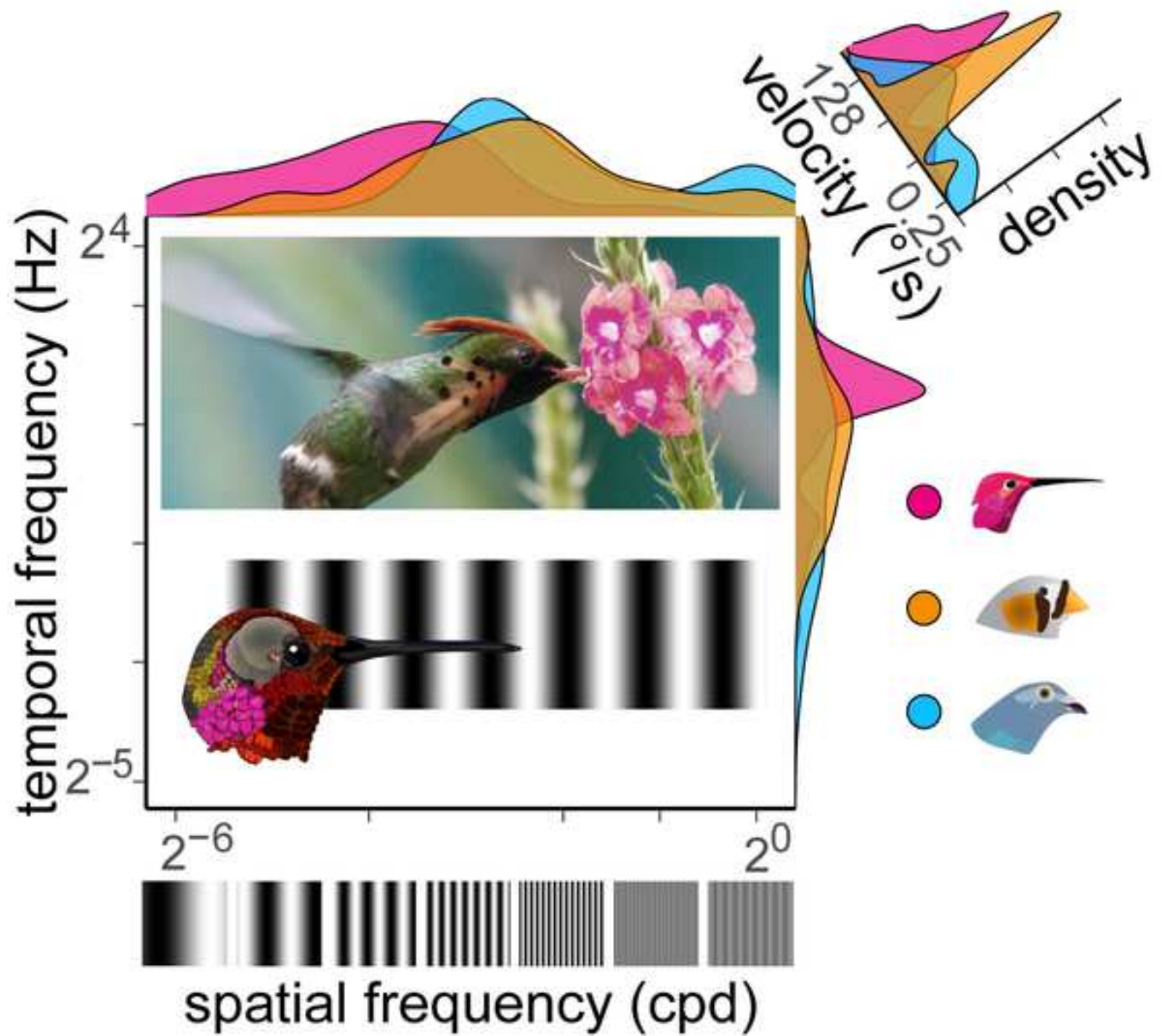


# Current Biology

## Specializations in optic flow encoding in the pretectum of hummingbirds and zebra finches

--Manuscript Draft--

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<b>Abstract:</b>	<p>All visual animals experience optic flow - global visual motion across the retina, which is used to control posture and movement<sup>1</sup>. The midbrain circuitry for optic flow is highly conserved in vertebrates<sup>2–6</sup>, and these neurons show similar response properties across tetrapods<sup>4,7–16</sup>. These neurons have large receptive fields and exhibit both direction- and velocity-selectivity in response to large moving stimuli. Hummingbirds deviate from the typical vertebrate pattern in several respects<sup>17,18</sup>. Their lentiformis mesencephali (LM) lacks the directional bias seen in other tetrapods and has an overall bias for faster velocities. This led Ibbotson<sup>19</sup> to suggest that the hummingbird LM may be specialized for hovering close to visual structures, such as plants. In such an environment, even slight body motions will translate into high velocity optic flow. A prediction from this hypothesis is that hummingbird LM neurons should be more responsive to large visual features. We tested this hypothesis by measuring neural responses of hummingbirds and zebra finches to sine wave gratings of varying spatial and temporal frequencies. As predicted, the hummingbird LM displayed an overall preference for fast optic flow because neurons were biased to lower spatial frequencies. These neurons were also tightly tuned in the spatiotemporal domain. We found that the zebra finch LM specializes along another domain: many neurons were initially tuned to high temporal frequencies followed by a shift in location and orientation to slower velocity tuning. Collectively, these results demonstrate that the LM has distinct and specialized tuning properties in at least two bird species.</p>
<b>Additional Information:</b>	
<b>Question</b>	<b>Response</b>
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<b>Original Code</b> Does this manuscript report original code?	No



1 Specializations in optic flow encoding in the pretectum of  
2 hummingbirds and zebra finches

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14 **Keywords:** avian flight, electrophysiology, visual motion, visual neuroscience

## 15 **Summary**

16 All visual animals experience optic flow - global visual motion across the retina, which is used to  
17 control posture and movement<sup>1</sup>. The midbrain circuitry for optic flow is highly conserved in  
18 vertebrates<sup>2-6</sup>, and these neurons show similar response properties across tetrapods<sup>4,7-16</sup>. These  
19 neurons have large receptive fields and exhibit both direction- and velocity-selectivity in response  
20 to large moving stimuli. Hummingbirds deviate from the typical vertebrate pattern in several  
21 respects<sup>17,18</sup>. Their lentiformis mesencephali (LM) lacks the directional bias seen in other  
22 tetrapods and has an overall bias for faster velocities. This led Ibbotson<sup>19</sup> to suggest that the  
23 hummingbird LM may be specialized for hovering close to visual structures, such as plants. In  
24 such an environment, even slight body motions will translate into high velocity optic flow. A  
25 prediction from this hypothesis is that hummingbird LM neurons should be more responsive to  
26 large visual features. We tested this hypothesis by measuring neural responses of hummingbirds  
27 and zebra finches to sine wave gratings of varying spatial and temporal frequencies. As  
28 predicted, the hummingbird LM displayed an overall preference for fast optic flow because  
29 neurons were biased to lower spatial frequencies. These neurons were also tightly tuned in the  
30 spatiotemporal domain. We found that the zebra finch LM specializes along another domain:  
31 many neurons were initially tuned to high temporal frequencies followed by a shift in location and  
32 orientation to slower velocity tuning. Collectively, these results demonstrate that the LM has  
33 distinct and specialized tuning properties in at least two bird species.

