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JOURNAL: Science of the Total Environment

PUBLISHER: Elsevier

PUBLICATION DATE: 16 August 2018 (online)

DOI: https://doi.org/10.1016/j.scitotenv.2018.08.180



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18	Word count including references, figure legends and tables: 8528
19	Conflict of Interest: The authors have no conflicts of interest to declare.

20 Abstract

21 The isolation of antimicrobial resistant bacteria (ARB) from wildlife living adjacent to humans has led 22 to the suggestion that such antimicrobial resistance (AMR) is anthropogenically driven by exposure to 23 antimicrobials and ARB. However, ARB have also been detected in wildlife living in areas without 24 interaction with humans. Here, we investigated patterns of resistance in *Escherichia coli* isolated from 25 408 wild bird and mammal faecal samples. AMR and multi-drug resistance (MDR) prevalence in 26 wildlife samples differed significantly between a Sewage Treatment Plant (STP; wastes of antibiotic-27 treated humans) and a Farm site (antibiotic-treated livestock wastes) and Central site (no sources of 28 wastes containing anthropogenic AMR or antimicrobials), but patterns of resistance also varied 29 significantly over time and between mammals and birds. Over 30% of AMR isolates were resistant to 30 colistin, a last-resort antibiotic, but resistance was not due to the mcr-1 gene. ESBL and AmpC activity 31 were common in isolates from mammals. Wildlife were, therefore, harbouring resistance of clinical 32 relevance. AMR E. coli, including MDR, were found in diverse wildlife species, and the patterns and 33 prevalence of resistance were not consistently associated with site and therefore different exposure 34 risks. We conclude that AMR in commensal bacteria of wildlife is not driven simply by anthropogenic 35 factors, and, in practical terms, this may limit the utility of wildlife as sentinels of spatial variation in 36 the transmission of environmental AMR.

37

Key words: E. coli, Antimicrobial resistance, wildlife, birds, multi-drug resistance, wastewater
 treatment

40 Running head: Wildlife and AMR

42 1. Introduction

43 Antimicrobial resistance (AMR) has existed for millions of years, and is an inevitable 44 evolutionary consequence of microbial competition in the environment (D'Costa et al 2011, Davies 45 and Davies 2010, Martinez 2009). While the increasing prevalence of AMR in clinically important and 46 commensal bacteria in both humans and livestock can be attributed largely to selection through the 47 use of antimicrobials (Ibrahim et al 2016, Karesh et al 2012), AMR has also been reported in the 48 commensal bacteria of wildlife (Arnold et al 2016). Commensal bacteria have the potential to act as reservoirs of resistance genes, contributing to the development of AMR in pathogens by horizontal 49 50 transmission (Arnold et al 2016, Taylor et al 2011, von Wintersdorff et al 2016). AMR is a problem in 51 human and veterinary medicine worldwide, inhibiting the treatment of bacterial infections and is 52 estimated to be responsible for 25,000 preventable human deaths in Europe annually (Marston et al 53 2016) and an estimated global economic cost of 100 trillion USD by 2050 if not addressed (O'Neill 54 2016). Thus, there is increasing interest in the environment, including wildlife, as both a source of 55 clinically relevant AMR and in order to better understand the effects of anthropogenically-derived 56 antimicrobial pollution and resistance in ecosystems (Arnold et al 2016, Carroll et al 2015, Huijbers et 57 al 2015).

58 It is often assumed that antimicrobial-resistant bacteria (ARB) in wildlife result from contact with anthropogenic sources such as farms and human waste that pollute the environment with AMR 59 60 bacteria and/or with antimicrobials (Allen et al 2010, Clarke and Smith 2011, Radhouani et al 2011). 61 Farms on which manure and slurry can be contaminated with ARB, antibiotics (or their metabolites) 62 and other selective drivers of AMR are important habitats for many small mammals and birds, as are 63 sewage treatment plants (STPs) where some birds and mammals feed directly from the bioprocessers 64 (reviewed in Arnold et al 2016). Run-off from farms, slurry tanks and manure-fertilised fields, along 65 with sewage effluent, can result in antimicrobial drug and ARB contamination of local water courses 66 and land (Fahrenfeld et al 2013). Consequently, it is unsurprising that ARB have been found in wild

animals in close contact with humans (Allen et al 2011, Bondo et al 2016, Furness et al 2017, Gilliver
et al 1999).

