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1 **Dogs attending primary-care practice in England with clinical signs**  
2 **suggestive of Chiari-like malformation/syringomyelia**

3

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12 **Word count: 4342 +214 (Abstract)**

13 **Abstract**

14 Chiari-like malformation/syringomyelia (CM/SM) in dogs describes a developmental  
15 disorder that can cause pain and reduced quality of life. This retrospective study aimed  
16 to report the period prevalence, clinical signs and risk factors for diagnosis of  
17 symptomatic CM/SM in the veterinary primary-care setting using a cross-sectional  
18 design. The study population included all dogs within the VetCompass Programme  
19 (01/09/2009-13/06/2014).

20 Overall, the period prevalence of symptomatic CM/SM was 0.05% (95% confidence  
21 interval (CI) 0.04% to 0.06%) for all breeds. The period prevalence in the Cavalier King  
22 Charles Spaniel was 1.6% (95% CI 1.2-2.06). Other breeds at increased odds included  
23 the King Charles Spaniel, Affenpinscher, Chihuahua and Pomeranian. Insured dogs had  
24 4.6 times the odds (95% CI 2.95-7.17) of having a diagnosis of CM/SM compared with  
25 uninsured dogs. Pain was the most common associated clinical sign (67 dogs, 72%).  
26 Analgesics were prescribed to 72 (77.4%) of the symptomatic dogs.

27 Despite its low overall period prevalence, the high proportion of affected dogs  
28 identified with chronic pain suggests a significant welfare issue. Financial implications  
29 could impede the diagnostic process and lead to under-estimation of the true  
30 prevalence. This study may help to inform clinicians about the clinical relevance and  
31 the need for improved awareness of clinical signs, particularly in high-risk breeds, to  
32 optimise the management of CM/SM in primary-care practice.

33 **Keywords**

34 Epidemiology; Chiari-like malformation; syringomyelia; prevalence; dog; breed;  
35 electronic patient record; primary-care; veterinary

## 36 **Introduction**

37 Canine Chiari-like malformation and syringomyelia are two closely linked conditions  
38 that are often recorded as a single entity (Driver and others 2013). Canine Chiari-like  
39 malformation (CM) and syringomyelia (SM) may occur independently or concurrently  
40 within affected individuals and may manifest symptomatically or asymptotically  
41 (Gamache and Ducker 1990; Parker and others 2011; Plessas and others 2012). CM/SM  
42 may be diagnosed as a single entity in primary-care practice (Summers and others 2015)  
43 and has been associated with an array of neurological signs that may severely  
44 compromise quality of life (Rutherford and others 2012).

45 Miniaturisation and brachycephaly are reported risk factors for CM (Schmidt and others  
46 2011; Marino and others 2012; Driver and others 2013). CM cases have been reported  
47 in many dog breeds with these attributes including the Cavalier King Charles Spaniel  
48 (CKCS) (Dewey and others 2005; Rusbridge 2007; Marino and others 2012; Harcourt-  
49 Brown and others 2015), French bulldog, Griffon Bruxellois, Chihuahua, Pomeranian,  
50 Maltese terrier, Pug and Yorkshire terrier (Marino and others 2012). Prevalence  
51 estimates for CM (with or without SM) in the CKCS range from 92-100% (Couturier  
52 and others 2008; Cerda-Gonzalez and others 2009). A study of CKCS using magnetic  
53 resonance imaging (MRI) screening in the UK and the Netherlands, reported that 25%  
54 of CKCS up to the age of 12 months old were reported to have asymptomatic SM, and  
55 that 70% of the dogs have developed SM (symptomatic or asymptomatic) by the age of  
56 6 years (Parker and others 2011). However, there are currently few data available on  
57 the occurrence of symptomatic CM/SM in dogs presenting to primary-care veterinary  
58 practices across all breeds known to be affected.

59 The most common signs reported for CM/SM are various manifestations of pain,  
60 phantom scratching and neurological signs (notably scoliosis, thoracic limb weakness  
61 and pelvic limb ataxia) (Rusbridge and others 2006). It is considered that dogs affected  
62 by CM/SM experience chronic neuropathic pain which increases anxiety levels and  
63 fear-associated behaviour and decreases the quality of life of affected individuals  
64 (Rutherford and others 2012). However, no studies to date have described the clinical  
65 signs reported for CM/SM cases in dogs presenting in primary-care practice.

66 The progressive nature of the disease, its frequent severity (Plessas and others 2012)  
67 and the high financial burden associated with its diagnosis and treatment can have  
68 substantial emotional and economic impact on owners of CM/SM dogs (Shepherd  
69 2008; Franklin and others 2013). Reaching a definitive CM/SM diagnosis can be  
70 challenging in primary-care practice. Typical clinical signs overlap with several other  
71 disorders and lack specificity, whilst access to MRI imaging facilities may be limited  
72 and prohibitively expensive. Conversely, the high proportion of dogs that have CM/SM  
73 changes evident on MRI, but appear clinically asymptomatic, frustrates attempts to  
74 define the prevalence of clinically affected cases (Rusbridge and others 2006).

75 This study aimed to (i) report the period prevalence of symptomatic CM/SM diagnosed  
76 in primary-care practice in England across all breeds and in CKCS, (ii) describe  
77 associated risk factors (including breed) (iii) report the clinical signs shown and  
78 treatments prescribed, and (iv) provide information that could lead to improved welfare,  
79 emotional and economic impact.

