

This is the peer reviewed version of the following article:

Pfau, T., Jennings, C., Mitchell, H., Olsen, E., Walker, A., Egenvall, A., Tröster, S., Weller, R. and Rhodin, M. (2016), Lungeing on hard and soft surfaces: Movement symmetry of trotting horses considered sound by their owners. *Equine Veterinary Journal*, 48: 83–89. doi: 10.1111/evj.12374

which has been published in final form at <http://dx.doi.org/10.1111/evj.12374>.

This article may be used for non-commercial purposes in accordance with [Wiley Terms and Conditions for Self-Archiving](#)."

The full details of the published version of the article are as follows:

TITLE: Lungeing on hard and soft surfaces: Movement symmetry of trotting horses considered sound by their owners

AUTHORS: Pfau, T., Jennings, C., Mitchell, H., Olsen, E., Walker, A., Egenvall, A., Tröster, S., Weller, R. and Rhodin, M.

JOURNAL TITLE: *Equine Veterinary Journal*

VOLUME/EDITION: 48

PUBLISHER: Wiley

PUBLICATION DATE: 10 December 2015 (online)

DOI: 10.1111/evj.12374

1 **Lungeing on hard and soft surfaces: movement symmetry of trotting horses considered**
2 **sound by their owners**

3 T. Pfau ^{a,*}, C. Jennings^a, H. Mitchell^a, E. Olsen^{a,b}, A. Walker^d, A. Egenvall^c, S. Tröster^a, R.
4 Weller^a, M. Rhodin^c

5

6 ^a*Department of Clinical Science and Services, The Royal Veterinary College, University of*
7 *London, Hawkshead Lane., North Mymms, Hatfield, AL9 7TA, UK*

8 ^b*Department of Large Animal Sciences, Faculty of Health and Medical Science, University of*
9 *Copenhagen, Højbakkegaard Alle 5, 2630 Taastrup, Denmark*

10 ^c*Department of Clinical Sciences, Swedish University of Agricultural Sciences, SE-750 07*
11 *Uppsala, Sweden*

12 ^d*Equine Studies, Moulton College, West Street, Moulton, NN3 7RR, UK*

13

14 *current affiliation of E. Olsen is ‘Cornell University Hospital for Animals’*

15 *current affiliation of A. Walker is ‘a’*

16 * Corresponding author. Tel.: +44 1707 666327. E-mail address: tpfau@rvc.ac.uk (T. Pfau).

17 **Keywords:** horse, movement symmetry, trot, lungeing, hard/soft surface

18

19 **Ethics:** Ethical approval was obtained from the Royal Veterinary College Ethics and Welfare
20 Committee as part of Hazel Mitchell’s and Charlotte Jennings’ final year research project.

21 **Competing interests:** No competing interests.

22 **Sources of funding:** Funding was provided as part of Hazel Mitchell’s and Charlotte
23 Jennings’ final year research project at the Royal Veterinary College.

24 **Acknowledgements:** We would like to thank all the horse owners for use of their horses and
25 the Royal Veterinary College for funding CJ’s and HM’s research project.

26

27

28

29

30 **Summary**

31 **Reasons for performing study:** Lungeing is often part of the clinical lameness examination.

32 The difference in movement symmetry (MS) – a commonly employed lameness measure –

33 has not been quantified between surfaces.

34 **Objectives:** To compare head and pelvic MS between surfaces and reins during lungeing.

35 **Study design:** Quantitative gait analysis in 23 horses considered sound by their owners.

36 **Methods:** Twenty-three horses were assessed in-hand and on the lunge on both reins on hard

37 and soft surface with inertial sensors. Seven MS parameters were quantified and used to

38 establish two groups: symmetrical (N=9) and forelimb lame (N=14) horses based on values

39 from straight-line assessment. MS values for left rein measurements were side-corrected to

40 allow comparison of the amount of MS between reins. A mixed model ($P < 0.05$) was used to

41 study effects on MS of surface (hard/soft) and rein (inside/outside with respect to MS on

42 straight).

43 **Results:** In forelimb lame horses, surface and rein were identified as significantly affecting all

44 head MS measures (rein: all $P < 0.0001$, surface: all $P < 0.042$). In the symmetrical group no

45 significant influence of surface or rein was identified for head MS (rein: all $P > 0.245$, surface:

46 all $P > 0.073$). No significant influence of surface or rein was identified for any of the pelvic

47 MS measures in either group.

48 **Conclusions:** We confirm that while more symmetrical horses show consistent amount of MS

49 across surfaces/reins, horses objectively quantified as lame on the straight show decreased MS

50 during lungeing, in particular with the lame limb on the inside of a hard circle. MS variation

51 within group questions straight line MS as a sole measure of lameness without quantification

52 of MS on the lunge, ideally on hard and soft surface to evaluate differences between reins and

53 surfaces. In future, thresholds for lungeing need to be determined using simultaneous visual

54 and objective assessment.

55 *Keywords:* Horse; Lameness; Lunge; Surface; Movement Symmetry

56 **Introduction**

57 Lameness is one of the most important performance limiting manifestations of a medical
58 problem in horses with important financial consequences [1,2,3]. Lungeing on different
59 surfaces is often part of a lameness examination, aiding decision making [4]. When visually
60 assessing lameness even experienced observers often disagree [5]. Inertial measurement units
61 (IMUs) can now accurately quantify movement symmetry (MS) parameters [6,7] and are
62 practical for use during the clinical lameness examination [8,9,10,] quantifying important
63 lameness parameters such as head nod [11] and hip hike [12].

64

65 *Adaptations in sound horses and links to the lameness examination*

66 On the lunge, the centripetal force produced by both inside and outside limbs [13] renders
67 movement of sagittal plane landmarks asymmetrical [14,15] with body lean angle towards the
68 inside of the circle [16] increasing with increasing speed and decreasing circle radius [14].
69 Clinically, lungeing on different surfaces helps discriminating between different causes of
70 lameness [4]. The systematic adaptation of a horse's MS on the lunge –increased head
71 downward movement during outside forelimb stance and increased movement amplitude of
72 the inside tuber coxae during outside hind limb stance [14,15] – may contribute to the clinical
73 usefulness of lungeing by exacerbating asymmetries over the perception threshold [17].
74 However, quantitative evidence with respect to differences between hard and soft surfaces –
75 clinically used to discriminate between different causes of lameness – is to date not available.

