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#### 1 Olfaction variation in mouse husbandry and its implications for refinement and standardisation: UK

2 survey of animal scents

#### 3 Short title: Survey of animal scents in mouse husbandry

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### 11 Abstract

Olfaction plays a crucial role in mouse communication, providing information about genetic identity, 12 13 physiological status of conspecifics and alerting mice to potential predators. Scents of animal origin can 14 trigger physiological and behavioural responses that could that could affect experimental responses and impact positively or negatively on mouse welfare. Additionally, differing olfactory profiles could help 15 explain variation in results between laboratories. A survey was sent to animal research units in the UK to 16 17 investigate potential transfer of scents of animal origin during routine husbandry procedures, and 18 responses were obtained from animal care workers and researchers using mice in 51 institutions. The 19 results reveal great diversity between animal units regarding the relevant husbandry routines covered. 20 Most (94%) reported housing non-breeding male and female mice in the same room, with 79% reporting that hands were not washed and gloves not changed between handling male and female mice. The most 21

commonly reported species housed in the same facility as mice was the rat (91%) and 41% of 22 23 respondents were aware that scents from rats could affect mice. Changing gloves between handling 24 mice and other species was reported by 79% of respondents. . Depending on the aspect considered, 25 between 18 and 33% of respondents believed human and non-human animal odours would strongly affect mouse physiology, behaviour or standardisation, while approximately 32-54% believed these 26 effects would be weak. This indicates uncertainty regarding the significance of these factors. 27 Understanding and controlling these practices could reduce unwanted variability in experimental results 28 29 and maximise welfare.

30 Keywords Olfaction, mice, husbandry, survey, refinement

Mice are adapted for a dangerous world. In nature they compete for resources with their own kind (conspecifics) and avoid being predated by other species, relying mainly on olfaction to do so <sup>1,2</sup>.

Mice use olfactory cues in deciding whether or not to interact with the signaller, for avoiding predators or seeking a mate; transfer of scents may also occur in the laboratory setting, where multiple species, or both genders of mice are housed in the same building. Transfer of animal-derived scents is likely to occur in shared areas and through staff members. Odours from previous animals can linger on anaesthetic, behavioural and other equipment and influence animals that are subsequently exposed.

38 Scents provided by a conspecific are called pheromones <sup>3</sup>and can trigger physiological reactions 39 that will depend on the identity of the signaller. For example, territory marking by urine is known to 40 provide both non-variable genomic information like species, sex and identity, and metabolic information 41 such as health, social hierarchy and nutritional and reproductive status<sup>4</sup>. Mediating these endocrine and 42 behavioural changes via non-volatile and volatile metabolites <sup>5-8</sup>.

These social signals are produced by both males and females and produce effects in both 43 genders. Male urine may elicit, aggression, fleeing behaviour or submission in the recipient male 44 depending on its position in the social hierarchy<sup>9</sup> (but less so if the males are of the same inbred strain 45 46 as each other <sup>10</sup>). In females, male urine induces changes in reproductive physiology such as the oestrus synchronisation or 'Whitten Effect' <sup>11-13</sup>, Female urine can initiate fights in group housed male mice 47 <sup>14,15</sup> and suppress oestrous in other females in a phenomenon known as 'the Lee-Boot Effect' <sup>16</sup>. 48 Species-specific odours called kairomones derived, for example, from the other species' fur, 49 urine, faeces and anal gland secretions <sup>17</sup>, allow predators and prey to detect each other at a distance. 50

51 Laboratory bred mice retain the capacity to detect predators to which they have never been exposed <sup>18</sup>.

52 Exposure to predator scents induces neuroendocrine changes that affect patterns of activity (e.g. freeze,

flee), decrease feeding rate and hamper reproductive performance <sup>19</sup> and this is even used in modelling
 human emotional disorders such as anxiety and panic <sup>20-22</sup>.

Interaction with humans may also affect the mouse's behaviour, immune response and physiology, according to the nature of the contact. The identity, including gender, of the handler is reported to produce different effects <sup>23-26</sup> and stressful situations (handling or painful procedures) prompt mice to produce urine containing alarm pheromones (APs) that can be detected by other individuals of both sexes <sup>27</sup>. Exposure to APs has been linked to an inhibition of the immune system and produces active behaviours (e.g. increased locomotion, air sampling) similar to those caused by predators <sup>27-32</sup>.