## 80 **Materials and Methods**

81 The RVC Ethics and Welfare Committee gave ethical approval for this study (ref: URN  
82 2010 1067i). The VetCompass Programme collects primary-care veterinary clinical  
83 data (signalment, clinical examination, diagnosis and treatment) from practices  
84 throughout the UK (O'Neill and others 2014; VetCompass 2015).

85 This study interrogated clinical data on all dogs shared with VetCompass from  
86 01/09/2009 to 13/06/2014 which, at that time, covered veterinary practices located  
87 across central and southern England (VetCompass 2015). Information collected  
88 included patient demographic (species, breed, date of birth, gender, neuter status,  
89 colour, insurance status and bodyweight) and clinical information (free-form text  
90 clinical notes, VeNom summary diagnosis terms and treatment, with relevant dates)  
91 data fields (VeNom Coding Group 2014). Potential CM/SM cases were identified from  
92 the free-text and VeNom Code fields using key-search terms (including  
93 “SM”/”Chiar”/”Syrin”) and were manually reviewed for case inclusion by the first  
94 author of the manuscript (veterinary surgeon).

95 Inclusion as a case required a final diagnosis of CM/SM (or synonym) documented in  
96 the veterinary clinical records of a clinically affected dog. The case definition accepted  
97 a diagnostic process based on anamnesis and clinical examination and did not mandate  
98 any need for MRI. Cases of CM/SM diagnosed using MRI that were asymptomatic or  
99 that had signs compatible with CM/SM but that were attributed to alternative concurrent  
100 clinical conditions (such as intervertebral disc disease or other neurological problems,  
101 dermatological problems, orthopaedic problems) were excluded. Information about  
102 clinical signs, treatment received and responsiveness and, as applicable, death, were

103 recorded. All these features were used for descriptive statistics for welfare, emotional  
104 and economic impact.

105 All dogs in the study population that were not defined as CM/SM cases were included  
106 as non-cases for risk factor analysis to investigate the odds of having a diagnosis of  
107 CM/SM. Breed, sex, age and insurance status were included in the analysis. Crossbred  
108 was considered as control. Insurance status described whether the dog was insured at  
109 any point during the study period. Age was defined as the age at first diagnosis for  
110 incident cases, or the age at the centre point between the first and final record for the  
111 non-cases. No age at diagnosis value was included for pre-existing cases (and so the  
112 age of these animals was treated as a missing value). Age was categorised into the four  
113 groups used by the British Veterinary Association and the Kennel Club CM/SM  
114 Scheme (< 1 year, between 1 and 3 years old, between > 3 and 5 years old and > 5  
115 years) (The Kennel Club 2015a). For CKCS, an additional analysis was carried out  
116 within the study population of all CKCS's presenting during the study period and  
117 included the above risk factors as well as coat colour (The Kennel Club 2015b).

118 Considering the expected low prevalence of CM/SM in the general canine population  
119 of England, a 'period prevalence' was calculated, which was used to investigate the  
120 prevalence of symptomatic CM/SM in dogs diagnosed prior to the study period, as well  
121 as those who developed the disease during the period (incident cases). Incident cases  
122 were dogs that were first diagnosed with CM/SM during the study period whilst pre-  
123 existing cases were defined as dogs first diagnosed prior to the study period.

124 For incident CM/SM cases, additional information was extracted to compare treatments  
125 undertaken and number of visits to assist with estimation of financial and welfare  
126 impact: we also noted whether MRI was used during diagnosis, date of diagnosis,

127 treatment methods, veterinary surgeon prescribing the treatment (i.e. specialist or  
128 primary-care veterinary surgeon), referral for specialist care and total number of  
129 veterinary visits related to CM/SM during the study period. These data were only  
130 collected from incident CM/SM cases because complete clinical records may not have  
131 been available in VetCompass for pre-existing cases diagnosed prior to the study  
132 period.

133 Data were exported from the VetCompass database to a spreadsheet (Microsoft Excel  
134 2013 for Windows) for cleaning and formatting. Online software (QuickCalcs,  
135 GraphPad Software) was used to calculate 95% confidence intervals (CIs) for  
136 prevalence estimates, via a modified Wald method. Data analysis used statistical  
137 software (IBM SPSS 21). Demographic variables were explored and described using  
138 number and percentage for categorical data and medians (interquartile range (IQR),  
139 range) for continuous data. Association between referred cases or those only seen in  
140 primary-care practice treatment options, number of drugs used and total number of  
141 veterinary visits were evaluated using the chi-squared or Mann Whitney U tests, as  
142 appropriate (Field 2013).

143 Risk factor analysis evaluated associations between demographic variables and the  
144 odds of obtaining a diagnosis of CM/SM. Univariate binary logistic regression analysis  
145 was conducted and factors with a significance level of  $p \leq 0.2$  were added into  
146 multivariable logistic regression modelling for further assessment. Model building used  
147 manual backwards elimination and the best-fit model was assessed using a likelihood  
148 ratio test (Dohoo 2009). Results were considered statistically significant if  $p < 0.05$ .



149 **Results**

150 The study population included 187,326 dogs with records on the VetCompass database  
151 from 01/09/2009 to 13/06/2014 (Figure 1). There were 89,339 females (47.5%), 74,624  
152 dogs were neutered (39.8%), 40,209 were crossbred (54.2%) and 48,119 dogs (25.7%)  
153 were insured.

