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1 Commissioned Review

- 2 Maximizing the diagnostic utility of endoscopic biopsy in dogs and cats with
- 3 gastrointestinal disease
- 4
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- 6
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- 16
- 17 Highlights:
- Collect endoscopic biopsies (including ileum) regardless of mucosal appearance
- Operator experience and biopsy number impact the quality of endoscopic specimens
- Large cup biopsy forceps yield the best diagnostic tissue specimens
- Histopathologic guidelines for endoscopic biopsy are published and are evolving
- 22 Abstract

Flexible endoscopy has become a valuable tool for the diagnosis of many small animal 23 gastrointestinal (GI) diseases, but the techniques must be performed carefully so that the results 24 are meaningful. This article reviews the current diagnostic utility of flexible endoscopy, 25 including practical/technical considerations for endoscopic biopsy, optimal instrumentation for 26 mucosal specimen collection, the correlation of endoscopic indices to clinical activity and to 27 histopathologic findings, and new developments in the endoscopic diagnosis of GI disease. 28 29 Recent studies have defined endoscopic biopsy guidelines for the optimal number and quality of diagnostic specimens from different regions of the gut. They also have shown the value of ileal 30 biopsy in the diagnosis of canine and feline chronic enteropathies, and have demonstrated the 31

32 utility of endoscopic biopsy specimens beyond routine hematoxylin and eosin histopathological

analysis, including their use in immunohistochemical, microbiological, and molecular studies.

34

Keywords: Gastrointestinal endoscopy; Biopsy; Histopathology; Inflammatory bowel disease;
Small animal

37

38 Introduction

After its introduction into clinical veterinary practice over 40 years ago, flexible 39 endoscopy rapidly became a valuable tool for the diagnosis of many small animal 40 41 gastrointestinal (GI) diseases. The clinical indications and practical considerations for performing GI endoscopic procedures have been extensively reviewed elsewhere (Simpson and 42 Else, 1987; Willard, 2001; Zoran, 2001; Mansell and Willard, 2003; Washabau et al., 2010; 43 Table 1). Flexible endoscopy provides non-invasive assessment of the GI mucosa and allows 44 targeted collection of tissues, cells, and/or fluids for analysis. Tissue samples can be helpful in 45 establishing a definitive diagnosis, prognosis, and therapeutic approach to many infiltrative 46 chronic enteropathies (CE) in dogs and cats. Sequential biopsies might be useful in monitoring 47 the response to therapy of select GI diseases. However, endoscopic biopsy cannot be used as a 48 panacea for diagnosing all GI disorders, especially when appropriate anthelmintic, dietary, and 49 50 antimicrobial trials have not been performed first in an effort to attenuate/resolve GI signs.

51

There is controversy regarding the value of endoscopic biopsy for diagnosing select GI diseases, especially feline alimentary small cell lymphoma (Evans et al., 2006), because endoscopic biopsy specimens are small and delicate compared to surgically obtained tissue samples. Other factors contributing to frustration with endoscopic biopsy are related to

inadequate operator experience (Slovak et al., 2014), poor endoscopic biopsy techniques
(Willard et al., 2008), the need for precise tissue processing of samples, and non-uniform
histopathologic grading criteria, all of which negatively impact correct diagnosis (Day et al.,
2008).

60

This article reviews the current diagnostic utility of flexible endoscopy, including practical and technical considerations for endoscopic biopsy, optimal instrumentation for mucosal specimen collection, correlation of endoscopic indices to clinical activity and to histopathologic findings, and new developments in the endoscopic diagnosis of GI disease.

65

66 Practical considerations for endoscopic biopsy

Endoscopic biopsy of the GI tract has advantages and disadvantages. First, direct 67 assessment of mucosal lesions undetectable from the serosal surface allows targeted biopsy. 68 Second, being able to obtain numerous tissue specimens over a 10 - 20 cm length of intestine is 69 70 more likely to detect mucosal lesions that can be regionally patchy in distribution (e.g., lymphoma, histoplasmosis, pythiosis, granulomatous colitis; Casamian-Sorrosal et al., 2010; 71 Scott et al., 2011). However, while useful for detecting morphologic or infiltrative disease, 72 endoscopy cannot detect functional disorders of the GI tract (Table 2). Furthermore, 73 histopathology is of minimal use in diagnosing some forms of CE (e.g., food-responsive and 74 75 antimicrobial-responsive disorders) since clinical response to therapeutic intervention is the most relevant and obvious outcome measure. 76

78 The decision to perform endoscopic biopsy is generally made following integration of laboratory tests and diagnostic imaging and after the procedure has been discussed with the pet 79 owner. Health status, procedural time, costs, and inherent risks/benefits should be considered. If 80 81 endoscopic biopsy is deemed appropriate, then instrumentation for optimal specimen collection must be considered. Different alimentary organs require different sampling instruments and 82 techniques for optimal results. For example, it is almost impossible (and rarely indicated) to 83 obtain good tissue samples of the esophageal mucosa with a flexible endoscope unless a mass is 84 present. In such instances, exfoliative cytopathology specimens (brush cytology) might be useful. 85 Mucosal biopsy of the stomach, small intestine and colon is more commonly indicated and is 86 best performed with pinch biopsy forceps. Localized lesions (e.g., ulcers, masses, strictures) are 87 best approached by either biopsying the transition zone (which can be difficult) or acquiring 88 abnormal and normal tissue immediately adjacent to the lesion. Even some generalized disorders 89 (e.g., lymphangiectasia) can have focal abnormalities. Other mucosal disorders can be so 90 generalized that random biopsies of the affected organs are sufficient (e.g., inflammatory bowel 91 92 disease [IBD], diffuse gastritis, diffuse neoplasia, diffuse fungal infections). Lastly, the nature of the suspected lesion (superficial vs. deep mucosal disease) influences instrument selection and 93 biopsy technique. Non-lymphomatous mass lesions suspected to be neoplastic are sometimes 94 best sampled by repeated biopsies from the same site. This can allow the clinician to collect 95 deeper, more representative tissue samples and avoid necrotic surface debris and superficial 96 97 inflammatory cells that might confuse the diagnostic procedure.

