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1 **Evaluation of brain damage resulting from penetrating and non-penetrating stunning**
2 **of Nelore Cattle using pneumatically powered captive bolt guns**

3

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17

18 **ABSTRACT**

19 Brain damage resulting from penetrating and non-penetrating stunning of cattle using pneumatically
20 powered captive bolt guns was evaluated in the heads from forty-two adult Nelore cattle. The head
21 of animals were collected during commercial operation of abattoir with different level of airline
22 pressure that powered the pneumatic captive bolt guns, as follows: Penetrating captive bolt gun
23 operating with 160 *psi* (P1; n=10), 175 *psi* (P2; n=10), 190 *psi* (P3; n=12), and non-penetrating captive
24 bolt gun operating with 220 *psi* (NP; n=10). Skin and bone thickness, bolt penetration angle, bolt
25 penetration depth and haemorrhage over the cerebral hemispheres were assessed. The brains were
26 examined for presence of haemorrhage and/or laceration made by the bolt in the frontal lobes, parietal
27 lobes, temporal lobes, occipital lobes, cerebellum, hypothalamus, midbrain, pons, medulla, third
28 ventricle and lateral ventricles. The results showed that only P1 had shots that failed to perforate the
29 skull (n=2; 20%). The bolt penetration depth and haemorrhage over the cerebral hemispheres was
30 significantly ($P \leq 0.05$) greater when shooting with penetrating method. Presence of subarachnoid
31 haemorrhage (which was present as at least a single blood clot) over the frontal, parietal and occipital
32 lobes was higher for NP. For P1, P2 and P3 lacerations were observed only in the frontal and parietal
33 lobes, and were restricted to the cortical region. Subarachnoid haemorrhage surrounding the
34 brainstem structures (including medulla) was only found for P3. Only P3 caused laceration in the
35 midbrain and pons. Neither haemorrhage nor laceration were observed in the brainstem structures of
36 heads shot with NP. Thus, shooting adult Nelore cattle with a pneumatically powered penetrating

37 captive bolt gun operating with 190 *psi* is potentially more effective when trying to achieve
38 unconsciousness by damaging the brainstem.
39

40 **1. Introduction**

41 Captive bolt guns are the most common devices used for stunning cattle prior to
42 slaughter in abattoirs. Both non- and penetrating captive bolt guns are used. Non-penetrating
43 method is mainly used for halal slaughter, while the penetrating method is used prior to non-
44 religious slaughter, and there are differences regarding the way that these methods render the
45 animal insensible.

46 Shooting with a non-penetrating gun causes acceleration and deceleration forces,
47 which impart rotational and shear forces to the head and brain (Ommaya, Goldsmith &
48 Thibault, 2002). Most brain damage caused by this method occurs from the effects of head
49 movements, particularly angular or rotational acceleration, rather than the impact alone
50 (Finnie, 1997). The concussion induces neuronal depolarization in the cerebral hemispheres
51 and, depending on the magnitude of the impact, the brainstem (Gregory, 1998a; Posner,
52 Saper, Schiff, & Plum, 2008). The shock wave created by the impact of a large bolt against
53 the cranium can also push the brain tissue through the opening of the tentorium, compressing
54 the brainstem, which may cause slowing or cessation of breathing and cardiac function
55 (Carey, Sarna, Farrell, & Happel, 1989). If the lesion disrupts the functioning of the
56 brainstem, cortical function also fails (Brown, Basheer, McKenna, Strecker, & McCarley,
57 2012) and the animal becomes unconscious.

58 The penetrating method is designed to cause a combination of concussion of the skull
59 and destruction of brain tissue. The impact causes a shockwave through the brain provoking
60 pressure gradients leading to tears and lesions in the brain tissue and disturbances in blood
61 flow (Posner et al., 2008). Following penetration, skin and bone fragments can act as

62 secondary missiles, which can cause further damage to the brain (Gibson et al., 2012),
63 crushing tissues and blood vessels (Viel, Schroder, Puschel, & Braun, 2009). When the bolt
64 retracts, it leaves a temporary void in the cavity created by its passage, and promotes further
65 tearing of axons and blood vessels (Karger, 1995). This latter effect may be strengthened by
66 increased intracranial pressure due to haemorrhage (Gibson et al., 2012). Since there is
67 haemorrhage, there will be reduced blood supply and, in this situation, the brain could be
68 starved of oxygen and unconsciousness would be sustained (Gregory, 1998b).