## 34 **Results and Discussion**

35 In tetrapods, optic flow is analyzed by two visual pathways in the midbrain. In birds, the retinal-  
36 recipient nuclei in these circuits are called the nucleus lentiformis mesencephali (LM) of the  
37 pretectal pathway, and the nucleus of the basal optic root (nBOR) of the accessory optic  
38 system<sup>20,21</sup>. LM and nBOR are homologs of the nucleus of the optic tract (NOT) and the terminal  
39 nuclei in mammals, respectively<sup>2-4</sup>. The majority of LM and NOT neurons prefer temporal-to-  
40 nasal motion in the contralateral visual field<sup>7-13</sup>. We previously found that the hummingbird LM  
41 lacked a unidirectional bias, and showed a distribution of preferred directions suggesting a  
42 uniform distribution<sup>18</sup>. The current study also required directional tuning analysis prior to  
43 experiments in the spatiotemporal domain. Thus, we were able to combine new measurements of  
44 directional tuning preferences in hummingbirds and zebra finches with our previous data set<sup>18</sup>.  
45 The pigeon data, included for comparison, are archival<sup>22-24</sup>. Direction tuning was measured by  
46 recording neuron firing rates in response to dotfield stimuli (Fig. 1A). Each dot pattern was moved  
47 in one of eight directions (45° steps), in random order and with four sweeps per direction. The  
48 pattern was moved for five seconds, with a five second pause before shifting to the next direction.  
49 Pigeons and zebra finches (Fig. 1C,D) show the pattern of tetrapods from all vertebrate classes:  
50 the majority of neurons prefer temporal-to-nasal motion such that there is a strong population bias  
51 for this direction (Fig. 1E). For hummingbirds (Fig. 1B), the distribution of preferred directions is  
52 now bimodal with most neurons preferring either temporal-to-nasal direction or a downward  
53 nasal-to-temporal direction. Although this distribution is different from our previous finding, there  
54 is still no overall unitary directional bias in the population given the distribution of peak locations  
55 and the shapes of the tuning curves (Fig. 1E, Fig. S1).

56 We next measured the spatiotemporal tuning of LM neurons from hummingbirds and zebra  
57 finches, which were compared with archival data from pigeons<sup>22-24</sup>. Spatiotemporal tuning is  
58 measured using drifting sine wave gratings of varying spatial and temporal frequency (SF,TF) in  
59 the preferred direction. The ratio of TF to SF is velocity. In our previous study, which used dotfield  
60 stimuli, we found that hummingbird LM neurons generally preferred fast stimuli (>40°/sec). With  
61 the dotfield stimuli, the maximum velocity we could use was 80°/sec, to which some neurons

62 were responding maximally<sup>18</sup>. A distinct advantage of sine wave gratings is that we were able to  
63 extend the maximum tested velocities from 80 up to 1032°/sec.

64 We tested zebra finch neurons at six spatial frequencies (0.031-1 cycles per degree [cpd]) and six  
65 temporal frequencies (0.031-16 Hz), which matches the range used for most pigeon neurons from  
66 archival data. In our initial recordings of hummingbirds, we again found that some neurons  
67 preferred very fast velocities, which was evident by these neurons responding maximally to 0.031  
68 cpd. We therefore added one lower spatial frequency stimulus (0.0155 cpd) for hummingbirds. In  
69 total, we recorded 72 LM neurons from the hummingbird, 75 from the zebra finch, and compared  
70 these to 61 LM neurons from archival pigeon data<sup>22-25</sup>. The responses of a representative  
71 hummingbird LM neuron to gratings moving in the preferred direction are shown in figure 1F-H.  
72 To determine the peak location, orientation and range of neural responses, we fit two-dimensional  
73 Gaussian functions<sup>26</sup>. The best-fit Gaussian for this representative neuron is depicted in figure 1I.

74 Given that we have previously shown that hummingbird LM neurons are more tightly tuned to  
75 velocity using random dot patterns<sup>18</sup>, we reasoned that these neurons should also be more tightly  
76 tuned in the spatiotemporal domain. The best-fit 2D Gaussians for representative LM neurons  
77 from each species are shown (Fig. 1J-L). Some neurons had two peak response regions in the  
78 spatiotemporal domain. This has also been observed for some NOT (LM homolog) neurons in the  
79 wallaby<sup>9</sup> and for nBOR neurons of hummingbirds, zebra finches, and pigeons<sup>17</sup>. For neurons with  
80 two peaks, we only analyzed the primary peak, which had the higher maximal spike rate. Some  
81 peaks were located at the edges of the sampled spatiotemporal space (open circles in Fig. 2A).  
82 For Gaussians with peaks located within the sampling space, we quantified tightness of tuning by  
83 calculating the volume under the Gaussian fit (see equation 8, methods). LM neurons are more  
84 tightly tuned in hummingbirds (5-95% CI = 12-14 log Hz x log cpd) than in zebra finches (CI = 15-  
85 17), which are more tightly tuned than LM neurons of pigeons (CI = 20-23) (Fig. 1M).

86 In a separate study, we performed a spatiotemporal analysis of nBOR<sup>17</sup>, the midbrain nucleus in  
87 the accessory optic system that also encodes optic flow. However, unlike the LM, which in non-  
88 hummingbird species has a bias for temporal-to-nasal (regressive) optic flow, nBOR neurons  
89 prefer one of the other three cardinal directions of optic flow: up, down, or nasal-to-temporal  
90 (progressive). The same distribution of direction preferences was confirmed for the hummingbird  
91 nBOR. The hummingbird nBOR also had a distribution of velocity preferences that fully  
92 overlapped with zebra finches and pigeons. The only feature of the hummingbird nBOR that  
93 differed compared to the other species was that the neurons were more tightly tuned in the  
94 spatiotemporal domain.

95 We next asked if differences in velocity preferences among species<sup>18</sup> were due to differences in  
96 preference for spatial and/or temporal frequency. Preference was determined as the location of  
97 the peak of the best-fit 2D Gaussian for each neuron (Fig. 2A). For this analysis, the edge cases  
98 were included because the peak locations would correspond to the edge or even more extreme  
99 values. We fit linear mixed models to determine species-wise effects. Hummingbird LM neurons  
100 preferred much faster velocities (5-95% CI = 27.30-55.71°/sec) than zebra finches (CI = 7.36-  
101 15.03°/sec) or pigeons (CI = 4.20-9.06°/sec) (Fig. 2B). Hummingbird LM neurons also exhibited a  
102 substantial preference for lower spatial frequencies (5-95% CI = 0.05-0.08 cpd) compared to  
103 zebra finches (CI = 0.13-0.21 cpd) and pigeons (CI = 0.18-0.30 cpd) (Fig. 2C). Differences in  
104 temporal frequency, were more modest but hummingbirds (5-95% CI = 2.01-3.12 Hz) showed a  
105 preference for higher temporal frequencies compared to pigeons (CI = 1.11-1.77 Hz) but not  
106 more than zebra finches (CI = 1.46-2.22 Hz) (Fig. 2D). Overall, these results support a prediction  
107 of Ibbotson's<sup>19</sup> ecological hypothesis: hummingbird LM neurons prefer faster velocities because  
108 they are tuned to lower spatial frequencies. Such a preference confers high sensitivity to nearby  
109 objects such as leaves and branches when hovering or flying through dense foliage to reach

110 flowers or insect prey<sup>27</sup>. These objects have a large retinal image size and high velocity during  
111 self-motion due to proximity.