The olfactory environment specific to the experimental situation could explain some of the inconsistency of laboratory results <sup>33,34</sup>. Scents that are idiosyncratic to a particular place or person could be a source of systematic error that could reduce external validity of the results. Additionally, variations on the olfactory environment could increase random errors requiring larger sample sizes to find significant results. With this in mind, husbandry procedures should be designed to minimize the transfer of pheromones and kairomones to mice by adequate handling routine and PPE changes.

In summary, conspecific and allospecific odours can have behavioural and physiological effects on mice, but little is known about the variability and propensity for these effects to occur across laboratories. We therefore carried out a UK-wide survey of animal units to learn about routine husbandry practices with the potential of transfer of animal scents.

72 Materials and methods

The questionnaire was emailed to individuals involved in laboratory animal work in the UK in
February 2012. These contacts were obtained through the professional network of the RVC Named
Veterinary Surgeons Group. A second round was sent in May 2012 to maximise UK coverage and the

survey closed in June 2012. During the second round, the survey was distributed using specialist mailing
lists (Vets on Line; VOLE and Institute of Animal Technology; IAT) and it was advertised in the Laboratory
Animal Science (LASA) Spring Forum magazine. To safeguard anonymity no personal details were asked
and respondents were given the option not to disclose the name of their organisation. A cover letter
accompanied the questionnaire communicating the purpose of the study and providing instructions on
how to complete the survey. It also re-assured participants that anonymity would be observed for
individuals and for institutions.

The questionnaire was created using Survey Gizmo (www.surveygizmo.com), an on-line application that allowed respondents to enter free text and to select a predetermined answer from a list. Colleagues with knowledge on the field (veterinarians and animal technicians) completed a pilot run of the questionnaire and their feedback was used to improve its design.

The survey consisted of 34 questions covering 5 main topics: glove use, cleaning products, other animals, staff policies and personal opinions (Supplementary material S1). There were 23 multiple-choice questions and 11 open questions. Open questions aimed at providing further details on multiple-choice questions or were used to leave an opinion or a comment. The language of the questionnaire was English. The questions relevant to the current study covered:

- Demographic information: role, age and sex of the respondent, type of facility, and type of rodent
   caging.
- If strains or sexes were kept separately from other mice, and if so, why.
- Whether gloves, gowns or clothes were changed, and/or hands washed between mice of opposite
   sexes, and/or between handling other species and mice.
- Whether anaesthesia chambers or behavioural apparatus were cleaned between individual mice
   and at the end of the day.
- Whether respondents had noticed any effects of male mouse odour on female mice, or vice versa.

100	• What species were housed in the same room as mice, or elsewhere in the facility.
101	• Which species the respondents believed affected mice (giving details of effects).
102	Ownership of pets by staff members.
103	• Respondents' opinions on the relative importance of odours from other mice or other species with

104 respect to standardization, mouse health and physiology, and mouse behaviour.

105 Ethical approval for the survey was granted by the RVC Ethics and Welfare Committee (URN106 2012 0052H).

## 107 Statistical analysis

Most data were analysed using descriptive statistics. Respondent beliefs about the importance of odours influences on mice were scored between 0 (odours perceived as likely to have little effect on all four factors asked about) and 16 (odours perceived as definitely affecting all four factors). Respondent actions were also scored by totaling the numbers of possible husbandry procedures enquired about that were carried out to potentially avoid scent transfer. Spearman Rank correlations were then used to investigate relationships between beliefs, actions and certain respondent demographics.

### 114 Results

### 115 Demographics

There were a maximum of 80 responses to any one question, with 23 respondents dropping out before reaching the end of the questionnaire and 57 respondents going through all the questions but, as questions were not compulsory, they did not necessarily answer them all. Only 41 respondents volunteered their institution name. However, Survey Gizmo unexpectedly provided the IP addresses for the respondents, which were solely used to verify the independence of responses, helping exclude duplicate responses; this revealed that the 80 usable responses originated from 51 institutions (15 of which apparently had more than one mouse unit and thus generated two or more independent, noticeably different responses). Most respondents (50%) were Named Animal Care and Welfare Officers,
followed by Unit Managers (19%), animal unit staff (13%), Named Veterinary Surgeons (11%) and
scientists (7%). The highest represented type of establishment was academic research institutions (63%),
followed by government scientific research institutes (20%), pharmaceutical industry (14%) and contract
research organizations (4%).

