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- 1 Agreement between two inertial sensor gait analysis systems for lameness exams in horses
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- 8

9 **Declarations:**

- 10 Ethical Considerations: The project was granted approval by the Royal Veterinary College's Ethics
- 11 and Welfare Committee. Owners of privately owned horses gave signed consent for the use of their
- 12 horses in the study.
- 13 Competing Interests: The authors of this paper have no financial or personal relationships with other
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25 Summary

26 Gait analysis is gaining in popularity for quantification of lameness and two commonly used inertial

27 sensor systems assess trunk movement symmetry: can these be used interchangeably in multi

28 centre studies?

29 We compared head and pelvic movement symmetry between two inertial sensor gait analysis

30 systems in 13 horses equipped simultaneously with the two systems. The first system quantified

31 dorso-ventral movement in the local reference frame (System A), the other system global vertical

32 movement (System B). Widths of limits of agreement were calculated employing a well-established

- 33 regression method dealing with systematically changing differences over the range of measured
- 34 values.

35 Widths of limits of agreement between system A and system B were narrower for pelvic movement

36 than for head movement. For head movement, they ranged from 6.4 to 6.9mm for in-hand trot and

37 from 7.3 to 9.7mm in the lunge and for pelvic movement from 2.5 to 4.4mm in-hand and from 3.6

to 5.3mm on the lunge.

39 Widths of limits of agreement between the two investigated inertial sensor gait analysis systems are

40 of comparable magnitude (some equivalent, some marginally higher) to the currently proposed

41 thresholds of 6mm for head and 3mm for pelvic movement used in lameness investigations.

42 Differences in measurements with two different systems (A and B) obtained from the same horse

43 falling within the reported values should not be seen as a sign of a change in lameness.

45 Introduction

46 Equine gait analysis and in particular quantitative assessment of gait parameters in lame horses – e.g. head nod (Buchner et al. 1996) and hip hike (May and Wyn-Jones 1987)- is increasingly 47 48 performed with systems based on inertial sensors (Keegan et al. 2004, Marshall et al. 2012, 49 McCracken et al. 2012, Starke, et al. 2012a, Maliye et al. 2013, Pfau et al. 2014, Rungsri et al. 2014). 50 These systems are based on wireless technology allowing assessment with minimal infrastructure: 51 Inertial sensors mounted on the horse and a laptop computer nearby. Increasing numbers of 52 publications address clinically relevant exercises such as lungeing (Starke et al. 2011, Pfau et al. 53 2012, Rhodin et al. 2013, Starke et al. 2013, Brocklehurst et al. 2014). In order to avoid 54 misinterpretations of differences between systems in the framework of evidence based medicine, 55 e.g. when a horse is referral to a specialist centre, it is essential to quantify the differences between 56 these two systems. This knowledge is also important for multi-centre research studies when data is 57 collected with different systems. In the context of inertial sensor based systems, potential sources of 58 differences could be related to differences in sensor hardware, the filtering and processing 59 algorithms to derive displacement from the recorded acceleration signals as well as from the 60 selection of strides.

61 Aim of this study was to quantify the differences between two inertial sensor based gait analysis 62 systems used in clinical practice and research environments under practically relevant conditions. Both systems quantify head and pelvic movement symmetry from inertial sensors mounted over the 63 64 poll and over the midline of the horse at the level of the tuber sacrale. The first system (System A¹) 65 uses two uni-axial accelerometers mounted on head and pelvis and additionally a uni-axial 66 gyroscope attached to the right forelimb to facilitate identification of stride events. The other system (System B²) uses two inertial sensors each containing one tri-axial accelerometer, one tri-67 68 axial gyroscope, and one tri –axial magnetometer per sensor. System A records movement in the 69 direction of the uni-axial accelerometer while system B calculates vertical movement. This difference

- is particularly relevant on the lunge when horses lean into the circle (Pfau et al. 2012, Brocklehurst
- et al. 2014) potentially affecting agreement between the two systems.