112 The orientation of the 2D Gaussians can also be used to determine if a given cell is tuned to a  
113 specific velocity (diagonally oriented) or tuned to a specific temporal frequency (horizontally  
114 oriented), termed "spatiotemporally independent". Following Priebe et al.<sup>26</sup>, we used partial  
115 correlation methods to determine if cells showed a significant velocity orientation or were  
116 significantly spatiotemporally independent. This was done by fitting two additional 2D Gaussian  
117 models, a velocity oriented prediction and a spatiotemporally independent prediction by  
118 constraining the Q parameter (see equation 3) to 0 and -1, respectively. We then computed the  
119 partial correlations of the real data with each of the two predictions to determine whether the  
120 neuronal response was closer to the velocity-oriented prediction ( $R_{vel}$ ) or spatiotemporally  
121 independent prediction ( $R_{ind}$ ) (equations 4,5).

122 Contour plots of neural responses from one zebra finch (Fig. 3A) and one hummingbird (Fig. 3F)  
123 neuron are compared to Gaussians constrained to  $Q=0$  (velocity oriented; Fig. 3B,G) and  $Q=-1$   
124 (spatiotemporally independent; Fig. 3C,H). A cell that is velocity oriented will exhibit different  
125 temporal frequency peaks at each spatial frequency (Fig. 3D), but alignment for every spatial  
126 frequency at a specific velocity (Fig. 3E). In contrast, a spatiotemporally independent neuron will  
127 show alignment at a specific temporal frequency (Fig. 3I) instead of a velocity (Fig. 3J). We have  
128 purposely chosen representative cases to illustrate a zebra finch neuron oriented to velocity and  
129 a hummingbird neuron oriented to temporal frequency.

130 The partial correlation analysis revealed that for all three species, some neurons are velocity  
131 oriented, some are spatiotemporally independent (TF oriented), and some are unclassifiable (Fig.  
132 3K). The last category arose for cells in which  $R_{vel}$  and  $R_{ind}$  were roughly equivalent or for cells in  
133 which neither  $R_{vel}$  nor  $R_{ind}$  were statistically significant. There was a significant difference in cell  
134 orientation classes among species ( $\chi^2$  test,  $p < 0.001$ ) such that zebra finches have more velocity  
135 oriented and fewer independent cells (Fig. 3L). Moreover, the 5-95% credible interval for  $R_{vel}$  was  
136 higher for zebra finches (0.42-0.55) than for both hummingbirds (0.26-0.38) and pigeons (0.07-  
137 0.21) (Fig. 3M). The 5-95% credible interval for  $R_{ind}$  was lower for zebra finches (0.32-0.45) than  
138 for pigeons (0.49-0.63).

139 It was unexpected that zebra finch LM neurons would have such a strong bias for velocity-  
140 oriented over spatiotemporally independent neuron classes. It has previously been noted that  
141 motion detecting cells can have different tuning in response to motion stimulus between an initial  
142 transient phase and a subsequent steady-state phase<sup>28-30</sup>. Thus, the difference in tuning among  
143 species led us to ask if tuning preferences differed between initial and sustained responses. We  
144 performed an analysis of peristimulus histogram bin size, which indicated that there is often a  
145 higher burst of activity in the first 40-200 ms following stimulus onset (Fig. 4A-F). This initial  
146 transient phase was compared to a steady-state phase of 1000-2000 ms following stimulus onset.

147 We first asked if the spatiotemporal preference changed from the transient to the steady-state  
148 response. The number of cells in this analysis was reduced, in hummingbirds from 61 to 47 and  
149 in zebra finches from 75 to 69. This reduction occurred because some of the transient response  
150 data could not be reliably fit with a 2D Gaussian ( $R^2 < 0.5$ ), which at least in some cases was due  
151 to asymmetric responses<sup>31</sup>. The locations of the Gaussian peaks (Fig. 4G,H) did not change  
152 substantially for hummingbird LM neurons (SF:  $T_{46} = 0.37$ ,  $p = 0.72$ ; TF:  $T_{46} = 1.17$ ,  $p = 0.25$ ;  
153 velocity:  $T_{46} = 1.07$ ,  $p = 0.29$ ), but exhibited a marked shift downwards on the temporal frequency  
154 versus spatial frequency plot for zebra finches (SF:  $T_{68} = 3.20$ ,  $p = 0.002$ ; TF:  $T_{68} = 9.37$ ,  $p <$

155 0.001). This downward shift to lower velocity ( $T_{68} = 9.26$ ,  $p < 0.001$ ) was primarily driven by  
156 excitation by lower temporal frequencies as neural responses reached steady state.

157 We next asked if the orientations of the Gaussians changed between the initial transient and  
158 steady-state phases, which would be indicated by a shift in velocity ( $R_{vel}$ ) and independent ( $R_{ind}$ )  
159 correlations (Fig. 4I,J). The number of cells was further reduced to 22 in hummingbirds and to 45  
160 for zebra finches, because we only analyzed cells for which neither the initial nor the steady-state  
161 Gaussians were edge cases. Changes in hummingbird LM neurons were modest such that the  
162 overall distribution of tuning orientation classes were similar (Fig. 4K;  $\chi^2$  test,  $p = 0.62$ ). For zebra  
163 finches, the majority of cells were initially sensitive to temporal frequency, but at steady state the  
164 overall distribution shifted such that there was a strong preference for velocity orientation ( $\chi^2$  test,  
165  $p < 0.001$ ).

166 Previous studies showed that most neurons in pigeon LM<sup>32</sup> and wallaby NOT<sup>33</sup> were oriented to  
167 temporal frequency rather than to velocity. Moreover, the few velocity-oriented cells are typically  
168 "slow" cells, preferring velocities less than 4°/s. This association was also found in the pigeon  
169 nBOR, which has more slow neurons and more velocity oriented cells<sup>25,32</sup>. Hummingbirds and  
170 zebra finches deviate from this pattern in that both have more velocity oriented LM neurons, and  
171 in that their cells generally encode faster velocities.

172 What are the potential functions of these different classes of spatiotemporally tuned cells?  
173 Ibbotson et al.<sup>9</sup> emphasized that fast optic flow neurons are important for detection of the first 50-  
174 100 ms at the onset of self-motion when retinal slip velocity is high and the system is operating in  
175 an open-loop state<sup>34,35</sup>. After this initial phase, the retinal image is relatively stable in the closed-  
176 loop phase and any retinal slip would be detected by the slow neurons. Zebra finches seem to  
177 have taken a slightly different approach. Most of the neurons prefer faster velocities, are  
178 oriented to temporal frequency during stimulus onset, but oriented to stimulus velocity during the  
179 steady-state phase. Thus, these neurons could function during both the initial open-loop and the  
180 closed-loop phases of the optokinetic response. We also note that the overall population of zebra  
181 finches relatively tightly tuned to a velocity around 16°/s (Fig. 2A,B), which may reflect some  
182 visual signal that is pertinent to their environment but remains currently unknown. Hummingbirds  
183 seem to have taken markedly different approach to encoding optic flow. They have a  
184 hypertrophied LM<sup>36</sup>, prefer faster velocities, and we show here that they also have much narrower  
185 tuning and are tuned to lower spatial frequencies. These properties should facilitate the  
186 impressive ability of hummingbirds to change both speed and direction<sup>37</sup>, i.e., their  
187 maneuverability<sup>38-40</sup>, as they would often be operating in an open-loop mode. Given the results of  
188 spatiotemporal tuning across even just the few species of birds that have now been examined, it  
189 is clear that there is considerable diversity in what was previously thought to be a highly  
190 conserved circuit for optic flow processing.