128 Sources of conspecific odours

The majority (94%) of respondents indicated that non-breeding male and female mice were 129 housed in the same room as each other in their animal facility. Although 70% of respondents always 130 used gloves when handling mice, the majority (79%) did not wash hands or change gloves between 131 132 handling males and females. Amongst the 21% of respondents that took some precautions, 4 specified 133 that they sanitized their gloves between animals with either alcohol (1), Trigene™ (1), hand foam (1) or an unspecified product (1). Although 45% and 35% of respondents reported noticing an effect of female 134 mice on male mice and vice versa respectively, there was no significant correlation between these beliefs 135 and the above practices (p > 0.05). In the free text, the main effects reported of females on males were 136 137 aggression or fighting (10), increased activity (1), excitement (1) and non-specific changes in behaviour 138 (1) and for males on females synchronisation of oestrus (7) or increased activity levels, (3).

The most common (49%) caging system was a combination of barrier cages (including IVCs, lsolators and filter tops) and open cages, but 33% and 17% reported using solely barrier cages or open cages respectively. When using anaesthesia or euthanasia chambers, 65% respondents used a product to clean the equipment between each mouse and 21% wiped them down with water and 5% rarely cleaned them.

Table 1 below summarizes the opinion of respondents regarding the effect of other mice, other
 species and staff on mouse health and physiology, standardization of experiments and mouse behaviour.

146 Respondents considered conspecific odours as most likely to have a strong effect on mouse behaviour
147 (23%), but a weak effect (38%) on health and physiology and standardization.

#### 148 Sources of allospecific odours

54 respondents from 40 different institutions reported housing some predatory species in the
same facility as mice with rats being the most common (41) followed by ferrets (9), primates (6), cats (5)
and dogs (3) (Figure 2). When participants were requested to provide their opinion about which species
in their facility could produce odours that might affect mice, 31/29 (55%) suggested rats, 5/29 (17%)
ferrets and 3/29 (10%) humans. Other responses were cats (1), 'predators' (1), other mice (3), primates
(1) and 'miscellaneous smells' (3).

155 Regarding practices that could restrict the transfer of scents between other species and mice, 156 most respondents (79%) reported changing their gloves and 61% washed their hands, but it was not 157 clearly specified if this was done when handling other species followed by mice, or vice versa. Other less 158 common practices were changing gowns (38%) or changing all clothes (20%) and 11% of respondents did not report taking any precautions when handling different species (Figure 3). Additionally, gowns were 159 most commonly shared between staff (63%), washed weekly (32%) and only 32% had species-specific 160 gowns. No statistically significant correlation was found between these beliefs and the practices carried 161 out to minimize inter-species scent transfer (p>0.05). 162

With respect to facility-policies, 57% of respondents indicated that their place of work had a policy regulating staff pet ownership. These policies were mainly aimed at controlling the possession of rodents: keeping rodents was either not allowed (10), limited (1), discouraged (1), or it required a shower before entering the animal unit (2). Cats and dogs were the most common (74%) species kept as companion animals. Other predatory species kept as pets were rats (16%) and ferrets (9%).

168 Once again, respondents perceived mouse behaviour as being most strongly affected by scents

of other species (33%) or staff (20%). In contrast, the weakest effects were reported on health and
 physiology (38% and 54% respectively). Interestingly, non-human odours were generally considered as

171 having less of an effect than other animal odours (Table 1).

### 172 Discussion

The results of the survey have revealed that a variety of routine husbandry procedures could allow the transfer of scents of animal origin, such as odours of conspecifics of different sexes and strains, and other species including mouse predators. The critical points with arguably the biggest risk of scent transfer are the lack of changes of PPE and the methods of cleaning anaesthesia/euthanasia chambers and behavioural apparatus between animals. The survey also provided the opportunity for those most involved in the daily care of laboratory mice to raise any concerns and give their opinion regarding the importance of this type of scent transfer.

With both sexes of mice sharing the same room in the majority (70%) of cases, pheromones of 180 181 conspecifics of the other sex can be transferred if gloves are not changed and hands are not washed when handling both sexes, as reported by 78% of participants. The effect of pheromones on behaviour 182 and reproductive physiology been extensively studied and is widely used to manage mouse-breeding 183 programs. Although the use of barrier cages could reduce the exposure to pheromones in the home cage 184 (but not necessarily during procedures), conventional open cages were still used in many establishments. 185 Additionally, the nonvolatile nature of pheromones limits the capability of the barrier cages to prevent 186 187 pheromone exposure via hands or gloves, relying on adequate hand sanitising practices to prevent the 188 transfer of sexual cues. The transfer of pheromones between mouse cages could add a source of physiological variation and possibly of stress or frustration. However the lack of exposure to conspecific 189 scents could be considered as a type of sensory deprivation and might have a negative impact on mouse 190 welfare. Furthermore, mice perform differently on various behavioural tests depending on the type of 191