98

99 Potential complications of this biopsy technique include mural perforation with the100 biopsy forceps and/or endoscopic insertion tube as it is advanced along the GI tract. Practically

speaking, complications associated with GI endoscopic biopsy are rare. Contraindications for endoscopic biopsy generally relate to anesthetic risks and include severe generalized debilitation, pre-existing cardio-pulmonary disease, severe hypoproteinemia (hypotension), and coagulopathy.

105

106 Technical considerations for endoscopic biopsy

There are a variety of technical considerations when endoscopic biopsy is required for diagnosis of GI disease. These considerations include: (1) the ongoing controversy as to whether endoscopy or surgery is the preferred technique for intestinal biopsy; (2) determining which alimentary sites to sample; (3) optimal selection of endoscopic instruments for specimen collection; and (4) post-procurement handling protocols for endoscopic specimens to maximize accurate histopathologic interpretation.

113

'How do I determine whether endoscopic biopsy vs. full-thickness (surgical) biopsy is best for my
veterinary patient?'

The controversy surrounding endoscopic and surgical biopsy methods centers on the 116 acquisition of quality mucosal samples from different sections of the GI tract. Surgical biopsy is 117 transmural, containing all layers of the gut, and it allows access to the entire intestinal tract. 118 Disadvantages include a more invasive procedure, longer anesthetic times with increased risks 119 120 for debilitated animals, prolonged hospitalization, greater procedural costs, and inability to see mucosal lesions which prevent directed biopsy (Gieger, 2011). One recent study demonstrating 121 the diagnostic utility of surgical biopsy in 43 cats with chronic GI signs showed that full-122 123 thickness biopsies were useful in the diagnosis of IBD (47%), low grade lymphoma (23%)

mucosal fibrosis (9%), gastritis (7%), lymphangiectasia (7%), and mast cell tumors (5%; (Kleinschmidt et al., 2010). Multi-organ inflammatory GI disease (IBD) is common in cats, simultaneously involving the intestines, liver (cholangitis), and/or pancreas, and laparotomy is required for diagnosis (Jergens, 2012). Lingard et al. (2009) diagnosed low-grade lymphoma in 17 cats by histological evaluation of surgical biopsies from multiple regions of the GI tract collected during exploratory laparotomy.

130

Endoscopic biopsy is a less invasive procedure, takes less time to perform in critical 131 animals, and allows assessment of the mucosa to identify the best biopsy sites. The results 132 obtained from endoscopic biopsy are correlated with clinical experience, operator expertise, and 133 the acquisition of diagnostic biopsy specimens for histopathologic review (Slovak et al., 2014; 134 135 Willard et al., 2001). This is especially true with duodenal biopsy specimens where marginal specimens were defined as having at least one villus plus subvillus lamina propria, and adequate 136 specimens contained at least three villi with subvillus lamina propria that extended down to the 137 138 muscularis mucosa. A correct diagnosis was most likely to be obtained in one study when six marginal or adequate biopsies of the feline stomach or duodenum were obtained by the 139 endoscopist (Willard et al., 2008). 140

141

One disadvantage with endoscopic biopsy is that only gastric, duodenal, and colonic mucosa can routinely be biopsied. High jejunal tissue can sometimes be obtained, and ileal tissue can be obtained in most animals if the operator is experienced. However, even competent endoscopists cannot always sample the ileum. There are surprisingly few data comparing the accuracy of full-thickness vs. endoscopic biopsy for diagnosis of canine and feline GI disease.

147 Endoscopic biopsy specimens of the duodenum were considered inadequate vs. full-thickness biopsies for differentiating IBD from lymphoma in one study (Evans et al., 2006). However, 148 endoscopic assessment of the duodenum was limited to 50% of the cats, and mucosal biopsy was 149 150 performed blindly (with only three specimens obtained per cat) in 8/22 (28%) of the cats. Because none of the cats in this study had endoscopic biopsy of the ileum performed, malignant 151 infiltrates in this organ could only be confirmed in full-thickness specimens obtained by 152 laparoscopy, which could have biased the results and over-interpreted the diagnostic value of 153 full-thickness vs. endoscopic mucosal biopsy for feline lymphoma. In another study, the 154 probability of diagnosing alimentary lymphoma was greatest in cats undergoing laparotomy with 155 multi-organ biopsy from all segments of the intestine and the mesenteric lymph nodes 156 (Kleinschmidt et al., 2010). Importantly, comparative data describing endoscopic biopsy results 157 from the different intestinal segments in the cats of this study was not provided. 158

159

To summarize, different clinical situations dictate a preference for surgical vs. 160 161 endoscopic biopsy. Comparative studies documenting the superiority of one biopsy technique over the other have not been published. Biopsy specimens obtained from multiple intestinal 162 segments, including the ileum, enhance the sensitivity for diagnosis of lymphoma and other GI 163 diseases. Other clues for alimentary lymphoma in cats may include transmural intestinal 164 thickening, disrupted wall layers, and intestinal mass lesions. (Gieger, 2011) Surgical biopsy 165 may be indicated if involvement of the submucosa or muscularis layer is suspected, or when 166 endoscopic biopsy findings fail to correlate with clinical features (Baez et al., 1999). 167 Laparoscopy is another option for obtaining full-thickness samples from different sections of the 168 intestine (Webb, 2008). 169

170

171 'When it is best to perform upper GI endoscopy, lower GI endoscopy or both upper and lower GI
172 endoscopy procedures in a veterinary patient?'

