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1	Clinical and Magnetic Resonance Imaging Characteristics of Thoracolumbar			
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3	Dogs			
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- 31

32 Abstract

33 Treatment recommendations differ for dogs with intervertebral disk extrusion vs. 34 intervertebral disk protrusion. The aim of this retrospective, cross-sectional study was 35 to determine whether clinical and magnetic resonance imaging (MRI) variables could 36 be used to predict a diagnosis of thoracolumbar intervertebral disk extrusion or 37 protrusion in dogs. Dogs were included if they were large breed dogs, had an MRI 38 study of the thoracolumbar or lumbar vertebral column, had undergone spinal surgery, 39 and had the type of intervertebral disk herniation (intervertebral disk extrusion or 40 protrusion) clearly stated in surgical reports. A veterinary neurologist unaware of 41 surgical findings reviewed MRI studies and recorded number, location, degree of 42 degeneration and morphology of intervertebral disks, presence of nuclear clefts, disk 43 space narrowing, extent, localization and lateralization of herniated disk material, 44 degree of spinal cord compression, intraparenchymal intensity changes, spondylosis 45 deformans, spinal cord swelling, spinal cord atrophy, vertebral endplate changes, and 46 presence of extradural hemorrhage. Ninety-five dogs were included in the sample. 47 Multivariable statistical models indicated that longer duration of clinical signs (P = 48 (0.01), midline instead of lateralized disk herniation (P = 0.007), and partial instead of 49 complete disk degeneration (P = 0.01) were associated with a diagnosis of 50 intervertebral disk protrusion. The presence of a single intervertebral herniation (P =51 (0.023) and dispersed intervertebral disk material not confined to the disk space (P = 52 0.06) made a diagnosis of intervertebral disk extrusion more likely. Findings from this 53 study identified one clinical and four MRI variables that could potentially facilitate 54 differentiating intervertebral disk extrusions from protrusions in dogs.

55 Introduction

56 Intervertebral disk herniation is a well-recognized and common spinal cord disorder 57 in dogs.¹⁻⁴ Two types of degenerative intervertebral disk herniation have traditionally been recognized: intervertebral disk extrusion or Hansen Type-I, and intervertebral 58 disk protrusion or Hansen Type-II disk herniation.³ Intervertebral disk extrusion is 59 60 characterized by sudden herniation of degenerated and calcified nucleus pulposus through a fully ruptured anulus fibrosus, ^{3,5,6} while intervertebral disk protrusion is 61 62 characterized by a focal and more gradual extension of the anulus fibrosus and dorsal 63 longitudinal ligament into the vertebral canal. Although recent studies have demonstrated similar pathological abnormalities,⁸ intervertebral disk extrusions and 64 65 protrusions are associated with different clinical characteristics.⁶ Intervertebral disk extrusions occur typically in chondrodystrophic dogs, can occur at a young age and is 66 typically associated with an acute onset of neurological signs.^{4,6,9} Intervertebral disk 67 68 protrusions occur typically in non-chondrodystrophic dogs, affected dogs are 69 generally older and can present with a more protracted and insidious clinical 70 history.^{4,6,9} Although extrusions typically affect chondrodystrophic and protrusions typically non-chondrodystrophic dogs, ^{2-4,6,10} large breed dogs can suffer from both 71 types of intervertebral disk herniation.^{4,11} Apart from the above mentioned 72 73 differences in pathophysiology and clinical presentation, intervertebral disk extrusions and protrusions are also associated with different suggested treatment options ^{4,10,12-14}, 74 and possibly also a different prognosis.⁴ Intervertebral disk extrusions are typically 75 treated by a hemilaminectomy.⁵ Several studies have however suggested this type of 76 77 surgery would be inadequate for intervertebral disk protrusions and have suggested alternative surgical approaches, including additional vertebral stabilization¹⁰ or a 78 lateral corpectomy.^{12,13} While a hemilaminectomy is considered a basic spinal 79

80 surgical technique, a corpectomy should be considered more technically demanding. 81 Treatment of intervertebral disk protrusions is further complicated by the fact that 82 little is known about the results of medical management and that dogs with 83 protrusions are at increased risk of early postoperative neurological deterioration compared to dogs with extrusions.⁴ It seems therefore important to accurately 84 85 differentiate thoracolumbar intervertebral disk extrusions from protrusions before 86 treatment options and associated outcomes are discussed with owners of affected 87 dogs. Currently, the exact type of intervertebral disk herniation can only be 88 recognized during surgery. If we want to improve our knowledge on the natural 89 evolution and results of medical management, we should improve our knowledge on 90 how to differentiate different types of intervertebral disk herniation without surgical 91 confirmation. Although intervertebral disk herniation can be diagnosed by a variety of 92 imaging modalities, magnetic resonance imaging (MRI) is considered the imaging 93 modality of choice.¹⁵ Several studies have reported MRI findings in dogs with 94 thoracolumbar intervertebral disk herniations,¹⁶⁻²⁰ establishing further insights into 95 the pathophysiology, diagnosis and treatment of this disorder. Little is however 96 known about specific clinical or MRI abnormalities that can be used to differentiate 97 between thoracolumbar intervertebral disk extrusions and protrusions. The aim of this 98 retrospective, cross sectional study was therefore to evaluate the use of clinical and 99 previously reported MRI characteristics to differentiate between these two specific 100 types of intervertebral disk herniation. It was hypothesized that specific clinical and 101 MRI variables exist that could independently predict the occurrence of intervertebral 102 disk extrusion or protrusion.