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193 (hummingbird).

194 **Author Contributions:** DLA, DRW, GS, and AHG conceived the study. GS, AHG, and DRW  
195 collected the data. VBB, GS, and DRW performed the data analysis. DLA, DRW, VBB, GS, and  
196 AHG wrote and edited the manuscript.

197 **Competing interests:** The authors declare no competing interests.

198 **Main figures titles and legends**

199 **Figure 1.** Neurons in the hummingbird lentiformis mesencephali (LM) are narrowly tuned in the  
200 spatiotemporal domain. A) A dotfield stimulus was moved randomly in each of eight directions to  
201 determine direction preference. B-D) Polar histograms of direction vectors for all directionally  
202 tuned neurons are shown for hummingbirds (magenta), zebra finches (orange), and pigeons  
203 (blue). Directions are indicated as (u)p, (d)own, (t)emporal, and (n)asal. E) Normalized tuning  
204 curves for all neurons are plotted in polar coordinates. Thick lines indicate median values, which  
205 indicate a strong nasal bias for pigeons and zebra finches but not for hummingbirds. F) Sine-  
206 wave gratings of varying spatial frequency (SF) were moved at different velocities (and thus  
207 different temporal frequencies [TF]) to measure spatiotemporal tuning. G) Peristimulus time  
208 histograms (PSTHs) are shown for a representative hummingbird LM neuron to each SF/TF  
209 combination. PSTHs are averages of 4 sweeps, 2 s long (20 ms bins). These data are  
210 represented by a contour plot (H), fit with a 2-dimensional Gaussian (I). J-L) Representative  
211 Gaussian fits of four LM neurons from each species. M) Spatiotemporal tuning width is defined as  
212 the volume under the Gaussian fit and shows a clear hierarchy: pigeons are the most broadly  
213 tuned and hummingbirds are the most narrowly tuned in the spatiotemporal domain. Black  
214 borders indicate cells displayed in J-L. Black circles indicate cells displayed in figure 3A-J. See  
215 also figure S1

216 **Figure 2.** Hummingbird LM neurons prefer faster velocities because they are tuned to lower  
217 spatial frequencies. (A) The locations of the peaks of the unconstrained best-fit Gaussians are  
218 plotted by species. For neurons with two peaks, only the location of the larger peak is depicted.  
219 Unfilled circles indicate that fitted Gaussians were found to have peaks at or beyond the edge of  
220 the investigated ranges of spatial and/or temporal frequencies. Kernel density estimates for the  
221 spatial frequency, temporal frequency, and velocity are plotted above, to the right, and in the  
222 upper right, respectively. (B – D) Distributions of species' effects in models of velocity, spatial  
223 frequency, and temporal frequency (see Table S1). In each panel, the species' mean effect is  
224 indicated by the black dot, whereas the 5-95% credible interval (CI) is shown using a black bar.  
225 See also Table S1.

226 **Figure 3.** Neurons in the zebra finch LM exhibit a greater tendency for velocity as opposed to  
227 temporal frequency orientation. A contour plot of a zebra finch neuron (A) is compared to a 2D  
228 Gaussian fitted of the data to the velocity-oriented (B) and independent prediction (C).  $R^2$  values  
229 indicate goodness of fit for each Gaussian prediction. Line plots depict mean firing rate versus  
230 stimulus velocity (D) and temporal frequency (E). F-J) Same analysis for a representative  
231 hummingbird neuron. K) Scatter plot showing the partial correlations of best-fit 2D Gaussians of  
232 the velocity-oriented model ( $R_{vel}$ ) versus the spatiotemporally independent model ( $R_{ind}$ ) for all  
233 cells. The location of each point indicates the extent to which an individual neuron is velocity-  
234 oriented (upper left) or spatiotemporally independent (lower right). The black lines represent the  
235 criteria cutoff for cells to be classified as velocity-oriented, unclassified, or spatially independent.  
236 L) Bar graphs showing the percentage of cells in each category by species. M) Distributions of  
237 species' effects in models of  $R_{vel}$  and  $R_{ind}$  (see Table S2). The species' mean effect is indicated  
238 by the black dot, whereas the 5-95% credible interval (CI) is shown using a black bar. See also  
239 Table S2.

240 **Figure 4.** Zebra finch LM neurons respond initially to high temporal frequencies (TF) and are TF-  
241 oriented, but then shift over time to velocity orientation at lower TF. Differences between initial  
242 transient and steady-state responses can be viewed by averaging the normalized PSTHs for all  
243 hummingbird (A, magenta) and zebra finch (B, orange) cells. Data are displayed for the full two  
244 seconds of stimulus motion. C) Representative raw PSTHs from one hummingbird and one zebra  
245 finch cell are shown at distinct TF-SF combinations. Baseline firing rates to a non-moving  
246 stimulus are indicated by the dashed lines. Initial transient (IT) and steady-state (SS) phases are  
247 indicated by rectangles. For the zebra finch neuron in C, the best-fit Gaussians for the full sweep



248 (FS, 2s) of stimulus motion (D), the initial transient (40-200 ms, E), and the steady-state (1000-  
249 2000 ms, F) response are shown. Scatterplots (G) and boxplots (H) show shifts in the peak  
250 locations of the Gaussians from the initial transient (grey) to steady-state (colored) responses,  
251 revealing that zebra finch LM neurons are initially responsive to higher temporal frequencies.  
252 Grey lines connect individual cells in which at least one phase had peak response at the edge of  
253 the stimulus region. Black lines connect cells without edge cases. “\*\*” indicates statistical  
254 significance at  $P < 0.05$ . I, J) Shifts in the partial correlations show that zebra finches are initially  
255 oriented to temporal frequency (high  $R_{ind}$ ) and then shift to velocity orientation (high  $R_{vel}$ ) during  
256 steady state response. K) Distributions of cell orientation for initial transient and steady-state  
257 responses show a stronger shift for zebra finches than hummingbirds.

258 **STAR Methods**

259 **RESOURCE AVAILABILITY**

260 **Lead contact:** Further information and requests for resources and reagents should be directed to  
261 and will be fulfilled by the lead contact, Douglas L. Altshuler (doug.altshuler@ubc.ca).

262 **Materials availability:** This study did not generate new unique reagents.

263 **Data and code availability:** All spike-sorted electrophysiological data and analysis code are  
264 available via Figshare (<https://doi.org/10.6084/m9.figshare.19425737>).

265 **EXPERIMENTAL MODEL AND SUBJECT DETAILS**

266 Electrophysiological recordings were made from 15 adult male Anna's hummingbirds (*Calypte*  
267 *anna*) and 21 adult male zebra finches (*Taeniopygia guttata*). All procedures were approved by  
268 the University of British Columbia Animal Care Committee in accordance with the guidelines set  
269 out by the Canadian Council on Animal Care.

270 **METHOD DETAILS**

271 **Electrophysiological measurements:** Stereotaxic surgeries were performed using a custom-  
272 built frame for both hummingbirds and zebra finches (Herb Adams Engineering, Glendora, CA,  
273 USA). Zebra finch coordinates were determined from Nissl-stained sections and additional  
274 information from Mark Konishi's unpublished zebra finch brain atlas. Hummingbird coordinates  
275 were determined entirely from our own sections. For anesthesia, an intramuscular injection of  
276 ketamine/xylazine (65 mg/kg ketamine / 8 mg/kg xylazine) was delivered to the pectoralis major,  
277 and supplemental injections were delivered as needed. A subcutaneous injection of 0.9% saline  
278 was provided prior to surgery. The head was angled downwards at an angle 45° to the horizontal  
279 plane. A small exposure through the skull and dura mater overlying the right telencephalon  
280 allowed vertical access to the LM.