Salient GI signs help to localize the disease and inform the clinician regarding which 173 organs should be examined (Table 1). Gastroscopy is usually performed in conjunction with 174 esophagoscopy and duodenoscopy, and is considered more sensitive than barium contrast studies 175 for the diagnosis of gastric mucosal disorders. Standard enteroscopy of the small intestine allows 176 evaluation/biopsy of the duodenum and sometimes the proximal jejunum and ileum. (Washabau 177 et al., 2010) Ileoscopy necessitates colonoscopy. Animals with protein-losing enteropathy should 178 usually undergo mucosal biopsy of the small intestine to determine the cause of enteric plasma 179 protein loss. Abdominal ultrasound may demonstrate small intestinal hyperechoic mucosal 180 striations suggestive of lymphatic dilation in dogs with intestinal lymphangiectasia. In one 181 retrospective study involving 23 dogs, histopathologic lacteal dilation of endoscopic (*n*=13 dogs) 182 and full-thickness (n=9 dogs) mucosal specimens was present in 96% of dogs with mucosal 183 striations (Sutherland-Smith et al., 2007). Low serum folate and cobalamin concentrations are 184 another indication for endoscopy and suggest a focal or diffuse mucosal disorder affecting 185 absorption in the proximal (duodenal) and distal (ileum) small intestine, respectively. 186 Colonoscopy is indicated in animals with chronic or recurrent large bowel diarrhea that do not 187 respond to therapeutic trials for parasites, and those that have dietary-responsive colitis, or 188 selected bacterial-mediated colitis, such as Campylobacter jejuni. Ileoscopy is performed in 189 conjunction with upper GI endoscopy when a diffuse enteropathy (e.g., IBD, lymphoma, 190 histoplasmosis, lymphangiectasia) is suspected and when colonic disease is complicated by 191 192 systemic signs, such as anorexia and weight loss.

193

Proper veterinary patient preparation for GI endoscopy optimizes the assessment of mucosal abnormalities and biopsy technique. For upper GI endoscopic procedures, withholding food but not water overnight generally allows thorough evaluation of the esophagus, stomach, and proximal duodenum in most dogs and cats. For ileoscopy/colonoscopy, more complete cleansing of the mucosa is required, using tepid water enemas and polyethylene glycol laxative solutions (with electrolytes) to fully visualize all colonic mucosal regions (Richter and Cleveland, 1989).

201

Mucosal masses, friability, granularity, and ulcers or erosions are commonly associated 202 with histopathologic abnormalities (Table 3; Fig. 1). Mucosal abnormalities may be focal, 203 204 patchy, or diffuse in distribution and may involve one or more alimentary tract regions. In one early study, endoscopic examination of the stomach, duodenum, and colon in 58 dogs and 17 205 cats with histories of CE showed that normal endoscopic observations were often associated with 206 207 normal histopathologic findings (Roth et al., 1990a). However, visualizing masses, increased granularity or friability, and ulcers/erosions was associated with increased cellularity within the 208 lamina propria attributable to mucosal inflammation (49%) or neoplasia (23%). White speckles 209 and spots on the duodenal mucosa plus hypoalbuminemia have been reported in dogs with 210 intestinal lymphangiectasia (Garcia-Sancho et al., 2011; Larson et al., 2012). These same 211 212 endoscopic variables (e.g., granularity, friability, ulcer/erosions, mass) have been associated with the severity of clinical (GI) signs (Jergens et al., 2003a, b; Allenspach et al., 2007; Garcia-213 Sancho et al., 2007; Heilmann et al., 2012; Heilmann et al., 2014), evidence of mucosal healing 214 215 (Allenspach et al., 2007; Garcia-Sancho et al., 2007), histopathologic interpretation (Roth et al.,

216 1990a; Jergens et al., 1992; Allenspach et al., 2007; Garcia-Sancho et al., 2007; Larson et al.,

217 2012), and prognostic outcome (Allenspach et al., 2007) in separate clinical trials.

218

219 We have observed, as described in humans, that the observer variation for graded characteristics (e.g., mucosal hyperemia – is it pale, pink, or red?) is high, while that for 220 discontinuous variables (i.e., presence or absence of erosions) is generally low (Baron et al., 221 1964). The discrepancy between mucosal hyperemia and the presence of histopathologic 222 abnormalities in dogs with chronic enteropathy has been previously reported (Roth et al., 1990a). 223 Operator experience plays an important role in endoscopic mucosal assessment; novice 224 endoscopists more likely to miss mucosal lesions or misinterpret normal vs. abnormal mucosa 225 (Roth et al., 1990a; Slovak et al., 2014). 226

227

New advanced imaging techniques, including magnification endoscopy, dye-based and 228 dye-less chromoendoscopy, and endomicroscopy, provide real-time insights into the 229 230 ultrastructural assessment of mucosal inflammation and dysplasia in humans (Rath et al., 2015). Chromoendoscopy permits detailed evaluation of the mucosal surface while other modalities 231 (i.e., endocytoscopy and confocal endomicroscopy) go deeper within the intestinal wall to 232 visualize the submucosal architecture and single cells. In vivo confocal endomicroscopy has 233 been recently used for cellular and subcellular imaging of gastric (Sharman et al., 2014) and 234 235 intestinal (Sharman et al., 2013) topography in healthy dogs.

236

237 'Which endoscopic instruments work best to sample the GI mucosa and how many biopsy238 samples do I need to make a diagnosis?'

239 Endoscopic instruments for sampling GI mucosa include pinch biopsy forceps and 240 guarded cytology brushes. Flexible pinch forceps are most commonly used to obtain mucosal specimens from the GI tract. These small flexible forceps with opposing 2 - 3 mm cups on their 241 242 distal end are manufactured with numerous configurations (i.e., cups can be smooth or serrated, standard or fenestrated, with, or without, a central needle; forceps may be multiple use or 243 disposable, and some are designed to allow multiple samples to be taken before withdrawing the 244 instrument; Fig. 2; Woods et al., 1999; Mansell and Willard, 2003; Padda et al., 2003). There is 245 difference of opinion between endoscopists as to the best configuration for flexible endoscopic 246 forceps. Fenestrated forceps may cause fewer crush artifacts and yield larger biopsy specimens 247 than non-fenestrated models. Biopsy forceps with a central needle can help stabilize forceps on 248 the mucosa and are useful for some endoscopists but may yield inferior tissue samples associated 249 250 with puncture artifacts in the hands of other endoscopsists (Mansell and Willard, 2003). Others have shown that forceps cup size is what matters most by demonstrating that large capacity 251 forceps (with, or without, a central spike) provided the highest quality of duodenal samples 252 253 obtained from healthy dogs (Goutal-Landry et al., 2013). The use of forceps that can be passed through smaller diameter endoscopes has also been shown to yield excellent quality endoscopic 254 specimens of the ileum, or in animals < 10 kg because the mucosa is relatively thin (Willard et 255 al., 2001). 256

257

Both single- and multi-use forceps may be used to procure mucosal samples and they require proper maintenance. Of interest, single-use biopsy forceps may be used in multiple GI procedures as long as the forceps remain sharp and their function is well maintained. Additionally, disposable (single use) forceps are quite cost-effective (Bourguignon et al., 2003).

