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25	The objective of this multicenter retrospective study was to describe clinical presentation, treatment,
26	outcome, and determine prognostic factors for dogs with presumed primary colorectal lymphoma
27	(PCRL). Thirty-one dogs were included. The predominant features of PCRL were high grade (n=18)
28	and immunophenotype B (n=24). Most dogs were substage b (n=25) with higher prevalence of
29	haematochezia (n=20). One dog had surgery only. Thirtydogs received chemotherapy; amongst them
30	13 had surgery or radiotherapy. Progression free survival (PFS) was 1318 days and disease-related
31	median survival time (MST) 1845 days. Fourteen dogs were alive at the end of the study with a
32	median follow-up time of 684 days (3- 4678 days). Younger dogs had longer PFS (p=0.031) and
33	disease-related MST (p=0,01).Presence of haematochezia corresponded with longer PFS (p=0.02).
34	Addition of local treatment to chemotherapy did not significantly improve the outcome (p=0.584).
35	Canine PCRL has considerably longer PFS and MST than other forms of non-Hodgkin's lymphoma.
36	Keyword: canine, lymphoma, chemotherapy, colorectal
37	Abbreviations
38	AL: Alimentary Lymphoma
39	CI: Confidence Interval
40	CR: Complete Response
41	GI: Gastrointestinal
42	MST: Median Survival Time
43	PCRL: Primary Colorectal Lymphoma
44	PFS: Progression Free Survival
45	PR: Partial Response
46	Introduction

47 Canine gastrointestinal (GI) lymphoma, also named alimentary lymphoma (AL), is second in frequency to the multicentric form and accounts for 5-7% of all canine lymphomas.¹ In human 48 medicine, AL is defined according to Dawson's criteria² (Figure 1), in which the lymphoma is 49 50 considered alimentary only if the predominant lesion lies within the GI tract, with lymph node 51 involvement confined to the lymph node chain draining that specific GI segment. In veterinary 52 medicine, this definition historically has been extended and AL in general is described as the primary 53 infiltration of neoplastic lymphocytes in the GI tract with or without additional extra-GI involvement confined to the abdominal cavity.³ Most of the dogs affected by AL present late in the course of the 54 disease with severe GI clinical signs and have rapid progression with a worse outcome than the 55 multicentric form⁴: median survival time for canine AL ranges from 0.5 to 2.5 months,^{4,5} versus 10 to 56 12 months for the multicentric form.¹ This difference in prognosis can be attributed to the negative 57 58 prognostic factors carried by the most common presentation of canine GI lymphoma: T-cell immunophenotype, high grade and substage b.^{4,5,6,7} However dogs with AL typically do worse than 59 dogs with multicentric lymphoma carrying similar negative prognostic factors so it is unknown if 60 there are additional inherent features of AL, such as specific morphologic subtype that could account 61 62 for its poorer prognosis. Chemotherapy and supportive care are the standard of treatment for AL in 63 dogs. A specific chemotherapy protocol (VELCAP-SC: vincristine, L-asparaginase, 64 cyclophosphamide, doxorubicin, prednisolone - short, consolidated) for canine AL resulted in an 65 overall median survival time of 77 days for the whole population and of 117 days for the 66 chemotherapy responders.⁴ 67 Primary large intestinal lymphoma can arise primarily from the colon, the rectum or both. In human 68 medicine, it is referred to as primary colorectal lymphoma (PCRL) regardless of the segment of large intestine it is originating from. Canine PCRL is a rare presentation of AL, which has been sparsely 69 70 described in the veterinary literature within case series reporting information about response and outcome for all GI locations.^{3-6,8} When specific information for dogs with large intestinal lymphoma 71 has been reported in those studies, a better outcome than other GI locations has been observed^{3-5,8,9} 72 with remission times up to 54 months (Table 1). A recent case series of 11 dogs with rectal lymphoma 73 74 treated with surgery (7/11) and/or chemotherapy (8/11) described a mean survival time of 1697 days,

with a median not reached¹⁰. This data suggests that PCRL could have a better prognosis than AL in 75 76 other locations. Such difference in prognosis could have implications in veterinary practice as some owners or veterinarians might be reluctant to treat dogs diagnosed with PCRL due to the currently 77 described overall poor prognosis for AL. Questions that remain unanswered at the moment are: if 78 79 studies with a higher number of patients would corroborate a distinct prognosis and a specific clinical presentation for canine PCRL; which prognostic factors are associated with this presentation and 80 which therapeutic approach would have the best outcome. The purpose of the present multi-center 81 82 retrospective study is to provide further information to answer those questions.

83

84 Material and methods

85 <u>Case selection</u>:

Dogs were presumed to have PCRL if they had absence of peripheral lymphadenopathy, a cytologic
or histologic diagnosis of lymphoma had been made based on examination of a mass or diffuse
intestinal wall infiltration of rectum, colon, or both and the main clinical signs at presentation were
associated to large intestinal involvement. Dogs with concurrent abdominal lymphadenopathy,
hepatomegaly and splenomegaly were eligible for inclusion. Exclusion criteria included presence of
gross disease in other areas of the GI-tract evidenced by abdominal imaging or direct visualization at
surgery.