281 Recordings were made using glass microelectrodes (5 µm tip diameter) filled with 2M NaCl. The  
282 extracellular signals were amplified (x10,000), bandpass filtered (0.1-3 kHz), and acquired at 50  
283 kHz using a CED (Cambridge, UK) micro1401-3. Prior to running stimulus presentation programs,  
284 we first identified LM neurons by their characteristic spontaneous activity and strong response to  
285 visual motion in their preferred direction and (in most cases) suppression in their anti-preferred  
286 ("null") direction. This initial search was performed by moving a large handheld stimulus, made of  
287 white cardboard with black patterns.

288 Once we found a well isolated cell, a gaming computer monitor (144 Hz, 1920 × 1080 pixels,  
289 ASUS VG248QE) was positioned 30 cm from the bird's contralateral eye and within the cell's  
290 receptive field. The monitor occupied an ~84° X 53° (width X height) area of the bird's visual field.  
291 Two stimulus programs were used, one to identify a cell's direction preference, and a second to  
292 measure that cell's spatiotemporal tuning in the preferred and anti-preferred directions. The  
293 direction tuning program produced a dotfield stimulus made of 250 randomly positioned black  
294 dots (2.1° diameter) on a white background. The stimulus was moved at a velocity of 12.6°/s in  
295 one of 8 randomly assigned directions, 45° apart (see Figure 1A). Each direction was tested with  
296 at least four sweeps lasting 4 s with 4 s pauses between each sweep. Each change in visual  
297 stimulus triggered TTL pulse sent from the stimulus computer to the recording computer, which  
298 ran Spike2 for Windows (Version 8, CED; Cambridge, UK).

299 The spatiotemporal tuning program produced 42 combinations of sinusoidal black and white  
300 gratings with spatial frequencies ranging from 0.0155 to 1.0 cycles/degree (cpd) and temporal

301 frequencies ranging from 0.031 to 16 cycles/s (Hz). Drifting gratings were presented in  
 302 randomized order and lasted for 2s and were followed by a 2s pause. Four sweeps were  
 303 recorded for each spatial frequency/temporal frequency combination.

304 Recording sites were confirmed using a dextran injection (Dextran Texas Red™ 3000MW, or  
 305 Dextran micro-Emerald 3000MW, ThermoFisher Scientific) at the end of each experiment.  
 306 Animals were euthanized via a lethal dose of ketamine/xylazine mixture and then transcardially  
 307 perfused with 0.9% saline followed by 4% paraformaldehyde.

## 308 QUANTIFICATION AND STATISTICAL ANALYSIS

309 **Spike sorting:** Raw neural data were processed offline first using the spike sorting algorithm in  
 310 Spike 2 (CED, Cambridge, UK) to identify single units. We used trigger thresholds and a sliding  
 311 window to identify individual spikes that were then matched to full-wave templates for spike  
 312 classification. We set the template window parameters to include the full spike amplitude and  
 313 grouped similar templates post-hoc using principal component data and an overdraw function that  
 314 enabled visual inspection of individual spikes coded by template. Spike sorted data were next  
 315 analyzed using custom scripts in Matlab (R2017a; MathWorks; Natick, MA).

316 **Analysis of direction tuning:** Each cell's firing rate at each stimulus direction was calculated as  
 317 the average of four sweeps and plotted in polar coordinates. This was compared to the cell's  
 318 spontaneous firing rate during paused visual stimulus. We next used Rayleigh's test for uniformity  
 319 to determine if the cell's firing rate was uniform across all direction, i.e., not directionally  
 320 modulated. For cells that were non-uniform ( $P < 0.05$ ), the preferred direction was calculated as  
 321 the mean vector:

$$322 \quad \text{Preferred direction} = \tan^{-1} \left( \frac{\sum_n (FR_n \times \sin \theta_n)}{\sum_n (FR_n \times \cos \theta_n)} \right) \quad (1)$$

323 where  $FR$  = firing rate and  $n$  = stimulus motion direction in radians. Any cells in which the ratio of  
 324 the response to the preferred relative to the anti-preferred direction was less than 150% were  
 325 excluded.

326 We asked if the LM population direction tuning differed by species by calculating the median  
 327 direction tuning curve for each of hummingbirds, zebra finches, and pigeons. Cubic splines with  
 328 degrees of freedom varying from 5 to 20 were fit to normalized data and the best fitting spline was  
 329 determined using the second-order Akaike Information Criterion. The median response value  
 330 across cells was calculated across all directions and separately for each species.

331 **Analysis of spatiotemporal tuning:** Cumulative peristimulus time histograms (PSTHs; 20 bins)  
 332 were generated across all four sweeps for each combination of spatial and temporal frequency  
 333 stimuli. The PSTH mean firing rates (minus the spontaneous rate) were then used to generate  
 334 each cell's spatiotemporal contour plot. Spatiotemporal tuning was described by the peak and  
 335 volume of this surface of the 2D best-fit Gaussian on a logarithmic scale. Priebe et al.<sup>26</sup> defined  
 336 the Gaussian function as:

$$337 \quad G(sf, tf) = A \times e^{-\frac{(\log_2(sf) - \log_2(sf_0))^2}{\sigma_{sf}^2}} \times e^{-\frac{(\log_2(tf) - \log_2(tf_p(sf)))^2}{\sigma_{tf}^2}} \quad (2)$$

338 where:

$$339 \quad tf_p(sf) = 2^{(Q+1) \times (\log_2(sf) - \log_2(sf_0)) + \log_2(tf_0)} \quad (3)$$

340 where A is the z-axis amplitude, and sf and tf are the spatial and temporal frequencies,  
 341 respectively, of the specific grating pattern.  $sf_0$  the peak value along the spatial frequency axis  
 342 and  $tf_0$  is the peak value along the temporal frequency axis. The spread of the function is  
 343 described by  $\sigma_{sf}$  and  $\sigma_{tf}$  in the spatial and temporal frequency domains, respectively. Q is the  
 344 slope of the relationship between a cell's preferred velocity and spatial frequency.

345 We fit Gaussian functions using the Microsoft Excel solver function and the R package  
 346 `gaussplotR`<sup>41</sup>. To maximize goodness of fit, we optimized values of all parameters ( $sf_0$ ,  $tf_0$ ,  $\sigma_{sf}$ ,  $\sigma_{tf}$ ,  
 347 and Q), which is the "unconstrained" best-fit model. Goodness of fit was assessed using  $R^2$  value  
 348 between the Gaussian model fit and the raw data. To determine whether a cell was "velocity  
 349 oriented" or "spatiotemporally independent", we fit two additional Gaussian models with  
 350 constrained Q parameters. For a truly independent cell, the slope of that plot, and thus Q, is -1,  
 351 meaning the velocity preference is strongly dependent on spatial frequency. In contrast, for a truly  
 352 velocity oriented cell, Q is 0 because a plot of velocity versus spatial frequency would have a  
 353 slope of zero. To determine whether each cell's tuning was better described by the velocity-  
 354 oriented or spatiotemporally independent predictions, we computed partial correlations of raw  
 355 data with the simulated responses<sup>42</sup>:

$$356 \quad R_{ind} = \frac{(r_i - r_s \times r_{is})}{\sqrt{(1 - r_s^2)(1 - r_{is}^2)}} \quad (4)$$

$$357 \quad R_{vel} = \frac{(r_s - r_i \times r_{is})}{\sqrt{(1 - r_i^2)(1 - r_{is}^2)}} \quad (5)$$