76

77 *Adaptations in horses with induced lameness*

78 When inducing lameness in horses on the lunge [18] with a screw-shoe model [19], forelimb
79 lame horses show the most pronounced effects when the lame limb is on the outside of the
80 circle, the limb with which sound horses produce the highest peak forces [13]. For induced

81 hind limb lameness the most pronounced change in MS is observed with the lame limb on the
82 inside, resulting in a summation of circle-dependent effects [14,15] and the effects of induced
83 lameness. Compensatory head movement as a reaction to inducing hind limb lameness
84 mimics ipsilateral forelimb lameness (similar to what is observed on the straight), [20] while
85 compensatory pelvic movement as a reaction to induced forelimb lameness mimics mixed
86 ipsilateral and contralateral hind limb lameness [18].

87

88 *Study aims*

89 Mobile gait analysis systems now allow quantitative assessment of movement patterns under
90 a variety of conditions. Clinically, quantifying locomotor adaptations to circular motion in
91 horses with defined diagnoses will help establish evidence-based decision strategies.

92 Here we address a question with relevance for both scientific studies and clinical lameness
93 examinations: do horses that are perceived to be symmetrical (moving symmetrically on the
94 straight with asymmetry around/below the limits of human perception; <25%: [17]) adapt
95 differently to lungeing on hard and soft surfaces than horses falling just outside the normal
96 range? The aim of this study was to quantify the effect of lungeing on vertical head and pelvic
97 MS when trotting on a hard compared to a soft surface. We hypothesized that, compared to
98 horses whose motion is quantifiably symmetrical on the straight, mildly forelimb lame horses
99 will show characteristic differences in MS between surface/rein combinations with decreasing
100 MS on the hard surface.

101

102 **Materials and Methods**

103 *Horses*

104 Twenty seven general riding horses of different breeds (body height: 1.28-1.73 m, median:
105 1.6 m; body mass: 363-603 kg, median: 500 kg) were enrolled in this study. All horses were

106 in regular exercise and were deemed sound by their owners/riders at the time of data
107 collection. The two data collection locations each had a riding arena with a rubber and a sand
108 surface respectively ('soft surface') and a flat concrete surface ('hard surface'). Ethical
109 approval was obtained from the Royal Veterinary College Ethics and Welfare Committee.

110

111 *Gait analysis setup*

112 Four MTx^a IMUs were attached to each horse: poll, os sacrum and left (LTC) and right tuber
113 coxae (RTC). An Xbus^a was attached to a surcingle transmitting raw IMU data via Bluetooth
114 at 100 Hz per individual sensor channel to a laptop computer running MTManager^a software
115 and custom written MATLAB^b scripts for data analysis.

116

117 *Data collection protocol*

118 All horses were trotted in-hand in a straight line and lunged on a circle of 10 m diameter
119 (marker placed on the lunge line), on both reins. Horses were trotted at their preferred speed
120 on both hard and soft surfaces, subjectively aiming (counting steady-state strides – the horse
121 trotting at constant speed and circle radius – during data collection) to collect a minimum of
122 15 continuous strides for each rein. The order of which each data set was recorded (in-hand,
123 left/right, hard/soft) was randomized.

124

125 *Quantification of movement symmetry*

126 Based on vertical movement for each horse and condition three published MS measures were
127 calculated for head and pelvis: symmetry index (SI for upward displacement: [11]), difference
128 between displacement minima and maxima (MinDiff, MaxDiff: [21]) as well as one
129 additional measure for the pelvis: difference in upward movement amplitude between left and

130 right tuber coxae (HHD: [15]). Further details about these MS measures are summarized in
131 Table S1.

132 Table 1 summarizes the SI values for all 27 horses on the straight for the horses from the two
133 data collection locations. Horses were categorized into different asymmetry groups based on
134 thresholds for head and pelvic movement symmetry during straight-line trot (SI_{poll} and SI_{pelvis})
135 derived from data of clinically sound horses previously [11]. The resulting normal ranges for
136 symmetrical horses were defined as $0.82 \leq SI_{poll} \leq 1.18$ and $0.83 \leq SI_{pelvis} \leq 1.17$ [15]. Four horses,
137 objectively classified as outside normal limits in both forelimbs and hind limbs (quantitatively
138 forelimb and hind limb lame), were excluded from further analysis to minimize the possibility
139 of multiple compensatory effects acting simultaneously. Consequently, data of 23 horses were
140 used and subdivided into two asymmetry groups: nine horses moving symmetrically on the
141 straight were found with SI_{poll} and SI_{pelvis} values within normal limits. Fourteen horses
142 objectively categorized as forelimb lame (equivalent to approximately a lameness of grade 1
143 based on [11]) were identified.

144

145 *Statistical Analysis*

146 Statistics were carried out in SPSS^c. Effects were considered significant for $P < 0.05$. For each
147 horse and each condition median MS values across strides were calculated. All median MS
148 measures ($SI_{head/pelvis}$, $MinDiff_{head/pelvis}$, $MaxDiff_{head/pelvis}$, HHD) for trot on the straight and on
149 left/right circle were found to be normally distributed based on Kolmogorov-Smirnov tests
150 ($P > 0.19$ for all seven MS measures). In order to assess the size of the introduced movement
151 asymmetries as a function of surface and rein, MS measures from left rein lungeing were
152 'side-corrected', effectively making the horses trot on the right rein: $MinDiff$, $MaxDiff$ and
153 HHD were multiplied by -1 and SI was mirrored with respect to '1'. This is equivalent to
154 observing a horse's movement through a mirror when being lunged on the left rein while

155 observing the actual horse and not its mirror image when being lunged on the right rein. This
156 procedure – together with categorizing exercise conditions into inside and outside rein (inside
157 rein: e.g. a horse with LF asymmetry or lameness on the left rein or a horse with RF
158 asymmetry or lameness on the right rein) – effectively allows combining LF and RF lame
159 horses into one group of forelimb lame horses when studying amounts of asymmetry.
160 Mixed models with surface (hard/soft), rein (inside/outside with respect to the identified
161 direction of MS on the straight) and data collection location as factors were tried on data sets
162 from the symmetrical and the lame horses. Data collection location was not found to alter the
163 model outcome nor identified as significantly influencing any of the seven MS measures and
164 was hence excluded from the final model implemented.