103

104 Materials and Methods

105 Criteria for Animal Selection

106 The digital medical database of the Royal Veterinary College was searched between 107 July 2002 and January 2014 for large breed dogs undergoing MRI and decompressive 108 surgery for thoracolumbar or lumbar intervertebral disk herniation. Search terms were 109 "intervertebral disk extrusion", "intervertebral disk protrusion", "intervertebral disk 110 herniation", "intervertebral disk prolapse", "intervertebral disk disease" and "MRI". 111 Dogs were included if (1) they were large breed dogs, defined as a body weight 112 exceeding 20kg⁴, (2) underwent an MRI study of the thoracolumbar or lumbar 113 vertebral column, (3) following a diagnosis of intervertebral disk herniation 114 underwent spinal surgery consisting of a hemilaminectomy or hemilaminectomy 115 combined with a partial discectomy and (4) the type of intervertebral disk herniation 116 (intervertebral disk extrusion or protrusion) was clearly noted in the surgical reports. 117 Dogs were excluded if the medical records or imaging studies were incomplete, if 118 they were not available in a digital format, if the type of intervertebral disk herniation 119 (extrusion or protrusion) was not clearly noted in the surgical reports, if more than 120 one type of intervertebral disk herniation (both intervertebral disk extrusion and 121 protrusion), or acute herniations of flaps of anulus were observed during surgery. For 122 inclusion in the study, the surgical treatment had to have consisted of a decompressive 123 hemilaminectomy, a hemilaminectomy combined with an anulectomy, or a 124 hemilaminectomy combined with a partial discectomy. During the latter procedure, a 125 hemilaminectomy had to have been initially performed to allow inspection of the vertebral canal. This had to have been followed by a lateral approach to the affected 126 127 intervertebral disk, after which the dorsal part of the disk and a portion of the adjacent 128 vertebral endplates were removed by a pneumatic drill or surgical aspirator. For 129 inclusion, all dogs had to have undergone MRI under general anesthesia (1.5T, Intera,

Philips Medical Systems, Eindhoven, the Netherlands). If dogs had MRI on multiple
occasions for confirmed intervertebral disk herniation, only information from the first
visit was used.

133

134 Data Recorded

135 Information retrieved from the medical records included signalment, duration, type,

and severity of clinical signs, general physical and neurological examinations

137 findings, and type of surgery. Severity of neurological deficits was graded by the

138 modified Frankel score ²¹, which was defined as paraplegia with no deep nociception

139 (grade 0), paraplegia with no superficial nociception (grade 1), paraplegia with

140 nociception (grade 2), non-ambulatory paraparesis (grade 3), ambulatory paraparesis

141 and ataxia (grade 4), spinal hyperesthesia only (grade 5), or no dysfunction.

142

143 Magnetic resonance imaging studies were anonymized and presented in a randomized 144 order to a board-certified veterinary neurologist (S.D.D.). The observer was only 145 informed on the location of the surgically confirmed intervertebral disk herniation and 146 only T1 –and T2-weighted sequences were presented and assessed. Standard image 147 archiving and communication system software (Osirix Foundation, V.5.5.2 Geneva, 148 Switzerland) was used to view and assess the imaging studies. The selection of MRI variables was based on earlier reported veterinary studies ^{5,16,22-33} and covered several 149 aspects of intervertebral disk disease (Table 1). Assessed variables included number, 150 151 degree of degeneration and morphology of affected intervertebral disks, presence of 152 nuclear clefts, narrowing of the intervertebral disk space, extent, localization and 153 lateralization of herniated disk material, degree of spinal cord compression, 154 occurrence and type of intraparenchymal intensity changes, occurrence of spondylosis 155 deformans ventral to the affected intervertebral disk space, spinal cord swelling, 156 spinal cord atrophy, occurrence and type of vertebral endplate changes, and presence 157 of extradural hemorrhage. Since intervertebral disk degeneration is associated with a decrease in nucleus pulposus signal intensity on T2-weighted images ²³, assessment of 158 159 intervertebral disk degeneration was based on nucleus pulposus signal intensity on 160 midsagittal T2-weighted images. A non-degenerate disk (grade 0) had a homogenous 161 hyperintense signal, a partially degenerate disk (grade 1) had heterogeneous loss of 162 hyperintense signal, and a completely degenerate intervertebral disk (grade 2) had complete loss of hyperintense signal.²⁶ Intervertebral disk morphology was described 163 as bulging, protrusion or extrusion (Figure 1).¹⁶ Bulging was defined as a symmetric, 164 165 uniform and circumferential extension of the disk margin over the border of the 166 vertebral endplate; protrusion was defined as a focal midline or dorsolateral extension 167 of the disk margin with focal rupture of the anulus fibrosus; extrusion was defined as presence of herniated disk material through all layers of the anulus fibrosus.¹⁶ 168 169 Intervertebral disk morphology was further determined by the ability or inability to 170 observe the well-defined contour of the anulus fibrosus on transverse T1-weighted images ²⁵ and the ability or inability to identify the distinction between the anulus 171 fibrosus and nucleus pulposus on midsagittal T2-weighted images.³⁰ Narrowing of the 172 173 intervertebral disk space was assessed subjectively by visually comparing the affected 174 intervertebral disk space maximum width with the adjacent, cranial and caudal, nonaffected intervertebral disk spaces.³¹ Nuclear clefts were defined as a focal area of 175 signal loss in the nucleus pulposus on T2-weighted images.²⁵ Localization of 176 177 herniated disk material was assessed on T1 -and T2-weighted transverse images, 178 being defined as ventral, lateral, or dorsal relative to the spinal cord. Lateralization of 179 herniated material was further described as being exclusively in the midline or