69 The penetrating bolt should be oriented towards the brainstem, since the vital
70 functions, such as breathing and cardiovascular activity are regulated by this structure
71 (Laureys, 2005b). Thus, if brain damage is insufficient, or the bolt does not reach the relevant
72 structures, either because of insufficient penetration depth, placement or orientation, the
73 animal may remain conscious or show a shallow depth of concussion.

74 Pneumatically powered captive bolt guns are the most frequently used equipment for
75 stunning cattle in large Brazilian beef abattoirs (EFSA, 2013). These guns use compressed
76 air as the source of energy when the gun is fired, and this minimizes energy wasted as heat
77 as occurs with cartridge powered guns. Since the air pressure level in the gun's air chamber
78 before shooting affects the velocity of the bolt and the amount of energy transferred to the
79 skull (Oliveira, Gregory, Dalla Costa, Gibson, & Paranhos da Costa, 2017), it could influence
80 the severity of brain lesions and, consequently, the efficiency of stunning. Accordingly, the
81 bolt penetration depth into the deeper structures in the brain could also be affected.

82 The aims of this study were to compare brain damage resulting from penetrating and
83 non-penetrating stunning in cattle using pneumatically powered captive bolt guns, and to
84 evaluate the effects of different air pressures when using a penetrating bolt gun.

85

86 **2. Materials and methods**

87 This research was carried out in accordance with the Brazilian legislation, being
88 approved by the Committee for the Ethical Use of Animals from the Faculty of Agricultural
89 and Veterinary Sciences of São Paulo State University (Protocol n. 022754/14), Jaboticabal,
90 SP, Brazil.

91

92 *2.1. Abattoirs, animals and treatments description*

93 Forty-two adult Nelore cattle (over 400 kg liveweight) were slaughtered in a
94 Brazilian commercial abattoir. Firstly, the animals were individually restrained in a stunning
95 pen equipped with a head yoke and then stunned by an experienced slaughterman. Thirty-
96 two cattle were shot with a pneumatically powered penetrating captive bolt gun (PCB;
97 USSS-1, JARVIS® Jarvis Products Corporation; Middletown, CT, USA) and ten were
98 stunned with a pneumatically powered non-penetrating captive bolt gun (NPCB; USSS-2A,
99 JARVIS® Jarvis Products Corporation; Middletown, CT, USA). These guns and the levels of
100 airline pressure (that powered them) are commonly used in beef slaughterhouses in Brazil.
101 The bolt diameter and length of the PCB and NPCB were 15.9 and 34.9 mm, and 280 and
102 220 mm, respectively. The bolt weight was 0.30 and 0.83 kg for PCB and NPCB,
103 respectively.

104 The PCB was tested with three airline pressures, 160 *psi* (P1, n = 10), 175 *psi* (P2, n
105 = 10) and 190 *psi* (P3, n = 12). For the NPCB one level of airline pressure was tested (220
106 *psi*, n=10). According to the user's manual provided by the manufacturer, the operating
107 airline pressure of the guns used at the abattoir is within a range of 160–190 *psi* advised for
108 PCB and 190–245 *psi* advised for NPCB. The abattoir is monitored by the Brazilian Federal
109 Veterinary Service.

110

111 *2.2. Heads managements and pathology evaluations*

112 Shots were aimed at the ideal shooting position, which was defined as the cross-over
113 point between imaginary lines drawn between the base of each horn and the corner of the eye
114 on the opposite side of the head (Gregory, 1998b). After bleeding, the skinned heads of the
115 shot cattle were identified with matching paint marks in order to facilitate its tracking inside
116 the abattoir. Heads were stored in labeled plastic bags and then moved to the freezing tunnel,
117 where they remained for five hours at -45 C°.

118 Frozen heads were band sawed at the Laboratory of Anatomy (*São Paulo State*
119 *University*) with the cut passing longitudinally through the bolt hole for PCB or through the
120 depressed shot position for NPCB. The lower jaw was removed beforehand in order to reduce
121 wear of the saw. The trajectory and penetration depth of the PCB were measured from the
122 outer surface of the head using a plastic probe inserted through the bolt entrance cavity. The
123 bolt entrance site in the heads was examined for skin tissue and bone thicknesses with a
124 digital Vernier caliper and the angle of penetration was measured with a probe fitted to a steel
125 protractor inserted into the bolt wound cavity in the brain before open the heads for brain
126 evaluations. Angles greater than 90° indicates that the shot was directed caudally.