Careful and thorough manual cleaning of forceps with water and an enzymatic agent should be performed shortly after the completion of any GI endoscopic procedure. Biopsy forceps are particularly difficult to clean and require autoclaving (i.e., steam under pressure) for effective sterilization due to their complicated mechanical structure (Yoon et al., 2012).

266

Tissue samples should be as large and free of artifact as possible because the diagnostic 267 quality of the endoscopic sample influences the ability of the pathologist to detect and define 268 mucosal lesions. In general, six marginal or adequate samples should be collected for 269 abnormalities to be detected in the feline gastric and duodenal mucosa, whereas six adequate or 270 10 - 15 marginal samples should be collected from the canine stomach and duodenum, 271 depending on the lesion being sought (Table 4; Willard et al., 2008). To be considered adequate, 272 a biopsy sample should contain the full thickness of the mucosa and be wide enough to have at 273 least three to four intact and preferably contiguous villi. Specimens containing submucosa are 274 preferred, but it is not always possible to obtain tissue at this level, especially when the mucosa 275 276 is relatively thick (e.g., large vs. small dog; duodenum vs. ileum).

277

There are various techniques for sampling GI mucosa with endoscopic forceps which are reviewed in detail elsewhere (Woods et al., 1999; Mansell and Willard, 2003; Padda et al., 2003). Endoscopic biopsies should always be obtained. Even animals with mucosa that appears normal may have important histopathologic lesions. Gastric biopsies are usually easy to obtain, especially from rugal folds in the gastric body or from the fundus. Good samples from the antrum near the pylorus are more difficult, because the tissue is more dense and harder to tear off. The duodenum is typically the most difficult organ to obtain good tissue samples from

285 because of the difficulty in positioning the endoscopic forceps perpendicular (90 $^{\circ}$) to the mucosa. 286 Larger dogs may also have a thicker duodenal mucosa, which makes it difficult to obtain fullthickness of the mucosa. Use of an endoscope that allows 2.8 mm forceps is crucial in larger 287 dogs. Good quality duodenal biopsies occasionally leave behind an opaque base in the mucosal 288 defect, indicating the procurement of full-thickness mucosa down to the muscularis mucosa (Fig. 289 3). Ileal biopsies can provide a diagnosis that is unavailable from duodenal biopsies (especially 290 in cats; Scott et al., 2011) and/or contain histopathologic lesions that differ from duodenal 291 biopsies (Casamian-Sorrosal et al., 2010; Procoli et al., 2013). It is typically easy to obtain high 292 quality ileal biopsies because ileal mucosa is relatively thin, allowing for full thickness 293 specimens with minimal effort (Fig. 4). Colonic mucosa is sampled in a similar fashion to 294 duodenal mucosa, with endoscopic biopsies routinely obtained from the descending, transverse, 295 296 and ascending colon. The colonic mucosa is also relatively thin, making it easy to obtain good quality tissue samples. 297

298

Disposable guarded cytology brushes are useful for obtaining cell specimens during 299 endoscopic examination. Once the mucosal area to be sampled is identified, superficial cells are 300 obtained, placed onto microscopic slides, and examined microscopically for evidence of 301 inflammation, neoplasia, or infectious agents. In one comprehensive investigation, brush and 302 touch cytologic specimens obtained by endoscopic examination of the stomach (n = 49), small 303 intestine (n = 47), and colon (n = 18) in 44 dogs and 14 cats showed excellent correlation to 304 histopathologic findings (Jergens et al., 1998). The sensitivity and specificity, respectively, of 305 endoscopic cytologic specimens for the detection of abnormalities, was 100% and 92% for the 306 307 stomach, 93% and 93% for the small intestine, and 88% and 88% for the colon. A similar

diagnosis was made for both cytologic and histopathologic specimens determined to be normal
or to have lymphoplasmacytic inflammation, mixed inflammation, eosinophilic inflammation,
and lymphoid malignancy involving the GI mucosa. Results from cytological samples often
provide more rapid turnaround time than histopathologic interpretation of mucosal specimens.

312

Post-biopsy considerations to enhance correct histopathologic diagnosis

Endoscopic tissue specimens are small and fragile, subject to artifact from handling, mounting, and processing (including microtome sectioning of the paraffin wax-embedded tissue). Careful specimen handling, avoidance and recognition of routine (e.g. hematoxylin and eosin, H and E) tissue artifacts, and consideration of other tissue fixative options for immunohistochemistry and molecular testing, optimizes diagnostic interpretation (Fig. 5).

319

320 'After endoscopic biopsy collection, how can I maximize the diagnostic yield of my mucosal
321 samples? What factors influence quality of the histopathologic interpretation?'

322 Biopsy specimen handling

Tissue specimens should be gently teased from the forceps with a needle and placed on lens paper, cucumber slice, or specially designed biopsy sponges. Commercial cassettes with pre-cut 'sponges' can be used for the submission of endoscopic biopsy specimens. Multiple biopsy specimens can be arranged on a sponge and the sponge and the closed cassette is then immersed in 10% neutral buffered formalin and submitted to the laboratory.

328

Cucumber slices can be substituted for plastic sponges and are an excellent medium for the submission of endoscopic biopsy specimens (Table 5; Swan and Davis, 1970; Murray et al.,

331 2007). Biopsy samples are arranged in parallel rows on the thin slices of cucumber (preserved in 332 alcohol), which are then deposited in formalin and submitted for routine processing (Fig. 6). At the laboratory, the cucumber cassettes are removed from the formalin and the cucumber sections 333 are reoriented 90° on their side in the cassette (e.g., perpendicular to the cassette surface to 334 optimize tissue orientation after sectioning). The tissues are then embedded in paraffin wax. The 335 specimens do not have to be removed from the cucumber slices prior to embedding because the 336 microtome can readily cut through the vegetable material. This technique minimizes specimen 337 handling at the laboratory and consistently yields well-oriented tissues of high diagnostic quality. 338