69 Assigning the source and directionality of AMR dissemination is challenging. Even within 70 wildlife populations living in close contact with humans or livestock, or at least their wastes, there is 71 little evidence directly linking an anthropogenic source of AMR with specific patterns of AMR and/or 72 resistance genes. For example, few overlaps in resistance patterns and AMR genes were found 73 between E. coli isolated from wildlife living on or near dairy farms and dairy cattle in England (Arnold 74 et al 2016, Wu et al 2018). Whereas wild rodents nearer to a river receiving sewage effluent excreted 75 more resistant *E. coli* than inland animals (Furness et al 2017), this was an association lacking evidence 76 of a clear transmission pathway. Moreover, other highly mobile taxa such as birds also carry ARB that 77 have not been attributed to any particular anthropogenic source (Guenther et al 2017, Schaufler et al 78 2016). Moreover, AMR has been detected in wildlife living in remote and isolated locations with no 79 obvious contact with the wastes of antimicrobial-treated humans or livestock (Cristobal-Azkarate et 80 al 2014). Thus, although transmission of AMR from humans or livestock to wildlife via direct contact 81 with sewage, slurry or faeces, has been suggested, the empirical evidence is lacking or contradictory. 82 Species or ecological guilds with different dispersal patterns, resource requirements and foraging 83 behaviours are likely to have different roles in the evolution and dispersal of AMR (Arnold et al 2016). 84 We argue that the efficacy of wildlife species as sentinels of environmental transmission of AMR will 85 vary depending on the spatial and temporal scales of interest.

In this study, three nearby communities of small wild rodents and birds were investigated for evidence of AMR in faeces. The antimicrobials used to screen for resistance were chosen as they represent a range of antibiotic classes of medical and veterinary interest. For example, cefpodoxime resistance is seen as an indicator of extended spectrum beta-lactamase (ESBL) or AmpC betalactamase producing bacteria which cause significant problems in human medicine especially with urinary tract infections (Rawat and Nair 2010). Colistin resistance is also of relevance due to colistin being an antibiotic of last resort. The sites for sampling were chosen to represent different exposures

to wastes and thus potentially different selection pressures for AMR: a dairy farm with antimicrobialtreated livestock, a STP containing waste from humans treated by antimicrobials and an area of parkland and neighbouring arable field edge with no obvious sources of waste containing antimicrobials or ARB. We sampled wildlife species typical for small woodlands, farmland and hedgerow habitats in the UK; small rodents including wood mice *Apodemus sylvacticus*, bank voles *Myodes glareolus* and a number of bird species.

99 The overall aim of this study was to investigate the role of environmental contamination in 100 the patterns of AMR found in wildlife. We addressed whether the spatial location where wild birds 101 and mammals were sampled, including proximity to human and livestock wastes, explained variation 102 in: 1) prevalence and genomic diversity of AMR *E. coli* in birds and mammals; 2) patterns of AMR and 103 MDR prevalence in *E. coli* isolates; and 3) prevalence of phenotypic resistance to medically important 104 antimicrobials and the resistance genes responsible.

105

106 2. Material and Methods

107 2.1 Study sites

108 Three nearby study sites in the East Midlands of England, on a 1200m transect, were selected 109 (Figure S1), based on their differing potential exposure to human and livestock sources of AMR and 110 antimicrobial drugs. The 'Farm site' was a small woodland and hedgerows immediately adjacent to a 111 dairy farm that received run-off from farm buildings and livestock faeces potentially contaminated 112 with AMR bacteria and antimicrobials. The 'Central site', around 600m from the Farm site, comprised 113 an arboretum and neighbouring hedgerow edging an arable field. It was not adjacent to known 114 sources of human or livestock waste. The 'STP site' was a small sewage treatment plant around 450-115 600m from the Central-site, comprising the land and hedgerows surrounding all the tanks and trickling 116 filters making up the STP and hedgerows adjacent to the pipe where treated water outflowed into a local stream. All the sites were close enough to share common environmental traits and weather. 117

118 Conversely, the three sites were far enough apart, with physical barriers to dispersal (roads and a 119 railway line), such that most of the species sampled would not regularly move between the sites.

120 2.2 Sampling wildlife

121 All sampling took place between July and August ('Summer'), and October and November 122 ('Autumn') 2016 and was subject to full ethical review (see Supplementary Material). Sampling 123 occurred each week per month per site, but mammals and birds were not captured simultaneously to 124 avoid excessive disturbance. Small mammals were trapped in Longworth or similar live, small mammal 125 traps with shrew escape holes. The traps were sterilised between sites, filled with sterile hay as 126 bedding and mixed grain and carrot or apple as food and water sources. Traps were placed at 5m 127 intervals and checked daily. Faeces were collected with a sterile swab into a sterile sampling tube for 128 transport to the laboratory. The species of each rodent caught, the date and trap location were 129 recorded.