154 Ninety-three symptomatic CM/SM cases met the case definition, giving a period  
155 prevalence overall of 0.05% (95% CI, 0.04%-0.06%). Of these cases, 34 dogs (36.6%)  
156 were female, 60 dogs (64.5%) were neutered and 63 dogs (67.7%) were insured.

157 The most commonly affected breed overall was the CKCS, accounting for 65 (69.9%)  
158 of the case dogs (Table 1). Other breeds affected included the King Charles Spaniel (16  
159 dogs, 17.2%), crossbred (3 dogs, 3.2%), Chihuahua, Yorkshire terrier (two dogs each,  
160 2.2 %,) and the Pug, Affenpinscher, Jack Russell terrier, Pomeranian and Shih Tzu (one  
161 dog each, 1.1%). Of the three recorded crossbred CM/SM cases, two were recorded as  
162 being partly CKCS.

163 In the 93 cases, the most common sign reported for CM/SM was pain (67 dogs, 72%)  
164 (Figure 2). The most common manifestations were phantom scratching (36 dogs,  
165 38.7%), spontaneous yelping (27 dogs, 29%), neck pain on palpation (16 dogs, 17.2%)  
166 and provoked yelping, e.g., vocalisation when picked up or touched (15 dogs, 16.1%).

167 Treatment data were available for 89 of the 93 affected dogs. Seventy two dogs (77.4%)  
168 received one or more drugs for treatment of CM/SM, and 17 dogs (20.4%) were un-  
169 medicated. Gabapentin, non-steroidal anti-inflammatory drugs (NSAIDs) and  
170 corticosteroids were the most commonly prescribed treatments for CM/SM,  
171 administered to 48 dogs (67%), 47 dogs (65%) and 23 dogs (32%), respectively (Figure

172 3). Of the 17 unmedicated dogs, six were reported to have pain and six were reported  
173 to show only scratching behaviour (35.2% each). Of the 72 medicated dogs, 64 (88.8%)  
174 were reported to show either a partial or a full response to medication while the  
175 remaining nine dogs (12.5%) were reported to have not improved after treatment. Two  
176 dogs (2.1% of cases) underwent surgery for CM/SM but the outcomes of surgery were  
177 not recorded. During the study period, 23 of the 93 case dogs overall were  
178 euthanised/died (24.8%). Of the 93 case dogs, nine (9.8%) died or were euthanised as  
179 a result of CM/SM.

180 Risk factor analysis of diagnosis of CM/SM across all breeds:

181 Univariable risk factor analysis identified breed, age and insurance as risk factors for  
182 symptomatic CM/SM (Table 2).

183 Multivariable analysis identified three risk factors associated with CM/SM: breed, age  
184 and insurance. The CKCS (OR 175, 95% CI 55.14-560.81,  $p<0.001$ ), King Charles  
185 Spaniel (OR 226.80, 95% CI 65.82-781.45,  $p<0.001$ ), Affenpinscher (OR 109, 95% CI  
186 5.93-552.59,  $p<0.001$ ), Chihuahua (OR 7.4, 95% CI 1.24-44.71,  $p=0.028$ ) and  
187 Pomeranian (OR 14.8, 95% CI 1.54-143.17,  $p=0.02$ ) breeds all had increased odds of  
188 diagnosis compared with crossbreds. Dogs aged over five years had 2.7 (95% CI 1.02-  
189 7.41,  $p=0.045$ ) times the odds of diagnosis of symptomatic CM/SM compared with  
190 dogs of less than one year of age. Insured dogs had 4.6 (95% CI 2.95-7.17,  $p<0.001$ )  
191 times the odds of CM/SM compared with uninsured dogs (Table 2).

192 Incident cases and comparison between referred and non-referred cases

193 Incident cases accounted for 48 dogs (51.6%); the remaining 45 dogs (48.4%) had pre-  
194 existing records of CM/SM. The median age at diagnosis for the incident cases was  
195 4.25 years (IQR: 2-4.25 years, range 0.21-12.07 years). Of the 48 incident CM/SM  
196 cases, MRI had contributed to the diagnostic decision in 36 dogs (75%) (Table 3) while  
197 12 dogs (25%) were diagnosed using only anamnesis, physical exam and radiography.  
198 In three of these twelve dogs, radiography was performed to exclude possible  
199 orthopaedic disease and discospondylitis. For the incident cases, the number of  
200 veterinary visits due to CM/SM during the study period ranged from 1 to 15, with 12  
201 dogs (25%) visiting their primary-care practice on more than seven occasions due to  
202 this disorder.

203 Referred ( $n=36$ ) and non-referred dogs ( $n=12$ ) were compared for their first line drug  
204 treatment, drugs prescribed and number of visits to the primary-care practice. No  
205 significant difference was identified for gabapentin ( $p=0.082$ ) or NSAIDs ( $p=0.253$ )  
206 as first drug used between dogs referred and dogs seen only by the primary-care  
207 veterinary surgeon. However, the total number of drugs prescribed per dog during the  
208 treatment period was significantly higher in referred dogs (median of 2 drugs, range 1-  
209 6 drugs) than those not referred (median 1 drug, range 1-2 drugs), ( $p=0.009$ ). Referred  
210 dogs (median 5 visits, range 1-15 visits) also had significantly more veterinary visits to  
211 the primary-care practice for CM/SM compared to dogs that were never referred  
212 (median 3 visits, 1-10) ( $p=0.005$ ).