93 The American College of Veterinary Internal Medicine Oncology Diplomate list serve was used to recruit cases for this retrospective study for which a diagnosis of PCRL was established. Medical 94 95 records of dogs diagnosed with PCRL-between 2000 and 2013 at the Queen Mother Hospital for 96 Animals, Royal Veterinary College, London, United Kingdom; The College of Veterinary Medicine, Washington State University, Pullman, Washington State, USA: East Bay Veterinary Specialists, 97 Walnut Creek, California, USA; Animal Cancer Center, Colorado State University, Fort Collins, 98 99 Colorado, USA; The Hope Center for advanced Veterinary Medicine, Vienna, Virginia, USA; The 100 Veterinary Cancer Center, Norwalk, Connecticut, USA; School of Veterinary Medicine, Purdue

101 University, West Lafayette, Indiana, USA; Chavat Daat Veterinary Speciality Center, Beit Berl, 102 Israel; Veterinary Medical Center, Michigan State University, East Lansing, Michigan, USA; Mississauga Oakville Veterinary Emergency Hospital and Referral Services, Oakville, Ontario, 103 104 Canada; School of Veterinary Medicine, University of Madison-Wisconsin, Wisconsin, USA; 105 Veterinary Specialty Center at Veterinary Emergency Service, Middleton, Wisconsin, USA; Southwest Veterinary Oncology, Gilbert, Arizona, USA; Alta Vista Animal Hospital, Ottawa, 106 Ontario, Canada; Veterinary Medical Center, Ohio State University, Columbus, Ohio, USA; Animal 107 Specialty and Emergency Center (ASEC), Los Angeles, California, USA; Vets Now Referrals, Blue 108 109 Bell Hill, Kent, UK; were retrospectively reviewed.

110 <u>Medical records review:</u>

Data abstracted from the medical record included signalment, date and weight at diagnosis, presenting 111 clinical signs, duration of the clinical signs, prior treatment(s), location of disease, method of 112 diagnosis (cytology or histopathology), lymphoma grade and immunophenotype, results of clinical 113 114 staging performed including physical examination findings, imaging technique used (thoracic 115 radiographs, abdominal ultrasound, colonoscopy or CT scan) and bone marrow aspirate results, laboratory test results (including complete blood count, serum biochemical profile, urinalysis and 116 faecal analysis when available), treatment modality (surgery, radiation therapy protocol, 117 118 chemotherapy protocol(s)), response to therapy, date and cause of death or last follow-up visit. Necropsy results were recorded when available. Results of clinicopathological testing were classified 119 120 as normal or abnormal by comparison with established reference ranges for the participating 121 institution where the test was performed. Histological reevaluation was performed for all cases for which samples were available. Lymphoma 122 grading was performed with grading system employed at the discretion of the pathologist reviewing 123 the slides for cases with histopathology and according to cell size for those with cytological 124 125 diagnosis.¹¹⁻¹³ Immunohistochemistry or immunocytochemistry was performed using antibodies 126 against CD3 and CD79 for all cases for which samples were available and in which

immunophenotyping had not been performed. Immunophenotyping was also performed with PCR forantigen receptor re-arrangement (PARR) in some cases.

Dogs were clinically staged at diagnosis according to the World Health Organization (WHO) criteria 129 for canine lymphoma.¹ Dogs were further classified as substage a (having no clinical signs) or 130 131 substage b (having systemic signs or clinical signs associated to the gastrointestinal presentation of lymphoma with potential impact on systemic status, as previously described for assessment of 132 133 substage in canine AL). Clinical signs included in the b substage category were haematochezia, 134 tenesmus, diarrhoea, vomiting, lethargy, or decreased appetite. Haematochezia, tenesmus, vomiting, 135 and diarrhoea were considered local clinical signs; lethargy and decreased appetite were considered 136 systemic signs. Information regarding exact anatomic location and extent of the disease was gathered 137 from the physical examination and staging test results.

138 Therapeutic modality was recorded for each patient. When surgery was performed, information 139 regarding the type and extent of surgery (i.e. excisional or incisional biopsy, date of procedure, and 140 regional lymph node(s) removal) was recorded. Only dogs that had an excisional surgery were 141 considered to have had surgery as a treatment. Surgical technique was recorded when available. For 142 patients that were treated with radiation therapy, type and protocol (dose, number and frequency of 143 fractions) were recorded. For chemotherapeutic treatments, information regarding protocols 144 (induction and rescue) including drug, dose, administration frequency and protocol duration, was recorded. For descriptive purposes, the first chemotherapy protocol used was categorised as: CHOP 145 type protocol, doxorubicin single agent, COP type protocol, lomustine-based protocol, prednisolone 146 147 and chlorambucil or prednisolone alone. CHOP protocols could be of different length (19 or 25 weeks) and sometimes included L-asparaginase, or epirubicin instead of doxorubicin; the COP type 148 protocols sometimes included a dose of cytarabine (COAP protocol). 149 Response to treatment was classified according to remission status recorded by the veterinarian 150 151 providing the data using the following categories: complete response (CR), partial response (PR), 152 stable disease, and progressive disease. Response to treatment was mainly determined based on results 153 of clinical examination and assessment of progression of clinical signs. When available, follow-up 154 abdominal ultrasound examinations were used to assess for changes in internal organs. Complete