339

Attempts to reorient specimens on biopsy sponges or cucumber slices prior to formalin 340 fixation should be avoided. Specimens should not be allowed to dry out on cucumber or sponge 341 surfaces. Overly dried samples may adhere tightly to the sponge or cucumber and be damaged 342 when removed by the pathology service. Samples from different sites (e.g., stomach, duodenum, 343 and colon) should be placed in separate containers and appropriately labeled. The endoscopist 344 should record the number of specimens obtained from each site, relevant endoscopic 345 observations, and salient historical and clinical data on the histopathology form. An example of 346 endoscopic report forms that may be downloaded for use can be found online.¹ 347

348

349 Tissue artifacts

Various artifacts hinder accurate interpretation of endoscopic biopsy specimens. When placed in formalin, the mucosa of GI tissues has a tendency to roll over the submucosa, making precise orientation prior to routine processing difficult. Multiple samples are embedded in the same paraffin wax block, and 3-4 micron sections are shaved from the block until the section

¹See: <u>http://www.wsava.org/educational/gastrointestinal-standardization-project</u> (Accessed 13 April 2016)

354 obtained represents the largest specimen of each piece of tissue. Many of the sections may have oblique orientation, and if the mucosa has significant rollover artifact, the surface of some 355 specimens may be the only tissue available for microscopic examination. Hence, some small 356 intestinal biopsy sections may consist of villi only (Fig. 7A). In these instances, it is not possible 357 to evaluate the subvillus lamina propria. Other sections may be devoid of surface epithelia, 358 creating the false impression of mucosal ulceration. Where villi are cut tangentially, the 359 impression of villus stunting might be obtained by the untrained observer. Irregular or apparently 360 multilayered epithelium at the 'tip' of such villi indicates that they have not been cut in 361 362 perpendicular orientation.

363

Pinch or stretch artifacts created at the margins of biopsy specimens (Fig. 7B) are 364 evidenced by the 'telescoping' of mucosal glands, the expression of mucosal glands from the 365 underlying lamina propria into the area of the lumen, and 'streaming' of nuclear chromatin. To 366 some extent these changes are unavoidable. Good biopsy technique (especially avoiding rapid 367 368 closure of the biopsy forceps during tissue procurement) and gentle handling of specimens after biopsy can minimize these artifacts. Streaming nuclear chromatin can be particularly problematic 369 in tissues with fragile cells (e.g., lymphoma). If lymphoma is suspected, the endoscopist should 370 be especially gentle with the specimen prior to fixation, and additional biopsy samples should be 371 obtained, to maximize the likelihood of a diagnostic specimen. Additionally, exfoliative 372 cytologic specimens obtained by biopsy 'imprints' (rather than smears) onto glass slides often 373 yield excellent quality specimens for diagnostic review (Fig. 8). Fresh biopsy specimens should 374 also be obtained for culture/sensitivity testing of invasive E. coli in breeds at risk for developing 375 376 granulomatous colitis (e.g., Boxers, French Bulldog).

377

378 Tissue fixation, immunohistochemistry and molecular testing

Fixation in 10% neutral buffered formalin is adequate for routine histologic examination. 379 Glutaraldehyde fixation is optimal for electron microscopy. Immunohistochemical labeling of 380 certain cell-associated antigens is increasingly available to diagnostic histopathologists (e.g., 381 phenotypic classification of alimentary lymphoma; Waly et al., 2005). Although standard 382 antibody panels may be applied to fixed tissue taken from the same wax block used for routine H 383 and E sections, the use of more specialized immunohistochemistry may require tissue samples 384 snap frozen in liquid nitrogen or preserved in fixatives other than formalin (e.g., alcohol). A 385 discussion with the pathologist before undertaking endoscopy can ensure that appropriate 386 specimens are collected. Tissues preserved by snap freezing or placed in RNAlater (Qiagen) may 387 be used for extraction of nucleic acids (e.g., RNA or DNA) utilized in molecular testing, such as 388 PCR (performed on DNA extracted from mucosal tissues), or fluorescence in situ hybridization 389 (FISH, performed on formalin-fixed serial tissue sections that have undergone de-paraffinization 390 391 prior to probe hybridization) techniques (Fig. 9).

392

Standard H and E staining provides excellent tissue detail for routine microscopic examination. Special staining may highlight certain infectious agents, but is no substitute for culture or PCR-based detection and speciation of organisms. A variety of silver impregnation methods and Giemsa staining have been employed to identify *Helicobacter* spp. organisms in gastric biopsy specimens. Fungi can be identified with periodic acid–Schiff reagents (PAS) or silver techniques (e.g., Gomori's methanamine silver stain). Bacteria can be assessed in PAS or Gram-stained sections of tissue. Stains for collagen fibers may aid in evaluating fibrosis, and

400 PAS stain highlights colonic mucus and macrophages in granulomatous colitis of Boxer dogs401 (Fig. 10).

402

403 Immunohistochemical or immunofluorescence methods have been applied to endoscopic biopsy tissues and are now becoming routinely available. Immunohistochemistry has become 404 especially useful for diagnosis of feline alimentary lymphoma, which represents one of the 405 greatest diagnostic challenges for pathologists because of suspected transition between 406 lymphoplasmacytic inflammation and lymphoma (Bridgeford et al., 2008). Labeling of serial 407 sections with antisera specific for CD3 (a pan-T-cell marker) and CD79a (a pan-B-cell marker) 408 can help the pathologist determine the clonality of an infiltrate. In the cat, this basic 409 immunolabeling also helps to distinguish well differentiated (small cell = lymphocytic = T-cell) 410 411 from lymphoblastic (large cell = lymphoblastic = B-cell) alimentary lymphoma (Fig. 11).

412

A range of antisera has been applied to studies of canine and feline IBD to phenotype and enumerate infiltrating populations of T lymphocytes (CD3+, CD4+ and CD8+, antisera specific for the canine T-cell receptors of α - β or γ - δ chain composition), B lymphocytes (CD79a), plasma cells (IgG, IgM and IgA), mast cells (IgE) and antigen presenting cells (MAC387, MHC class II, CD1, CD11c), as well as tight-junction proteins (Kathrani et al., 2011; Rossi et al., 2014). Most of these specialized tests for cell surface immune protein expression can be performed retrospectively on formalin-fixed tissue sections.