213 CM/SM diagnosed in the CKCS:

214 The study included 4,046 CKCSs (2.2% of the overall study population), of which  
215 1,847 (45.7%) were female, 1,910 (47.2%) were neutered and 1,433 (35.4%) were

216 insured. The coat colour distribution included 1,894 (47%) Blenheim, 559 (13.9%)  
217 ruby, 156 (3.9%) black and tan and 1,420 (35.2%) tricolour.

218 There were 65 CM/SM cases diagnosed in the CKCS breed, giving a period prevalence  
219 of symptomatic CM/SM of 1.6% (95% CI 1.2%-2.06%). Of these overall cases, there  
220 were 29 (44.6%) females, 42 (64.6%) neutered and 44 (67.7%) insured CKCSs. Among  
221 the cases, 34 (52.3%) were Blenheim, 8 (12.3%) ruby, 3 (4.6%) black and tan, and 20  
222 (30.8%) tricolour. During the study period, 11 of the 65 symptomatic CKCS were  
223 euthanized/died (27.7%). Nine of symptomatic CKCS (10.8%) died directly as a result  
224 of CM/SM.

225 Univariable risk factor identified that insured dogs had 3.9 times greater odds of  
226 diagnosis (OR 3.91 95% CI 2.31-6.60,  $p<0.001$ ) (Table 4). There were no significant  
227 associations of sex or coat colour with the diagnosis of symptomatic CM/SM in CKCS.  
228 Age group ( $p=0.195$ ), and insurance status ( $p<0.001$ ) were included in the multivariable  
229 risk factor analysis. Only insurance was significantly associated with an increased odds  
230 of symptomatic CM/SM in CKCS (OR 3.88 95% CI 2.29-6.55,  $p<0.001$ ).

231 Of the CKCS considered as cases, 29 (44.6%) were incident cases. that showed a  
232 median age for diagnosis of 4.5 years (IQR 2.94-6.78 years, range 1.6-11.5 years). MRI  
233 was used in the diagnosis of 20 (69%) CM/SM cases while nine (31%) diagnoses did  
234 not include MRI.

235 **Discussion**

236 This is the largest epidemiological study to explore symptomatic CM/SM in the general  
237 population of practice-attending dogs in England. Analysis of primary-care veterinary  
238 data is critically important to assist our understanding of diagnosis, management and  
239 clinical impact of CM/SM in the overall canine population and in specific breeds such  
240 as the CKCS.

241 Prevalence of CM/SM in dogs

242 In the current study, the period prevalence of symptomatic CM/SM reported for all dogs  
243 was low in comparison with the most common disorders reported in primary-care  
244 practice in dogs (O'Neill and others 2014) where otitis externa, periodontal diseases  
245 and anal sac impaction had prevalences of 10.2%, 9.3% and 7.1%, respectively. The  
246 large range of breeds included in the study population could explain these figures, as  
247 many breeds are not at risk (non-brachycephalic or large breed) of suffering CM/SM.

248 Underestimation of the true prevalence

249 Diagnosis of CM/SM generally requires an MRI (Rusbridge and others 2006).  
250 However, this is a major limitation in primary-care practice. A significant proportion  
251 of dogs in this study were excluded because a clinical diagnosis was not made despite  
252 possible signs of CM/SM being present and because clinical signs could be confounded  
253 with other diagnosed diseases (Figure 1). This is an important source of  
254 underestimation of the true prevalence. It is also a concern as many dogs will not reach  
255 a final diagnosis and therefore will not be appropriately treated. This leads to a decrease  
256 in welfare of these patients. Some dogs have been diagnosed without MRI and therefore  
257 false positives may have been included. Nevertheless, the data provided in this paper  
258 reflects how veterinary primary-care practitioners identify and approach these cases,

259 sometimes without the possibility of reaching a full confirmation with diagnostic  
260 imaging.

261 Owner awareness of normal and abnormal behaviour is also important to detect when  
262 their dogs are in pain. The most common clinical signs shown by dogs affected with  
263 CM/SM in the current study are similar to previous reports (Rusbridge 2007), although  
264 a higher proportion of symptomatic animals were reported to show pain in the current  
265 study (72% compared to 35% in previous investigations) (Rusbridge and others 2007).  
266 Breakdown of the most common manifestations of pain showed a wide range of non-  
267 specific presentations. Further research is needed to elucidate the difference between  
268 scratching and pain; the most recent research suggests they are underpinned by separate  
269 pathways (Sun and Chen 2007; Rusbridge and Jeffery 2008; Sun and others 2009).  
270 However, there is no evidence that scratching is less uncomfortable than pain for these  
271 patients. Veterinary practitioners may miss the different manifestations of pain  
272 reflected in this paper and described in the literature (Rusbridge and others 2006) if  
273 owners do not report this signs as abnormal or disturbing. Therefore, knowledge of the  
274 spectrum of behavioural and pain-related clinical signs shown by CM/SM cases could  
275 improve diagnosis by increasing awareness in primary-care clinicians of the importance  
276 of targeted questioning and history-taking of owners who may even be unaware that  
277 these factors are clinically relevant.