180 lateralized. Extension of herniated disk material was assessed on T2-weighted sagittal 181 images and characterized as dispersed or confined to the intervertebral disk space. 182 Dispersed disk material was defined as herniated disk material with no clear association with its original intervertebral disk space.^{5,16} Disk material confined to the 183 184 intervertebral disk space was defined as herniated disk material, which did not exceed the limits of the disk space or associated vertebral endplates.^{12,29} Presence of spinal 185 186 cord swelling and spinal cord atrophy were subjectively evaluated on T2-weighted 187 sagittal and transverse images at the spinal segments immediately adjacent to the site 188 of spinal cord compression. Spinal cord swelling was defined as a subjectively 189 decreased area of cerebrospinal fluid and fat relative to a decreased area of spinal 190 cord, while the presence of spinal cord atrophy was defined as an subjectively 191 increased area of cerebrospinal fluid and epidural fat relative to a decreased spinal cord area.²⁴ Degree of spinal cord compression was determined by calculating the 192 193 remaining spinal cord area and compression ratio at the site of maximum spinal cord 194 compression. The remaining spinal cord area was defined as the cross sectional area 195 of the spinal cord of the compressed area divided by the cross sectional area at the adjacent, non-compressed segment.^{22,33} The compression ratio was determined by 196 197 dividing the smallest dorsoventral diameter of the spinal cord by the broadest transverse diameter at the same level.^{22,33} Intraparenchymal signal intensity changes 198 199 were assessed on sagittal images and classified as; absent intraparenchymal signal 200 intensity changes on T2 or T1-weighted images (grade 0); light (obscure) 201 hyperintense intraparenchymal signal intensity change on T2-weighted images (grade 202 1); intense (bright) hyperintense intraparenchymal signal intensity change on T2-203 weighted images (grade 2); hyperintense intraparenchymal signal intensity change on 204 T2-weighted images, which corresponded to a hypointense intraparenchymal signal

205	intensity change on T1-weighted images (grade 3). ³² Vertebral endplate changes were
206	classified as: no changes (grade 0); hypointense areas on T1-weighted images and
207	hyperintense areas on T2-weighted images (grade 1); hyperintense areas on T1-
208	weighted images and areas of isointense or slightly hyperintense signal intensity on
209	T2-weighted images (grade 2), hypointense signal on both T1 -and T2-weighted
210	images (grade 3). ¹⁶ Presence of extradural hemorrhage was defined as a poorly
211	demarcated, extradural area of heterogeneous intensity on T2-weighted images. ²⁸
212	
213	Statistical Analyses
214	Statistical analysis was performed by one of the authors (RMAP) and data were
215	analyzed using statistical software (IBM SPSS Statistics version 19, New York). The
216	binomial outcome variable was diagnosis of intervertebral disk extrusion or
217	protrusion. Associations between the 32 predictor variables (clinical and MRI
218	characteristics) and the outcome variables were screened at the univariable level using
219	Chi-squared analysis for categorical predictors, and the Student's t-test or Mann-
220	Whitney U test for continuous variables, dependent upon the normality of the
221	distribution of the data, which was determined via visual inspection of histograms. P
222	values <0.05 were considered significant in all analyses. Variables significantly
223	associated with diagnosis at the univariable level ($P < 0.05$) were taken forward to be
224	tested in a multivariable model; a binomial logistic regression with diagnosis as the
225	binomial outcome variable, using intervertebral disc extrusion as the reference
226	category. Odds ratios of significant variables were inspected to determine which type
227	of disc disease was more likely based on the predictor variables. Multicollinearity was
228	checked for in all models, identified from inflated standard errors in the models and
229	thus avoided. Model fit was assessed using the Akaike's information criterion (AIC)