155 response was defined as resolution of all clinical signs and disappearance of all clinical evidence of disease on the basis of physical examination (via rectal and abdominal palpation) or follow-up 156 abdominal ultrasound examination. Partial response was defined as (\geq) 50 and (<) 100% reduction in 157 size of measurable disease on the basis of physical examination (via rectal and abdominal palpation) 158 159 or follow-up abdominal ultrasound examination associated or not with improvement of the clinical signs. No response was defined as no changes or deterioration in clinical signs, and less than 50% 160 161 reduction, increase in the size of measurable disease or appearance of new lesions on the basis of 162 physical examination (via rectal and abdominal palpation) or follow-up abdominal ultrasound examination.¹⁴ When surgery was performed prior to chemotherapy, only dogs with residual 163 164 macroscopic disease were included in the assessment of response to chemotherapy.

165 <u>Statistical analysis:</u>

Categorical data are presented either as percentages or ratios. Continuous data are presented as 166 median (range). Survival time was defined as the time from diagnosis until natural death or 167 168 euthanasia. Deaths due to disease progression were considered events for the disease-related median 169 survival time. Dogs that were lost to follow-up, still alive at the end of the study period or died from causes unrelated to the lymphoma were censored. In addition, overall survival time was also 170 calculated. Due to the small number of patients that died from lymphoma, this variable included all 171 172 deaths as events (related to lymphoma or unrelated) with dogs lost to follow-up or still alive at the end of the study period being censored. Progression free survival was defined as the time from initiation 173 of treatment to event. For this variable the date of relapse was considered as the endpoint for dogs 174 when this occurred. Dogs that had not relapsed during the study period were censored at the last date 175 176 they were contacted or evaluated by the vet or the date of death free of disease.

177 After statistical description of the patient population, survival analysis using Kaplan-Meier product

178 limit method was conducted to estimate disease-related median survival time (MST), median overall

- survival time, and progression free survival (PFS), for the whole population of PCRL patients.
- 180 Disease-related MST and PFS of dogs distributed in groups on the basis of various potential risk

181 factors (univariate analysis) was also calculated. Exploratory statistical analysis for each categorical 182 risk factors (age, location of the disease, involvement of organs other than the large intestine, substage, number of clinical signs present, type of clinical signs (local vs systemic), grade, 183 immunophenotype, treatment type, chemotherapy protocol) used the logrank test to compare 184 185 estimated PFS and disease-related MST between categories. Multivariate analysis was not performed due to the small number of animals in each group and high censorship of dogs in the study. A value of 186 $p \le 0.05$ was considered significant. All calculations were performed with the aid of a standard 187 188 statistical software^a.

189 Results

190 <u>Signalment:</u> Thirty-one dogs met the inclusion criteria for this study and consisted of 17 spayed

females and 14 males (12 neutered and 2 intact). The median age at diagnosis was 5 years (range 1.5-

192 13.5 years old). Median weight was 23.8 kg (range 5.9-52.1 kg). The most common breeds were cross

breeds (7 dogs; 22.6%), and Labrador retrievers (4 dogs; 12.9%).

194 Clinical signs at diagnosis: Presenting clinical signs were known for 29 of 31 dogs. Twenty-five 195 (80.64%) dogs had clinical signs at presentation associated with the disease and were considered 196 subtage b. Haematochezia was the most common presenting clinical sign (n = 20; 64.5%) with 13 of 197 these dogs presenting additional clinical signs. Other reported clinical signs included: tenesmus in 198 35.6 % (n=11), diarrhea in 25.8 % (n=8), narrow diameter of faeces in 12.9% (n=4), vomiting in 3.4% (n=1), lethargy in 3.4% (n=1). Eighteen dogs (58%) had simultaneously 2 to 4 different clinical signs 199 200 and 7 dogs (22.5%) had one clinical sign at presentation. Presence of a rectal mass was described on 201 physical examination in 35.6 % (n=11) and rectal prolapse in 9.68% (n=3). The median duration of 202 clinical signs was 21 days (range 1-120 days) for the 28 dogs for which this information was available. 203

204 <u>Staging and location of the disease:</u> As per inclusion criteria, none of the dogs had peripheral

205 lymphadenopathy identified on physical examination. Imaging was performed in 29 patients: 2 dogs

had imaging of thorax and abdomen as well as bone marrow evaluation, 19 had imaging of the thorax

and abdomen, 7 dogs had only abdominal imaging and 1 had only thoracic imaging. Abdominal
imaging consisted of ultrasonography in 24 dogs, radiographs in 2 and computed tomography in 2.
Thoracic imaging was performed in 2 dogs with CT scan and in 20 with radiographs. Dogs that did
not have abdominal imaging had direct evaluation of the GI tract via laparotomy or endoscopy.