Our objectives were (1) to quantify limits of agreement for movement asymmetry quantified with the two systems in trot during in-hand assessment on the straight and during lungeing. We hypothesized, that widths of limits of agreement (Bland and Altman 1986) would be similar to reported values comparing between system B and optical motion capture (Warner et al. 2010) and similar to currently proposed thresholds for system A for the lameness exam: 6 mm for head, 3 mm for pelvic movement asymmetry (McCracken et al. 2012).

78 Materials and Methods

79 Animals and facilities

Thirteen horses were recruited from a single riding yard featuring an indoor arena with a sand/fibre based riding surface and an outdoor area with a hard flat surface suitable for trotting horses. The horses were used for a variety of equestrian activities (see table S1) and comprised horses perceived to be sound and well-functioning (i.e. in regular work and in the opinion of their owners not perceived to have performance issues) as well as horses with a previous history of injury (see table S1 for details about horses). The project was approved by the Royal Veterinary College's Ethics and Welfare Committee.

87 Data collection

88 Each horse was simultaneously equipped with two inertial sensor based gait analysis systems.

System A¹ comprised of three uni-axial inertial sensors: one uni-axial gyroscope attached to the right
forelimb pastern region facilitating stride segmentation and two uni-axial accelerometers mounted
over poll and over the midline of the horse at the level of the tuber sacrale to quantify head and

92 pelvis movement symmetry. Uni-axial acceleration (dorso-ventral) was recorded at 200 Hz with 8 bit
93 digital resolution and over a range of +/-6 times gravitational acceleration (Keegan et al. 2011).

System B² comprised two six degree of freedom-inertial sensors (Pfau et al. 2005, Warner et al. 2010), one mounted over the poll and one over the midline of the horse at the level of the tuber sacrale; both sensors attached immediately behind the corresponding sensor of system A. Sensor data of each of the nine channels (3x acceleration: range +/-18 times gravitational acceleration, 3x angular velocity: range +/-1200 degree/s, 3x magnetic field: range +/-750mGauss) were recorded at 100 Hz and with 16 bit resolution. Data of both systems were transmitted wirelessly from the horse to a nearby laptop computer running the corresponding proprietary data collection software.

101 Horses were trotted in-hand and while being lunged on a soft equestrian sand/fibre based surface in 102 an indoor riding arena. Lungeing was performed in both directions with a circle radius of 103 approximately 5-7 m. Multiple lungeing trials were acquired for most horses in order to capture 104 stretches of data encompassing steady state locomotion (horse moving at consistent speed and 105 circle radius; judged subjectively). Data collection was manually started and stopped at 106 approximately the same time for the two inertial sensor systems. Data collection was continued until 107 at least 25 strides of steady state locomotion – judged subjectively by the experimenters at the time 108 of data collection – were available for each of the exercise conditions.

109 Data processing

Data were processed with the corresponding software packages for each system. For both systems this procedure comprised filtering, stride segmentation and double integration from acceleration to displacement (Keegan et al. 2001, Keegan et al. 2004, Pfau et al. 2005, Warner et al. 2010, Starke, et al. 2012b). Sensor based (System A) or vertical (System B) displacement values calculated over each stride cycle were then used to determine movement symmetry for each stride cycle. Movement symmetry was characterized in both systems by calculating the differences in minimum and 116 maximum head and pelvic displacement that occurs during and after stance of right and left halves 117 of each full stride cycle (HDmin, HDmax, PDmin, PDmax, (Kramer et al. 2004, Keegan et al. 2011), or 118 MinDiff, MaxDiff, (Starke et al. 2011)). For each horse a mean value for all strides was calculated for 119 each exercise condition (i.e. for each trial representing one of the exercise conditions, trot in straight 120 line or on left or right rein). Prior to further statistical analysis values for HDmax and PDmin for 121 system A were multiplied by -1 to match the sign convention of system B: positive values for MinDiff for left hind and right forelimb lameness and for MaxDiff for right hind and left forelimb lameness, 122 123 negative values for the MinDiff for right hind and left forelimb lameness and for MaxDiff for left hind 124 and right forelimb lameness.