230	and percentage correct classification, with lower AIC models favored to reduce
231	residual error in the model while avoiding overfitting. In addition to these analyses, a
232	post hoc receiver operating characteristic (ROC) analysis was used to examine the
233	performance of the significant continuous variable, duration of clinical signs, as an
234	indicator of diagnosis by determining the diagnostic power of the test by measuring
235	the area under the curve (AUC). The reference standard was surgically confirmed
236	diagnosis of intervertebral disk extrusion or protrusion. A perfect test has an AUC
237	value of 1.0, with an AUC of 0.5 means the test performs no better than chance.
238	Youden's index (Youden's J statistic; J = Sensitivity + specificity - 1) was calculated
239	to identify the optimal cut-off value of duration of clinical signs that yielded
240	maximum sums from the ROC curves.
0.44	

- 241
- 242 **Results**

243 Included animals

244 A total of 105 large breed dogs underwent MRI and spinal surgery for thoracolumbar 245 intervertebral disk herniation. Ten cases were excluded, as the nature of herniated 246 disk material was not clearly noted in the surgical reports. Ninety-five dogs with 247 intervertebral disk extrusion (n=52) or protrusion (n=43) were therefore included in 248 this study. Magnetic resonance imaging was performed with dogs in dorsal 249 recumbency and by using a dedicated spinal coil. Imaging studies included a 250 minimum of T2-weighted (repetition time (ms) (TR)/ echo time (ms) (TE); 3000/120) 251 and T1-weighted (TR/TE, 400/8) sagittal and transverse images. Slice thickness for 252 sagittal and transverse images were respectively 1.75 and 2.5mm with an interslice 253 gap of 0.3mm in both planes. The transverse images were aligned parallel to the 254 respective intervertebral disks. The surgical appearance of intervertebral disk

extrusions was typically characterized as sequestered calcified intervertebral disk material without physical connection with the ruptured anulus fibrosus. The surgical appearance of intervertebral disk protrusion was typically characterized by a focal or broad based dorsal displacement of the intervertebral disk without any defect in the outer layers of the anulus fibrosus.

260 Breed distribution of 52 dogs with intervertebral disk extrusion was German Shepherd

261 Dog (n=12), Cross breed (seven), Labrador Retriever (six), Basset Hound, English

262 Staffordshire Bull Terrier (both five), Clumber Spaniel, Rottweiler (both four), Rough

263 Collie, Doberman Pinscher, English Pointer, Golden Retriever, Lurcher, English Bull

264 Terrier, Portuguese Waterdog, English Springer Spaniel and Weimaraner (one for

each). This group included 27 males and 25 females aged between 1 and 12 years

266 (mean, 6.7 years). Median duration of clinical signs, before referral, was 2 days (25th-

267 75th percentile, 1 - 9.25 days). Dogs presented with neurological grades 0 (n=four

dogs), 1 (one), 2 (13), 3 (15), and 4 (19). Affected intervertebral disk spaces in order

269 of occurrence were T13-L1, L1-L2 (both n=11), T12-T13 (nine), L3-L4 (seven), L2-

270 L3 (six), T11-T12, L4-L5 (both three), T3-T4 and T10-L1 (both one). All dogs

271 underwent a decompressive hemilaminectomy.

272

273 Breed distribution of 43 dogs with intervertebral disk protrusion was German

274 Shepherd Dog (n = 21), English Staffordshire Bull Terrier (eight), Cross Breed (four),

275 Basset Hound (three), Labrador Retriever (two), Bullmastiff, Dalmatian, English

276 Pointer, Golden Retriever and Rottweiler (one for each). This group included 34

277 males and nine females aged between 4 and 12.2 years (mean, 8.7 years). The median

duration of clinical signs, before referral, was 42 days (25th-75th percentile, 4 - 150

days). Dogs presented with neurological grades 2 (n=one dog), 3 (seven), and 4 (35).

280 Affected intervertebral disk spaces in order of occurrence were T13-L1 (n=17), T12-281 T13 (10), L1-L2 (nine), L2-L3 (five), T9-T10 and T11-T12 (both one). All dogs 282 underwent a hemilaminectomy with anulectomy (n=22) or a hemilaminectomy with 283 partial discectomy (21).

