1 2	Short Communication							
23	Pharmacokinetics and pharmacodynamics of cefazolin in healthy horses after intramuscular							
4	administration using Nonlinear Mixed Effect Modelling							
5 6	Running title: Cefazolin PK/PD in horses							
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22 Pharmacokinetics and pharmacodynamics of cefazolin in healthy horses after intramuscular

23 administration using Nonlinear Mixed Effect Modelling

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25 Abstract

26 A pharmacokinetics/pharmacodynamics (PK/PD) approach was used to determine the best 27 empirical dosage regimen of cefazolin (CEZ) after an intramuscular (IM) administration of CEZ 28 in horses. Seven horses received a single IM or intravenous (IV) administration of CEZ of 5 29 mg/kg body weight (bwt) according to a crossover design. CEZ plasma concentrations were 30 measured using LC-MS/MS. Plasma concentrations were modeled using nonlinear mixed-31 effect modeling followed by Monte Carlo simulations to establish a rational dosage regimen 32 for CEZ. A 90% probability of target attainment (PTA) for a PK/PD target of a free serum 33 plasma concentration exceeding MIC_{90} (fT_{MIC}) for 40% of the dosing interval was set for 34 selecting an effective IM and IV dosing regimen. The typical absorption rate constant and 35 bioavailability after IM administration were 0.58/h and 95.4%, respectively. A CEZ dosage 36 regimen of 5 mg/kg bwt q12h achieved therapeutic concentrations to control both S. 37 zooepidemicus and S. aureus. The corresponding dosage regimens for IV administration for 38 these horses were 5 mg/kg bwt q8h and q6h IV administration, respectively. For the same 39 dose, the fT_{MIC} after IM administration was significantly longer than after IV administration 40 of CEZ, and the IM route should be followed by clinicians for its simplicity and convenience.

41 **KEYWORDS**

42 Cefazolin, horse, pharmacokinetics, pharmacodynamics, IM dose

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45 CEZ is a first-generation cephalosporin that has been investigated in horses (Sams & Ruoff, 46 1985; Donecker, Sams & Ashcraft, 1986) with a proposed standard dosage regimen of 10–22 mg/kg bwt q6-8h for intravenous (IV) administration (Davis & Papich, 2013). The 47 48 pharmacokinetics (PK) of CEZ after IM administration in horses have been reported (Sams & 49 Ruoff, 1985) but its dosage regimen established was not following 50 pharmacokinetics/pharmacodynamics (PK/PD) principles. Cephalosporins, a class to which 51 cefazolin belongs, are time-dependent antimicrobials, for which the appropriate PK/PD index 52 is fT_{MIC} (the time during which free plasma concentrations are above the MIC) (Drusano, 53 2003). As IM administration may prolong fT_{MIC} , it is expected to be more efficient than IV 54 administration for a given total dose. Considering the corresponding IV PK has already been 55 published, in this study, PK/PD analysis was conducted based on the PK of CEZ after IM 56 administration to optimize the dosage regimen (Kuroda et al., 2020).

57 Seven healthy 2 to 8 year-old experimental thoroughbred horses (four males and three 58 females) with body weights (bwts) 416–557 kg were used. The horses were kept in individual 59 stalls during the experiment and had *ad libitum* access to grass, hay, and water. A randomized 60 crossover design for IM or IV administration was followed by a two week washout period. The 61 CEZ dose of (5 mg/kg bwt) was determined based on the summary of product characteristics 62 of CEZ (cefazoline for injection 3 g, Kyoritsuseiyaku Corporation) for animals approved in 63 Japan. CEZ was dissolved in 15 mL sterile physiological saline for both IM and IV and 64 administered into the right lateral neck (IM) or into the right jugular vein through a short bolus 65 infusion (<30 s).

Blood samples were collected at 0, 5, 10, 20, 30, and 45 min and then at 1, 2, 3, 4, 6, 8, and
12 h. All blood samples were collected from the left jugular vein using a 16G catheter (Becton
Dickinson Company) that was inserted under local anesthesia of 1 mL lidocaine (Xylocaine)

Injection Polyamp 0.5%, Aspen Japan); 10 mL blood samples were collected in heparinized vacuum blood collection tubes (Venoject 2, Terumo Corporation). The samples were immediately centrifuged at 1500 × g for 10 min, and the separated plasma samples were stored at -20°C until analysis.