125 Data analysis

126 Head and pelvic movement symmetry measures were compared between the systems based on 127 procedures described previously for method comparison studies (Bland and Altman 1986). Averages 128 of and differences between the mean symmetry values quantified for the two systems were 129 calculated for each trial. Evaluation of scatter plots of the difference values over the mean values 130 (Bland and Altman, 1986) showed that differences between the two systems were systematically 131 affected by the measurement value: increasingly negative differences with increasing mean value. As 132 a consequence a published regression method (Bland and Altman 1999) was employed to take into 133 account this systematic difference when establishing widths of limits of agreement values for each symmetry measure. In brief, rather than calculating limits of agreement that are constant over the 134 135 range of measurements (Bland and Altman, 1986), non-constant estimates of mean difference and 136 upper and lower limits of agreement are calculated based on regression (Bland and Altman, 1999). In 137 order to facilitate calculation of matched movement symmetry values for the two systems, taking into account the identified systematic differences between the two systems, we also fitted linear 138 139 regression lines to scatter plots of system A values versus system B values and present slope and 140 intercept of these.

141 Results

- 142 A total of 81 trials were successfully recorded for 12 out of the 13 horses providing mean movement
- symmetry values for >25 strides per trial for both systems. Operator error during data collection
- 144 prevented use of the data of one horse for further analysis.

145 *Limits of agreement*

- 146 Figure 1 illustrates the limits of agreement established by the regression method (Bland and Altman
- 147 1999) showing both the mean difference between the two systems and the upper and lower limit of
- agreement (mean difference +/-2 SD of differences) over the range of observed movement
- symmetry measures for each parameter. All four show a systematic difference between the systems,
- 150 indicating a decrease in difference with increasing symmetry value. Width of limits of agreement
- values (difference between upper and lower limit) are smaller for the pelvic measures (3-5mm, Table
- 152 1, 'all') than for the head symmetry measures (7-9 mm, Table 1, 'all').

153 <u>Differences between straight line and lungeing</u>

154 In order to establish whether agreement was different for straight line and lungeing, we analysed 155 the widths of limits of agreement values separately for the straight-line and for the lungeing trials. 156 This is illustrated in Figure 1 with different colors (blue: lunge; red: in-hand). As a consequence of the 157 systematic differences the widths of the limits of agreement varied as a function of the measured 158 value, i.e. the lines illustrating upper and lower limit in figure 1 are not parallel to the x-axis. In order 159 to present one representative value for the agreement per condition an average value for the 160 widths of limits of agreement was calculated over a range of symmetry values from -20 mm to + 161 20mm; this range covers more than 95% of the movement symmetry values measured in this study. 162 Table 1 shows that the widths of limits of agreement vary between +/-2.5 mm (PDmax, straight line) 163 and +/-9.7mm (HDmax, lungeing) with smaller values for pelvic movement (+/-2.5mm to +/-5.3mm) 164 than for head movement (+/-6.4mm to +/-9.7mm). Average limits of +/- 6.2mm were quantified

- across all four measures for the combined data set, of +/- 6.5mm for lungeing and +/- 5.1mm for
- 166 straight line trials. Finally, table 2 presents slope and intercept values for linear regression lines fitted
- 167 to scatter plots of system A versus system B values. The presented values allow calculation of system
- 168 B values from system A values.

169 Discussion

- 170 Here we have compared two commonly used inertial sensor based equine gait analysis systems that
- 171 quantify head and pelvic movement symmetry and we established limits of agreement after
- 172 correcting for systematic differences between the systems (Bland and Altman 1986, Bland and
- 173 Altman 1999).
- 174 A 'worst case scenario' study design was chosen to reflect the practical scenario we have in mind: a
- 175 horse gets transferred between veterinarians, e.g. from first opinion practice using one system to a
- 176 specialist referral centre using the other system. The question is then when comparing movement
- 177 symmetry values whether the horse shows an improvement, a worsening or no change.
- 178 Synchronization between the systems was hence implemented by recording approximately the same
- series of strides with each system by starting and stopping the recording simultaneously (no
- 180 hardware synchronization) since in the above scenario, no information about the selected strides
- 181 will be available. With this 'worst case scenario' approach we have- in our opinion achieved
- 182 promising results.