165

166 **Results**

167 *Number of strides and stride duration*

168 For each horse and condition a mean \pm standard deviation (SD) of 38 ± 8 strides with a
169 minimum of 15 strides per condition was recorded. Stride duration on the soft surface was
170 716 ± 43 ms on the straight, 737 ± 46 ms on the left circle and 730 ± 37 ms on the right circle.
171 Stride duration for the hard surface was 702 ± 35 ms on the straight, 711 ± 39 ms on the left
172 circle and 705 ± 36 ms on the right circle. Overall horses showed stride durations of
173 734 ± 41 ms on the soft circle and 708 ± 37 ms on the hard circle.

174

175 *Movement symmetry for lungeing on soft and hard surface in sound horses*

176 Table 2 summarizes median values for head and pelvic MS for the nine horses of the
177 symmetrical group on left and right rein. On the right rein, SI is generally <1 for poll and >1
178 for pelvic measurement. This indicates increased movement amplitude during the outside
179 limb stance phase (LF, LH). On this rein, MinDiff is >0 for the poll and <0 for the pelvis

180 relating to increased downward movement during outside stance; MaxDiff is <0 for both poll
181 and pelvis, with interquartile ranges often including 0 (symmetrical movement). HHD on the
182 right rein is generally <0 indicating increased upward movement of the inside (right) tuber
183 coxae measured during outside hind limb pushoff. On the left rein, the opposite pattern is
184 observable.

185

186 Table 3 gives median (and interquartile range) values obtained for all seven head and pelvic
187 MS measures for the nine horses of the symmetrical group for inside and outside rein. Inside
188 and outside rein in this group of symmetrical horses was determined with respect to the
189 direction of asymmetry – with values tending towards those of either RF or LF lameness, but
190 within current normal limits (i.e. non-lame). (see table 1). Generally, median side-corrected
191 MS values are similar between inside and outside rein for the same surface (inside soft versus
192 outside soft or inside hard versus outside hard) with a maximum difference between reins of
193 2 mm (MinDiff), 3 mm (MaxDiff and HHD) and 0.07 (SI).

194

195 *Differences between rein/surface combinations for different groups of horses*

196 Figures 1 and 2 show the side-corrected head and pelvic MS values measured for the two
197 groups for the four different rein/surface combinations. Generally there was considerable
198 spread of MS values within each category within each group of horses as illustrated by the
199 width of the boxes (25th and 75th percentile). Head and pelvic MS across surface/rein
200 conditions show comparatively small and consistent median values in the symmetrical horses.
201 In the forelimb lame horses, in particular head MS median values vary considerably across
202 conditions deviating most clearly from perfect symmetry ('1' for SI, '0' for MinDiff and
203 MaxDiff) when the lame limb is on the inside of the circle. This effect appears exacerbated on

204 the hard surface. With the lame limb on the outside of the circle the forelimb lame horses
205 show more symmetrical head movement (median values closer to '0' or '1', Figure 1).
206 In the symmetrical horses, mixed model analysis did not reveal any significant influence of
207 surface or rein on any of the three head or any of the four pelvic MS measures. In the forelimb
208 lame horses, rein was identified to significantly influence SI_{poll} , $MinDiff_{poll}$ and $MaxDiff_{poll}$
209 (all $P < 0.0001$). Surface was also found to significantly influence all three head MS measures
210 (SI_{poll} : $P = 0.002$, $MinDiff_{poll}$: $P = 0.002$, $MaxDiff_{poll}$: $P = 0.042$). None of the pelvic symmetry
211 measures was significantly influenced by either rein or surface (rein: all $P > 0.200$; surface: all
212 $P > 0.076$).

213

214 **Discussion**

215 We investigated head and pelvic MS in two groups of horses trotting on the lunge on hard and
216 soft surfaces. In the absence of a gold standard for defining soundness when the horse is on
217 the lunge, the horses were categorized into symmetrical and forelimb lame based on
218 quantitative MS measured during straight-line trot based on thresholds established from
219 published data from clinically sound horses [11]. The measure used here for this purpose (SI)
220 normalizes the quantified differences between the two halves of the stride to the overall range
221 of motion observed for each landmark. As a consequence, this measure appears to be less
222 affected by horse size – which was different in this study and the cited study from which the
223 threshold was derived [11] – however, further studies should investigate the effect of horse
224 size on different movement symmetry measures.

225 The nine symmetrical horses showed asymmetry patterns that are consistent with previously
226 published data collected with full six degree of freedom IMUs for vertical movement [14, 15].
227 In these horses, none of the MS measures showed significant differences between surfaces or
228 reins. However considerable spread of MS values within this group (as well as within the

229 forelimb lame group, see figure 1) indicates that individual horses cope differently with the
230 constraints of circular movement [22]. We simply do not know how the spread of MS values
231 is related to biological variation (except for speed and circle radius, which systematically
232 affect movement symmetry [14]), due to handedness of the horse or to asymmetrical
233 handling/riding, or to different orthopaedic deficits (mainly the lame group) as well as
234 subclinical or bilateral lameness within the symmetrical group (i.e. below the current
235 threshold and below 25% asymmetry suggested as the limit of human perception [17]). The
236 variation observed on the lunge within both groups clearly emphasizes the need to
237 quantitatively assess horses on the straight as well as on the lunge whenever possible to
238 minimize the likelihood of classifying sub-clinically or bilaterally lame horses in
239 biomechanical investigations as ‘sound’. However, specific thresholds need to be established
240 based on horses clinically diagnosed and confirmed by gold standard kinetic analysis to be
241 free of lameness but this is difficult on the lunge. In a first approximation, this could be
242 achieved based on horses judged as being sound through visual assessment by the majority of
243 a number of experienced clinicians but the agreement is rather low when assessing lameness
244 on the lunge (see e.g. [23]) and speed dependency of objective parameters [24] further
245 complicates this.

246 In the forelimb lame horses, all three head MS measures were significantly altered between
247 surfaces and reins. In general, the highest amount of asymmetry was found for lunging on a
248 hard surface with the lame limb on the inside of the circle. Circular movement has been
249 shown to cause increased extra-sagittal joint torques in particular on hard surfaces where the
250 hoof cannot sink into the surface [13]. We hypothesize that these torques exacerbate pain in
251 lame horses. Here, in the majority of horses the highest amount of asymmetry was detected
252 with the lame limb on the inside of the circle and this limb has been observed to be at an

253 increased inclination angle with the surface [25] and circle and lameness dependent effects
254 add up.