420

421 Controversies in histopathologic interpretation of endoscopic biopsies

Histopathologic interpretation may vary according to the quality of tissue specimens
submitted (related to operator experience and processing artifacts) and inconsistent
histopathologic criteria for defining GI inflammation in dogs and cats.

425

426 'Does the quality of endoscopic specimens submitted to the laboratory affect histopathologic 427 interpretation?'

Yes, there is an association between quality of the endoscopic sample and histopathologic 428 interpretation. Van der Gaag and Happe examined 340 tissue specimens obtained by endoscopic 429 forceps from 151 dogs and reported that 77 (23%) were unsuitable for pathologic examination 430 (van der Gaag and Happe, 1990). In a more contemporary study (Willard et al., 2001), the 431 quality of endoscopic specimens collected by different endoscopists and submitted to two 432 different laboratories were evaluated. One set of tissues was submitted by an experienced 433 endoscopist or by individuals trained by that clinician in proper biopsy collection and submission 434 to the laboratory (laboratory 1). In these instances, the endoscopic specimens were believed to be 435 436 of good quality and were carefully oriented on a plastic sponge prior to formalin fixation. The second laboratory evaluated endoscopic specimens obtained by multiple, less experienced 437 endoscopists comprising a multi-practice environment (laboratory 2). These tissue specimens 438 were submitted floating free in various-sized containers of formalin. Biopsy specimens from 439 each laboratory were scored as clearly adequate, clearly inadequate, or of questionable adequacy 440 441 for histopathologic diagnosis. Results indicated that laboratory 1 samples were superior to laboratory 2 samples, with significantly more laboratory 1 tissues likely to be scored as clearly 442 adequate in depth and size. An important finding of this study was that overall tissue score was 443 444 associated with the number of individual tissues per slide - more tissue specimens placed on a

slide resulted in a greater percentage of clearly adequate tissues obtained. These findings led to the recommendation that at least eight individual tissue specimens should be submitted when performing endoscopic biopsy of the duodenum in dogs and cats.

448

Other factors may affect endoscopic biopsy quality, including operator experience for the 449 detection of mucosal lesions and the effect of tissue processing on histopathologic assessment 450 (Willard et al., 2001). Slovak demonstrated that use of descriptive terms accompanied by 451 pictures of representative mucosal abnormalities significantly improved the diagnostic accuracy 452 of novice endoscopists to almost that of experienced endoscopists (i.e., advanced clinical training 453 and active operator participation in GI endoscopy over the preceding 24 months) (Slovak et al., 454 2014). Finally, the use of a different pictorial template for grading intestinal lesions failed to 455 improve the consistency of diagnostic interpretation between pathologists, because of differences 456 in slide processing (Willard et al., 2008). 457

458

459 'Are histopathologic guidelines for endoscopic biopsies presently in place?'

Yes, but uniform grading criteria for defining GI inflammation in endoscopic specimens 460 remain controversial (Washabau et al., 2010; Simpson and Jergens, 2011). Over the past two 461 decades, numerous grading schemes for characterizing the nature and severity GI inflammation 462 have been designed (Jergens et al., 1992; Wilcock, 1992; German et al., 2001; Waly et al., 2004; 463 464 Allenspach et al., 2007; Garcia-Sancho et al., 2007). Most of these model systems emphasize the type and degree of lamina propria cellular infiltrate that is subjectively characterized as normal, 465 mild, moderate, or severe. It should be noted that different types of cellular infiltrates (e.g., 466 467 lymphoplasmacytic, eosinophilic, and granulomatous) are recognized and that these populations

overlap and occur in various combinations with different GI diseases. The emphasis on mucosal cellularity has meant that abnormalities in mucosal architecture have been overlooked, even though they may correlate with inflammatory markers and clinical severity (Wiinberg et al., 2005; Janeczko et al., 2008). Moreover, mean cell populations (e.g., CD3+ T cells) do not differ in IBD dogs at diagnosis vs. when in clinical remission (Schreiner et al., 2008), and cats with and without signs of intestinal disease may have similar numbers of lymphocytes and plasma cells (Waly et al., 2004).

475

These findings and the observations that GI histopathologic interpretation varies widely 476 between pathologists (Willard et al., 2002) have led to new standardized criteria for defining gut 477 inflammation (Day et al., 2008). The World Small Animal Veterinary Association (WSAVA) 478 Standardization scheme uses eight morphologic and inflammatory features to assign an 479 inflammatory score of normal, mild, moderate, or severe/marked with a final diagnosis that 480 describes the predominant abnormalities. A limitation of the WSAVA scheme is that it does not 481 482 account for goblet cells, which are considered important in colonic disease (Roth et al., 1990b; Mansfield et al., 2009). A simplified pathologic model, using the WSAVA criteria that showed 483 the most consistency in interpretation and including enumeration of goblet cells, has been 484 recently described (Jergens et al., 2014). 485

486

487 Correlation of Histopathologic findings to clinical and endoscopic indices

488 An ever-increasing number of clinicians perform endoscopic mucosal biopsy in dogs and 489 cats with chronic or recurrent GI signs. There is strong expectation that the histopathologic

490 features contained in tissue samples will confirm a diagnosis and guide treatment decisions in491 these instances (Mansell and Willard, 2003).

492

493 'What is the association, if any, between histopathologic findings and clinical and endoscopic494 indices?'

A variety of factors including host genetics, mucosal immunity, environmental factors 495 (i.e., diet, intestinal microbiota), and defects in GI function (motility) may variably impact the 496 severity of clinical signs and histopathologic inflammation in dogs and cats with CE 497 (Allenspach, 2011; Simpson and Jergens, 2011). With regard to the value of endoscopic 498 specimens, inconsistency between pathologists (Willard et al., 2002), questionable quality of 499 tissues submitted to the laboratory (Willard et al., 2001), and controversy regarding which 500 501 grading scheme to utilize (Day et al., 2008) are all factors that have made it difficult to accurately correlate histopathologic findings to clinical disease activity. Different trials at 502 different institutions have attempted to correlate histopathologic changes in endoscopic 503 504 specimens to disease severity at diagnosis or in response to treatment. For dogs with CE (predominantly IBD), the collective results indicated that there was no significant association 505 between histopathologic findings and clinical signs, serum biomarkers, or responses to different 506 treatments (Craven et al., 2004; Allenspach et al., 2007; Garcia-Sancho et al., 2007; McCann et 507 al., 2007; Schreiner et al., 2008). In one study, Jergens (Jergens et al., 2003b) demonstrated that 508 509 the canine IBD activity index had good correlation to histologic and biomarker scores in canine 510 IBD. Another study modified this existing index (e.g., canine chronic enteropathy clinical 511 activity index) and demonstrated that high clinical disease activity, but not histopathologic lesion 512 score, was associated with negative long-term outcome (Allenspach et al., 2007).