192 cage they are housed in, adding a source of variation to experimental results <sup>1,2,4,35-39</sup>

The survey revealed that rats are commonly (91%) housed in the same animal unit as mice and 193 194 that a large (41%) percentage of participants believed that rat odours might affect mice. When predatory and prey species are housed in the same facility, it is important to ensure that husbandry procedures 195 prevent the transfer of kairomones from the former to the latter. Kairomones present in urine and fur 196 197 can trigger stress-associated physiological and behavioural changes, but scents associated with the fur of 198 predators could have a particularly high impact as, in nature, they would indicate high proximity to the threat <sup>5-7,27-31,40</sup>. Changing gloves and washing hands was a moderately (79% and 61% respectively) 199 common practice when handling different species (although the direction of species change was not 200 201 specified) but species-specific gowns were used by only 32% of respondents. This may not be an issue if 202 repeated exposure to predatory scents leads to habituation, but strong stimuli might be resistant this phenomenon and, on occasions, if the stimulus is withdrawn and later reintroduced, responses can be 203 recovered and even potentiated <sup>3,10,41</sup>. For example, mice exposed to cat urine for 10 days failed to show 204 habituation and continued to show inhibition of sexual behaviour and over a three-fold elevation of 205 plasma corticosterone throughout this time <sup>9,14,15,19,42</sup>. However, the effect of rat scents on mouse 206 207 habituation has not been studied, and further research on the onset, length and magnitude of this 208 phenomenon would be beneficial.

Despite the effects of cat and ferret odours on mice being well researched, and indeed these odours are explicitly used as stressors in some experiments <sup>1,2,4,43-46</sup>, people's awareness of their effects on mice was low (cats: 3%, and ferrets: 17%). This may be a relevant concern, since 74% of respondents reported the keeping of cats as pets by staff at their institutions, and 9% of institutions housed cats; 16% housed ferrets.

214

Studies on human allergies have identified clothing and human hair as common sources of cat and

laboratory animal allergens <sup>5-7,27-31,47-49</sup>. Because allergens, like pheromones, derive from urine and other 215 216 animal secretions, not changing clothes or failing to cover the hair (particularly if predatory species are kept as pets in the household) could introduce olfactory cues with a noticeable effect on behaviour and a 217 possible impact on mouse welfare <sup>3,10,18,42-44,46,50-60</sup>. Indeed, respondents seemed most concerned about 218 the effects of other species' odours on mouse behaviour, health and physiology and standardization of 219 experiments, with the highest proportion (33%) believing that these effects would be strong. However, 220 there is considerable uncertainty, because approximately 40% believed that any effects were likely to be 221 222 weak, so research is required to resolve the importance of these effects in an applied context.

223 Transfer of human scents to mice can occur during husbandry procedures, particularly when it involves handling the animals with bare hands (30%). A recently published study <sup>9,14,15,25,42</sup> showed that 224 225 male and female mice exposed to human males or their scents (who share a similar androgen dependent pheromonal make up as males of other species), displayed behaviours associated with stress (increased 226 thigmotaxis in the open field, increased defecation), signs of pain inhibition (decreased facial grimacing 227 after injection of inflammatory agents) and physiological changes indicating hypothalamic activation 228 (increased plasma corticosterol levels, hyperthermia). Additionally, rodents seem to be able to perceive 229 230 differences between the olfactory identity of the people that interact with them. The presence of 231 unfamiliar experimenters can cause fear like behaviours such as higher anxiety scores in the elevated plus maze <sup>16,26</sup> or increased locomotion and place preference <sup>8,17,61,62</sup>. These types of behavioural tasks 232 are routinely used to assess mouse models of neuropsychiatric disorders<sup>18,22,63-70</sup>; drug screening and 233 toxicology <sup>19,67-69,71</sup> and for phenotyping genetically modified and mutant mice <sup>27,68,70,72-76</sup>. The effect of 234 235 the housing and test environment, such as the sex and familiarity with the experimenter, should be considered in the experimental design of animal studies <sup>2,32-34</sup>. 236