255

256 *Differences between symmetrical and mildly lame horses*

257 In the symmetrical group, changes in for example side-corrected $\text{MaxDiff}_{\text{poll}}$ and $\text{MinDiff}_{\text{poll}}$
258 are of similar magnitude between surface/rein combinations (Table 3, Figure 1) and are
259 generally small (median values of around 10 mm). Hence, the values observed here for the
260 majority of horses in this group are consistent with values measured for horses considered
261 ‘sound’ by the majority of veterinarians in a recent study with simultaneous visual and
262 objective IMU based assessment of horses on the lunge [23]. However, some horses in the
263 symmetrical group exceed these values (some clearly) and it seems possible that these horses
264 are in fact lame; alternatively it is equally possible, that even completely sound horses do not
265 show equal amounts of movement symmetry on both reins, for example related to speed and
266 circle diameter [14], which should hence be kept comparable between reins. The fact that MS
267 values for these horses were found within normal limits when quantified on the straight,
268 questions the grouping of horses into lameness categories just based on straight line
269 assessment.

270 Mildly lame horses on the other hand generally show more prominent changes with median
271 values across all horses of up to 35 mm. Assuming an overall movement amplitude of the
272 head of 70 to 100 mm [11] this translates into percentage asymmetry values of 35 to 50 %,
273 even in these horses which on the straight only showed mild asymmetries. This further
274 emphasizes the benefit of lungeing to exacerbate small movement asymmetries above the
275 proposed threshold for human detection of 25 % [17]. Although we cannot exclude that some
276 of the horses in the symmetrical group showed sub-clinical or bilateral lameness, the
277 differences identified here between the more symmetrical and forelimb lame group suggests

278 that the majority of horses in the symmetrical group are sound and differences in the amount
279 of asymmetry between reins are very small in these horses. Further studies should concentrate
280 on quantifying surface and rein related changes in horses with clinically diagnosed lesions to
281 establish appropriate threshold values (based on sensitivity and specificity for detecting
282 lameness) on the lunge.

283

284 *Compensatory effects*

285 When inducing lameness on the lunge, specific patterns of referred asymmetry can be
286 observed [18]. Here, for the forelimb lame horses no significant pelvic MS differences
287 between surface/rein combinations were found. This may be related to the small effect of 0.2
288 mm compensatory asymmetry for each 1 mm of primary asymmetry [18]. Hence the
289 compensatory changes may only be detectable for more clearly asymmetrical horses.

290 Alternatively the compensatory mechanisms observed in induced lameness may differ from
291 the ones in mild clinical lameness [26] and indeed the spread of MS values indicates that
292 individual horses cope differently and different anatomical structures may be causing the
293 lameness.

294

295 *Classification of horses based on straight line movement based on threshold values*

296 Twenty-seven horses in regular exercise and judged sound by their owners/riders were
297 recruited into the study. Objective MS assessment during trot in-hand revealed that only nine
298 horses were within 'normal limits' based on previously published research [11]: we used 18%
299 ($0.82 < SI_{poll} < 1.18$) and 17% ($0.83 < SI_{pelvis} < 1.17$) as cutoff values. These thresholds are also
300 consistently below the suggested threshold of 25% for human perception of movement
301 asymmetry [17].

302 The low number of horses found within normal limits poses the question whether the current
303 thresholds need refining and whether in principle a quantitative assessment just based on
304 straight line measurement is suitable as an inclusion/exclusion criterion in scientific studies.
305 Similar to what is done in a clinical lameness examination, horses should hence be –
306 whenever feasible – also assessed on the lunge when objective gait data is used as an
307 inclusion/exclusion criterion. Regardless of whether in-hand or on the lunge, theoretically,
308 thresholds should be based on minimal important differences (MIDs) [27] derivable from
309 long-term studies investigating changes in diagnosed conditions. In a first step – since MIDs
310 are not yet available – and despite known limitations [27] reference values [28] derived from
311 a larger number of ‘normal’ subjects, should be used. Interestingly, a recent study with IMUs
312 [29] presents more stringent thresholds for in-hand assessments: 6 mm for head movement
313 and 3 mm for pelvic movement, i.e. 6 to 9% or 3 to 5% again based on an assumed movement
314 amplitude of 70 to 100 mm [11]; as a result more horses would have been categorized as lame
315 in this study.

316

317 *Lameness or handedness?*

318 Ultimately – independent of whether in-hand or on the lunge – it needs to be investigated how
319 much asymmetry is related to pain and hence constitutes a lameness and how much
320 asymmetry is related to handedness of the horse or asymmetrical handling or riding [30-33].
321 Here, we assume that horses showing MS of similar magnitude to horses with mild induced
322 lameness [11] are lame, however no diagnostic analgesia was performed in the privately
323 owned horses recruited as ‘being perceived sound by their owner’. Hence, we do not have a
324 clinical diagnosis. Individual horses may suffer from a variety of orthopaedic conditions. The
325 spread of symmetry values within each surface/rein category suggests that this was the case

326 for at least part of the horses. This calls for a larger scale study with horses with clinically
327 diagnosed lesions and quantitative gait assessment in-hand and on the lunge.

328

329 *Confounding variables: speed, circle radius, stride time*

330 Ideally – to identify purely surface related changes – each horse should be lunged with
331 identical circle radius and speed for all surface/rein combinations since speed and circle radius
332 affect body lean [16] and hence MS [14]. However, in practice in particular with lame horses,
333 this may be difficult. If tight control of these parameters is not possible then regression
334 equations should be used to correct for the differences. These are to date only available for
335 lunging on a soft surface [14] and speed and circle radius need measuring for usage of these
336 equations (e.g. global positioning system). This was not possible for all horses due to the use
337 of an indoor arena in one location.

338 The reduced stride times observed on the hard surface suggest that the horses adapt
339 differently. In general, reduced stride time (increasing stride frequency) is related to increased
340 speed [34] however on the lunge, reduced stride time may simply indicate that the horses trot
341 with shorter and quicker strides similar to previous findings [13].

342

343 **Conclusions**

344 In this study, head and pelvic movement asymmetry was found to be generally small and not
345 significantly different between surfaces and reins on the lunge in horses quantitatively
346 assessed as within normal limits during trot in-hand. This may indicate that – independent of
347 surface – these horses distribute weight almost evenly between inside and outside limbs.
348 Mildly forelimb lame horses showed an increase in asymmetry with the lame limb on the
349 inside of the circle with a more pronounced effect on the hard surface. Larger scale studies
350 with horses with clinically diagnosed lesions now need to be conducted to objectively

351 quantify lesion specific changes on hard and soft lunge in order to implement truly evidence
352 based thresholds for this exercise condition which is part of many lameness and pre-purchase
353 examinations.