278 The increased diagnosis level recorded in insured animals suggests that financial and  
279 other constraints on diagnostic procedures may have allowed the true prevalence of  
280 CM/SM in the overall population to be under-estimated. Lack of insurance could  
281 potentially lead to fewer dogs being given a final diagnosis of CM/SM especially if  
282 dogs other than CKCS show clinical signs associated with this disease complex, where

283 CM/SM will be in a lower position on (or even absent from) the differential diagnosis  
284 list and an MRI may be needed to confirm its presence.

285 Veterinary clinical data are mainly recorded for clinical use and are not specifically  
286 recorded for research purposes. So, a combination of these factors implies that figures  
287 reported here are likely to be underestimates of prevalence.

#### 288 CM/SM diagnosed in the CKCS

289 The current study identified the CKCS as a predisposed breed (prevalence of CM/SM:  
290 1.6%) in agreement with other studies (Marino and others 2012; Harcourt-Brown and  
291 others 2015). The period prevalence of symptomatic CM/SM in CKCS aligns with the  
292 results of Summers and others (2015), who reported symptomatic CM/SM in 1.7% of  
293 CKCS in primary-care practice. Although a recent study of CKCS reported 15%  
294 prevalence for symptomatic CM/SM in Denmark (Thofner and others 2015), the age  
295 range it focussed on was restricted to dogs aged over six years whereas the current study  
296 included dogs of all ages. However, responder bias in the Danish study could have  
297 resulted from some over-reporting of the condition because respondents were asked to  
298 volunteer their time to fill-in the questionnaire and it can be assumed that owners with  
299 experience and awareness of CM/SM would be more inclined to participate in this kind  
300 of study (Thofner and others 2015). Other reports on CM/SM prevalence originate  
301 mainly from investigations relying, for definitive diagnosis, on MRI (Parker and others  
302 2011; Thofner and others 2015) among all cases (symptomatic and asymptomatic)  
303 rather than records of clinically affected animals from primary-care practice which are  
304 the dogs that have compromised welfare. This may help to account for some of the  
305 apparent discrepancies because these studies often included asymptomatic animals as  
306 cases.

307 It has been reported that young dogs with asymptomatic SM develop clinical signs later  
308 in life (Ives and others 2015), thus increasing the prevalence of dogs with clinical signs  
309 in older CKCS. This is in line with our findings, as dogs over five years old had  
310 increased odds of having a diagnosis of symptomatic CM/SM in all breeds.

311 The literature reported CM/SM to be more common in Blenheim and ruby CKCSs,  
312 which are recessive coat colours (Rusbridge and Knowler 2004). Nevertheless, the  
313 current study failed to identify a significant association between colour coat and  
314 symptomatic CM/SM diagnosis. Similarly, age did not statistically affect symptomatic  
315 diagnosis in the CKCS, whereas in other studies there was a higher prevalence in dogs  
316 older than 3 years of age (Rusbridge 2007; Parker and others 2011). Results of the risk  
317 factor analysis in CKCS could be explained by lack of statistical power due to a small  
318 sample size, suggesting that even larger studies are needed to more confidently identify  
319 risk factors associated with the diagnosis of symptomatic CM/SM in individual breeds.

320 Although KCS and CKCS are recognised as distinct breeds by the Kennel Club (The  
321 Kennel club, 2015b), breed classification in the current study relied on the recorded  
322 breed information provided by the owners and veterinary teams as recorded in the  
323 clinical notes. Because these two breeds are phenotypically similar, it is possible that  
324 some recorded KCS may actually have been CKCS and *vice versa*.

#### 325 Other breeds affected

326 The current results from demographic analysis of cases are generally consistent with  
327 the affected breeds and age of onset previously reported (Rusbridge and others 2007;  
328 Parker and others 2011; Harcourt-Brown and others 2015). The main breeds identified  
329 as affected by symptomatic CM/SM in the current study align with those reported in  
330 the literature with brachycephalic and miniature breeds being predisposed (Thofner and



331 others 2015). Risk factor analysis revealed that CKCS, King Charles Spaniel,  
332 Pomeranian, Chihuahua and Affenpinscher had increased odds of diagnosis of  
333 symptomatic CM/SM compared with crossbreds. Jack Russell Terriers and Yorkshire  
334 Terriers, although not identified at increased risk in this study, were also diagnosed.  
335 These findings suggest that some breeds other than the CKCS have similar  
336 morphological characteristics associated with CM/SM (Marino and others 2012; Cerda-  
337 Gonzalez and others 2015). However, Griffon Bruxellois was underrepresented in the  
338 current study despite having high CM/SM incidence in other studies (Rusbridge and  
339 others 2009; Marino and others 2012). Two of the three crossbreds in the study were  
340 partly CKCS, indicating that despite introduction of new genetic material from  
341 crossing, causative factors of CM/SM are likely to be inherited (Rusbridge and Knowler  
342 2003; Knowler and others 2016). This information helps veterinary practitioners to  
343 consider CM/SM as a differential diagnosis in these breeds when normally it would not  
344 have been considered as a possible cause of the clinical signs observed.

#### 345 Management of CM/SM

346 This study identified that gabapentin and NSAIDs were the most commonly used  
347 treatments for CM/SM. These findings are consistent with published advice that  
348 gabapentin is the first line treatment for neuropathic pain with the addition of NSAIDs  
349 if there is an inflammatory component (Grubb 2010). Gabapentin and NSAIDs have  
350 been recommended specifically to treat neuropathic pain of CM/SM origin (Rusbridge  
351 and others 2006), although the efficacy of NSAIDs in controlling pain of CM/SM origin  
352 is currently unclear (Rusbridge and Jeffery 2008). The current study failed to identify  
353 any statistically significant difference in the usage of analgesics between referred and

354 non-referred dogs. These results suggest that primary-care veterinary practitioners are  
355 closely mirroring the CM/SM treatment protocols of referral practitioners.