237

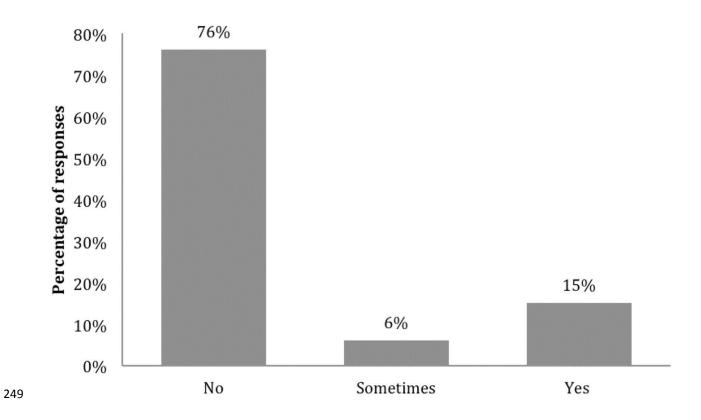
As routine husbandry procedures might have a negative (or positive) impact on the welfare of

238	laboratory animals, and could add a source of variation to experimental results, they could also affect
239	reproducibility between laboratories. The survey highlights discordance between respondents as to
240	whether scents of animal origin have strong versus weak effects on mice. Further studies to investigate
241	these effects are required to enable recommendations for best practice.

# 242 Acknowledgements

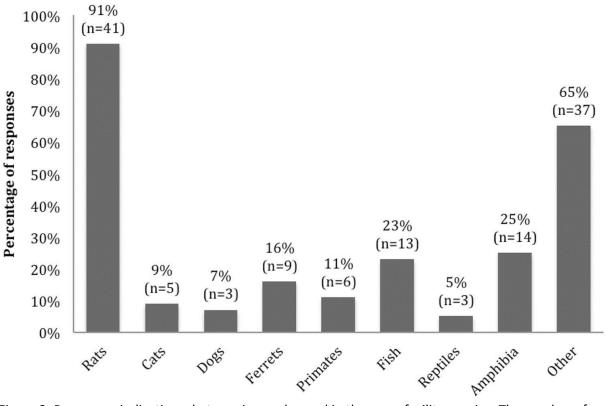
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# 248 Figure legend



250 Figure 1: Number of respondents that wash hands or change gloves between working with male and

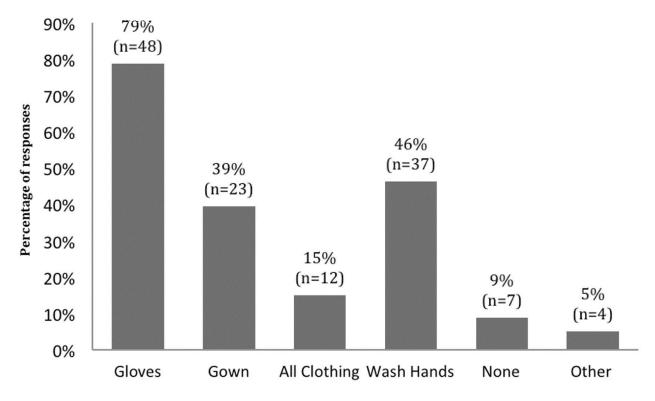
female mice. The number of responses is given on the top of each bar (n = 67).



253

Figure 2: Responses indicating what species are housed in the same facility as mice. The number of

responses for species is given on top of each bar (n = 57).



258 Figure 3: Current practices for changes of PPE between working with mice and other species. The

number of responses for each practice is given on the top of each bar (n = 61).

260

Type of effect	Effect description	Other mice <i>n</i>	Other species n	Staff <i>n</i> (%)
		(%)	(%)	
Health and	Definitely has a strong effect	3 (5)	2 (4)	1 (2)
physiology	Likely to have a strong effect	7 (13)	11 (21)	9 (16)
	Likely to have some effect	24 (44)	20 (38)	16 (29)
	May have weak effects	21 (38)	20 (38)	30 (54)
Standardization	Definitely has a strong effect	4 (7)	5 (9)	3 (6)
	Likely to have a strong effect	7 (13)	11 (20)	6 (12)
	Likely to have some effect	24 (43)	19 (35)	21 (43)
	May have a weak effect	21 (38)	19 (35)	19 (39)
Mouse behaviour	Definitely has a strong effect	5 (9)	8 (15)	1 (2)
	Likely to have a strong effect	8 (14)	10 (18)	9 (18)
	Likely to have some effect	25 (45)	19 (35)	20 (41)
	May have weak effects	18 (32)	18 (33)	19 (39)

- 262 Table 1: Opinion of respondents regarding the effect of other mice, other species and staff on mouse
- 263 health and physiology, standardization of experiments and mouse behaviour.

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