513

Detection of the extent and severity of mucosal disease is aided by the use of endoscopic 514 indices in humans with IBD (Daperno et al., 2004; Osada et al., 2010). There are relatively few 515 reports in dogs where endoscopic lesions were associated with clinical severity and 516 histopathologic lesions (Roth et al., 1990a; Jergens et al., 1992; Jergens et al., 2003a; 517 Allenspach et al., 2007; Garcia-Sancho et al., 2007; Schreiner et al., 2008). Separate studies in 518 dogs with small intestinal IBD have yielded conflicting results about the utility of endoscopic 519 scoring as a measure of disease activity (Allenspach et al., 2007; Garcia-Sancho et al., 2007). 520 These discordant results might be partially explained by differences in operator experience in 521 detecting mucosal lesions of inflammation. The use of a simple endoscopic activity score based 522 on qualitative criteria (e.g., friability, granularity, erosions, and lymphatic dilatation) has been 523 524 recently validated in dogs with histopathologic IBD (Table 6; Slovak et al., 2015).

525

Despite limitations in endoscopic biopsy quality and histologic grading, advances are 526 527 being made. Willard (Willard et al., 2008) demonstrated that histopathologic lesions of intestinal lymphangiectasia were correctly identified by most pathologists in dogs with hypoproteinemia. 528 The obvious utility of collecting ileal biopsies to aid in the differentiation of feline lymphoma 529 from severe enteropathy (Evans et al., 2006; Kleinschmidt et al., 2010; Scott et al., 2011), and 530 the recognition that ileal and duodenal mucosa differ in the character/severity of inflammation, 531 532 has improved diagnostic accuracy (Casamian-Sorrosal et al., 2010; Procoli et al., 2013). Finally, molecular testing performed on endoscopic biopsies is gaining popularity in clinical practice for 533 534 the evaluation of specific bacterial pathogens (Hostutler et al., 2004; Janeczko et al., 2008;

Jergens et al., 2009), microbial abundance (Xenoulis et al., 2008; Suchodolski et al., 2010;
Suchodolski et al., 2012), and host gene expression profiles (Wilke et al., 2012).

537

538 Conclusions

Endoscopic biopsy has a primary role in morphological investigations of the upper and 539 lower GI tract in dogs and cats. The value of endoscopic biopsy is influenced by the following 540 caveats: (1) endoscopic biopsy is not indicated in all animals with GI disease, especially those in 541 which appropriate therapeutic trials (e.g., deworming, dietary modification, antimicrobial trial 542 for antimicrobial-responsive diarrheas) have not been performed; (2) mucosal biopsies should 543 always be collected when performing GI endoscopy; biopsy guidelines are now established and 544 recent studies indicate that operator experience influences both endoscopic mucosal assessment 545 and the quality of the endoscopic biopsy specimen collected; (3) adequate numbers of high 546 quality specimens should be submitted to enhance diagnostic accuracy; (4) ileal biopsies should 547 always be obtained, even 'blind' biopsies through the ileocolic valve are required to do so; (5) 548 549 endoscopic specimen quality should be optimized by careful tissue removal from forceps, proper biopsy orientation, and submission to a laboratory skilled in endoscopic histopathologic 550 interpretation; and (6) histopathologic guidelines for biopsy interpretation remain fluid, since 551 standardized criteria for mucosal inflammation have not been embraced by all pathologists. The 552 WSAVA histopathologic score is often utilized and includes key morphologic and inflammatory 553 features (with the exception of goblet cells) relevant to GI inflammation in dogs and cats. 554

555

556 **Conflict of interest statement**

- 557 None of the authors of this paper has a financial or personal relationship with people or
- organizations that could inappropriately influence or bias the content of the paper.

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859	Figure legends
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861	Fig. 1. Representative still images used in the development of an endoscopic activity score:
862	A. normal stomach; B. erosions stomach; C. friability stomach; D. granularity stomach; E.
863	normal duodenum; F. erosions duodenum; G. friability duodenum; H. granularity duodenum; I.
864	lymphatic dilatation duodenum; J. normal colon; K. erosions colon; L. friability colon; M.
865	granularity colon; N. mass colon. All images are of canine GI mucosa.
800	
867	Fig. 2. Photographs of the different types of available disposable forceps. #1: Alligator large
868	capacity with spike, #2: Alligator large capacity, #3: Alligator standard, #4: Alligator standard
869	with spike, #5: Standard oval, #6: Alligator pediatric (Goutal-Landry et al., 2013).
870	
871	Fig. 3. Endoscopic biopsy of the duodenum showing a linear strip of mucosa that has been
872	removed. Note the appearance of the opaque muscularis mucosa, indicative of removal of an
873	excellent-quality mucosal specimen. Courtesy of MD Willard.
874	
875	Fig. 4. 'Blind' biopsy technique of the canine ileum showing passage of the pinch forceps
876	through the ileocolic sphincter. Courtesy of MD Willard.
877	
878	Fig. 5. Small intestinal biopsy specimen procured with pinch forceps from a healthy dog. Note
879	the excellent quality of this specimen, as evidenced by numerous intact villi, perpendicular
880	orientation of crypts to surface epithelium, and inclusion of deeper lamina propria tissue
881	(hematoxylin and eosin stain).

882

Fig. 6. Several duodenal biopsy specimens may be placed on cucumber slices before tissueprocessing. This minimizes specimen handling at the pathology laboratory.

885

Fig. 7. Poor-quality small intestinal biopsy specimen. (A) Note that tissues consist of villus tips
only, without underlying subvillus *lamina propria* and associated structures. This type of tissue
artifact may be caused by poor biopsy technique or a specimen rolling over during fixation. (B)
Significant squeeze artifact at the base of the tissue specimen (*circle*). Artifacts of this type are
sometimes difficult to avoid, even with good biopsy technique (both images are hematoxylin and
eosin stain).