358 where  $R_{ind}$  and  $R_{vel}$  are partial correlations,  $r_i$  and  $r_s$  are the correlations of the raw data with the  
 359 independent- and velocity-oriented predictions, respectively.  $r_{is}$  is the correlation of the  
 360 independent prediction with the velocity-oriented prediction. Fisher Z-transforms were used to  
 361 determine the statistical significance of each of  $R_{ind}$  and  $R_{vel}$  as:

$$362 \quad Z_f = \frac{1}{2} \times \ln \left( \frac{1 + R}{1 - R} \right) \quad (6)$$

363 where  $Z_f$  is the z-score of either  $R_{ind}$  or  $R_{vel}$ , and  $R$  is the corresponding partial correlation  
 364 coefficient. To classify cells as independently- or velocity-oriented, we then computed differences  
 365 between the corresponding z-scores:

$$366 \quad Z_{diff} = \frac{Z_{f,ind} - Z_{f,vel}}{\sqrt{\left(\frac{1}{N_{ind} - 3}\right) + \left(\frac{1}{N_{vel} - 3}\right)}} \quad (7)$$

367 where  $Z_{f,ind}$  and  $Z_{f,vel}$  are the Fisher Z-transform for  $R_{ind}$  and  $R_{vel}$ , and  $N_{ind} = N_{vel} =$  the number of  
 368 sine-wave gratings used in the best-fit 2D Gaussian. After <sup>43</sup>, a p-value of 0.1 corresponds to an  
 369 absolute  $Z_{diff}$  of 1.65 and was chosen to denote significance. Cells were considered unclassifiable  
 370 if the  $Z_{diff}$  values were between -1.65 and 1.65. Spatiotemporally independent cells were  
 371 classified as those having  $Z_{diff} \geq 1.65$  and  $R_{ind} \gg 0$ . Velocity-oriented cells were defined as those  
 372 with  $Z_{diff} \leq -1.65$  and  $R_{vel} \gg 0$ .

373 To measure the breadth of tuning in the spatiotemporal domain, we calculated the volume under  
 374 the normalized Gaussian surface as:

$$375 \quad 2 * \pi * \sqrt{|\sigma_{sf}|} * \sqrt{|\sigma_{tf}|} \quad (8)$$

376 For the PSTH analysis in figure 4A,B, each cell's mean firing rate (minus the spontaneous rate)  
377 was normalized to its cells maximum firing rate along each of 200 bins (10 ms bin size). Data  
378 were then averaged by species for each temporal-frequency/spatial frequency combination.

379 **Hypothesis testing:** Statistical analyses were performed using R (v4.1.2). Many details of  
380 statistical tests, including all sample sizes, are provided in the main text and figures legends. For  
381 hypothesis testing related to analyses shown in figures 1-3, we asked whether species identity  
382 explained variance in each of: volume under the Gaussian fit, location of spatiotemporal peaks  
383 (on plots of temporal frequency vs. spatial frequency), and Gaussian orientation. For each of  
384 these dependent variables, we fit Bayesian generalized linear mixed models<sup>44</sup> and used a normal  
385 prior for fixed effects. Adjustments to parameters of the prior did not meaningfully affect results  
386 (code available on Figshare repository). We then determined statistical significance by assessing  
387 whether the 5-95% credible intervals (CIs) of fixed effects overlapped.

388 In LM neurons of pigeons<sup>24</sup>, NOT neurons of wallabies<sup>9</sup>, and nBOR neurons of hummingbirds  
389 zebra finches, and pigeons<sup>17</sup>, cells clustered into "fast" and "slow" groups, with the dividing line at  
390 4°/s. The density kernel plots of velocity (Fig. 2A) did not show any evidence of this divide, so we  
391 performed all analyses for the full population of hummingbirds and zebra finch neurons. The  
392 same approach was used with the archival pigeon data for consistency.

393 For hypothesis testing related to comparing initial transient and steady state data, we used two-  
394 sided paired t-tests to account for repeated measures from the same cell. Comparison with  
395 pigeons was not possible because temporally-resolved responses were not available. Dependent  
396 variables included: log(velocity), SF peak location, and TF peak location. We subsequently  
397 applied Bonferroni corrections to p-values to mitigate the effects of multiple hypothesis testing.

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495 **Supplemental References**

- 496 S1. Vogels, R., and Orban, G.A. (1994). Activity of inferior temporal neurons during orientation  
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498



**KEY RESOURCES TABLE**

REAGENT or RESOURCE	SOURCE	IDENTIFIER
Chemicals, peptides, and recombinant proteins		
Dextran Texas Red 3000MW	ThermoFisher Scientific	
Dextran micro-Emerald 3000MW	ThermoFisher Scientific	
Ketamine (Narketan)	cdmv	118577
Xylazine	UBC Animal Care Services	N/A
Deposited data		
Data and code for the manuscript	figshare	doi
Experimental models: Organisms/strains		
Anna's hummingbird ( <i>Calypte anna</i> ), adult male	Wild caught	N/A
Zebra finch ( <i>Taeniopygia gutatta</i> ), adult male	L'Oisellerie de l'Estrie, Québec, Canada	N/A
Software and algorithms		
gaussplotR	Baliga, VB. 2021	doi:10.21105/joss.03074
Matlab	MathWorks, Natick, MA	R2017a
R	R Core Team	v4.1.2
Spike2	CED, Cambridge, UK	v8
Other		
Stereotax	Herb Adams Engineering, Glendora, CA	N/A