130 Wild birds were caught in mist nets, under licence from the British Trust for Ornithology (BTO), 131 located along and across hedgerows and patches of woodland within each study site. Each capture 132 location was selected to overlap with trapping sites for small mammals (above) and was pre-baited 133 for at least 3 days with bird feeders containing mixed seed. After capture, each bird was placed on its 134 own into a single use brown paper bag for up to 20 min in order to collect a faecal sample. The bird was then fitted with a BTO leg ring, before being released. Sterile swabs were used to remove faeces 135 136 from the bags into sterile sampling tubes. If the same bird was caught more than once on the same 137 day the faecal samples were pooled. In addition, feral pigeons, which formed a large flock at the Farm-138 site, were sampled for faeces post-mortem after shooting as part of pest control. Table S3 shows the 139 range of species caught. The foraging ecology of the species did not explain any of the patterns of 140 AMR or MDR observed (see Supplementary Material).

142 2.3 Isolation and AMR characterisation of presumptive *E. coli* isolates

Phenotypic resistance to eight antibiotics was determined first by plating on antibioticsupplemented media or by disk diffusion. Faecal samples (0.5 g) were incubated in buffered peptone
water (BPW) at 37 °C for 18 h and 100 µl was spread onto Tryptone Bile X-Glucuronide Medium (TBX;
Oxoid, UK) agar supplemented with; ampicillin (10 µg/ml), apramycin (30 µg/ml), colistin (4 µg/ml) or
ciprofloxacin (1 µg/ml) or without antibiotics and incubated at 37°C for 18h. Presumptive *E. coli*(blue/green) colonies were taken forward for further characterisation.

149 One presumptive antibiotic resistant E. coli colony per plate obtained from the initial 150 screening was then tested for resistance to other antibiotics using disc diffusion assays. Briefly, isolates 151 were cultured in BPW at 37 °C for 18 h. Samples (100 µl) were spread plated onto Muller-Hinton agar 152 (MH; Oxoid, UK) and left to dry. Six antibiotic discs impregnated with ampicillin (10 µg/ml), tetracycline 153 (3 μ g/ml), apramycin (15 μ g/ml), trimethoprim (2.5 μ g/ml), imipenem (10 μ g/ml) and cefpodoxime 154 $(10 \,\mu\text{g/ml})$, were placed on the agar and the plates were incubated for 18 h at 37 °C. After incubation 155 the diameter of the zone of clearance around each disc was measured and isolates were classified as 156 resistant if the zone was less than or equal to published breakpoints (EUCAST 2016).

157

158 2.4 Characterisation and ERIC-PCR genotyping of *E. coli* isolates

159A representative subsample of presumptive E. coli isolated from mammals from each site and160every presumptive E. coli isolated from birds were subject to rRNA PCR and sequencing (Srinivasan et161al 2015). BLAST searches confirmed all were *Escherichia*, and the vast majority clearly *E. coli*. In order162to identify any patterns of genotypic similarity among *E. coli* by spatial location or host (mammal/bird),163we used ERIC-PCR. Twenty-four resistant *E. coli* isolates from mammals at each sample site and all the164resistant *E. coli* isolates from birds (total 91 samples) were subjected to ERIC-PCR (Ibrahim et al 2016,165Versalovic et al 1991). DNA (diluted 1:100) extracted from the *E. coli* isolates, 12.5 µl of PCR Master

Mix Plus (Qiagen, UK), 5 μM of the each ERIC primer (Table S1), 2 μl of Coral Load Dye (Qiagen, UK)
and sterile molecular grade water to 25 μl. The PCR parameters for the ERIC-PCR are found in Table
S1.

169

170 2.5 Analysis of ESBL and AmpC resistance in cefpodoxime-resistant *E. coli*

171 Cefpodoxime resistant isolates were tested for ESBL or AmpC activity using the AmpC & ESBL
172 Detection Set (Mast Group, UK). Briefly, overnight liquid cultures of cefpodoxime resistant isolates
173 were spread plated onto MH agar and left to dry before discs containing cefpodoxime 10 µg (A),
174 cefpodoxime 10 µg + ESBL inhibitor (B), cefpodoxime 10 µg + AmpC inhibitor (C) ad cefpodoxime 10
175 µg + ESBL and AmpC inhibitor (D) were added. Comparison of the zones of clearance enabled ESBL
176 and/or AmpC resistant bacteria to be identified using the manufacturer's calculator (Mast Group,

177 UK).

178

179 2.6 DNA extraction and PCR parameters

DNA was extracted from *E. coli* by heat-lysis. One colony was placed in 10 μl of sterile molecular grade water and heated at 95° for 10 min. Samples were centrifuged (13000 *x g*; 3 min) and the supernatant removed. The supernatant was stored at -20 °C until used as template DNA for subsequent PCR reactions. PCR amplifications (apart from ERIC-PCR) were carried out in 20 μl reaction mixtures comprising of 10 μl of PCR Master Mix Plus (Qiagen, UK): 0.5 μM of each primer, 2 μl of Coral Loading Dye (Qiagen, UK) and molecular grade sterile water to 20 μl. See Table S1 for primers and PCR cycling parameters.