892

Fig. 8. Brush cytologic specimen obtained from the small intestine of a dog with moderate
lymphocytic enteritis. Note the numerous small lymphocytes embedded within the raft of
duodenal epithelia.

896

Fig. 9. Three color fluorescence in situ hybridization (FISH) image of a colonic biopsy specimen
in a dog with inflammatory bowel disease. Cy-3 positive (orange) clostridia organisms are
observed within a biofilm along with other FITC-labeled (green) bacteria adherent to the surface
epithelia. DAPI-stained nuclei (blue) are also seen.

901

Fig. 10. Colonic biopsy specimen showing a diffuse infiltrate of periodic acid–Schiff (PAS)
positive macrophages within the colonic mucosa of a boxer diagnosed with granulomatous
colitis.

905

- Fig. 11. Immunophenotyping performed on an ileal biopsy specimen of a cat diagnosed with GI 906
- lymphoma. A dense homogenous (>90%) population of T lymphocytes (CD3+ T-cell stain) have 907
- 908 infiltrated within the ileal mucosa.
- 909

Accepted Manusching

910 **Table 1.** Clinical indications and utility for endoscopic biopsy of the gastrointestinal tract

Endoscopic procedure	Clinical indications	Animal preparation	Diagnostic use	Specimen collection	Comments
Esophagoscopy	Signs of dysphasia/odynophagia, unexplained halitosis, nausea, regurgitation, coughing, anorexia, weight loss	Withhold food >12 h; radiograph for barium retention if contrast studies performed	Mucosal erosions, stricture, mass, foreign bodies	Endoscopic biopsy Cytologic specimens	Mucosal biopsy rarely performed except for masses or obvious infiltrates
Gastroscopy	Signs of vomiting, hematemesis, nausea, anorexia, weight loss	Withhold food >12 h; feed soft food as last meal before procedure; radiograph for barium retention if contrast studies performed	Mucosal friability, granularity, mass, ulcer/erosions, foreign bodies, pyloric mucosal hypertrophy, gastric nematodes	Endoscopic biopsy Cytologic specimens Parasite extraction	Good quality gastric biopsies are easy to obtain be sure to biopsy fundus, body, and antrum/pylorus
Duodenoscopy	Signs of small bowel diarrhea, melena, vomiting, anorexia, weight loss	Withhold food >12 h; feed soft food as last meal before procedure	Mucosal friability, granularity, mass, ulcer/erosions, foreign bodies	Endoscopic biopsy Cytologic specimens	Duodenal biopsies are quite friable
Ileoscopy	Signs consistent with either upper or lower GI disease	Withhold food >24 h; thorough colonic cleansing required	Mucosal friability, granularity, erosions	Endoscopic biopsy Cytologic specimens	Always obtain ileal biopsies; 'blind' forceps biopsies are OK
Colonoscopy	Signs of large bowel diarrhea, tenesmus, mucus, hematochezia	Withhold food >24 h; thorough colonic cleansing required	Mucosal friability, granularity, erosions, mass, vascular ectasia	Endoscopic biopsy Cytologic specimens	Always biopsy all three colonic regions

- 912 **Table 2**. Gastrointestinal diseases that may not have significant histopathologic abnormalities
- 913 (modified from Jergens et al., 2011)

GI diseases unaccompanied by significant histopathologic abnormalities

Motility disturbances Brush border defects Antimicrobial-responsive enteropathy Secretory diarrheas Adverse food reactions Mucosal permeability defects

914 GI, gastrointestinal

915

916 **Table 3**. Definitions of endoscopic mucosal appearances

Mucosal appearance	Definition
Normal mucosa	No macroscopic lesions to mucosal surface
Friability	Bleeding on contact with endoscope or biopsy forceps
Granularity	Alteration in the texture of the mucosa
Erosion	Superficial linear mucosal defect(s) with hemorrhage
Hyperemia	Gradations of mucosal redness (pale \rightarrow red)
Lymphatic dilatation	Multifocal to diffuse white foci within the mucosa
Mass	Abnormal growth of tissue projecting into lumen

917

Table 4. GIT endoscopic biopsy sample recommendations (Willard et al., 2008)

Species	Gastrointestinal	Number of	Comments
	organ	endoscopic	
		specimens ^a	
Dog	Stomach	6 adequate	Biopsy gastric body unless focal
			lesions present
Dog	Duodenum	10-15 adequate	Up to 15 marginal samples may be
			required
Dog/cat	Ileum	3-5 adequate	Exact number unknown; blind
			forceps biopsies are OK
Dog/cat	Colon	9-12 adequate	Obtain 3-4 biopsies from each
U		•	colonic region
Cat	Stomach	6 adequate	Six mucosal samples generally
		1	diagnostic
Cat	Duodenum	6 adequate	Six mucosal samples generally
		1	diagnostic

919

^a Adequate refers to quality of endoscopic specimen i.e. diagnostically adequate

15 =

922	Table 5. Cucumber pa	er preparation f	or endoscopic sample	submission (Swan and Davis,
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923 <u>19</u>70)

Step	Instructions
1	Slice a firm cucumber as thinly as possible, avoiding seed areas
2	Place cucumber slices in 95% ethanol for 3 days; change ethanol daily
3	Then store the cucumber slices in 95% ethanol in a refrigerator
4	Remove endoscopic specimen from forceps and place on cumber slice in cassette.
	Do not allow the cucumber slices to dry out completely as specimens adhere less well to dry cucumber
5	Place cucumber-cassette unit into formalin container and submit to laboratory

924

Table 6. Qualitative assessment of mucosal appearance for endoscopic activity (Slovak et al., 925

2015) 926

=010)		
Appearance	Score ^a	Description
Friability	0	Absent
	1	Present
Granularity	0	Absent
	1	Present
Erosions	0	Absent
	1	Present
Lymphatic	0	Absent
Dilatation [*]	1	Present

Receive

927

^a Defined only during enteroscopy; Maximum gastroscopy score = 3; Maximum enteroscopy 928

score = 4; Maximum colonoscopy score = 3929