211 A solitary mass was found in 23 patients (17 rectal masses of which 4 dogs also had regional lymph node involvement, 6 colonic masses of which 5 dogs also had regional lymph node involvement). 212 213 Multiple masses were identified in 5 patients, with 1 dog having multiple masses in the rectum, 1 dog 214 with multiple masses in the colon, and 3 dogs having masses occurring in both areas. Three dogs were 215 determined to have lymphoma diffusely throughout the colorectal region. Regional lymphadenopathy 216 was present in 12 dogs with lymphoma confirmed by lymph node cytology for 8 dogs. Splenic 217 aspirates were performed in 2 dogs and liver aspirates in 4 dogs. Liver involvement was confirmed in 1 dog based on cytology. 218

<u>Immunophenotype and grade:</u> Three cases were diagnosed via cytology and 28 by histopathology.
Histological or cytological assessment of lymphoma grade was reported for 29 cases (94%) and 2
cases were reported to be low grade lymphoma, 9 were intermediate grade lymphoma, and 18 high
grade lymphoma (Table 2). Slides of 22 cases were obtained for review by a pathologist (7 different
pathologists reviewed all the slides with 2 of them reviewing a total of 16 slides- KS and BP), in those
confirmation of diagnosis and grade was performed. None of the cases which had a grade previously
done and for which histopathology slides were reviewed had a change in their grade.

Immunophenotype was available for 26 patients (84%). It was performed via immunohistochemistry
for 23 dogs and this took place at the time of pathology review for 12 cases. Immunophenotype was
determined by immunocytochemistry for 2 dogs and PARR for 1 dog. There were 24 B-cell
lymphomas, 1 T-cell lymphoma and 1 non-B non-T cell lymphoma. (Table 2).

230 <u>Treatment and response to treatment:</u> All dogs received therapy, and all but one (that had only

- surgery) received chemotherapy as part of the treatment plan. Seventeen patients (55.5%) received
- chemotherapy as a sole treatment and thirteen (42%) received chemotherapy in addition to local

treatment. Nine dogs (29%) had surgery prior to adjuvant chemotherapy. Four dogs (13%) had
chemotherapy combined with radiation therapy. These cases received radiation therapy with photons;
protocols comprised hypofractionated regimens for 3 dogs (2 dogs received 2 fractions of 6 Gy and
one dog received one fraction of 6 Gy) and the fourth dog was treated with a hyperfractionated
protocol (19 fractions of 1.5 Gy). One dog (2.5%) had surgery alone.

Out of the ten patients that had surgery, nine dogs (29%) presented with a solitary mass. The 238 239 remaining dog had a solitary mass removed from the rectum and was found to have diffuse disease in 240 the colon at later staging. Two dogs also had lymph node involvement and one of them had the lymph nodes biopsied at the same surgery. Eight dogs (25.8%) had surgery performed by the referring vet 241 242 prior to referral and there was no evidence of previous diagnosis based on cytology or biopsy for the 243 two remaining dogs so it is likely that surgery in the 10 patients was performed as a mean to obtain a 244 diagnosis as well as therapeutic procedure. Due to the retrospective nature of the study, the primary 245 intent of performing surgery could not be ascertained. Type of surgery was recorded for 2 patients: one had mucosal resection and one had end-to-end anastomosis. The latter procedure was performed 246 247 because the dog was initially referred for a rectal polyp previously diagnosed on endoscopic biopsies 248 performed by the referring vet and lymphoma was diagnosed on the surgical histopathology. Eight patients out of the 28 diagnosed via histopathology (25.8%) had endoscopic biopsies. 249

250 The distribution of induction chemotherapy protocols used was as follows: 18 (58.1%) patients

received a CHOP type protocol, 5 dogs (16%) had a COP type protocol, 3 (10%) had a lomustine-

252 based protocol (lomustine / vincristine / cyclophosphamide; lomustine / prednisolone or lomustine /

253 prednisolone/ L-asparaginase respectively), 1 dog (2.5%) had doxorubicin single agent and

prednisolone, 1 dog (2.5%) had chlorambucil and prednisolone, and 2 dogs (5%) received only

prednisolone. The response rate to chemotherapy for the 22 dogs that had gross disease at the start of

chemotherapy (only one of the dogs that had surgery had gross residual disease at the start of

chemotherapy) was available in the records of 19 dogs (86%). The overall response rate was 100%

with 18 dogs (95%) having a complete response and 1 dog (5%) a partial response.