127 After defrosting, the cerebral hemispheres were removed from the cranial vaults for
128 examination of gross lesions, according to the brain structures (frontal lobes, parietal lobes,
129 temporal lobes, occipital lobes, cerebellum, hypothalamus, midbrain, pons, medulla, third
130 ventricle and lateral ventricles) and type of damage (haemorrhage, identified by the presence
131 of blood outside the vessels due to physical damage to the vascular wall; laceration, described
132 as a brain injury from the bolt that causes loss of its anatomical architecture and destruction

133 of brain mass). Haemorrhage over the brain was assessed subjectively as a percentage of total
134 surface area of each brain hemisphere.

135

136 *2.3. Radiography exams*

137 For the radiography evaluations, a total of 15 heads, being five for each treatment
138 (160, 175 and 190 *psi*) were collected according to each penetrating captive bolt treatment
139 following the same procedures of frizzing/defrosting of heads. All heads were longitudinally
140 band sawed 2 cm close to the bolt hole to avoid image overlay in radiographic results. About
141 2 mL of contrast (barium sulphate) was administered through a syringe connected to a
142 silicone cannula positioned inside of the hole to identify the bolt trajectory and penetration
143 depth by contrast.

144

145 *2.4. Statistical analysis*

146 For the nominal qualitative variables, the Fisher's Exact Test was used to compare the
147 captive bolt guns and the airline pressures tested. Whenever the test detected a significant
148 effect ($P \leq 0.05$) of treatment, the analysis was performed by comparing the treatments two
149 by two. In the case of the quantitative variables (interval of ratio scales), the Kruskal–Walis
150 test was used to compare the treatments.

151

152 **3. Results**

153 Comparing the penetrating treatments, only P1 had shots that failed to perforate the
154 skull (n=2; 20%). In those cases, only the outer table of the cranial bone was completely
155 perforated, with depressed fracture of the inner table (Figure 1a). The bolt entrance wounds
156 in the skulls were a uniform round hole with approximately 16 mm diameter with radiating

157 fracture lines observed on outer and inner table of the skull. There was no significant
158 difference for skin and bone thickness or bolt penetration angle among the heads shot with
159 P1, P2 or P3 (Table 1). However, the skin and bone thickness was significantly ($P = 0.05$)
160 thinner for heads shot with NP. The reasons for these findings are not clear. Possible
161 explanations lie on this group had smaller animals than the others, or it can be attributed to
162 the compression and fracturing of the cranium after the application of NPCB. However,
163 because the experiment was done under commercial conditions, this variable could not be
164 randomized in the experimental field. The bolt penetration depth was significantly ($P \leq 0.05$)
165 deeper when shooting with the highest airline pressure tested (P3, 190 *psi*; Table 1).

166 During this study, radiography was used in a limited number of heads to a better
167 understand the effects of the levels of airline pressures on bolt penetration depth and to
168 possibly support the previous findings. Accordingly, Figure 2 shows that heads shot with 160
169 *psi* had the shallowest penetration (Figure 2a), followed by head shot with 175 *psi* (Figure
170 2b). On the other hand, the bolt penetration depth of heads shot with 190 *psi* were notably
171 greater (Figure 2c and 2d). On these specific cases, the bolt penetration angle was rostral
172 rather than caudal, which is not desired when trying to cause direct damage to the brainstem.

173 Comparing the methods, the number of heads that presented subarachnoid
174 haemorrhage (at least a single blood clot) over the frontal, parietal and occipital lobes was
175 higher for NP than any of the penetrating airline pressures tested (Table 1). However,
176 haemorrhage over the total cerebral hemispheres was higher for P3, with a significant
177 difference between the stunning methods and airline pressure tested for the right hemisphere
178 (Table 1). For either P1, P2 and P3, laceration was observed only on the frontal and parietal
179 lobes, but not extending deeply into the basal ganglia and thalamus (Table 2). For P2, one

180 head presented laceration of the lateral ventricle and three presented intraventricular
181 haemorrhage. Laceration of hypothalamus was only found for P3 (Table 2 and Figure 1e).

182 Haemorrhage over the brainstem structures (medulla, pons, midbrain) was more
183 frequent for penetrating than non-penetrating method. Additionally, abundant subarachnoid
184 haemorrhage that completely surrounded all brainstem structures (medulla, pons, midbrain)
185 was only found when shooting with P3. Among all airline pressures tested, only P3 caused
186 laceration of the midbrain and pons (Table 2). Neither haemorrhage nor laceration was
187 observed for brainstem structures in heads shot with the non-penetrating method (Table 2).