Figure 1

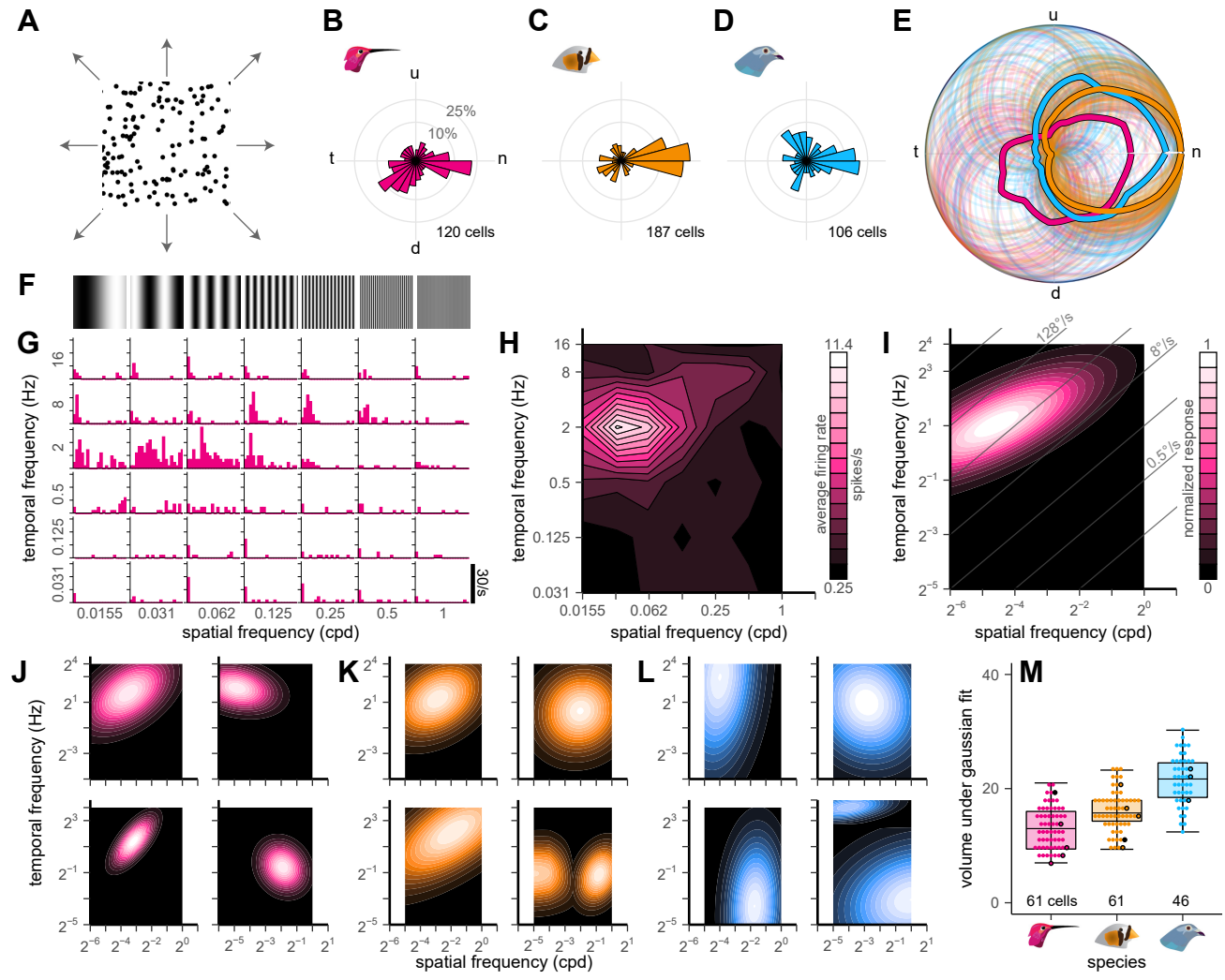


Figure 2

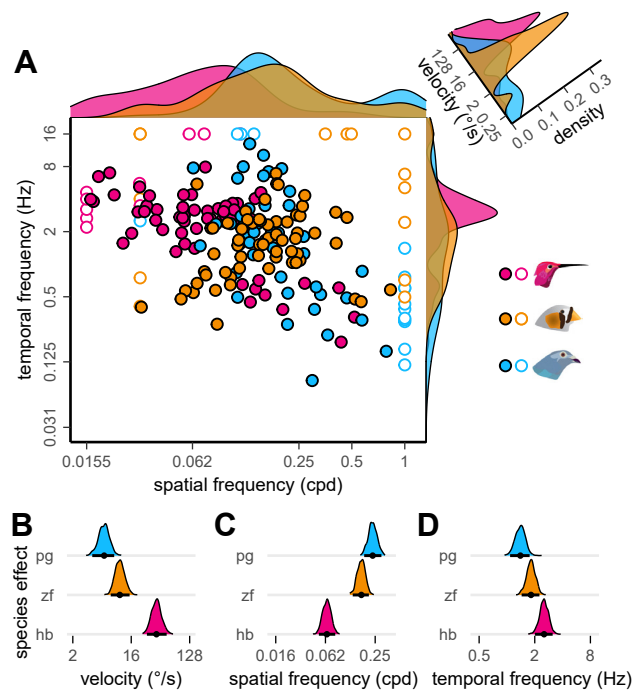


Figure 3

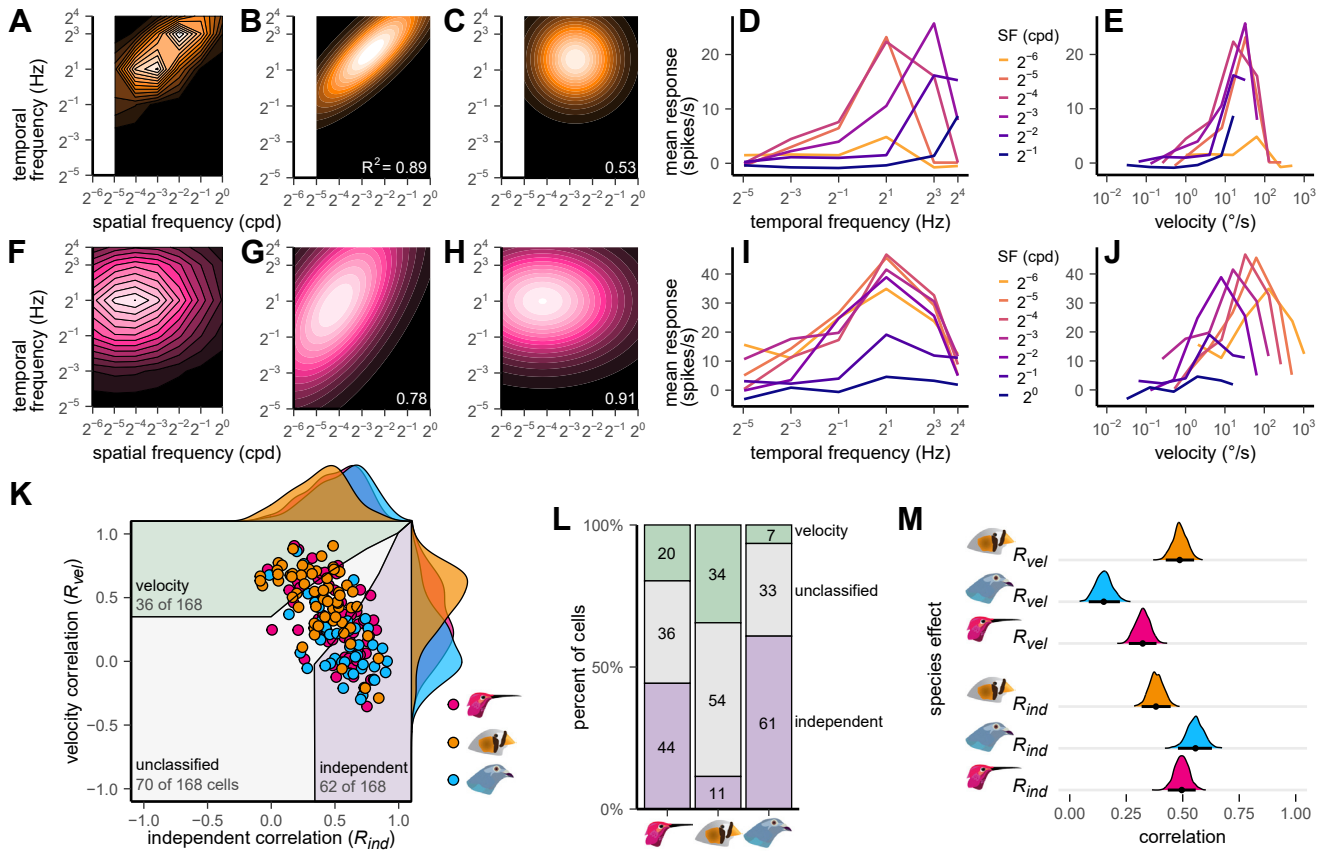
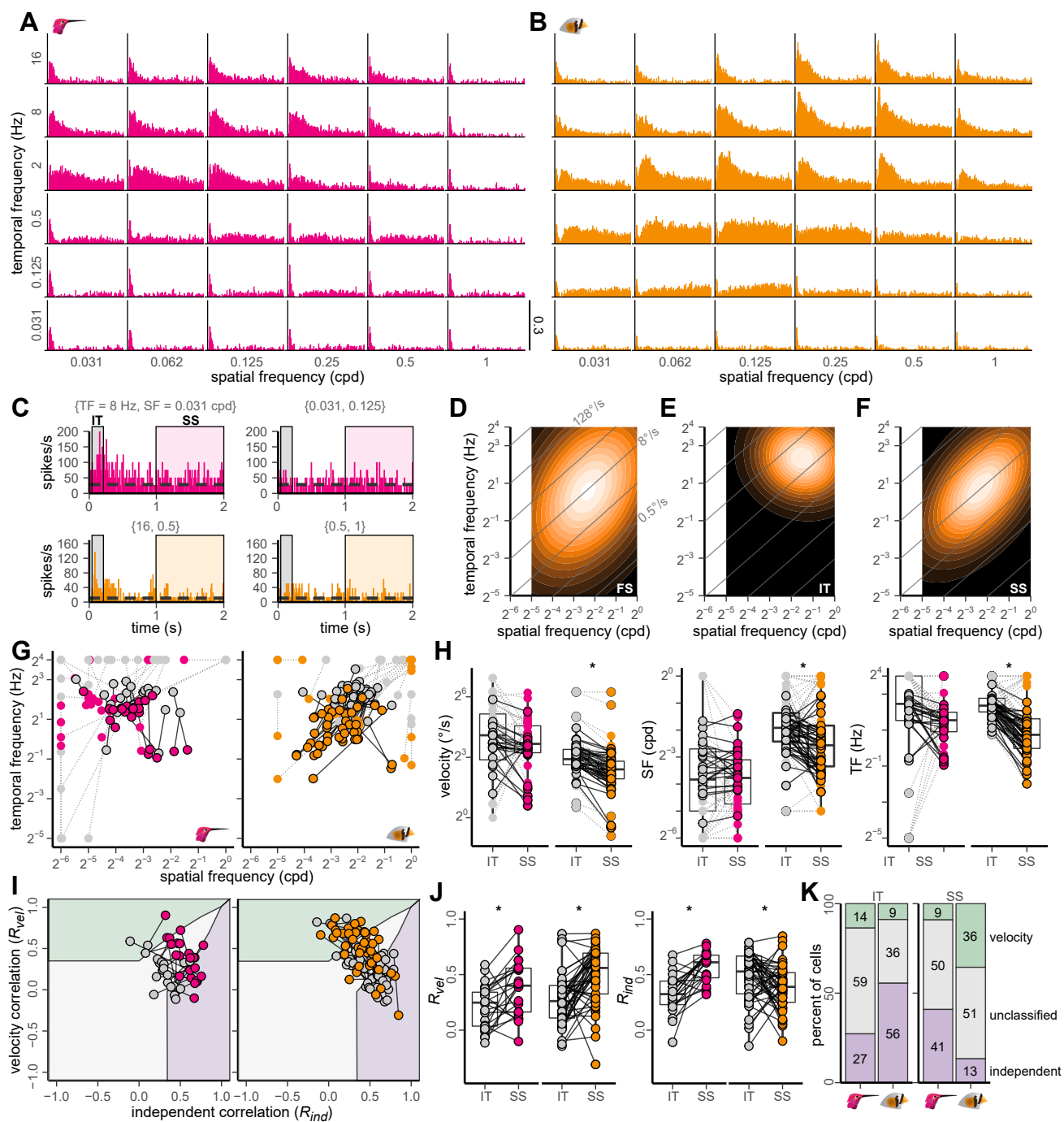
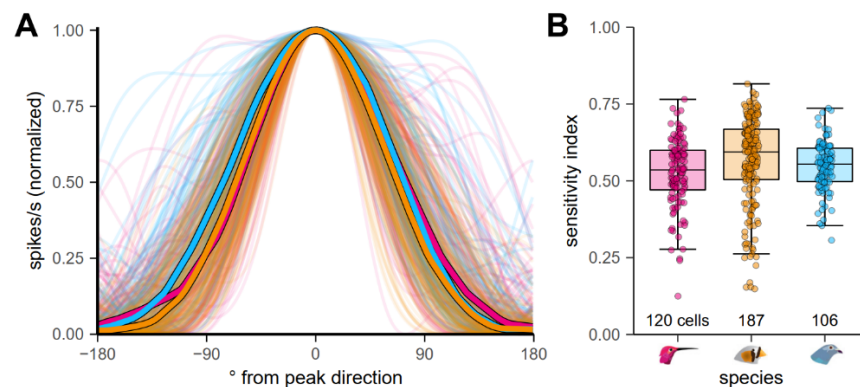


Figure 4





**Figure S1. Directional tuning width of LM neurons of hummingbirds, zebra finches, and pigeons is similar, related to Figure 1.** A) Tuning width was visualized by aligning the peaks of the normalized LM direction tuning curves. Thin lines are individual cells, which have been aligned at the direction that had the maximum firing rate. Thick lines indicate median values. B) Tuning width was analyzed by calculating the Sensitivity Index (SI), which measures the magnitude of the mean vector of the tuning curve<sup>S1</sup>. A neuron that responds to only one direction will have an SI value of 1, whereas a neuron responding equally to all directions has an SI value of 0. SI values are displayed in quartile box plots, with individual cells shown as circles. Overall, the neurons were broadly tuned for direction, with mean SI values ranging from 0.53 to 0.63. There were no differences in SI values among the three species. Hummingbird cells are indicated in magenta. Zebra finch cells are indicated in orange. Pigeon cells are indicated in blue.