187

188 2.7 Molecular characterisation of colistin and ciprofloxacin resistant *E. coli*

189 E. coli isolates with phenotypic colistin and ciprofloxacin resistance were further 190 characterised. DNA from ciprofloxacin and colistin-resistant colonies was diluted 1:100 and used as 191 template DNA for PCR to amplify the gyrA and if present the transposable mcr-1 gene (Liu et al 2016). 192 For ciprofloxacin resistant isolates DNA was purified from agarose gels using a Gel DNA Extraction Kit 193 (ZymoResearch, UK) and sequenced. The sequences were aligned and compared against E. coli K12 194 using CLC SequenceCe Viewer (Qiagen) to identify specific point mutations in gyrA associated with 195 ciprofloxacin resistance. As a positive control for colistin resistance, DNA harbouring the mcr-1 gene 196 was used.

197

198 **2.8 Statistical analyses**

Binomial logistic regression models were used to ascertain the effects of site (Farm, Central and STP), season (Summer = Jul/Aug, Autumn = Oct/Nov,) and taxa (bird or mammal) on the prevalence of *E. coli* in faecal samples and prevalence of resistance, i.e. if *E.coli* were resistant to one or more antibiotic ('AMR \geq 1 antibiotic') or MDR (resistant to three or more antibiotics). All of these analyses were carried out using SPSS v.24.

204 The ERIC-PCR gel image was analysed using a Gel-Doc XR system (Bio-Rad, UK)(Ibrahim et al 205 2016). Using GelCompar II (Applied Maths) a dendrogram was generated from the comparison of ERIC-206 PCR profiles, using the Dice coefficient, and clustered by the unweighted pair group method with 207 arithmetic averages (UPGMA) with 1.5% of optimization and 1.5% of tolerance. Molecular variance 208 framework analysis (AMOVA) (Excoffier et al 1992) was used to analyse the confidence of the selected 209 similarity threshold and the significance of clusters. The AMOVA calculation was carried out using 210 GenAlEx v 6.5b5 software (Peakall and Smouse 2006). The significance was examined with the 211 calculation of ΦPT, a measure of population differentiation that suppresses intra-individual variation. 212 In the case of AMOVA, the null hypothesis (H0; Φ PT = 0) meant that there was no genetic difference

among the populations and the alternative hypothesis (H1; Φ PT > 0) meant there were genetic differences amongst the populations.

215

216 3. Results

217 3.1 E. coli in rodent and avian samples

In total, 125 faecal samples from bank voles, 15 from field voles and 89 from wood mice were collected. A further 96 faecal samples were collected from traps in which small rodents had escaped, and were recorded as 'unknown' (see Table S2). We collected 84 avian faecal samples from 18 different species, but one sample did not yield an isolate.

222 Overall E. coli were isolated from 66 % (269/408) of faecal samples (Figure 1). The prevalence 223 of E. coli was explained by site, season and taxa (Table 1a). Samples collected from the Central (63%; 224 n= 145) and STP sites (64%; n= 125) did not differ significantly. Samples collected from the Farm Site 225 (prevalence = 71 %; n = 138) were significantly more likely to contain *E. coli* than those from the Central 226 Site (Table 1a; Figure 1). Mammalian samples were significantly more likely to contain E. coli 227 (prevalence = 74%; n = 325) than avian samples (33%; n = 83)(Table 1a). Samples collected in Summer 228 (prevalence = 73%; n = 227) were significantly more likely to contain *E.coli* than those collected in 229 Autumn (57%; n = 181)(Table 1a).

230

231 **3.2** Genotyping of *E. coli* isolates by ERIC-PCR

A selection of AMR *E. coli* representing different hosts and sites were compared by ERIC-PCR (Figure 2). Cluster analysis suggested five main groups of isolates at a 50 % similarity threshold (indicated as 1-V in Figure 2). Cluster significance analysis demonstrated these were non-overlapping and hence genomically independent groups (cluster significance Φ PT = 0.036; *p* < 0.001). Each larger cluster (II-V) contained *E. coli* from a range of hosts and sites with no obvious association between

their AMR pattern and which cluster the isolates resided in. However, there was a tendency towards certain clusters containing isolates from predominantly one site: cluster II with Farm Site, cluster III with Central Site and cluster V with STP Site. Given an expected probability of 0.33, binomial tests indicated that the proportion (0.69) of Farm Site samples in Cluster II was significantly higher than expected (p = 0.0002), as was the proportion of Central Site samples (0.62) in Cluster III (p = 0.033) and the proportion of Farm Site samples (0.75) in Cluster V (p = 0.0006).