188

189 **4. Discussion**

190 To the best of our knowledge, this is the first published study to evaluate brain
191 damage of cattle stunned with pneumatically powered non- and penetrating captive bolt guns
192 operating with different levels of airline pressures.

193 The study found that bolt penetration depth, damage to the brainstem structures and
194 haemorrhage in ventricles and over the entire cerebral hemispheres, was greatest for heads
195 shot with the penetrating gun at 190 *psi*. Work by Oliveira, Gregory, Dalla Costa, Gibson &
196 Paranhos da Costa (2017), reported for this same gun model at 190 *psi*, that it had
197 significantly higher performance indices (such as higher bolt velocity, kinetic energy and
198 energy density) compared to when operating with 160 and 175 *psi*. This explains the
199 extensive damage with 190 *psi* compared to lower pressures, since there is more energy
200 transfer from the moving bolt to the cranium and, consequently, to the deeper structures in
201 the brain. Additionally, heads shot with 160 and 175 *psi* only presented laceration of the
202 frontal and parietal lobes, and it was restricted to the cortical region. Indeed, the results of
203 this study are in agreement with Oliveira, Gregory, Dalla Costa, Gibson, Dalla Costa &

204 Paranhos da Costa (2018) who suggested an increased risk of incomplete stunning at
205 slaughter based on physical signals of brain function after stunning, which, among other
206 reasons, would be due to the greater velocity and kinetic energy of PCB gun (54.6 ± 1.33
207 $\text{m}\cdot\text{s}^{-1}$ and 447.91 ± 22.02 joules, respectively) compared to NPCB gun (18.06 ± 0.19 $\text{m}\cdot\text{s}^{-1}$ and
208 135.17 ± 2.85 joules).

209 Although the basis of the conscious state is not well understood, it is believed to
210 depend on feedback loops of neural activity between the brainstem reticular activating system
211 and the cerebral cortex (Blumbergs, 1997). In this study, in animals shot with the PCB with
212 160 and 175 *psi* there was no gross macroscopic damage to the hypothalamus, midbrain, pons
213 and medulla. Accordingly, Gibson et al. (2015) observed that among the alpacas shot with
214 penetrating captive bolt gun that presented signs of incomplete concussion none had any
215 macroscopic damage to the pons and severe damage to the thalamus, midbrain and medulla.
216 However, in this study, there was damage to hypothalamus, midbrain, pons and haemorrhage
217 in the medulla (17%, 33%, 8% and 33%, respectively; see Table 2) in heads shot with 190
218 *psi*. This suggests that the penetrating gun operating with higher airline pressures is more
219 efficient in provoking damage to regions of the brain responsible for maintaining the
220 conscious state.

221 When shooting at the ideal position for adult Nelore cattle, the approximate angle of
222 bolt penetration needed when trying to reach the brainstem is around $110^\circ - 120^\circ$ (Oliveira et
223 al., 2018). Since there was no significant difference in bolt penetration angle between the
224 airline pressures tested for the penetrating method, and the highest airline pressure (190 *psi*)
225 was the only treatment to cause damage to the brainstem, it was concluded that only this
226 airline pressure is effective in producing sufficient macroscopic damage to induce
227 unconsciousness.

228 Subarachnoid haemorrhage in the occipital lobe was more frequently observed in
229 heads shot with the NPCB. Presumably, the greater acceleration/deceleration forces imparted
230 by a larger diameter bolt caused rotational and shear forces to the head and brain (Ommaya,
231 Goldsmith & Thibault, 2002), producing a wider distribution of blood clots on the opposite
232 side to that which was directly impacted. Moreover, the thinner skin and bone of cattle shot
233 with the NPCB gun makes the cranium less resistant to the effects of the bolt impact, since,
234 as stated by Currey (2003), the thicker the bone the stiffer it will be. Similarly, Finnie (1997)
235 stated that much greater energy is required to penetrate the thicker skull bones of mature
236 bulls.