- 284
- 285

Clinical variables associated with intervertebral extrusion or protrusion

286 Univariable statistical analysis (Table 1) revealed that older age, longer duration of 287 clinical signs, male gender, and a higher neurological grade (less severely affected) 288 were significantly associated with a diagnosis of intervertebral disk protrusion (P <289 0.05). After performing binomial logistic regression (Table 2), longer duration of 290 clinical signs was the only clinical variable significantly associated with a diagnosis 291 of intervertebral disk protrusion (median duration of clinical signs was two days and 292 42 days for dogs with intervertebral disk extrusion and protrusion, respectively). With 293 each increasing day that clinical signs were present, there was a significantly 294 increased likelihood of the diagnosis being intervertebral disk protrusion rather than 295 extrusion (P = 0.011). ROC-analysis (Figure 1) revealed that duration of clinical signs 296 of 21 days was associated with the highest combined sensitivity (70%) and specificity 297 (87%) to differentiate between both types of intervertebral disk herniation. The area 298 under the curve was 0.79 (95% CI: 0.69-0.88).

299

300 MRI-variables associated with intervertebral disk extrusion or protrusion

301 Univariable statistical analysis (Table 1) revealed that extrusion-morphology,

302 narrowing of the intervertebral disk space, complete intervertebral disk degeneration,

- 303 presence of nuclear clefts, lateralized disk material, dorsal location of herniated disk
- 304 material, subjective spinal cord swelling, and presence of epidural hemorrhage, were

305 associated with a diagnosis of intervertebral disk extrusion (P < 0.05). Protrusion-306 morphology, partial disk degeneration, herniated disk material confined to the 307 intervertebral disk space, ventral location of herniated disk material, spinal cord 308 atrophy, lower compression ratio values (indicating more pronounced dorsoventral 309 spinal cord flattening), presence and type of intraparenchymal signal intensity 310 changes, presence and type of endplate changes, presence of multiple intervertebral 311 disk herniations, and presence of spondylosis deformans were significantly associated 312 with a diagnosis of intervertebral disk protrusion. After performing binomial logistic 313 regression, four MRI variables were retained as independent predictors of 314 intervertebral disk protrusion or extrusion (Table 2). Midline instead of lateralized 315 intervertebral disk herniation (Figure 2), and partial instead of complete intervertebral 316 disk degeneration (Figure 3) were significantly associated with a diagnosis of 317 intervertebral disk protrusion. The presence of a single instead of multiple 318 intervertebral disk herniations (Figure 4) and dispersed intervertebral disk material 319 not confined to the disk space (Figure 5) made a diagnosis of intervertebral disk 320 extrusion more likely. Although the latter variable did not reach statistical 321 significance (P = 0.06), inclusion of this variable improved model fit (determined by 322 AIC values and percentage correct classification) and it was thus retained in the final 323 model.

324

325 Discussion

326

327 This study evaluated the application of clinical and previously described MRI

328 characteristics ^{5,16,22-33} in an attempt to identify specific variables that could be used to

329 differentiate thoracolumbar intervertebral disk extrusions from protrusions. One

330 clinical and four MRI variables were identified as independent predictors for the exact 331 type of intervertebral disk herniation (Table 2). Duration of clinical signs, 332 lateralization of herniated disk material, degree of intervertebral disk degeneration, 333 number of intervertebral disk herniations, and localization of herniated disk material relative to the affected intervertebral disk space were considered the most predictive 334 335 independent variables to diagnose thoracolumbar intervertebral disk extrusion or 336 protrusion. Differentiating between both types of thoracolumbar intervertebral disk 337 herniation is of clinical importance. Both types of disk herniation can be considered 338 distinct clinical entities and are associated with a different pathophysiology, available treatment options ^{4,10,12-14}, postoperative recovery, and prognosis after medical and 339 340 surgical treatment.⁴ Making informed clinical decisions is however only possible 341 when an accurate diagnosis can be reached.

342

343 Longer duration of clinical signs was considered the only clinical variable able to 344 assist in differentiating extrusions from protrusions. This is in agreement with previously reported studies ^{4,10} and most likely reflects the pathophysiological 345 346 differences between both types of intervertebral disk herniation. Where intervertebral 347 disk extrusion is characterized by a sudden extrusion of calcified and fragmented 348 nucleus pulposus into the vertebral canal, intervertebral disk protrusion is 349 characterized by a more gradual hypertrophy and hyperplasia of the anulus fibrosus.^{3,6,9} Although dogs with both intervertebral disk extrusion and protrusion 350 351 presented with a large variation in duration of their clinical signs, our results indicate 352 that duration of clinical signs of 21 days could be considered a potential guideline to 353 differentiate between dogs with both types of intervertebral disk herniation.

355 Midline intervertebral disk herniation was associated with a diagnosis of disk 356 protrusion, while lateralized intervertebral disk herniation was associated with a 357 diagnosis of intervertebral disk extrusion (Figure 2). Intervertebral disk protrusion is 358 characterized by protrusion of the dorsal anulus and the intact dorsal longitudinal ligament into the vertebral canal.⁶ Lateral displacement of herniated material is 359 360 therefore likely limited by the anatomical boundaries of the dorsal longitudinal 361 ligament, which then possibly facilitates midline protrusion. Intervertebral disk 362 extrusion is however often characterized by extrusion of nuclear material through all 363 layers of the anulus fibrosus and through or lateral to the dorsal longitudinal ligament.⁶ The dorsal longitudinal ligament therefore does not directly limit lateral 364 365 displacement of herniated material, which can move more freely into the vertebral 366 canal.