354

355 **Conflict of interest statement**

356 None of the authors has any financial or personal relationships that could inappropriately
357 influence or bias the content of the paper.

358

359 **Acknowledgements**

360 We would like to thank all the horse owners for use of their horses and the Royal Veterinary
361 College for funding CJ's and HM's research project.

362

363 **References**

364 1. Kaneene, J.B., Ross, W.A. and Miller, R. (1997) The Michigan equine monitoring system.

365 II. Frequencies and impact of selected health problems. *Prev Vet Med*, **29**, 277-292.

366 2. Keegan, K.G. (2007) Evidence-based lameness detection and quantification. *Vet Clin N*

367 *Am-Equine*, **23**, 403-423.

368 3. Egenvall, A., Lönnell, C. and Roepstorff, L. (2009) Analysis of morbidity and mortality

369 data in riding school horses, with special regard to locomotor problems. *Prev Vet Med*,

370 **88**, 193–204.

371 4. Baxter, G.M and Adams, O.R. (2011) Adams and Stashak's lameness in horses. Oxford:

372 Wiley-Blackwell. 116-117.

373 5. Keegan, K.G., Dent, E.V., Wilson, D.A., Janicek, J., Kramer, J., Lacarrubba, A., Walsh,

374 D.M., Cassells, M.W., Esther, T.M., Schiltz, P., and others (2010) Repeatability of

375 subjective evaluation of lameness in horses. *Equine Vet J*, **42**, 92-97.

- 376 6. Pfau, T., Witte, T.H. and Wilson, A.M. (2005) A method for deriving displacement data
377 during cyclical movement using an inertial sensor. *J Exp Biol*, **208**, 2503-2514.
- 378 7. Warner, S.E., Koch, T.O. and Pfau, T. (2010) Inertial sensors for assessment of back
379 movement in horses during locomotion over ground, *Equine Vet J*, **42** (Suppl. 38),
380 417-424.
- 381 8. Starke, S.D., Willems, E., Head, M., May, S.A. and Pfau, T. (2012) Proximal hindlimb
382 flexion in the horse: effect on movement symmetry and implications for defining
383 soundness. *Equine Vet J*, **44**, 657-663.
- 384 9. Marshall, J.F., Lund, D.G. and Voute, L.C. (2012) Use of a wireless, inertial sensor-based
385 system to objectively evaluate flexion tests in the horse. *Equine Vet J*, **44**, (Suppl. 43),
386 8-11.
- 387 10. Pfau, T., Spicer-Jenkins, C., Smith, R.K., Bolt, D.M., Fiske-Jackson, A. and Witte, T.H.
388 (2013) Identifying optimal parameters for quantification of changes in pelvic
389 movement symmetry as a response to diagnostic analgesia in the hindlimbs of horses.
390 *Equine Vet J*, doi: 10.1111/evj.12220.
- 391 11. Buchner, H.H.F., Savelberg, H.H.C.M., Schamhardt, H.C. and Barneveld, A. (1996) Head
392 and trunk movement adaptations in horses with experimentally induced fore- or
393 hindlimb lameness. *Equine Vet J*, **28**, 71-76.
- 394 12. May, S.A. and Wyn-Jones, G. (1987) Identification of hindleg lameness. *Equine Vet J*, **19**,
395 185-188.
- 396 13. Chateau, H., Camus, M., Holden-Douilly, L., Falala, S., Ravary, B., Vergari, C., Lepley,
397 J., Denoix, J.M., Pourcelot, P. and Crevier-Denoix, N. (2013) Kinetics of the forelimb
398 in horses circling on different ground surfaces at the trot. *Vet J*, **198** (Suppl 1), 20-26.

- 399 14. Pfau, T., Stubbs, N.C., Kaiser, L.J., Brown, L.E. and Clayton, H.M. (2012) Effect of
400 trotting speed and circle radius on movement symmetry in horses during lunging on a
401 soft surface. *Am J Vet Res*, **73**, 1890-1899.
- 402 15. Starke, S.D, Willems, E., May, S. and Pfau, T. (2012) Vertical head and trunk movement
403 adaptations of sound horses trotting in a circle on a hard surface. *Vet J*, **193**, 73-80.
- 404 16. Clayton, H.M. and Sha, D.H. (2006) Head and body centre of mass movement in horses
405 trotting on a circular path. *Equine Vet J*, **38** (Suppl. 36), 462-467.
- 406 17. Parkes, R.S., Weller, R., Groth, A.M., May, S. and Pfau, T. (2009) Evidence of the
407 development of 'domain-restricted' expertise in the recognition of asymmetric motion
408 characteristics of hindlimb lameness in the horse. *Equine Vet J*, **41**, 112-117.
- 409 18. Rhodin, M., Roepstorff, L., Pfau, T. And Egenvall, A. (2013) Influence of lunging on
410 head and pelvic movement asymmetry and compensatory effects in horses with
411 induced lameness. *Vet J*, **198** (Suppl. 1), 39-45.
- 412 19. Merkens, H.W. and Schamhardt, H.C. (1988) Evaluation of equine locomotion during
413 different degrees of experimentally induced lameness. I: Lameness model and
414 quantification of ground reaction force patterns of the limbs. *Equine Vet J*, (Suppl. 6),
415 99-106.
- 416 20. Uhlir, C., Licka, T., Kübber, P., Peham, C., Scheidl, M. and Girtler, D. (1997)
417 Compensatory movements of horses with a stance phase lameness. *Equine Vet J*, **29**
418 (Suppl. 23), 102-105.
- 419 21. Kramer, J., Keegan, K.G., Kelmer, G. and Wilson, D.A. (2004) Objective determination
420 of pelvic movement during hind limb lameness by use of a signal decomposition
421 method and pelvic height differences. *Am J Vet Res*, **65**, 741-747.
- 422 22. Brocklehurst, C., Weller, R. and Pfau, T. (2014) Effect of turn direction on body lean
423 angle in the horse in trot and canter. *Vet J*, **199**, 258-262.