237 According to the Jewish (Shechita), Shariah (Islamic) and Muslim (Halal) law, the
238 animal needs to be considered alive before the procedure and requires that the animal does
239 not experience pain or suffering. These requirements dictate how and whether the pre-
240 stunning of animals is acceptable before slaughter for these faiths (Downing, 2015). After
241 all, animals destined for meat consumption by followers of those faiths must not have any
242 injuries other than the one made by the neck cut, which has to be performed by a prescribed
243 method. However, the findings of this study showed that relatively large subarachnoid
244 haemorrhage were developed when NPCB was used, which, according to the requirements
245 listed above, would pre-empt those carcasses from consumption by members of those faiths.

246

247 **5. Conclusions**

248 Shooting adult Nelore cattle with a pneumatically powered penetrating captive bolt
249 gun operating with lower airline pressures failed to produce sufficient damage to brainstem
250 structures. Only PCB operating with 190 *psi* produced damage to the hypothalamus and
251 brainstem, and this pressure has previously been associated with a greater certainty of

252 inducing unconsciousness. At 190 *psi* there was greater depth of penetration into the brain
253 and this evidently increased the likelihood of causing direct damage to the brainstem. Thus,
254 these results could be used as guidelines, demonstrating that lower levels of airline pressures
255 should not be used for stunning and dispatch of adult cattle.

256

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268

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- 312
- 313

Table 1. Means \pm SE of skin and bone thickness, bolt penetration angle¹, bolt penetration depth and haemorrhage over the left and right hemispheres of the brain according to method of stunning and airline pressure.

| | P1 (N=10) | P2 (N=10) | P3 (N=12) | NPCB (N=10) | $P > \chi^2$ |
|---------------------------------------|------------------------------|-------------------------------|-----------------------------|-----------------------------|--------------|
| Skin and bone thickness (mm) | 16.2 \pm 0.5 ^{ab} | 17.19 \pm 0.9 ^{ab} | 18.4 \pm 1.1 ^a | 16.0 \pm 0.5 ^b | 0.05 |
| Bolt penetration angle (°) | 80.0 \pm 13.6 | 100.00 \pm 2.4 | 96.3 \pm 3.6 | – | 0.66 |
| Bolt penetration depth (cm) | 4.8 \pm 0.8 ^c | 7.84 \pm 0.2 ^b | 10.6 \pm 0.5 ^a | – | <0.001 |
| Haemorrhage over left hemisphere (%) | 15.0 \pm 2.8 | 23.00 \pm 3.5 | 35.8 \pm 6.4 | 19.0 \pm 3.8 | 0.06 |
| Haemorrhage over right hemisphere (%) | 24.5 \pm 3.8 ^b | 27.00 \pm 2.9 ^b | 58.8 \pm 6.5 ^a | 25.0 \pm 2.6 ^b | 0.001 |

Means followed by different letters in the same line differ significantly by Wilcoxon test ($P \leq 0.05$);

Penetrating method: P1=160 *psi*; P2=175 *psi*; P3=190 *psi*

Non-penetrating method: NP=220 *psi*

Haemorrhage: (%) of total surface area

¹Data from the two animals in which the skull was not penetrated was not included in calculating mean penetration depth

Table 2. Frequencies of cattle with haemorrhage and laceration according to the region of damage from *post mortem* examination of the effects of stunning method and airline pressure.

| Region of damage | Haemorrhage | | | | <i>P</i> -value | Laceration | | | | <i>P</i> -value |
|----------------------------|--------------------|---------------------|---------------------|--------------------|-----------------|--------------------|---------------------|---------------------|--------------------|-----------------|
| | P1 (%) n=10 | P2 (%) n=10 | P3 (%) n=12 | NPCB (%) n=10 | | P1 (%) n=10 | P2 (%) n=10 | P3 (%) n=12 | NPCB (%) n=10 | |
| <i>Cerebrums</i> | | | | | | | | | | |
| Frontal lobes | 70 | 50 | 83.33 | 100 | 0.063 | 80.00 ^a | 100.00 ^a | 91.67 ^a | 0.00 ^b | <.0001 |
| Parietal lobes | 20.00 ^a | 20.00 ^{ab} | 16.67 ^a | 70.00 ^b | 0.038 | 20 | 20 | 8.33 | 0 | 0.522 |
| Temporal lobes | 30 | 20 | 16.67 | 40 | 0.724 | 0 | 0 | 0 | 0 | - |
| Occipital lobes | 0.00 ^a | 0.00 ^a | 16.67 ^a | 80.00 ^b | <0.001 | 0 | 0 | 0 | 0 | - |
| <i>Ventricles</i> | | | | | | | | | | |
| Lateral | 30 | 40 | 66.67 | 50 | 0.423 | 0.00 ^a | 10.00 ^{ac} | 41.67 ^{bc} | 0.00 ^{ad} | 0.009 |
| Third | 20 | 30 | 58.33 | 20 | 0.191 | 0 | 0 | 33.33 | 0 | 0.010 |
| Hypothalamus | 10.00 ^b | 0.00 ^b | 66.67 ^a | 10.00 ^b | <0.001 | 0 | 0 | 16.67 | 0 | 0.233 |
| Cerebellum | 10.00 ^a | 0.00 ^a | 16.67 ^a | 80.00 ^b | <0.001 | 0 | 0 | 0 | 0 | - |
| <i>Brainstem structure</i> | | | | | | | | | | |
| Midbrain | 10.00 ^a | 10.00 ^a | 50.00 ^a | 0.00 ^b | 0.010 | 0.00 | 0.00 | 33.33 | 0.00 | 0.010 |
| Pons | 0.00 ^a | 10.00 ^{ac} | 50.00 ^{bc} | 0.00 ^{ad} | 0.002 | 0.00 | 0.00 | 8.33 | 0.00 | 1.000 |
| Medulla | 0.00 | 0.00 | 33.33 | 0.00 | 0.010 | 0 | 0 | 0 | 0 | - |