**Table S1. Mean values for model effects, with 5-95% credible intervals in parentheses. Each row corresponds to a separate model, related to Figure 2.** Column 1 provides model formula. Abbreviations: SF – spatial frequency; TF – temporal frequency; LM – lentiformis mesencephali

Model	log2(spatial frequency):species			log2(temporal frequency):species		
	Hummingbird	Zebra Finch	Pigeon	Hummingbird	Zebra Finch	Pigeon
{SF, TF} ~ species	-3.96 (-4.25 – 3.62)	-2.55 (-2.89 – 2.23)	-2.11 (-2.44 – 1.76)	1.33 (1.01 – 1.64)	0.86 (0.55 – 1.15)	0.50 (0.15 – 0.82)

Model	Hummingbird	Zebra Finch	Pigeon
log2(velocity) ~ species	5.29 (4.77 – 5.80)	3.42 (2.88 – 3.91)	2.61 (2.07 – 3.18)

**Table S2. Mean values for model effects, with 5-95% credible intervals in parentheses, related to Figure 3.** Abbreviations:  $R_{vel}$  – partial correlation of velocity-oriented model;  $R_{ind}$  – partial correlation of spatiotemporally-independent model; LM – lentiformis mesencephali

Model	$R_{vel}$ :species			$R_{ind}$ :species		
	Hummingbird	Zebra Finch	Pigeon	Hummingbird	Zebra Finch	Pigeon
{ $R_{vel}$ , $R_{ind}$ } ~ species	0.32 (0.26 – 0.38)	0.48 (0.42 – 0.55)	0.15 (0.07 – 0.21)	0.50 (0.44 – 0.56)	0.38 (0.33 – 0.45)	0.56 (0.49 – 0.63)

### Supplemental References

S1. Vogels, R., and Orban, G.A. (1994). Activity of inferior temporal neurons during orientation discrimination with successively presented gratings. *J Neurophysiol* 71, 1428–1451.