73 The plasma CEZ assay was performed with a liquid chromatography system (Nexera X2, 74 Shimadzu Corporation) connected to a mass spectrometer (QTRAP4500, SCIEX Corporation) 75 using methods previously described (Kuroda et al., 2020). The limit of quantification was 0.01 76 µg/mL. Plasma pharmacokinetic analyses were conducted using a nonlinear mixed effect 77 (NLME) model on commercially available software (Phoenix WinNonlin version 8.3, Certara), 78 according to a model adapted from a previous study (Kuroda et al., 2020). A three-79 compartment structural model was selected based on the likelihood ratio test and Akaike 80 information criterion. The estimated parameters were central (V1) and two peripheral (V2, 81 V3) volumes of distribution, plasma clearance (CL), and inter-compartmental distribution 82 clearances (CL2, CL3). The absorption rate constant (Kabs) and bioavailability factor (F) were 83 added to the IV model for simultaneous IV and IM fitting. The statistical model describing 84 inter-animal variability was exponential. A full OMEGA matrix was used to determine the 85 random component: the between-subject variability associated with fixed pharmacokinetic 86 parameters. All eta shrinkage values were <0.3. Given the experimental crossover design, the 87 order of administration was considered with occasion as a covariate, and inter-occasion 88 variability was included in the random component of the model. The residual model was an 89 additive plus multiplicative (proportional) model. For the fitting, the precision of the 90 parameters was estimated using the Phoenix bootstrap tool (n = 50 replicates). The Laplacian 91 engine was used to maximize the likelihood, and data reported below the limit of quantitation 92 $(0.01 \,\mu\text{g/mL})$ were treated as censored using Phoenix method 3 (M3).

93 The protein binding rate of CEZ was reported as 15.2% ± 8.5% in horses (Kuroda et al., 2020). 94 Using the developed model and reported free fraction, Monte Carlo simulations (MCS) were 95 run to generate free plasma concentrations in a virtual population of 5000 horses using 96 individual predictions or IPRED (eta was as estimated). Different scenarios were explored 97 corresponding to five different dosing intervals ranging from 4.8 to 24 h. From these 5000 98 curves, fT>_{MIC} was calculated on day 3 after the first administration for a target of 40% of the 99 dosing interval (Drusano, 2003). The corresponding probability of the target attainment (PTA) 100 was calculated. To establish an empirical dosage regimen (i.e., without resorting to 101 antimicrobial susceptibility testing (AST)), the selected dose should cover at least 90% of the 102 simulated horses for the reported MIC₉₀ of target pathogens (Rey et al., 2014; Toutain et al., 103 2017; Kuroda et al., 2020). The MIC₉₀ of CEZ against S. zooepidemicus, S. aureus, and E. coli 104 previously collected in horses were 0.12 mg/L, 0.12 mg/L, and 2.0 mg/L, respectively (Kuroda 105 et al., 2020).

106 No side effects including neck pain were observed during the experiment. Semilogarithmic 107 plots of the disposition curves of the CEZ in each horse are shown in Figure 1. From a 108 preliminary non-compartmental analysis conducted in the 7 horses, fT_{MIC} against S. 109 zooepidemicus and S. aureus were significantly longer after a single IM administration (10.0 h 110 \pm 1.7 h and 6.8 h \pm 1.3 h) than after an IV administration (6.1 h \pm 1.2 h and 3.1 h \pm 0.4 h) 111 (p<0.01). For NLME modelling, data were evenly distributed around the line of identity 112 between observed CEZ concentration and population predictions (PRED) and IPRED, 113 indicating no major bias in the population analysis (Figure 2). A visual predictive check 114 indicated that the simulated data were consistent with observed data (Figure 3). Bootstrap 115 estimates of typical values of the primary structural parameters of the model (thetas), 116 secondary parameters, and their associated coefficients of variation as a measure of the

117 precision of their estimation are given in Table 1. The typical values of the absorption rate 118 constant and bioavailability after IM administration were 0.58 1/h and 95.4%, respectively, 119 and other structural parameters, including clearance and distribution volume, were similar to 120 those previously reported for IV data analysis (Kuroda et al., 2020). PTA for the free drug 121 concentration profiles obtained by MCS for different MICs of CEZ in different regimens are 122 shown in Figures 4. For IM administration, 5 mg/kg bwt q12h administration regimens were 123 able to reach a PTA of 90% against the MIC₉₀ of *S. zooepidemicus* (0.12 mg/L) and *S. aureus* 124 (0.5 mg/L), respectively. The corresponding dosage regimen in IV administration was 5 mg/kg 125 bwt q8h and q6h. Additionally, CEZ q8h 5 mg/kg IM administration achieved a PTA of 90% against *E. coli* (2.0 mg/L). 126

127 PK/PD considerations can help determine and optimize an efficient dosage regimen or, 128 alternatively, determine, for a given dosage regimen, the corresponding MIC breakpoints for 129 AST both in humans (Ambrose et al., 2007) and animals (Toutain, et al., 2017). In our previous 130 publication on the IV data of the present trial, we reported that a CEZ dose of 10 mg/kg bwt 131 q12h and q8h IV was required to reach a PTA of 90% against the MIC₉₀ of *S. zooepidemicus* 132 and S. aureus, respectively (Kuroda et al., 2020). The present study indicated that IM 133 administration was more efficient than IV administration by significantly prolonging fT_{MIC} 134 and that IM administration of 5 mg/kg twice a day is practical for veterinary clinicians and can 135 reduce the total administered dose of CEZ compared to IV administration. For E. coli 136 (MIC₉₀:2.0 mg/L), 5 mg/kg q8h IM administration was expected to be effective, and the daily 137 dose was very small compared to the 20 mg/kg q6h IV administration previously reported to 138 be effective for *E coli* (Kuroda et al., 2020). The Clinical and Laboratory Standards Institute 139 (CLSI) reported a clinical breakpoint (CBP) for horses of <2.0 mg/L for susceptible organisms 140 with a dosage regimen of 25 mg/kg q6h upon IV administration (CLSI, 2018). In this study, we