243

244 3.3. Antimicrobial resistance

245 The prevalence of AMR was expressed as the percentage of samples from which E. coli was 246 isolated (on the TBX plate without antibiotics) that also contained at least one isolate resistant to at 247 least one of the antibiotics tested (AMR \ge 1). The overall prevalence of AMR *E. coli* was 54 % (n = 248 262) and was significantly explained by a model that included season, taxa and site (Table 1b). AMR 249 prevalence in samples from the STP was 61.3 % (n = 80) which was significantly higher than the 250 prevalence of resistance in samples from the Central Site (50.0 %; n = 86) (Table 1b; Figure 3a). 251 Prevalence in samples from the Farm site was 52.1 % (n = 96) and did not significantly differ from 252 that in Central Site samples (Table 1b).

E. coli from samples collected in Summer (prevalence = 65.4 %; n = 159) were significantly more likely to be resistant than those collected in Autumn (36.9 %; n = 103) Table 1b). There was a tendency (p = 0.056; Table 1b) for mammalian faecal samples to have a higher prevalence (55.7 %; n = 235) of resistant *E. coli* than avian samples (40.7 %; n = 27).

257

258 **3.4 Multi-drug resistance (MDR)**

For the purpose of this study MDR was defined as resistance to three or more of the eight classes of antibiotics tested. Overall, 80.3 % (n = 142) of the AMR *E. coli* were MDR. A model including taxa and site significantly explained MDR prevalence (Table 1c). Prevalence in samples from the Farm site MDR in samples from the Central and STP sites (91.8 %; n = 49) did not differ significantly (Fig. 3b;
Table 1c). *E. coli* from samples collected from mammals (prevalence = 84.7 %; n = 131) were
significantly more likely to be MDR than those collected from birds (27.3%; n = 11) (Table 1c).
Season (MDR prevalence in Summer = 77.9 %; n = 104 and in Autumn = 86.8 %; n=38) was nonsignificant so was excluded from the model.
Individual *E. coli* isolates were resistant to up to seven different antibiotics (Figure 3c).There
was no obvious difference in MDR profiles between the different sites tested (Table 2).

(66.0 %; n = 50), was significantly lower than from the Central site (83.7 %; n = 43). Prevalence of

270 **3.5 Prevalence of ESBL or AmpC producing** *E. coli*

All isolates resistant to cefpodoxime were further investigated for ESBL or AmpC production. From the 53 cefpodoxime resistant *E. coli*, six were ESBL, 22 were AmpC and six were positive for both ESBL and AmpC production (Table 3). Across all samples, there was a significant difference between the sites in the number of isolates testing positive for AMPC and/or ESBL, with the highest number at the STP site ($\chi^2(2) = 6.59$, p = 0.034; Table 3).

276

262

277 **3.6 Genotypic analysis of ciprofloxacin and colistin resistant isolates**

Ciprofloxacin resistant *E. coli* were further characterised by sequence comparison with a known sensitive strain of *E. coli* (K-12) and four of amino acid changes were observed (Figure 4). All colistin resistant isolates were subjected to *mcr-1* PCR and none were found to be positive for this gene, suggesting resistance is derived from other ARGs.

282

284 4. Discussion

285 AMR, including MDR, was common among the commensal E. coli of the wildlife studied, but 286 clear patterns in resistance were not seen in terms of spatial proximity to anthropogenic sources of 287 waste containing antimicrobials and ARB. Previous studies have suggested that wildlife could be 288 used as sentinels of environmental AMR (Furness et al 2017, Vittecoq et al 2016). Our study supports 289 this to some extent, although as with previous work by ourselves and others (Arnold et al 2016, 290 Bondo et al 2016, Gilliver et al 1999, Literak et al 2010, Williams et al 2011), factors other than 291 geographic distance from the wastes of antibiotic treated animals or humans clearly influence AMR. 292 This is also demonstrated by the wide variations in MDR profiles within and between sites suggesting 293 other factors affecting AMR in these animals (Table 2). Host taxonomic differences, as well as spatial 294 and temporal factors, seemed to influence AMR prevalence. Moreover, our models explained about 295 20% of the variance in AMR and MDR, indicating that other, unmeasured factors, were also 296 important in determining prevalence. Thus, there are significant caveats to using wildlife as sentinels 297 of environmental transmission of AMR due to antimicrobials and ARB in anthropogenic wastes. 298 Some studies have reported relatively high AMR prevalence in wildlife collected near AMR 299 sources such as water bodies receiving sewage effluent or agricultural wastes, compared with more 300 pristine sites (Bonnedahl et al 2009, Furness et al 2017). In our study, a significantly higher 301 prevalence of AMR was observed at the STP (61%) compared with the other two sites (<53%). That 302 site and site-specific environments might be drivers of exposure is supported by the ERIC analysis 303 that found that genotypes of *E. coli* showed spatial- rather than host-specific clustering (VanderWaal 304 et al 2014). Multidrug resistance prevalence showed somewhat different patterns with the STP 305 (92%) again having a significantly higher MDR prevalence than the farm (66%), but a similar 306 prevalence to the Central site (84%). If the prevalence and patterns of resistance were driven by 307 exposure to either anthropogenic antimicrobials or ARB from humans and/or livestock, a higher 308 prevalence of resistance would have been expected at the Farm Site as well as the STP Site, and the

prevalence at the Central site might have been expected to be lower than both of the other twosites. However, this was not the case (see also (Carter et al 2018).