Studying the scatter plots in Figure 1 (Bland and Altman 1986) and slope values presented in table 2
between system A and system B values it becomes apparent that system A consistently
underestimates the amount of movement asymmetry compared to system B: decreasing differences
with increasing asymmetry values. System B has been shown previously to marginally overestimate
displacement compared to an optical motion capture system by 0.7 to 2% ((Warner et al. 2010),
table 1). Here, the slopes of the regression lines of figure 1 as well as the slope values found in table

2 suggest that system B overestimates asymmetry by considerably more than 2% compared to
system A. As a consequence, system A would underestimate the 'true' amount of asymmetry were it
to be compared to motion capture.

By using the suggested regression method (Bland and Altman 1999) it was possible to take into account the systematic differences between the two systems and to establish average width of limits of agreement values across a range of movement symmetry values. We chose to calculate the widths of limits of agreement over a range of symmetry value from -20mm to +20mm hence including over 95% of the values presented here during trot in-hand and on the lunge for a range of horses with/without history of musculoskeletal problems (table S1).

198 By comparing the widths of limits of agreement (the 95% confidence interval) to threshold values 199 used in the context of the clinical lameness exam (McCracken et al. 2012), it is possible to make a 200 judgement about the interchangeability of measurements for the task of classifying horses into 201 'sound' and 'lame'. If the disagreement is higher than the thresholds, then a classification into sound 202 and lame will statistically result in discrepancies in more than 5% of cases. In a study with system A, 203 thresholds of 6 mm for HDmin or HDmax and 3 mm for PDmin or PDmax have been presented 204 (McCracken et al. 2012). The widths of limits of agreement values of +/-8.8 mm and +/-7.2 mm for 205 HDmax and HDmin and of +/-3.4 mm and +/-5.2 mm for PDmax and PDmin observed across all 206 exercise conditions (Table 1) suggest that the limit of agreement values are equivalent (PDmax) or 207 marginally outside (all others) these threshold values. However the widths of limits of agreement 208 values on the straight (Table 1) are with +/-6.9 mm and +/-6.4 mm for HDmax and HDmin and +/-2.5 209 mm and +/-4.4 mm for PDmax and PDmin closer (HDmax, HDmin, PDmin) or even marginally below 210 (PDmax) these thresholds and it should be emphasized that the thresholds (McCracken et al. 2012) 211 have been defined based on straight line trot.

The widths of limits of agreement values are also similar (slightly larger for head movement andslightly smaller for pelvic movement) to the values presented previously for a comparison between

system B and an optical motion capture system (+/-4 and +/-8mm, (Warner et al. 2010)). The two
inertial sensor systems hence agree similarly well than system B with the optical system. This seems
interesting to note since – in contrast to the earlier study (Warner et al. 2010) where exact
synchronization between inertial sensors and motion capture was performed – here with our
practical 'worst case scenario' approach we made use of the automated stride selection provided by
the different inertial sensor software packages. The influence of exact time synchronization when
comparing between different inertial sensor systems should be further investigated.

221 An additional source of 'mismatch' between the two systems is the physical location; here we placed 222 system B sensors directly behind the corresponding system A sensor (approximately 0.05m between 223 sensors). Only limited variation has been documented from inertial sensor measurements placed 224 along the spine (Warner et al, 2010) with inter-sensor distances of approximately 0.15 to 0.2m. 225 Abaxial misplacement of motion capture markers (Starke et al, 2012c) has been shown to have more 226 influence on movement symmetry measurements (up to 11mm when misplaced by 0.07m; typical 227 inter- and intra-operator variation in marker placement has been reported to be considerably less 228 than 0.07m (Weller et al, 2006)). Care should hence be taken to place sensors in the midline of the 229 horse since the sensing elements (in particular relevant here accelerometers, gyroscopes) may not 230 be in the centre of the physical sensor housing.