259 The estimated PFS was 1318 days, since 71% of dogs were censored the confidence interval (CI) is 260 not available. Nine patients had a relapse during the follow up period and reinduction with a chemotherapy protocol was attempted in 8 of them (six dogs received one additional protocol, one 261 dog received 2 and one received 5 additional protocols). Chemotherapy protocols used for reinduction 262 263 comprised: lomustine/prednisolone with or without L-asparaginase, CHOP, COP, vincristine/chlorambucil, doxorubicin single agent or vincristine single agent. Two dogs were 264 euthanized the same day that the chemotherapy was resumed. Response was available for 5 out of the 265 6 remaining dogs and all had a complete response. The median remission duration for these 5 dogs, 266 267 after the first reinduction protocol was initiated, was 411 days (range 298-938). Outcome: At the end of the study period, 14 dogs were still alive, 5 dogs were lost to follow up, 7 268 269 dogs died of lymphoma, and 5 dogs died of unrelated causes (1 intramuscular hemangiosarcoma, 1 270 suspected osteosarcoma, 1 chronic kidney disease, 2 unknown cause). Only one dog underwent 271 necropsy and disseminated lymphoma was found to be the cause of his death. The median follow-up time was 684 days (range 3-4678 days). The estimated disease-related MST where only dogs that died 272 273 from lymphoma were considered as events was 1845 days (Figure 2). Since 77.5% of dogs were 274 censored, the CI was not available. The estimated overall MST, where dogs that died from any causes were considered as events, was 1548 days (95% CI: 886- 2210). MST calculated only for those 7 275 276 patients that died of lymphoma was 643 days (range 399-1845 days) and for the 5 patients dying of unrelated causes was 789 days (range 465-4678 days). The 1, 2, and 4 year overall survival rates for 277

all dogs in this study were 100, 50, and 25 %, respectively.

279 <u>Prognostic factors</u>:

There was no statistically significant association between gender, number of presenting clinical signs (one versus multiple), type of clinical signs (systemic versus local), location of the disease (solitary vs multiple/diffuse), involvement of organs other than intestines, immunophenotype (B vs others), grade (high vs others), treatment (chemotherapy alone versus chemotherapy plus a local treatment) (Figure 3), chemotherapy treatment (CHOP/doxorubicin-based protocol versus others or CHOP vs others) and

285	either PFS or disease-related MST. The disease-related MST for dogs receiving chemotherapy alone
286	was 1845 days (95 % CI: 855-2835) versus a median that could not be reached for dogs receiving
287	chemotherapy plus a local treatment and the difference between these medians was not statistically
288	significant (p-value 0.584) (Figure 3). When substage was analysed it was not a statistically
289	significant risk factor for disease-related MST (p-value = 0.345) but substage b was found to have a
290	significantly positive impact on PFS (p-value = 0.001), with a PFS that was not reached for dogs
291	categorized as substage b (95% CI: 0-2331.5) versus a PFS of 271 days for dogs without clinical signs
292	at diagnosis. The presence of haematochezia at diagnosis was not a statistically significant risk factor
293	for disease-related MST (p-value = 0.147) but was found to have a significantly positive impact on
294	PFS (p-value = 0.02), with a PFS of 1141 days for dogs without haematochezia (95% CI: 0-2331.5)
295	versus a PFS that was not reached for dogs with haematochezia at presentation. Dogs younger than 7
296	years had longer PFS than dogs older than 7 years (836 days for older dogs, 95% CI: 537-1135 versus
297	a PFS not reached for younger dogs, p-value = 0.031 and 0.01 respectively) but a similar disease-
298	related MST was present in both groups.

300 Discussion

301 The primary goal of the current study was descriptive, as PCRL has not previously been described in 302 a large case series in the veterinary literature and just few sparse cases of colorectal lymphoma have 303 been reported (Table 1). The current study showed that PCRL occurs primarily in middle-aged dogs 304 with no sex or breed predisposition. Results of this study suggested that PCRL is typically high grade 305 and B cell immunophenotype with most dogs presenting as substage b. The majority of dogs (23/31; 306 74.2%) presented with a solitary mass and 13/31 (43.7%) had evidence of involvement of abdominal 307 extra GI organs (liver or regional lymph nodes). The disease features of dogs with PCRL described in this study are consistent with cases previously reported.^{3-5,8,10,15} 308

Results of the present study suggest that dogs with PCRL treated with chemotherapy, with or withoutadditional local therapy, had a very good prognosis as demonstrated by a high response rate, long