311

312 4.1 Host taxa and temporal variation

313 Taxonomic differences in both the prevalence of samples containing *E. coli* and the 314 prevalence of AMR and MDR were observed. Mammals (74%) were significantly more likely to be 315 carrying E. coli than birds (33%), with a prevalence of 66% overall. Host taxonomic differences in E. 316 coli may reflect the relatively small size of faecal samples from birds and their tendency to dry out, 317 but might also simply reflect the relative contribution of *E. coli* to the normal gut biota of very 318 different taxa. The prevalence of phenotypic AMR (expressed as the percentage of samples that 319 contained resistant E. coli) was 54% overall, with a marginally higher prevalence in mammalian 320 (56%) than avian (41%) samples (p = 0.056). Our prevalence of ARB in mammals was similar to that 321 previously reported in the UK (35% and 79% for inland and coastal populations respectively of small 322 mammals (Furness et al 2017), but higher than that reported in similar species from mainland 323 Europe (for example 5.5% AMR in E. coli from rural small mammals in Germany (Guenther et al 324 2010) and 2 – 12% in a range of wild mammals the Czech Republic (Literak et al 2010). Reported 325 AMR prevalence in wild birds is similarly diverse, varying both by species and geography (Carter et al 326 2018). For example, a study of AMR in *E.coli* from gulls across Europe found a prevalence of 32% 327 overall, but with considerable geographic variation, from 61% in Spain to 8% in Denmark (Stedt et al 328 2014). Notably, a larger number of avian than mammal species were sampled, so differences in 329 ecology and diet among species might obfuscate comparisons of the relative roles of mammals and 330 birds in AMR dispersal.

Furthermore, in our study, as in others (Ahammad et al 2014, Bondo et al 2016, Sun et al
2012, Williams et al 2011), *E. coli*, AMR and MDR patterns and prevalence varied over time.
Temporal variation in *E. coli* and resistance patterns might reflect changing environmental
conditions (temperature and rainfall), selective drivers (e.g. patterns in antibiotic usage) and/or food

availability (and changing gut biota) for wildlife as well as differences between the species'
population dynamics (Waite and Taylor 2014, Williams et al 2011). Since sampling took place during
only two seasons, temporal and seasonal patterns in AMR evolution and dispersal need further
study. Despite some limitations, our study lays the foundations for future studies looking a larger
numbers of animals at a wider variety of sites and, ideally, longitudinally, along with direct sampling
of the environment for antibiotics and ARB.

341

342 4.2 MDR prevalence and resistance profiles

343 As described in other studies (Arnold et al 2016, Williams et al 2011), many AMR isolates 344 from mammalian wildlife were multidrug-resistant (MDR). This was likely an outcome of prevalent 345 mobile genetic elements such as plasmids and transposons (Carroll et al 2015), but chromosomal 346 mutations are also common. The prevalence of MDR (AMR \geq 3), like overall AMR (AMR \geq 1) was 347 higher in mammal (85%) than in bird samples (27%). On the other hand, the large diversity of MDR 348 profiles found (Table 2) suggests only limited MDR transmission between individuals. Some of these 349 resistances (ciprofloxacin) were found to be derived from point mutations and therefore are not 350 necessarily linked to the other resistances carried by the individual bacterium. Moreover, MDR 351 prevalence was highest at the STP. It is tempting, therefore, to speculate that animals at the STP Site 352 were exposed to a wider range of MDR bacteria, plasmids, or antimicrobials, than animals at other 353 sites. This in turn would fit well with a hypothesis that these animals had exposure to sewage 354 derived from many different people, with different histories of antimicrobial exposure, whereas 355 wildlife at the Central and Farm Sites would have exposure to less varied sources and drivers. This 356 would still, however, leave unanswered the questions of what might be the drivers that led to such 357 high MDR prevalence overall, why different animals in the same population might have such 358 different exposure histories and why the Farm Site and not the Central Site had the lowest MDR 359 prevalence.