367

368 Partial intervertebral disk degeneration, represented by the preservation of some 369 hyperintensity in the nucleus pulposus on T2-weighted images, was associated with a 370 diagnosis of intervertebral disk protrusion, while complete disk degeneration, 371 represented by complete loss of hyperintense signal was associated with a diagnosis 372 of intervertebral disk extrusion. This is consistent with published studies indicating 373 that uniformly hyperintense signal on T2-weighted images of a non-degenerated 374 intervertebral disk is caused by the high water content of the healthy nucleus pulposus.^{25,30,31} The hallmark of Hansen Type I disk degeneration, which precedes 375 intervertebral disk extrusion, is the transition from a gelatinous, semi-fluid nucleus 376 pulposus into a drier and more rigid structure.^{3,6,9} This is caused by a decrease of 377 378 water-binding proteoglycans, including chondroitin sulfate, and an increase in collagen content. ^{6,34,35} While the primary target of degeneration is the nucleus 379

380 pulposus in dogs with intervertebral disk extrusion, this is not necessarily true in dogs 381 with intervertebral disk protrusion. Mineralization of the nucleus pulposus is not 382 always seen in dogs with disk protrusions and degenerative changes of the anulus can occur earlier, before pathological changes are seen in the nucleus pulposus.^{3,6,9,35,36} 383 384 This could explain why dogs with intervertebral disk protrusion can still demonstrate 385 hydration of the nucleus pulposus with preservation of hyperintensity on T2-weighted 386 images. In agreement with previous studies, presence of a single intervertebral disk 387 herniation was associated with disk extrusions, while the presence of multiple compressive lesions was associated with a diagnosis of intervertebral disk protrusion.⁴ 388 389 Although this finding is difficult to explain, it is possibly related to the different 390 pathological mechanisms underlying these two types of intervertebral disk disease. 391 Sudden extrusion of disk material in intervertebral disk extrusion results most often in 392 both contusion and compression of the spinal cord.³⁷ It is therefore less likely that 393 disk extrusions will occur without noticeable clinical signs. In contrast, intervertebral 394 disk protrusion is typically associated with gradual spinal cord compression without 395 contusion.³⁸ Disk-associated spinal cord compression has been demonstrated in clinically normal dogs ^{26,39} and a remarkable degree of progressive spinal cord 396 compression can occur before clinical signs eventually develop.³³ It is therefore 397 398 possible that multiple spinal cord compressions of variable severity can co-exist 399 before clinical signs appear. It is also possible that intervertebral disk protrusion is an 400 intrinsically more multifocal disease process, facilitating concurrent intervertebral 401 disk herniations. Additionally, dogs with intervertebral disk protrusions were 402 significantly older than dogs with intervertebral disk extrusions. Intervertebral disk 403 degeneration and herniation has been suggested to represent a physiological age related process.³⁹ This could also have contributed to the higher number of disk 404

herniations in dogs with intervertebral disk protrusions. Occurrence of multiple
lumbar disk protrusions poses difficulties in selecting the most appropriate treatment
modality. While specific surgical techniques, including stabilization, have been
suggested ^{10,13}, the presence of multiple disk protrusions has also been associated with
a reluctance to perform surgery.⁴

410

In agreement with previous findings ⁴ dispersion of herniated disk material beyond 411 412 the borders of the affected disk space was associated with a diagnosis of intervertebral 413 disk extrusion, while confinement to the borders of the intervertebral disk space was 414 associated with a diagnosis of intervertebral disk protrusion (Figure 5). This finding 415 can most likely be explained by the fact that the dorsally displaced nucleus pulposus 416 remains contained within the outer layers of the anulus fibrosus in dogs with disk protrusions ^{3,6,9}, while calcified nucleus pulpous ruptures through all layers of the 417 418 anulus in dogs with intervertebral disk extrusion and can therefore be more easily 419 displaced beyond the boundaries of the affected intervertebral disk space.⁵

420

421 This study is limited by its retrospective nature, which complicated standardized 422 patient assessment and correlation of MRI and surgical findings. Although the 423 selection of MRI variables was based on previously published veterinary and human 424 neuroradiology studies, it is possible that some of the variables were not necessarily 425 associated with a perfect diagnostic accuracy for the intended purpose. For example, 426 assessment of epidural haemorrhage was based on the presence of a poorly 427 demarcated, extradural area of heterogeneous intensity on sagittal T2-weighted images ²⁸, which could be considered an unspecific imaging finding. Although it is 428 429 possible that inclusion of gradient echo sequences would have improved diagnostic