141	provided evidence that CBP can be achieved using only 5 mg q8h IM administration according
142	to the VetCAST approach (Toutain et al., 2017). β -lactams appear to be less detrimental than
143	tetracyclines or macrolides (McGorum & Pirie, 2010), but CEZ-associated diarrhea has been
144	reported in horses (Nomura, Kuroda, Tamura, Muranaka and Niva, 2020). Hence, decreasing
145	the total dose of CEZ by selecting the IM route of administration is expected to reduce the
146	risk of diarrhea in horses.
147	Finally, our study indicated that a CEZ of only 5 mg/kg bwt q12h IM administration could attain
148	therapeutic concentrations to control the MIC ₉₀ of <i>S. zooepidemicus</i> and <i>S. aureus</i> ,
149	respectively.
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163	Data Availability Statement
164	The data that support the findings of this study are available from the corresponding
165	author upon reasonable request.
166	Conflict of Interest Statement
167	The authors disclose no conflict of interest.
168	Animal Welfare and Ethics Statement
169	This study was reviewed and approved by the Animal Care and Use Committee of
170	Equine Research Institute, Japan Racing Association in accordance with ASPA (1986)
171	legislation Protocol # 21-5.

Figure 1: Semilogarithmic spaghetti plots of the disposition curves of cefazolin 5 mg/kg bwt
after a single IV (left) and IM (right) administration in seven horses.

175 **Figure 2:** Logarithmic plots of observed cefazolin plasma concentrations vs. individual

176 predictions (IPRED) and population predictions (PRED) after IV (left plots) and IM (right

177 plots) administrations.

Figure 3: Visual Predictive Check of a single dose of cefazolin 5 mg/kg bwt after IV (left) and IM (right) administration. The observed and predicted 10th and 90th percentiles are shown in solid red and black lines, respectively. The observed and predicted 50th percentiles (median) are shown in red and black broken lines, respectively. Blue dots are individual raw data.

182 Figure 4: Probability of Target Attainment (PTA%) vs. MIC (mg/L) of cefazolin for repeated 183 administration of cefazolin 5 mg/kg bwt upon IV (left) and IM (right) administration at 184 different dosing intervals ranging from 4.8 to 24 h. The PK/PD index is the time the free plasma 185 concentration is exceeding the MIC for 40% of the dosing interval. Values were obtained from 186 5000 simulated concentrations profiles generated from the population model by Monte Carlo 187 simulations. PTA 90% is indicated by the solid horizontal blue line, which is considered as the 188 target to achieve, and MIC that corresponds to PTA 90% are indicated by the vertical dotted blue lines. 189

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Table 1: Bootstrap estimates of typical (median) population primary and secondary parameters of CEZ after IV and IM administrations of CEZ in horses at 10 mg/kg as obtained from h a 3-compartment model (Legend: CV%, and 2.5% and 97.5% percentiles give the precision of estimates; for *F*, an ilogit transformation was used preventing estimate higher than 100%.).

Primary structural Parameters	Units	Median	CV%	2.50%	97.50%
tvV	L/kg	0.031	49.1	0.009	0.064
tvV2	L/kg	0.032	9.5	0.027	0.037
tvV3	L/kg	0.031	8.9	0.026	0.036
tvCL	L/kg/h	0.170	9.8	0.140	0.198
tvCL2	L/kg/h	0.148	18.8	0.100	0.191
tvCL3	L/kg/h	0.014	5.3	0.013	0.016
Kabs	1/h	0.58	8.8	0.49	0.67
F	%	95.4	4.2	86.0	99.3
tvCMultStdev0 (residual, proportional, IV)	Scalar	0.049	11.3	0.040	0.059
tvCMultStdev1 (residual, proportional,	Scalar	0.174	13.3	0.127	0.204
IM)					
stdev0 (residual, additive, IV)	μg/L	0.0098	20.5	0.0062	0.0136
stdev1 (residual, additive,IM)	μg/L	0.0150	86.1	0.0000002	0.0776
CEZ Secondary parameters					
Half_life_alpha	Н	0.052	42.2	0.019	0.100
Half_life_Beta	Н	0.341	11.9	0.283	0.422
Half_life_Gamma	н	1.653	11.3	1.374	2.071

Absorption_Half_life	н	1.19	9.0	1.04	1.42
Vss (steady-state volume of distribution)	L/kg	0.095	21.3	0.062	0.134
MRT (Mean residence time (IV))	Н	0.56	13.5	0.43	0.70

- 235 V1: volume of distribution of central compartment; V2, V3: volume of distribution of
- 236 peripheral compartments; CL: plasma clearance; CL2, CL3: distribution clearances; Kabs:
- 237 absorption rate constant; F: bioavailability; CMultStdev0,1: proportional component of
- 238 residual error; stdev0,1: additive component of the residual; tv: typical value; Vss: steady-
- 239 state volume of distribution; MRT: mean residence time.