360 The most common MDR resistance profile encountered in this study was combined 361 resistance to ampicillin, colistin and ciprofloxacin (Table 2). A high prevalence of resistance to 362 ampicillin was expected as this beta-lactam antibiotic is frequently used in both human and 363 veterinary medicine and resistance is common not only in clinical samples (Briñas et al 2002) but has 364 also been described previously in wild rodents (Arnold et al 2016, Williams et al 2011). It is 365 commonly plasmid-encoded and associated with MDR, as in this study where 83% of the ampicillin 366 resistant isolates were resistant to three or more antibiotics and 23% to five or more antibiotics 367 (Table 2). A high prevalence of phenotypic resistance to colistin was neither expected nor has been 368 described previously in wild rodents, although colistin-resistant E. coli strains have been isolated 369 from waterbird faeces (Wu et al 2018). Colistin resistance genes have been demonstrated in waste-370 impacted river water (Wu et al 2018), and especially at STPs (Hembach et al 2017). Although 371 chromosomally-encoded colistin resistance has been described for many years, its prevalence was 372 historically generally low. The recent discovery of the mcr-1 gene, that confers colistin resistance 373 and is plasmid encoded, enabling rapid horizontal transmission of resistance, (Liu and Wong 2013) is 374 of great clinical concern as colistin is now a 'last line' antibiotic used for treating MDR infections in 375 humans (Velkov et al 2013). The high prevalence of colistin resistance found in our study (35-40%), 376 along with most colistin resistant isolates being MDR (87% resistant to three or more antibiotics and 377 26% to five or more antibiotics) is suggestive of horizontal transmission although screening for the 378 mcr-1 gene by PCR was negative. However, other plasmid-encoded genes for colistin resistance 379 have been subsequently described (Xavier et al 2016), and further characterisation of the underlying 380 mechanism of the colistin resistance found in in our study is underway. Seven out of the nine 381 ciprofloxacin resistant isolates contained four nonsynonymous mutations in the gyrase A gene 382 (Figure 4), which had been reported previously, and two had mutations that have not previously 383 been reported in *E. coli*. Wildlife can. Therefore, harbour and disperse novel and/ or clinically 384 important ARGs in the environment.

385 In terms of other clinical important resistances, cefpodoxime resistance is a common 386 indicator of ESBL production (Oliver et al., 2002), also of major concern in human medicine. From 387 the 53 cefpodoxime resistant E. coli isolated from wildlife, six were ESBL producers, 22 were AmpC 388 and six were positive for both ESBL and AmpC production (Table 3). ESBLs have previously been 389 detected in E. coli isolates from a range of wildlife taxa, for example, 32% of E. coli isolates obtained 390 from gulls' faeces (Simões et al 2010), and such findings have been ascribed to contact with human 391 waste. In our study, significantly more ESBL and/or AmpC – producing E. coli were found in wildlife 392 samples collected from the STP Site, which suggests that human waste may be a factor driving 393 ESBL/AmpC resistance in the environment.

394

395 **4.3. Conclusions**

396 Taken together, the results of this study support those of previous studies in that they 397 confirm that wildlife commonly harbour ARB. Whether or not wildlife might be a source for onward 398 transmission to domestic animals or to humans has not been directly examined. Our study was more 399 concerned with beginning to investigate the drivers of AMR in wildlife, and in particular the role that 400 anthropogenic waste, whether of directly human or domestic animal origin, might play in developing 401 and maintaining that resistance. Diverse patterns of resistance were found in E. coli from wildlife in 402 this study, suggesting variation within and between host taxa, between individuals, and over time. 403 Overall, study site was not associated clearly with AMR, MDR or resistance patterns. However, 404 resistance to antibiotics used only in human medicine was more prevalent at the STP site than the 405 Farm and Central sites. Thus, the drivers of AMR in wildlife appear to be more complex than simple 406 anthropogenic causes. Consequently, care needs to be taken if wildlife are to be used as sentinels of 407 environmental AMR or pollution.

408

410 Acknowledgements

411 We would like to thank K. Hemsley and the South Notts Ringing group for help with capturing birds.

412

413 Funding

- 414 The project was funded by the University of Nottingham, the University of York and the EPSRC Bridging
- the Gaps between the Engineering and Physical Sciences and Antimicrobial Resistance funds to the
- 416 University of York and University of Nottingham.
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706 Figure Legends

Figure 1: Inter-site variation in the percentage prevalence of faecal samples testing positive (solid
blue bars) or negative (orange hatched bars) for a) *E. coli*. Boxes on the bars show the number of
samples in each category.

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711 Figure 2. ERIC profile of E. coli isolated from both small mammals and birds at Farm site (light green, 712 mammals; dark green birds) Central site (red, mammals; dark red, birds) and STP site (light purple, 713 mammals; dark purple, birds). Horizontal lines demonstrate significant clusters (I - V) based on 50 % 714 cut-off (vertical line). Red cells demonstrate resistance to each antibiotic: Amp – ampicillin; Cef – 715 cefpodoxime; Col - colistin; Apra - apramycin; Imi - imipenem; Trim - trimethoprim; Tet -716 tetracycline; Cip – ciprofloxacin 717 718 Figure 3: Site-specific patterns of resistance in E. coli isolates: a) AMR: The percentage of faecal 719 samples which contained *E. coli* susceptible to ≥1 antimicrobial (negative = orange hatched bars) or 720 resistant to one or more antimicrobial drugs (positive = solid blue bars); b) MDR - The percentage of 721 samples containing *E. coli* that were resistant to \geq 3 antibiotics (positive = resistant = solid blue 722 bars); c) Prevalence of resistance to 1 - 7 different antibiotics. The sites were Farm, Central and STP.