#### 356 Welfare, emotional and economical features

357 Apart from treatment regimes, management practices differed between dogs that were  
358 referred and those that attended only primary-care practice. Referred dogs had more  
359 veterinary visits and more drugs prescribed compared with non-referred, possibly  
360 because of higher clinical severity in referred dogs. Insured animals had 4.6 times the  
361 odds of a CM/SM diagnosis, possibly because of fewer financial restrictions on the use  
362 of MRI for diagnosis as well as more frequent veterinary visits and owners arguably  
363 having stronger bonds with their dogs (Egenvall and others 2009; Stephens and others  
364 2014). These findings underline the impact of household finance and owner dedication  
365 on the diagnosis of CM/SM.

366 The welfare impact of any condition in a population can be considered in terms of the  
367 proportion of individuals affected, but also in terms of the severity and the duration of  
368 the challenge experienced by individuals (Collins and others, 2011; Buckland and  
369 others, 2014). The mortality results for cases indicated that, although some animals  
370 were euthanized on welfare grounds because of CM/SM, a large proportion lived for  
371 years with the condition. The percentage of symptomatic dogs in this study that were  
372 not receiving any treatment (18.3%) is an interesting finding. Due to the progressive  
373 nature of the CM/SM (Plessas and others 2012), it is important that the condition is  
374 appropriately managed to maintain the quality of life of affected individuals. Owners  
375 of untreated but symptomatic CM/SM dogs may have attributed the clinical signs seen  
376 (e.g., phantom scratching) to normal behaviour for the individual or breed and judged  
377 it as non-distressing to their pet. Equally, owners may become habituated to

378 manifestations of pain in their dogs. Thus, educating owners about the likely cause and  
379 possible impact on dog welfare of such clinical signs is important to improve diagnosis,  
380 especially if MRI is not an option, and ensure effective treatment of any unpleasant  
381 sensations (Rutherford and others 2012). All these factors highlight the welfare impact  
382 of this complex disorder.

383 There were some limitations to the current study. Referral institutions normally treat  
384 selected diseases associated with more specialised care, representing a source of bias  
385 when these data are used for generalizable prevalence estimation (Bartlett and others  
386 2010). On the other hand, epidemiologic data at primary-care veterinary clinics may be  
387 unrepresentative by the absence of more severe disease phenotypes or conditions that  
388 are diagnosed more commonly at referral clinics (Bartlett and others 2010). However,  
389 in most cases, the diagnosis made at the referral centres will still appear in the primary-  
390 care records. Breed predisposition leads to the risk of confirmatory bias and dogs  
391 classified in this study as a CM/SM case could have been free of CM/SM changes in  
392 the MRI.

393 In conclusion, this study identified that symptomatic CM/SM appears to be a painful  
394 disease, with varied clinical manifestations, that persists over time with a low rate of  
395 mortality but often demands prolonged poly-pharmacy. A low apparent prevalence of  
396 0.05% symptomatic CM/SM in the overall first opinion population was identified, with  
397 many potential reasons of underestimation of the true prevalence. However, a  
398 substantially higher apparent prevalence of 1.6% emerged in CKCSs, which in addition  
399 to the data showing 72% of affected dogs showed signs of pain, suggests that CM/SM  
400 should be considered a disorder of major welfare impact on this breed. Financial  
401 limitations complicate a final diagnosis, such that affected dogs may remain untreated.

402 These results should help clinicians to improve the diagnosis and case management of  
403 CM/SM in dogs and may inform control strategies for the disorder in dogs overall and  
404 especially in predisposed breeds

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526

Table 1. Period prevalence of symptomatic CM/SM reported in primary-care veterinary practice in individual breeds of dogs. N/A: not applicable

Breed	Total number of dogs	Number of diagnosed dogs CM/SM cases	Prevalence	Number of cases that included MRI diagnosis
Cavalier King Charles Spaniel	4,046	65	1.6 % (95% CI 1.20-2.06)	36
King Charles Spaniel	871	16	1.84% (95% CI 1.09-3.04)	12
Affenpinscher	235	1	0.4% (95% CI 0.01-2.62)	1
Pomeranian	934	1	0.1% (95% CI 0.01-0.67)	0
Pug	1,726	1	0.06% (95% CI 0.01-0.36)	1
Chihuahua	4,072	2	0.05% (95% CI 0.01-0.19)	1
Yorkshire Terrier	6,299	2	0.03% (95% CI 0.01-0.12)	2
Shih Tzu	3,706	1	0.03% (95% CI 0.01-0.17)	N/A
Jack Russell Terrier	12,024	1	0.01% (95% CI <0.01-0.06)	1
Cross Breeds	40,208	3	N/A	3

Table 2. Risk factor analysis for diagnosis of CM/SM in all breeds of dogs. CKCS: Cavalier King Charles Spaniel; KCS: King Charles Spaniel; \* indicates a statistically significant result ( $p < 0.05$ ).