231 The horses used in this study varied in breed, age, sex, use and presence/history of musculoskeletal problems (see table S1). In the context of the study design employed here – comparing two gait 232 233 analysis systems simultaneously mounted on the same horse – the variability between horses is not a disadvantage since comparisons are made within horses. On the contrary, if all horses had been 234 235 completely sound, i.e. showing symmetrical movement on the straight and only small asymmetries 236 on the lunge (see e.g. (Starke et al. 2011, Pfau et al. 2012)), then the comparison between the 237 systems would likely have covered a much smaller range of values (x-axis in Figure 1). Estimates of 238 the limits of agreement would then only have been applicable for the small range of values observed in sound horses. Here average values of movement symmetry measures between the two systems
cover a range of approximately +/-25 mm for head movement and of up to +/-15mm for pelvic
movement (x-axis, Figure 1). This is similar to what has been reported previously for similar lungeing
conditions (Pfau et al. 2012) and we have used a similar range of +/-20 mm to calculate widths of
limits of agreement from the regression approach, which covers more than 95% of the symmetry
values in this study.

245 Conclusions

246 After regression based correction for systematic differences between the two systems, the widths of 247 limits of agreement values for comparison of straight line trials are within or marginally outside 248 currently proposed thresholds of detecting lameness in horses (6mm for head movement, 3mm for 249 pelvic movement). Differences in measurements between the two systems obtained from the same 250 horse that fall within the widths of limits of agreement values reported here should not be seen as a 251 sign of a change in movement symmetry in this horse. These are +/-6.9 mm and +/-6.4 mm for HDmax and HDmin and +/-2.5 mm and +/-4.4 mm for PDmax and PDmin on the straight. On the 252 253 lunge these are +/-9.7mm and +/-7.3mm for HDmax and HDmin or +/-3.6mm and +/-5.3mm for 254 PDmax and PDmin.

255 Manufacturers' addresses

- ¹LamenessLocator, Equinosis, LLC, Columbia, Missouri, United States of America
- 257 ²MTx, Xbus system, Xsens Technologies B.V., Enschede, The Netherlands

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319 Supplementary Information Items

320 **Table S1:** Information about horses participating in study.

322 Figure legends



Figure 1: Difference between system A and system B symmetry measures (A-B, y-axis) as a function
of average value of both systems ((A+B)/2), x-axis) for each of the 81 trials (red: straight line trials;
blue: lungeing trials) from the 12 horses for which data was successfully recorded. The widths of the
limits of agreement are illustrated by the green lines including +/-2 SD of difference values over the
range of observed movement symmetry values.
A: difference in head movement minima (HDmin), B: difference in head movement maxima

330 (HDmax), C: difference in pelvic movement minima (PDmin), D: difference in pelvic movement

331 maxima (PDmax).

333 Tables:

Table 1: Width of limits of agreement (+/-2*SD of differences) established as an average across the
range of -20 mm to +20 mm for average values between system A and B measurements (x-axis in
Figure 1) for all four symmetry measures for data from all trials, for straight-line trials and for
lungeing trials. For all four symmetry measures the widths of the limits of agreement are narrower
for straight-line trot than for lungeing. The difference between the widths of the limits of agreement
of straight line and lungeing is also given (lunge-straight). All values are given in mm.

	All	lunge	straight	lunge-straight
HDmax	+/- 8.8	+/- 9.7	+/- 6.9	2.8
HDmin	+/- 7.2	+/- 7.3	+/- 6.4	0.9
PDmax	+/- 3.4	+/- 3.6	+/- 2.5	1.1
PDmin	+/- 5.2	+/- 5.3	+/- 4.4	0.9
average	+/- 6.2	+/- 6.5	+/- 5.1	1.5

340

341 **Table 2:** Slope (a) and intercept (b) values of the regression equations for calculation of system B

342 movement symmetry values (y) based on system A movement symmetry values (x) for straight line

343 ('in-hand') and lunge trials.

Equation used: $y = a^*x + b$ based on sign convention for system B (see materials and methods for

345 details).

symmetry	straight		lunge		
measure	slope a	intercept b	slope a	intercept b	
HDmin	1.2204	0.4118	1.5761	-2.3685	
HDmax	1.2126	2.3728	1.0968	-1.4187	
PDmin	1.2218	1.7242	1.3175	0.5894	
PDmax	1.4545	1.8118	1.4245	0.5359	