430 accuracy, results of a recent study suggest that identification of a susceptibility artifact 431 on gradient echo spinal MRI studies is also not specific for epidural hemorrhage in dogs with intervertebral disk extrusions.⁴⁰ It should further be emphasized that this 432 study did not evaluate the diagnostic accuracy or reliability of the blinded observer 433 434 and that interpretation of most evaluated MRI variables were likely associated with 435 inherent subjectivity. Previous studies have questioned the reliability of some of the 436 evaluated MRI variables, including subjective evaluation of intervertebral disk 437 width.^{41,42} Subjective evaluation of intervertebral disk width using MRI has been associated with considerable disagreement between and within observers ^{41,42}, while 438 439 objective measurements have been associated with good inter -and intraobserver agreement.⁴³ Absolute measurements were however not included in this study due to 440 441 concerns about heterogeneity of included breeds and dog sizes. Although this study 442 has identified one clinical and several MRI-variables that are independently 443 associated with a diagnosis of intervertebral disk extrusion or protrusion, it is 444 currently unclear if application of these variables into a clinical setting will result in 445 an improved differentiating of both clinical entities. Furthermore, it is currently 446 unclear how well or poor intervertebral disk extrusion and protrusion can be 447 differentiated without assistance of these variables. Further studies are therefore 448 needed to determine the necessity, accuracy and reliability of the identified variables 449 as diagnostic guidelines to differentiate both types of intervertebral disk herniation. 450 451 In summary, this study identified potential clinical and MRI-variables to improve 452 differentiation of thoracolumbar intervertebral disk extrusions from protrusions. More 453 specifically, duration of clinical signs, lateralization of herniated disk material, degree 454 of intervertebral disk degeneration, presence of multiple intervertebral disk

455	herniations, and confinement of herniated disk material to the affected intervertebral
456	disk space were identified as independent variables to predict a diagnosis of
457	intervertebral disk extrusion or intervertebral disk protrusion. Further studies are
458	necessary to evaluate the use of these variables to improve reaching a correct
459	diagnosis of thoracolumbar intervertebral disk extrusion or protrusion.
460	
461	Acknowledgments: None
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Table 1. IVDH, intervertebral disk herniation: IVDS, intervertebral disk space; ISI,

596 intraparenchymal intensity; SE, standard error; %, percentile; non-significant values

597 indicated by *P*-value >0.05

598

Table 2. IVD, intervertebral disk; IVDH, intervertebral disk herniation; IVDP,

600 intervertebral disk protrusion; IVDE, intervertebral disk extrusion, OR, odds ratio; CI,

601 confidence interval; significant variables (P < 0.05) marked by asterisk (*). Although

- 602 'IVDH not confined to IVDS' did not reach statistical significance, inclusion of this
- 603 variable improved model fit.

604

Figure 1. Receiver operating characteristic curve for duration of clinical signs in 52
dogs with thoracolumbar intervertebral disk extrusion and 43 dogs with intervertebral
disk protrusion. A duration of clinical signs of 21 days (asterisk) corresponded with
the highest combined sensitivity and specificity to differentiate between both types of

609 intervertebral disk herniation.



Figure 2. T2W transverse images of dogs with a surgically confirmed thoracolumbar

- 612 intervertebral disk protrusion (A and B) and a dog with surgically confirmed
- 613 intervertebral disk extrusion (C). (A and B) Midline intervertebral disk herniation
- 614 (arrows) was predictive for a diagnosis of disk protrusion, while lateralized
- 615 intervertebral disk herniation (arrow) was predictive for intervertebral disk extrusion
- 616 (B). Presented intervertebral disk herniations represent protrusion (A), bulging (B),
- 617 and extrusion (C) morphology



- 620 Figure 3. T2W sagittal images of a clinically normal dog (A), a dog with surgically
- 621 confirmed thoracolumbar intervertebral disk protrusion (B), and a dog with a
- 622 surgically confirmed intervertebral disk extrusion (C). Partial loss of nucleus pulposus
- 623 signal intensity (B) was associated with disk protrusion, while complete loss of
- 624 hyperintense signal (C) was associated with disk extrusion. Non-degenerated disk
- 625 with homogenous hyperintense nucleus pulposus for comparison (A).



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627

629 Figure 4. T2W sagittal images of a dog with surgically confirmed thoracolumbar

- 630 intervertebral disk protrusions (A), and a dog with intervertebral disk extrusion (B).
- 631 (A) Presence of multiple intervertebral disk herniations was predictive for a diagnosis
- 632 of disk protrusion (arrows), while presence of a single intervertebral disk herniation
- 633 (arrow) was predictive for disk extrusion (B).