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Figure 4. Mutations of ciprofloxin-resistant *E. coli* isolated from small mammals (blue boxes).
 Translated sequences of *gyraseA* gene from ciprofloxacin resistant *E. coli* isolates compared to the
 known sensitive reference strain K-12.

Table 1: Final binomial logistic regression model outputs explaining prevalence of a) E. coli; b)
AMR ≥1 antibiotic; c) MDR (AMR ≥3 antibiotics). The coefficients for the Site variable are compared to
the Central Site, for the Taxa variable was compared to birds and for the Season variable was

731 compared to Autumn.

	Nagelkerke	χ2 (df)	Wald (df)	p-value	Odds ratio	95% C.I.
	R ²					
a) <i>E. coli</i>	21%	67.50 (4)		< 0.0001		
Site:			16.21 (2)	< 0.0001		
Farm			15.07 (1)	< 0.0001	3.51	1.86 - 6.60
STP			0.23 (1)	0.63	1.14	0.67 – 1.93
Таха			45.75 (1)	< 0.0001	9.26	4.86 - 17.66
Season			3.89 (1)	0.048	1.57	1.00 - 2.46
b) AMR	14.4%	29.97 (4)		< 0.0001		
Site:			4.75 (2)	0.093		
Farm			1.17 (1)	0.28	1.44	0.74 - 2.79
STP			4.742 (1)	0.029	2.11	1.08 - 4.73
Таха			3.64 (1)	0.056	2.48	0.98 - 6.32
Season			23.93 (1)	< 0.0001	3.96	2.28 - 6.89
c) MDR	25.9%	40.91 (4)		< 0.0001		
Site:			8.02 (2)	0.018		
Farm			0.05 (1)	0.82	1.09	0.51 - 2.34
STP			7.07 (1)	0.008	3.37	1.38 - 8.26
Таха			14.30 (1)	< 0.0001	12.53	3.38 - 46.43
Season			0.57 (1)	0.45	1.34	0.63 - 2.84

733	Table 2: Frequencies of MDR profiles for combinations of antibiotics to which E. coli isolates were
734	resistant for faecal samples collected from birds and mammals captured at the STP, Central and Farm
735	sites. Only profiles that were found at two or more individuals are presented. Amp – ampicillin; Cef –
736	cefpodoxime; Col – colistin; Apra – apramycin; Imi – imipenem; Trim – trimethoprim; Tet –
737	tetracycline; Cip – ciprofloxacin

Antibiotics	Farm	Central	STP	Totals
Amp Tet Col	7	8	8	23
Apra Col Tet	2	3	2	7
Amp Cip Tet	5	0	0	5
Amp Tet Cef	0	1	3	4
Amp Tet Trim	0	2	2	4
Amp Apra Tet	1	2	1	4
Col Cef Tet	0	1	2	3
Apra Trim Col	0	0	2	2
Amp Apra Cef	1	1	0	2
Amp Apra Col Tet	2	5	2	9
Amp Tet Trim Col	2	1	3	6
Col Trim Cef Tet	0	1	2	3
Amp Tet Cef Col	0	0	3	3
Amp Cef Trim Col	1	0	2	3
Apra Tetra Cef Col	1	1	0	2
Amp Apra Trim Col	0	2	0	2
Amp Apra Cef Trim Col	3	2	3	8
Amp Col Trim Cef Tet	1	2	4	7
Amp Apra Tet Trim Col	2	0	0	2
Amp Apra Tet Cef Trim Col	1	2	1	4

Table 3: Number of AmpC and ESBL producing *E. coli* isolates for bird and mammal samples collected
at the Farm (livestock waste dominated), Central (no waste source) and STP (human waste dominated)
sites. The percentages in brackets were calculated across all 53 cefpodoxime resistant isolates that
were tested for AmpC and ESBL activity.

Site	Mammal				Bird			
	AmpC	ESBL	AmpC &	Negative	AmpC	ESBL	AmpC &	Negative
			ESBL				ESBL	
Farm	4 (8%)	0	2 (4%)	5 (9%)	0	0	0	2 (4%)
Central	6 (11%)	2 (4%)	1 (2%)	4 (8%)	1 (2%)	0	0	0
STP	7 (13%)	4 (8%)	3 (6%)	7 (13%)	4 (8%)	0	0	1 (2%)
Total	17 (32%)	6 (12%)	6 (12%)	16 (30%)	5 (9%)	0	0	3 (6%)