- 424 23. Hammarberg, M, Egenvall, A., Pfau, T., Rhodin, M. (under review) Rater agreement of
425 visual lameness assessment in horses during lungeing, under review in *Equine Vet J*.
- 426 24. Starke, S.D., Raistrick, K.J., May, S.A. and Pfau, T. (2013) The effect of trotting speed on
427 the evaluation of subtle lameness in horses, *Vet J*, **197**, 245–252
- 428 25. Hobbs, S.J., Licka, T. and Polman, R. (2011) The difference in kinematics of horses
429 walking, trotting and cantering on a flat and banked 10 m circle. *Equine Vet J*, **43**,
430 686-694.
- 431 26. Maliye, S., Voute, L., Lund, D., Marshall, J.F., Maliye, S. (2014) An inertial sensor-based
432 system can objectively assess diagnostic anaesthesia of the equine foot, *Equine Vet J*,
433 **45** (Suppl. 45), 26–30.
- 434 27. Turner, D., Schünemann, H.J., Griffith, L.E., Beaton, D.E., Griffiths, A.M., Critch, J.N.
435 and Guyatt, G.H. (2010). The minimal detectable change cannot reliably replace the
436 minimal important difference, *J Clin Epidemiol*, **63**, 28-36.
- 437 28. Geffré, A., Friedrichs, K., Harr, K., Concordet, D., Trumel, C. and Braun, J.P. (2009)
438 Reference values: A review, *Vet Clin Path*, **38**, 288–298.
- 439 29. McCracken, M. J., Kramer, J., Keegan, K. G., Lopes, M., Wilson, D. A., Reed, S. K.,
440 LaCarrubba, A. and Rasch, M. (2012) Comparison of an inertial sensor system of
441 lameness quantification with subjective lameness evaluation, *Equine Vet J*, **44**, 652-
442 656.
- 443 30. Farmer, K., Krueger, K. and Byrne, R.W. (2010) Visual laterality in the domestic horse
444 (*Equus caballus*) interacting with humans, *Anim Cogn*, **13**, 229–238
- 445 31. Licka, T., Kapaun, M. and Peham, C. (2004) Influence of rider on lameness in trotting
446 horses. *Equine Vet J*, **36**, 734-736.

447 32. Robartes, H., Fairhurst, H. and Pfau, T. (2013) Head and pelvic movement symmetry in
448 horses during circular motion and in rising trot: towards establishing guidelines for
449 lameness examinations, *Vet J*, **198**, (Suppl 1), 52-58.

450 33. Symes, D. and Ellis, R. (2009) A preliminary study into rider asymmetry within
451 equitation. *Vet J*, **181**, 34–37.

452 34. Heglund, N. and Taylor, C.R. (1988) Speed, stride frequency and energy cost per stride:
453 how do they change with body size and gait? *J Exp Biol*, **138**, 301-318.

454

455 **Manufacturer Details**

456 ^aXsens, Enschede, the Netherlands.

457 ^bMATLAB; The Mathswork Inc, Natick, Massachusetts, USA.

458 ^cSPSS Inc, Chicago, Illinois, USA.

459

460

462 **Table 1:**

463 Body height, body mass, and head and pelvic MS quantified with body mounted IMUs during
 464 trot on the straight. Also given are direction of asymmetry for thoracic (LF/RF) and pelvic
 465 (LH/RH) limbs identified by objective symmetry index analysis and asymmetry group of each
 466 horse for data analysis purposes. All horses – independent of whether attributed to the
 467 ‘symmetrical’ or lame group – are attributed an ‘asymmetry direction’ in order to be able to
 468 assess differences between inside and outside rein. Median values and ranges for each data
 469 collection location (1 and 2) are also given. Horses outside normal range for both forelimbs
 470 and hind limbs were excluded from the study.

Horse	Location	withers height (m)	body mass (kg)	SI _{Poill} hard surface straight line	SI _{Pelvis} hard surface straight line	assym. direction	Group
1	1	1.6	524	0.61	1.10	RF	lame
2	1	1.68	596	0.61	1.17	RF	lame
3	1	1.5	490	0.72	1.02	RF	lame
4	1	1.55	538	0.87	1.15	RF	sound
5	1	1.63	603	0.79	1.01	RF	lame
6	1	1.63	524	0.93	1.08	RF	sound
7	1	1.48	478	1.19	1.53	LF/RH	excluded
8	1	1.65	500	1.19	1.00	LF	lame
9	1	1.5	478	0.9	0.9	RF	sound
10	1	1.55	530	1.36	0.88	LF	lame
11	1	1.58	480	1.31	0.83	LF	lame
12	1	1.65	560	1.47	1.00	LF	lame
13	1	1.65	590	1.11	1.09	LF	sound
median (range)		1.6 (1.48-1.68)	524 (478-603)	0.93 (0.61-1.47)	1.02 (0.83-1.53)		
14	2	1.48	390	0.85	1.01	RF	sound
15	2	1.6	550	0.96	1.06	RF	sound
16	2	1.45	490	1.4	1.08	LF	lame
17	2	1.48	408	0.56	1.02	RF	lame
18	2	1.5	464	0.82	0.99	RF	sound
19	2	1.65	603	0.98	0.88	RF	sound
20	2	1.65	504	0.72	0.96	RF	lame
21	2	1.6	458	0.6	1.00	RF	lame
22	2	1.28	303	1.26	1.25	LF/RH	excluded
23	2	1.7	560	0.7	1.23	RF/RH	excluded
24	2	1.55	600	0.77	0.82	RF/LH	excluded
25	2	1.43	363	0.97	0.88	RF	sound

26	2	1.5	414	0.59	0.89	RF	lame
27	2	1.73	511	0.64	1.03	RF	lame
median		1.53	477	0.795	1.005		
(range)		(1.28-1.73)	(303-603)	(0.56-1.4)	(0.82-1.25)		

471

472

473 Table 2: Values for MS measures (before side-correction) for the symmetrical horses (N=9)
 474 on left (L) and right (R) rein on hard (H) and soft (S) surface illustrating the circle-dependent
 475 adaptations. For poll, SI is >1 for left rein and <1 for right rein, MinDiff is <0 for left rein and
 476 >0 for right rein and MaxDiff is >0 for left rein and <0 for right rein. With the exception of
 477 MaxDiff pelvic MS values show the opposite pattern of poll values. MaxDiff and HHD
 478 values are >0 for left rein and <0 for right rein. Interquartile ranges exclude the value for
 479 symmetry in 10 out of 12 conditions for the poll and in 5 cases for pelvic measurements.
 480 Given are median values for each condition and interquartile ranges (bracketed values).
 481 MinDiff, MaxDiff and HHD values in mm.