PFS, and long MST. This is in agreement with previous published results ^{3-5,8,10,15}, and in contrast to 311 312 the reported low response rate (56%)⁴ and short survival time (13-77 days) for dogs with diffuse GI tract lymphoma of other locations.^{4,5} The CI could not be calculated for disease-related MST, despite 313 a median follow-up time of almost 2 years, as 14 (45%) of the dogs in this study were still alive at the 314 315 time of writing. Therefore it is possible that the PFS and survival time for dogs with PCRL might be even longer than has been estimated in our study. For a more accurate determination of disease-316 related MST, the population in this study would require follow up beyond 2 years. The possibility of 317 additional deaths unrelated to lymphoma for dogs currently alive and in complete remission besides 318 the 5 already recorded, could continue adding censored dogs to the statistical analysis which will 319 320 make it difficult to reach a defined value for PFS and disease-related MST. We considered that the 321 improved prognosis of dogs with PCRL found in this study, particularly in comparison to previously 322 published outcomes of dogs with AL lymphoma, warranted communication to the veterinary 323 community despite availability only of estimated statistical parameters via the Kaplan Meier method. A subsequent goal of our study was to evaluate outcome with different treatment modalities for dogs 324 325 with PCRL. No statistical difference was found between PFS or survival of dogs receiving 326 chemotherapy only versus chemotherapy plus local treatment with surgery or radiation. Patients that 327 received chemotherapy only had a PFS of 1318 days and a disease-related MST of 1845 days, and the 328 PFS and disease-related MST were not reached for patients that had chemotherapy combined with 329 local treatment, however, this difference in outcome was not statistically significant. This finding 330 shows that chemotherapy alone was effective to treat a localized presentation of lymphoma and surgery or radiation therapy, which carry some morbidity, might delay start of medical anticancer 331 332 treatment, and increase total cost of therapy, are not necessarily required to achieve a good outcome. In this study surgery was performed mainly in cases with presumed solitary large intestine masses 333 primarily to achieve a diagnosis and we lack information documenting if alternative diagnostic 334 335 procedures were previously attempted unrewardingly or why surgery was elected by referring vets as a diagnostic tool above other diagnostic modalities. It is possible that the differential diagnosis of 336 337 PCRL and its implications in the therapeutic approach were not considered due to its rarity in

338 comparison to other types of colorectal neoplasia for which surgery is routinely recommended. The 339 findings in this study support consideration of PCRL as a differential for solitary colorectal masses and when possible the use of low invasive diagnostic techniques such as cytology or incisional biopsy 340 prior to treatment planning. Due to the retrospective nature of the study, treatment groups were not 341 342 randomised and there is a possibility that surgery had been selected more often for dogs with more advanced diseases and that multimodality treatment would be more beneficial than a single treatment 343 for advanced disease. On the contrary surgery may also have been elected more often for dogs with 344 345 isolated disease, and it might have made a difference in the outcome of PCRL patients, as this has been described for solitary lesions of lymphoma in other locations.¹⁶⁻¹⁸ 346

In this study there was no difference in PFS or survival time based on the type of chemotherapy 347 348 protocol used to treat dogs with PCRL. We have to be very cautious in the interpretation of our data 349 considering that, due to the small number of dogs in the present study, only two chemotherapy groups 350 could be defined (doxorubicin-based protocol vs others). Still, it is important to consider that these results describe that less intense chemotherapy protocols, often chosen when facing client economic 351 352 or time constraints or when clients seek therapies with potentially lower prevalence of adverse effects, seem to achieve similar PFS and survival times than with CHOP type protocols. This finding 353 354 correlates with a previous studies where an overall survival time of approximately 4.5 years was 355 reached in 9 dogs with rectal lymphoma receiving either a low dose COP protocol or a truncated (6 weeks) CHOP protocol¹⁰. 356

Age greater than 7 years was associated with a significantly decreased prognosis as compared to dogs younger than 7 years. Since most dogs had long PFS, it is possible that older patients were more likely to succumb to concurrent or subsequent morbidities than younger dogs. Decreased owner motivation to re-start treatment at relapse in older dogs is also a possible reason that these dogs had a shorter disease-related MST as compared to younger dogs, but this would not explain the shorter PFS experienced by dogs older than 7 years. 363 Canine lymphoma patients with systemic signs or clinical signs associated to their presentation with a systemic impact (substage b) are known to have a worse prognosis.^{1,19-21} Dogs with AL are often 364 substage b at diagnosis mainly due to clinical signs associated to local disease and it is possible that 365 they often are less responsive to therapy due to clinical signs being surrogate markers of more 366 advanced disease.^{5,14} GI signs, particularly upper GI signs such as anorexia and vomiting, are often 367 perceived as poor quality of life by owners, leading to an early decision of euthanasia during the 368 course of treatment. In the present study, although most dogs presented with clinical signs associated 369 370 to PCRL, their symptoms did not appear as severe in nature as GI signs of dogs with diffuse small 371 intestinal or gastric lymphoma. We decided to consider haematochezia, tenesmus, and diarrhoea as 372 markers of systemic illness due to the possible associated systemic discomfort and pain and potential 373 impact in quality of life. Being this a retrospective study with limited recorded information about 374 severity of clinical signs and impact on the dogs' general status, grading of GI signs at presentation according to the VCOG criteria²² was not possible and precluded a comparison with previous studies 375 about canine AL. Interestingly in this study there was no difference in outcome for dogs with systemic 376 377 versus local signs and substage did not have an impact in survival time. Although PFS of dogs 378 without clinical signs was shorter than for dogs with substage b (271 days versus not reached), these 379 results could have been biased due to the small number of dogs in the substage a group or potential 380 missing data regarding patient's clinical signs. More information is needed to ascertain how best to 381 apply the current canine lymphoma staging system to extranodal forms like PCRL and to identify its 382 value in determining prognosis.