<b>Univariable Binary Logistic Regression Analysis of All Breeds</b>				
<b>Variable</b>	<b>Categories</b>	<b>OR</b>	<b>95% CI</b>	<b>p value</b>
Sex	Female (Base)	1		
	Male	1.58	1.04-2.42	0.324
Insurance Status	Not insured (Base)	1		
	Insured	6.08	3.93 – 9.39	<0.001*
Breed	Cross breed (Base)	1		<0.001*
	Affenpinscher	57.28	5.93-552.59	<0.001*
	Chihuahua	6.55	1.10-39.42	0.039*
	Pomeranian	14.37	1.49-138.21	0.021*
	Pug	7.72	0.80-74.72	0.076
	Shih Tzu	3.65	0.37-34.78	0.266
	CKCS	218.82	68.74-696.52	<0.001*
	KCS	250.71	72.94-862.29	<0.001*
	Jack Russell Terrier	1.18	0.11-10.71	0.925
	Yorkshire Terrier	4.24	0.71-25.47	0.113
Remaining pure breed	0	0-5.57E+096	0.922	
Age group	<1 year old (Base)	1		0.002*
	1 year to 3 years	2.11	0.81-5.52	0.121
	>3 years to 5 years	4.44	1.72-11.17	0.002*
	>5 years	2.93	1.12-7.75	0.027*
<b>Multivariable Binary Logistic Regression Analysis of All Breeds</b>				
<b>Variable</b>	<b>Categories</b>	<b>OR</b>	<b>95% CI</b>	<b>p value</b>
Insurance Status	Not Insured (Base)	1		
	Insured	4.63	2.95-7.174	<0.001*
Breed	Cross breed (Base)	1		<0.001*
	Affenpinscher	109.70	11.25-1069.14	<0.001*
	Chihuahua	7.46	1.24-44.71	0.028*
	Pomeranian	14.86	1.54-143.17	0.020*
	Pug	7.99	0.83-76.93	0.072
	Shih Tzu	3.72	0.38-35.85	0.255
	CKCS	175.86	55.14-560.81	<0.001*
	KCS	226.80	65.82-781.45	<0.001*
	Jack Russell Terrier	1.17	0.12-11.30	0.889
	Yorkshire Terrier	4.49	0.75-26.91	0.100
Remaining pure breed	0	0-7.55E+092	0.917	
Age group	< 1 year old (Base)	1		0.060
	1 year to 3 years	0.88	0.51-1.54	0.674
	> 3 years to 5 years	1.43	0.84-2.39	0.184
	> 5 years	2.71	1.02-7.41	0.045*



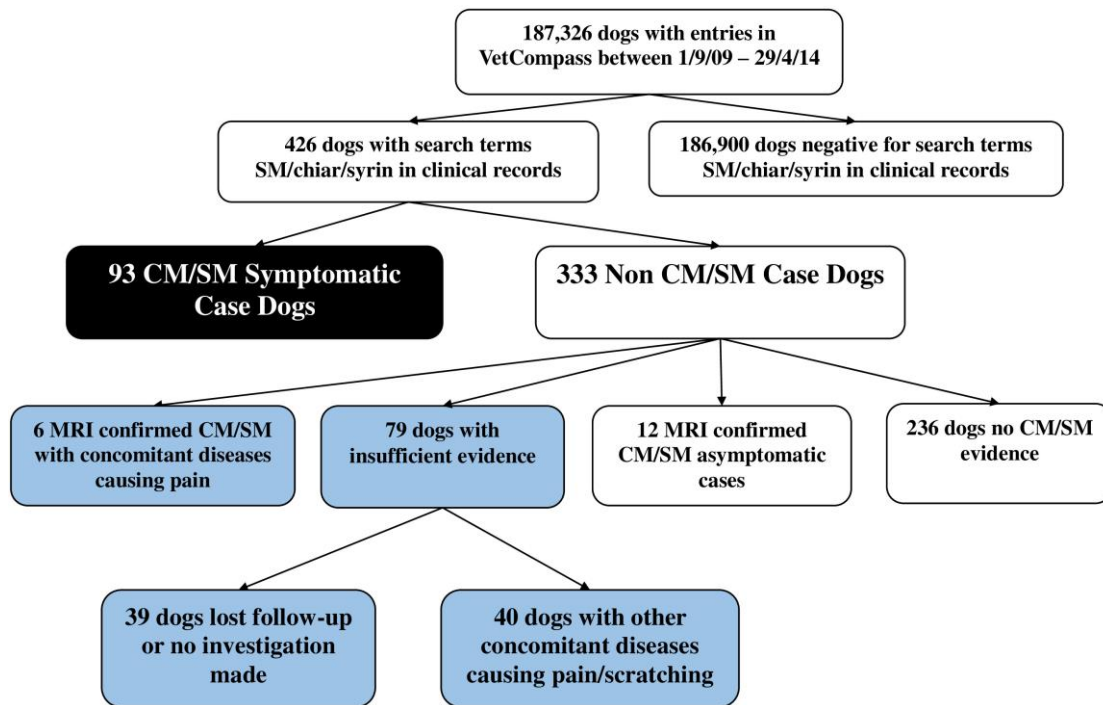
Table 3. Descriptive information used in the diagnosis of CM/SM reflecting welfare of dogs, emotional and economic owners' impact (results are based only on dogs where appropriate information was available).