Surface	Rein	Poll			Pelvis			
		SI	MinDiff	MaxDiff	SI	MinDiff	MaxDiff	HHD
Soft	L	1.16 (1.1, 1.23)	-5 (-15, -4)	7 (-1, 8)	0.99 (0.92, 1.08)	6 (0, 7)	5 (-1,6)	6 (1, 15)
	R	0.72 (0.66, 0.88)	8 (3,16)	-9 (-11, -4)	1.07 (0.92, 1.11)	-8 (-12, 2)	-3 (-7, -2)	-7 (-13,11)
Hard	L	1.25 (1.11, 1.48)	-8 (-16, -2)	4 (2, 5)	0.9 (0.84, 0.99)	7 (-3, 13)	2 (-8, 5)	10 (0, 12)
	R	0.67 (0.55, 0.79)	11 (8,19)	-7 (-18, 5)	1.08 (0.94, 1.14)	-8 (-12, -3)	-4 (-7, -1)	-6 (-10, 6)

482
483

484 Table 3: Values for side-corrected MS measures for the group of symmetrical horses (N=9)
 485 on inside (I) and outside (O) rein on hard (H) and soft (S) surface. Inside and outside limb
 486 was determined with respect to the direction of asymmetry during the baseline straight-line
 487 assessment, see table 2, e.g. inside rein is right rein for RF asymmetrical horses and left rein
 488 for LF asymmetrical horses. Given are median values for and interquartile ranges (bracketed
 489 values). MinDiff, MaxDiff and HHD values in mm.

Surface	Rein	Poll			Pelvis			
		SI	MinDiff	MaxDiff	SI	MinDiff	MaxDiff	HHD
S	I	0.86 (0.68; 0.91)	5 (2; 15.5)	-8 (-11; -1.5)	1.04 (0.91; 1.12)	-7 (-10.5; 3)	-3 (-7.5; 1.5)	-6 (-11; 12.5)
	O	0.84 (0.71; 0.91)	6 (3; 17)	-8 (-10; 3.5)	1.01 (0.91; 1.11)	-6 (-12.5; 3.5)	-5 (-7.5; 3.5)	-8 (-16.5; 5.5)
H	I	0.74 (0.56; 0.87)	11 (5; 18.5)	-7 (-17; -2.5)	1.1 (0.94; 1.15)	-8 (-15.5; -1)	-4 (-7.5; 1)	-6 (-11.5; 6.5)
	O	0.68 (0.41; 0.86)	13 (2; 32.5)	-4 (-16; 0.5)	1.08 (0.94; 1.17)	-7 (-12.5; 3)	-2 (-5.5; 9)	-9 (-19.5; 6)

490

491

492 **Table S1: Summary of Inertial measurement unit (IMU) derived movement symmetry**

493 **(MS) measures derived from vertical head and pelvic movement.**

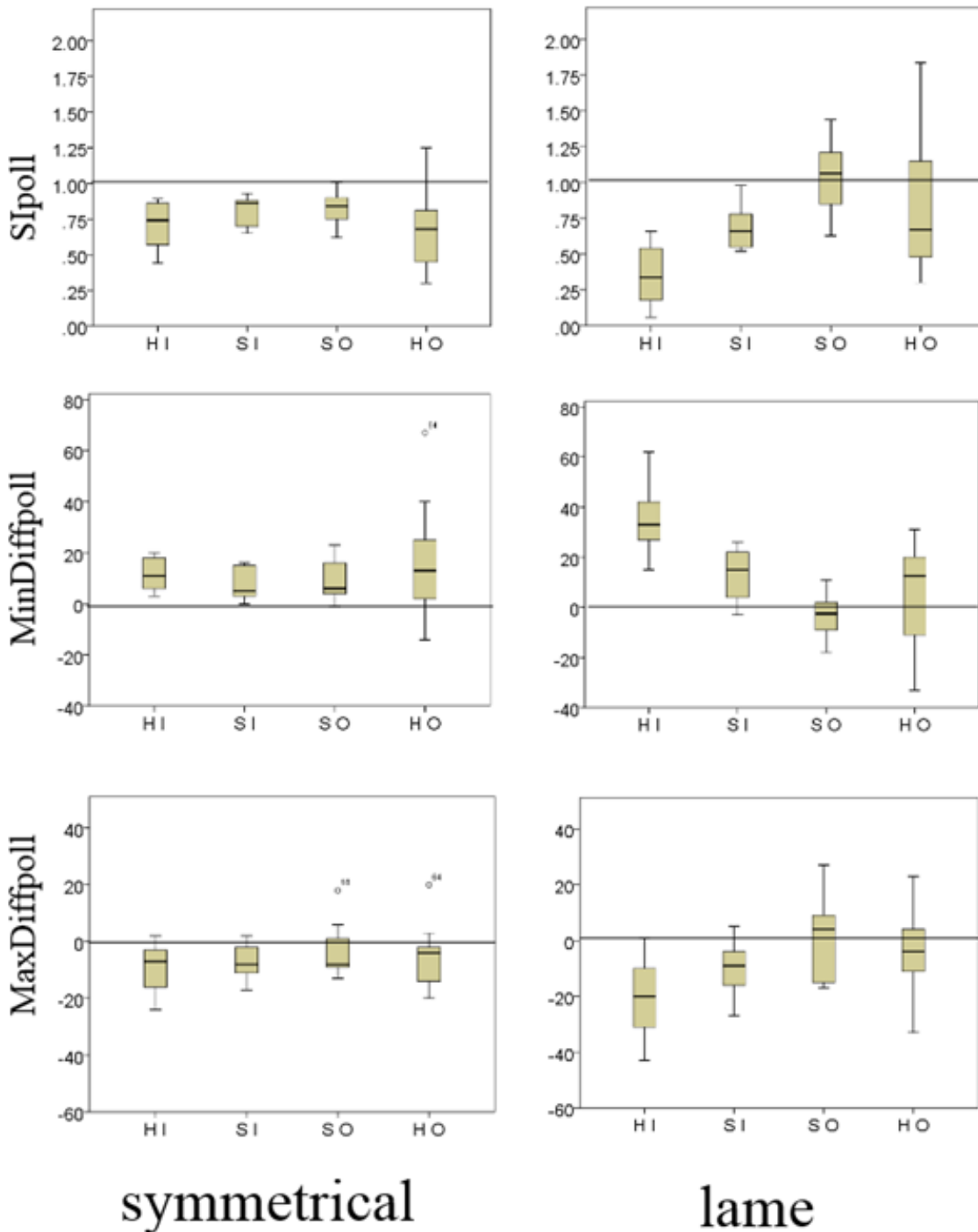
MS measure	Landmark(s)	Quantifies what? Relevant how?	Refs
SI	Head: poll, midline Pelvis: tuber sacrale, midline	Difference in movement amplitude during left/right half of stride normalized to overall movement amplitude. Directional measure of the amount of asymmetry regardless of whether related to weight bearing (minimum position at mid stance) or pushing off (maximum position during aerial phase)	[11]
MinDiff	Head: poll, midline Pelvis: tuber sacrale, midline	Difference between lowest point reached at left and right mid stance. Directional measure quantifying the difference in weight bearing by comparing the vertical height achieved at mid stance.	[21]
MaxDiff	Head: poll, midline Pelvis: tuber sacrale, midline	Difference between highest point reached after left and right stance. Directional measure quantifying the difference in propulsive effort by comparing the vertical height reached in mid aerial phase.	[21]
HHD	Pelvis: Left and right tuber coxae (LTC, RTC)	Difference in upward movement amplitude during contralateral stance, i.e. during right hind stance for LTC and during left hind stance for RTC. Directional measure quantifying the ‘hip hike’, i.e. the difference in movement amplitude between the left and right hip.	[15] based on [12]