The presence of haematochezia at diagnosis was found to have a positive impact on the PFS compared to other signs. For the 20 dogs presenting with haematochezia, the PFS was not reached, compared with 1141 days for 9 dogs without haematochezia but with other clinical signs. It is not clear why haematochezia would have a positive impact on the prognosis. Perhaps this was more alarming for owners than diarrhoea and its observation might have led to earlier diagnosis and treatment. On the other hand, we can also assume that other signs reported (diarrhoea, rectal prolapse, tenesmus) might be associated with more advanced disease and decreased outcome, however 13/20 cases with haematochezia also had additional clinical signs. This result could also be secondary to a
statistical bias; a larger population would help to determine if the presence or absence of

haematochezia truly has an impact on prognosis.

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In previous studies on canine AL, the immunophenotype was most commonly of T-cell origin.^{5,6,8,23-25} 393 We report here that colorectal lymphoma is most commonly of B-cell origin, raising a clear 394 395 distinction from other GI locations. The B-cell phenotype in canine high-grade lymphoma generally is 396 associated with a better response to conventional chemotherapy protocols, compared with the T immunophenotype.^{19,26} In the current study, 77% of the dogs were of immunophenotype B, there were 397 398 only 2 cases of known non-B immunophenotype with outcomes comparable to the ones with immunophenotype B (survival times 491 and 1273 days) and 5 cases with unknown 399 400 immunophenotype (Table 2). We did not find any impact of immunophenotype on outcome but the 401 low number of dogs with non-B phenotype included in the study clearly precludes knowing the real impact of this factor in PCRL. 402

403 The most common grade for lymphoma found in this study was high (58% of the dogs) and was not a 404 significant prognostic factor in our population. The grading was performed based on the National Cancer Institute working formulation with separation of the patients into 3 categories: low, 405 406 intermediate, and high grade.¹¹⁻¹³ Recent studies advise for classification of canine lymphomas according to the WHO classification ^{7,28} in order to standardise future study populations as this may 407 have a prognostic significance.²⁷ This would be extremely interesting for canine PCRL in order to 408 409 further characterize and describe this anatomical presentation. However, in this retrospective study, the limited availability of initial tissue samples and absence of immunophenotype for some dogs has 410 precluded this classification. Other studies that classified their patients according to the working 411 formulation into low, intermediate, and high grade¹³ found that grade had prognostic significance.¹² In 412 our study, there were too few dogs in the low or intermediate grade category and perhaps this could 413 414 have an impact on the statistical significance. Interestingly, despite most dogs having high grade B cell lymphoma, their disease-related MST was much longer (1845 days) than that of dogs affected by 415 416 the multicentric form of same grade and immunopenotype (MST reported in literature of 162-308

417 days¹²), reinforcing the fact that anatomic site seems to be a better predictor of outcome than
418 previously published prognostic factors.

419 Information available for staging of dogs included in this study was limited due to its retrospective 420 nature and staging performed was quite heterogeneous due to the high number of participating 421 institutions. This was unfortunately unavoidable when trying to compile a large case series of PCRL due to its low prevalence. Staging was not complete for all dogs and different imaging modalities 422 423 were used. In addition, even imaging techniques used routinely for staging in veterinary oncology 424 such as abdominal ultrasound or computed tomography have limitations for detection of lymphoma. 425 Also aspirates of liver and spleen were not routinely performed despite knowledge that neoplastic infiltration is possible even with a normal imaging appearance²⁹. In this study, twelve dogs had 426 427 evidence of regional lymphadenopathy and one hepatic involvement without impact on PFS or 428 survival time. It is very possible that a higher number of dogs had a higher stage of disease than recorded leading to erroneous staging classification of cases (stage migration)³⁰ and this could explain 429 430 the lack of statistical difference. On the other hand, given the overall long PFS and survival times for 431 all patients, it could also be thought that possibly under-staged dogs had a good outcome. Based on 432 this study's data it seems that presence of complete staging would not have provided any further 433 prognostic information for dogs with PCRL. However, as there is not established standard treatment 434 for PCRL, it would be advisable that complete staging would be performed to outweigh potential 435 advantages versus morbidity/cost if considering local therapy prior to chemotherapy or as solely therapy in PCRL. 436

Human primary AL are classified as confined to the GI tract, with no other evidence of systemic
involvement (see figure 1). They are most often of B cell origin⁸, which is comparable to our study
findings, but opposite to the immunophenotype of canine AL⁶. PCRL is a rare disease in human
medicine³¹ with controversy about what the standard of care should be for its treatment.³¹ Long
survivals have been described with 83% of the patients alive and free of disease at 10 years after a
combination of surgery and chemotherapy.³² but shorter prognosis with a 5 year survival rate of 47%
has also been reported.³³ In people, the two most frequent histologic subtypes of PCRL are mucosa-

associated lymphoid tissue (MALT) lymphoma and diffuse large B cell lymphoma with both PCRL
types carrying very different prognosis. The MALT form, of low grade and B-cell origin, can be cured
after surgical resection and/or a short course of chemotherapy. The prognosis is more guarded for the
diffuse large B cell lymphoma which carries a high relapse rate (33-75%).³⁴ Interestingly, although
most cases of canine PRCL in our population were high grade and B-cell origin the biologic
behaviour seems to differ from the high grade form of human PRCL.