<b>Descriptor</b>	<b>N of dogs</b>	<b>%</b>
MRI used in diagnosis- referred to specialists (incident cases only)	36/48	75
In pain	67/93	72
On treatment	72/89	80.8
Treated with more than 3 drugs (range= 1-6 drugs)	13/72	18
Non-responders at all to treatment	9/72	10
Un-treated with pain	6/58	10.3
More than 7 visits due to CM/SM (incident cases only, range= 1-15 visits)	12/47	25.5
Died/euthanized due to CM/SM	9/93	9.8

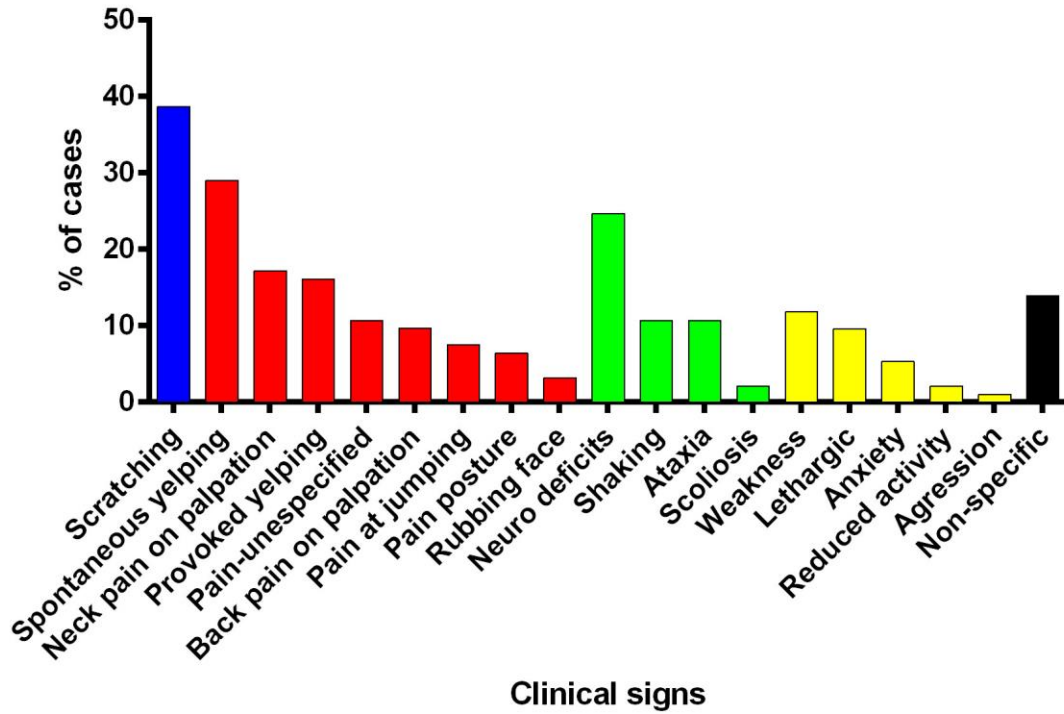
Table 4. Risk factor analysis for diagnosis of CM/SM in Cavalier King Charles Spaniels (CKCS), \* indicates statistically significant result ( $p < 0.05$ ).

<b>Univariable Binary Logistic Regression Analysis of CKCS</b>				
<b>Variable</b>	<b>Categories</b>	<b>OR</b>	<b>95% CI</b>	<b>p value</b>
Sex	Female(Base)	1		
	Male	1.04	0.641-1.717	0.850
Insurance Status	Not Insured (Base)	1		
	Insured	3.91	2.31-6.60	<0.001*
Colour coat	Blenheim (Base)	1		0.807
	Ruby	0.79	0.36-1.72	0.561
	Black and Tan	1.07	0.32-3.53	0.908
	Tricolour	0.78	0.44-1.36	0.385
Age group	<1 year old(Base)	1		0.156
	1 year to 3 years	2.86	0.94-8.64	0.062
	>3 years to 5 years	0.84	0.44-1.61	0.603
	>5 years	1.21	0.65-2.24	0.539
<b>Multivariable Binary Logistic Regression Analysis of CKCS</b>				
<b>Variable</b>	<b>Categories</b>	<b>OR</b>	<b>95% CI</b>	<b>p value</b>
Insurance Status	Not Insured (Base)	1		
	Insured	3.88	2.29-6.55	<0.001*
Age group	<1 year old(Base)	1		0.195
	1 year to 3 years	2.67	0.87-8.13	0.084
	>3 years to 5 years	0.83	0.43-1.59	0.575
	>5 years	1.19	0.64-2.21	0.578

**Figure 1. Flow diagram of dogs entered on VetCompass projecting forward to CM/SM case group.** Note that there were dogs excluded in the case definition. There were 79 dogs for which CM/SM was within the differential diagnosis of the veterinary surgeon and were considered cases but were excluded because of insufficient evidence. Forty of these occurred with other painful diseases (IVDD, meningitis) or scratching (otitis and dermatological diseases). Six dogs with CM/SM confirmed by MRI were excluded because they displayed signs of pain compatible with intervertebral disc disease seen on MRI.



**Figure 2. Clinical signs reported in dogs diagnosed with symptomatic CM/SM in primary-care veterinary practice.** Signs are organised by main types of clinical signs: scratching (blue), pain (red), neurological signs (green), behavioural changes (yellow) and non-specific (black).



**Figure 3. Proportion of drugs prescribed to symptomatic CM/SM cases diagnosed in dogs attending primary-care veterinary practice in England.** Dogs might receive more than one treatment.