Frequency followed by different letters in the same line differ significantly by Wilcoxon test ($P \leq 0.05$);

Penetrating method: P1=160 *psi*; P2=175 *psi*; P3=190 *psi*

Non-penetrating method: NP=220 *psi*

Haemorrhage: Subarachnoid Haemorrhage, recognized when at least one single blood clot was observed

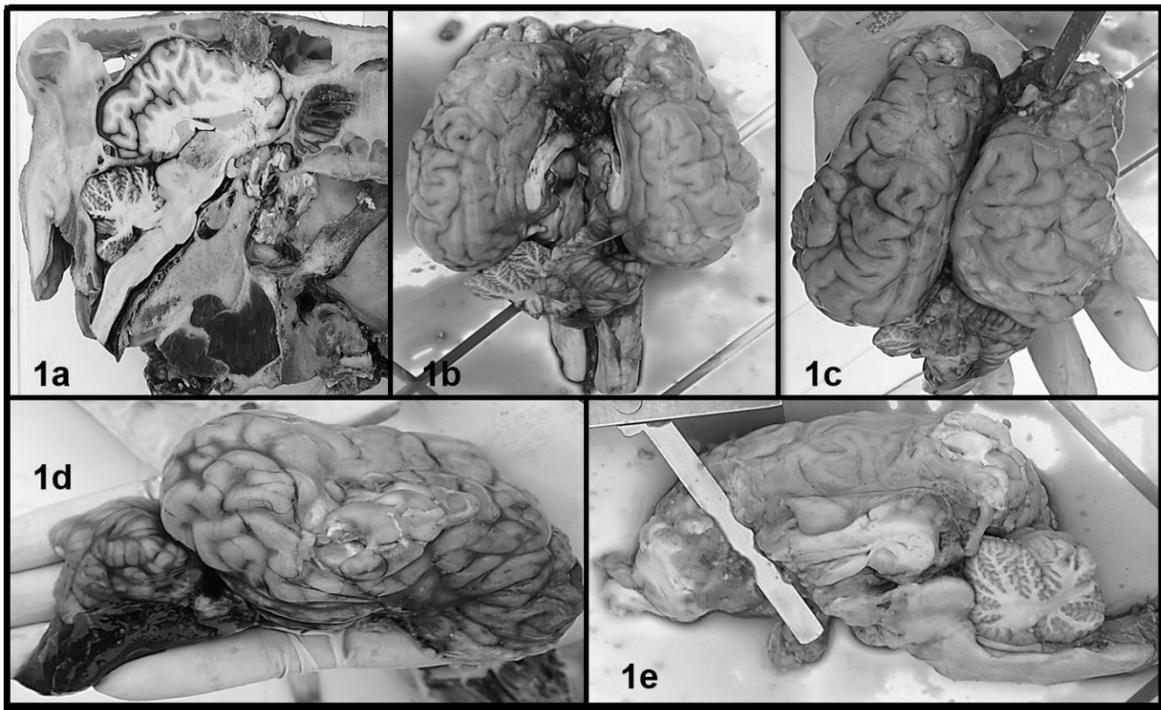


Figure 1. Adult male Nelore cattle: Shot failed to reach the brain when shooting with PCB gun operating with 160 *psi* (1a). Ventral and superior aspects of the brain showing frontal lobe laceration when shot with PCB gun operating with 160 *psi* (1b and 1c). Right cerebral hemisphere showing abundant subarachnoid haemorrhage around the brainstem (medulla, pons, midbrain) when shot with PCB gun operating with 190 *psi* (1d). Protractor probe inserted into the bolt wound cavity in the right cerebral hemisphere highlighting laceration reaching the hypothalamus of a head shot with PCB gun operating with 190 *psi* (1e).