450 The limitations of this study are inherent to it retrospective design and include small sample size, variable staging and treatment regimens, and lack of standardized follow-up. The small population 451 due to the rarity of the disease limited the statistical power of our analyses and prognostic factors 452 453 associated with PFS and disease-related MST may not have been identified due to the variations in 454 staging and treatment protocols. Of the factors analysed, few were found to be prognostic indicators in exploratory univariate analysis. Unfortunately, multivariate analysis could not be carried out as too 455 456 many dogs were censored mostly due to long survival, loss of follow-up, or death from unrelated 457 cause. Evaluation of a larger sample size, prospectively, over a longer period of time including 458 standardized comprehensive staging and standardized therapy would be ideal and may permit 459 determination of additional characteristics and identify risk factors for PCRL. This would be challenging, requiring even further collaboration than the one required for this study (with 18 460 461 institutions collaborating in 4 countries) due to the low prevalence of this presentation of canine 462 lymphoma. It needs to be reinforced that larger number of dogs and longer follow-up may still not 463 reach statistical significance if most patients do not demonstrate events linked to their lymphoma 464 (relapse or death) as they would remain censored from the whole population should they remain in 465 remission or die from other causes.

In conclusion, results of the present study describe canine PCRL as a rare disease often of high grade
and B-cell immunophenotype that when treated with chemotherapy results in prolonged PFS and
survival times regardless of chemotherapy protocol type or addition of local treatment modalities.
Results of this study suggest that PCRL has a greatly improved outcome compared to previously
published outcomes of dogs with AL. Therefore, attempts to differentiate dogs with PCRL from dogs

471	with diffuse GI lymphoma should be pursued in order to better guide owners regarding treatment and				
472	associated prognosis. Veterinarian awareness of this specific presentation of canine lymphoma will				
473	likely have an important impact on the treatment decision process for owners of dogs with PCRL.				
474					
475	Footnotes				
476 477 478	a. (SPSS version 19.0 for Windows, SPSS Inc, Chicago, Ill).				
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603	Table 1: Cases of rectal lymphoma in the veterinary literature (LN: lymph node, NA: not available)
005	Table 1. Cases of feetal fympholia in the veterinary incratate (Erv. fymph hode, fvr. not available)

Cases (n)	Location	Diagnostic method	Immuno - phenoty pe	Treatment type	Survival	Ref.
1	Large intestine	NA	NA	Chemotherapy: COAP protocol	>54 months	3
7	Rectum	histology	NA	Surgery	5 weeks, 1 dog had no relapse after 8 months, 1 relapsed but was still alive at 18 months	9
6	Large intestine	5 histology 1 cytology	NA	4 chemotherapy only (type NA) 2 surgery + chemotherapy (NA)	61 days (0- 2520 days)	5
1	Colon	histology	Т	VELCAP-SC protocol	NA	4
1	Rectum	histology	NA	COP protocol	> 356 days	8
11	Rectum	histology	10 B	 6 surgery + chemotherapy (CHOP/COP) 1 surgery alone 3 chemotherapy (CHOP/COP or prednisolone) 1 no treatment 	1697 days	10

- 609 Table 2: Location, extension, histologic characteristics, and treatment data of dogs with colorectal
- 610 lymphoma.

Data	Number of cases
Aspect	23 solitary masses
	5 multiple masses
	3 diffuse disease
Location	18 rectal disease
	7 colonic disease
	6 colorectal disease
Staging	12 lymph node involvement
	1 liver involvement
Substage	29 substage b
	2 unknown
Immunophenotype	24 B
	1 T
	1 non B non T
	5 non available
Grade	18 high
	9 intermediate
	2 low
	2 non available
Treatment	1 surgery
	17 chemotherapy
	9 chemotherapy + surgery
	4 chemotherapy $+$ radiotherapy
Chemotherapy protocol	18 CHOP
	5 COP
	1 doxorubicin
	3 lomustine
	1 chlorambucil + prednisolone 2 prednisolone

613 Figure 1: Criteria of inclusion for human primary gastrointestinal lymphoma

614	Dawson's criteria:				
615	 No generalized, superficial, or mediastinal lymphadenopathy No leukemic or lymphomatous abnormalities in the peripheral blood 				
616	- Lesion confined to the bowel, with only regional lymphadenopathy at the time of				
617	laparotomyNo involvement of the spleen or the liver at the time of diagnosis				
618					

620 Figure 2: Kaplan Meier of the estimated survival time with the event being dogs that died from

621 lymphoma. The estimated MST was 1845 days (confidence interval not available).



- Figure 3: Kaplan Meier of the estimated survival time for dogs receiving chemotherapy versus dogs 624
- 625 receiving chemotherapy plus a local treatment. The addition of a local treatment to chemotherapy did not impact the overall prognosis (p-value = 0.564).