634

636 Figure 5. T2W sagittal images of a dog with two surgically confirmed thoracolumbar 637 intervertebral disk protrusions (A), and a dog with a surgically confirmed 638 intervertebral disk extrusion (B). Presence of herniated disk material confined to the 639 intervertebral disk space (arrows) was predictive for protrusion (A), while herniated 640 disk material exceeding the limits of the intervertebral disk space (arrows) was 641 predictive for extrusion (B). Both intervertebral disk protrusions (A) demonstrate 642 partial intervertebral disk degeneration, while the intervertebral disk extrusion (B) 643 demonstrates complete intervertebral disk degeneration.



- 644
- 645

Variable	Intervertebral disk	intervertebral disk	P-Value
	extrusion (n=52)	protrusion (n=43)	
Male	27 (51.9%)	34 (79.1%)	0.006
Neutered	24 (46.2%)	19 (44.2%)	>0.05
Age (mean, SE)	6.7 years (0.34)	8.7 (0.34)	< 0.001
Duration signs (median, 25 th -75 th	2 days (1.0 - 9.25)	42 days (4.0-150)	< 0.001
percentile)			
Neurological grade			< 0.001
Grade 1	4 (7.7%)	0 (0%)	
Grade 2	13 (25.0%)	1 (2.3%)	
Grade 3	15 (28.8%)	7 (16.3%)	
Grade 4	19 (36.5%)	35 (81.4%)	
IVDH confined to IVDS	17 (32.7%)	42 (97.7%)	< 0.001
IVDH lateralized	43 (82.7%)	8 (18.6%)	< 0.001
Dorsal disk material	17 (32.7%)	1 (2.3%)	< 0.001
Ventral disk material	37 (71.2%)	43 (100%)	< 0.001
Lateral disk material	33 (63.5%)	0(0%)	< 0.001
Bulging morphology	3 (5.8%)	13 (30.2%)	0.002
Protrusion morphology	4 (7.7%)	24 (55.8%)	< 0.001
Extrusion morphology	43 (82.7%)	6 (14%)	< 0.001
Nuclear cleft present	34 (65.4%)	16 (37.2%)	0.006
Distinct contour anulus fibrosus	10 (19.2%)	12 (27.9%)	>0.05
Distinction analysis and nucleus	6 (11.5%)	9 (20.9%)	>0.05
IVD degeneration			0.048
Grade 0	5 (9.6%)	2 (4.7%)	0.010
Grade 1	20 (38.5%)	27 (62.8%)	
Grade 2	27(51.9%)	14 (32.6%)	
Multiple IVDH present	9 (17.3%)	33 (76.7%)	< 0.001
Narrowed IVDS	42(80.8%)	15 (34 9%)	<0.001
ISI change present	27(51.9%)	32(744%)	0.045
ISI changes	27 (31.970)	32 (71.170)	0.036
Type 0	25 (48 1%)	11 (25.6%)	0.020
Type 1	23(10.170) 24(462%)	22 (51 2%)	
Type 7	2(3.8%)	7 (16 3%)	
Type 3	1(1.9%)	3(7.0%)	
Extradural hemorrhage	35(67.3%)	1(23%)	<0.001
Spondylosis deformans	9 (17 3%)	21(2.3%)	0.001
Endplate changes present	12(23.1%)	22 (51 2%)	0.001
Endplate changes	12 (23.170)	22 (31.270)	0.010
	40 (76 9%)	21 (48.8%)	0.025
Type 1	2(3.8%)	5 (11.6%)	
Type 7	5 (9.6%)	12 (27 9%)	
Type 2 Type 3	5 (9.6%)	5(11.6%)	
Spinal cord swelling	3(7.070) 37(71.2%)	2(11.070)	<0.001
Spinal cord swelling Spinal cord stronby	27(11.270) 2(2.80%)	2(+.070) 1/(37.70%)	<0.001
Remaining spinal cord area (mean	2(3.070)	1 + (32.770)	<0.001 <0.05
SF)	0.02(0.02)	0.00 (0.03)	<i>~</i> 0.0 <i>J</i>
Compression ratio (mean SE)	0.50(0.03)	0.39(0.02)	0.004
Compression rano (mean, SE)	0.50(0.05)	0.57(0.02)	0.004

Table 1. Results of univariate statistical analysis for clinical and MRI variables for 52
 dogs with intervertebral disk extrusion and 43 dogs with intervertebral disk protrusion

Table 2. Results of multivariate statistical analysis for clinical and MRI variables for 52 dogs with intervertebral disk extrusion and 43 dogs with intervertebral disk

protrusion

Risk Factor	Type of IVDH	OR	95% CI	<i>P</i> -value
Longer duration of clinical signs	IVDP more likely	1.02	1.01-1.04	0.01^{*}
Partial instead of complete IVD degeneration	IVDP more likely	16.58	1.95-141.3	0.01*
IVDH NOT lateralized	IVDP more likely	14.19	2.1 – 97.6	0.007*
Multiple IVDHs NOT present	IVDE more likely	0.17	0.04 - 0.78	0.023*
IVDH not confined to IVDS	IVDE more likely	0.09	0.01 - 1.08	0.06