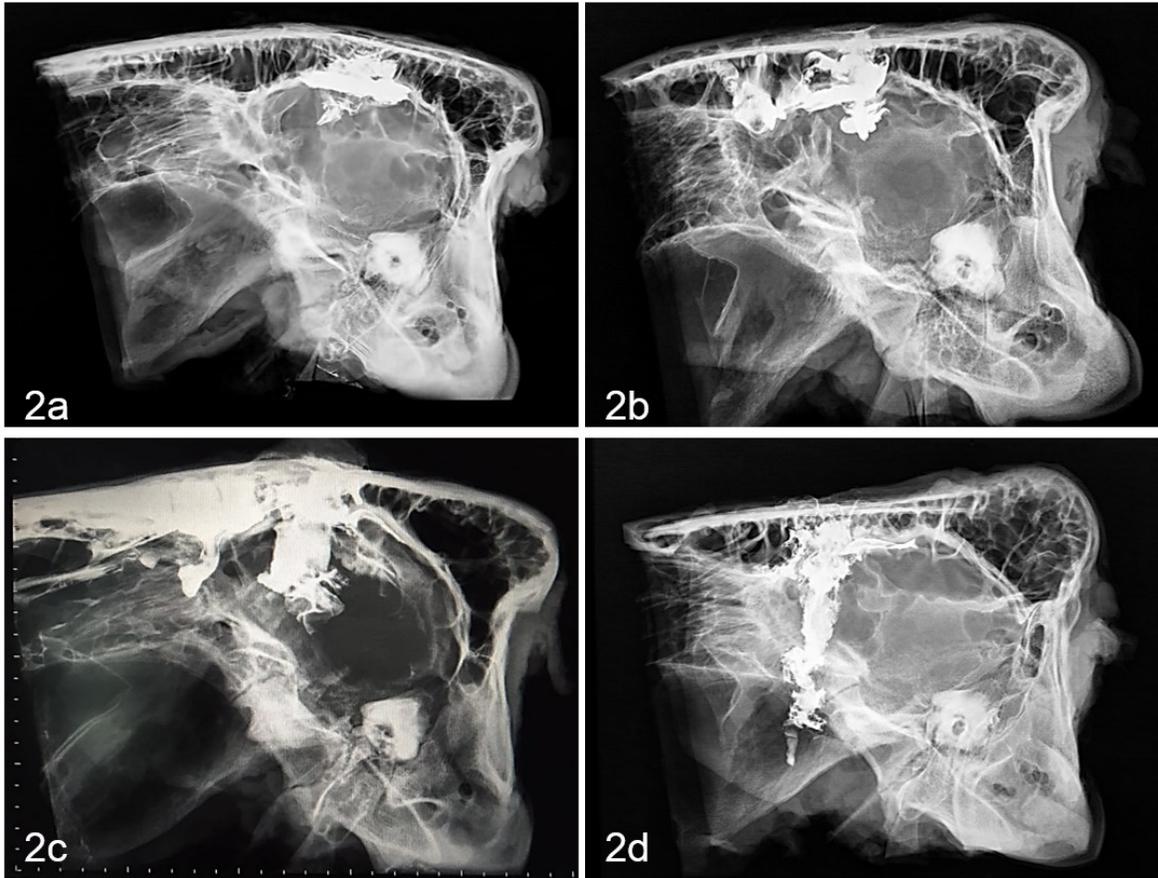


Figure 2. Radiographic images of adult male Nelore cattle shot with pneumatically powered penetrating captive bolt gun with infusion of 5 to 10 ml of barium sulphate to produce contrast through the bolt cavity: Cattle shot with PCB gun operating with 160 and 175 *psi* showing a very shallow bolt penetration depth (2a and 2b, respectively). Greater penetration depth caused by PCB operating with 190 *psi* (2c and 2d).