494

495

496 **Figure Legends**

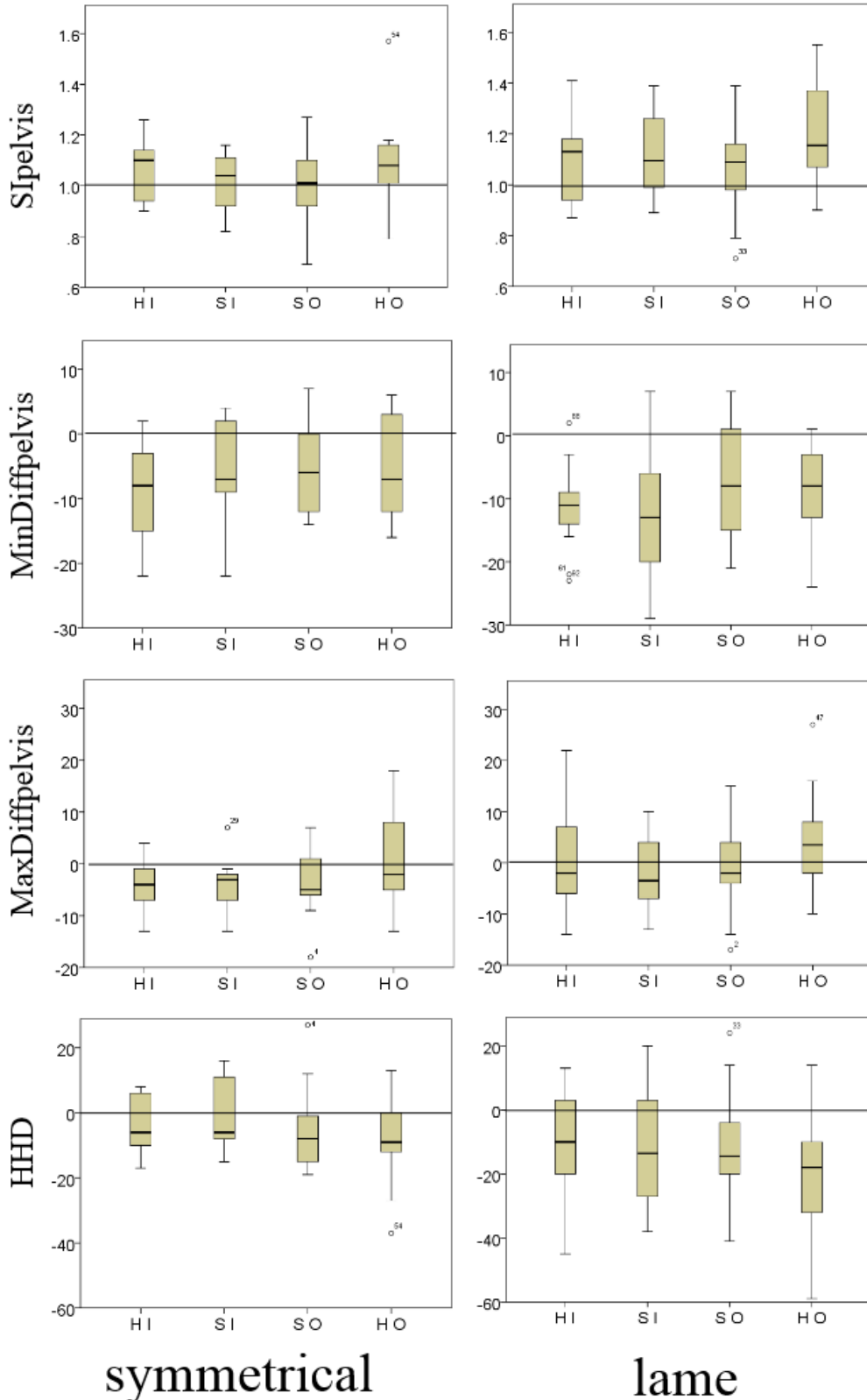
497 Fig. 1. Side corrected head MS measures for the four different surface (H: hard, S: soft) and
498 rein (I: inside, O: outside) combinations for the two groups of horses (symmetrical, N=9, left
499 column; forelimb lame, N=14, right column). The line of perfect symmetry during straight
500 line trotting is given as a dashed line to allow for easier judgment about the condition(s)
501 which cause(s) the most prominent change in MS.
502 Boxes: line: median; box: 25th and 75th percentile; whiskers: maxima and minima not
503 considered outliers.



504

505

506 Fig. 2. Side corrected pelvic MS measures for the four different surfaces (H: hard, S: soft) and
 507 rein (I: inside, O: outside; defined with respect to direction of asymmetry on straight line)
 508 combinations for the two groups of horses (symmetrical, N=9, left column; forelimb lame,
 509 N=14, right column). The line of perfect symmetry during straight line trotting given as a line
 510 to allow for easier judgment about the condition(s) which cause(s) the most prominent
 511 change in MS.



512